



## 伍、未來展望

九十二年度台灣期貨市場可謂新的里程碑，臺灣期貨交易所陸續推出新的金融商品，使得整個市場更為活絡。期貨經理事業建構完成，也將吸引更多的資金投入，整個期貨市場榮景可期。

由於期貨顧問事業及經理事業的相繼加入，台北市期貨商業同業公會也將面臨重大轉型，將改組為全國性單一公會。未來會員的類別將迥異於前，執業登錄的人數也將大幅增加，本會在今年度即未雨綢繆，先行擴充人員及設備，積極因應這項歷史性的轉變。

### 一、因應政策 擴大編制 整修內部 招募新人

由於公會目前所在之辦公處所，多年來未曾裝修整理。在九十二年底，主管機關正式賦予公會未來新的查察業務，為配合新業務之需求，公會在去年十月正式進行重新裝潢，擴充設備；並在組織上進行重新編組，由原先的教育訓練、業務發展、場檢證照、管理暨財務等四組，增加稽查輔導及研究發展組，並將業務發展、管理暨財務組更名為業務服務組及行政管理組，正式擴編為六組。由於查核業務需具有法律背景的專業人才，因此去年度公會在招募新人上，即錄用自法律系畢業的專才。同時因應研究發展之需求，也延聘具有金融科系畢業、英文說寫流利之優秀人才。目前公會會務人員自15人擴充到21人，平均年齡降低，學歷卻大幅提昇，希望未來朝向專業化的服務目標邁進。

### 二、會務系統e化 證照登錄 在職訓練 行政作業全部上線

為提高工作效率，減少人為疏失，發揮服務效能，公會自九十一年起即積極準備會務系統e化的準備作業。在九十二年度提出會務e化的整合作業及完整的計畫後，提報經董事會議通過此案的預算，正式在九十三年度展開所有規劃作業。由於公會的行政業務較為繁瑣，尤其在人員登錄及在職訓練方面，每年將近萬人次的作業申請，唯有透過電腦e化的程序，才能降低人為錯誤，節省時間及成本。舉凡會務宣導、業界及主管機關的新資訊等等，藉由無遠弗屆網路科技，才能達到準確及迅速的要求，因此未來公會的e化將是最主要的工作之一。

### 三、提升專業素養 強化在職訓練課程內容 落實在職訓練精神

由於法規規定，期貨業務員在職訓練是每兩年受訓一次，再加上期貨顧問及經理事業相繼開放，接踵而來職前訓練及在職訓練，在在加重了公會承辦此項業務的壓力。由於期貨市場的新商品不斷推陳出新，投入這個行業的人員也益漸增多，每兩年一訓的規定，在職訓練的人數只有擴增不見減少。然而，如何在課程中創新求變，使這些從業人員確實獲益，是公會不斷努力的目標。在九十年開始劃分的初階、進階班及專業課程部分已是創舉，轉眼間，進階班的學員再度面臨受訓，公會在取得主管機關的認同後，未來將改變授課方式，除了實體教學外佐以數位教學的方式，希望以突破的觀念及方法，為在職訓練添加更多的內涵及意義。

## E. Outlook for 2004

It was a challenging, and revolutionary year for the Taiwan futures industry in 2003. The series of futures product launched by the Taiwan Futures Exchange together with the emergence of the Managed Futures Enterprise have induced an ample injection of funds into the futures market. It is not hard to imagine a bright future ahead for the futures industry.

With the inception of the Futures Advisory Enterprise and the Managed Futures Enterprise, TFA is expected to encounter significant alterations to its operations, where it will undergo reform and function as a National Futures Association. In anticipation of those upcoming challenges associated with the reform, such as the category & registration of member companies, TFA has assumed a proactive attitude to expand its resources in coping with this dramatic transformation.

### 1. Expansion, Internal Restructuring & Recruitment

In order to fulfill the new missions delegated from the Securities & Futures Commission at the end of 2003, TFA has refurbished its office with the acquisition of new facilities, and carried out internal restructuring with the addition of two new divisions— Audit and R&D, plus the two renamed divisions— Business and Administration, amounting to a total of 6 divisions. Furthermore, TFA has recruited new talents in response to the coming Audit and R&D related assignments, where candidates with either a legal or financial background were employed. Meanwhile, the number of staff at TFA has jumped from 15 to 21, where the average age of staff descended while the overall education level rose up.

### 2. An Electronic & Integrated Administration System

In an attempt to enhance the operating efficiency and minimize the occurrence of man-made errors, TFA has actively engaged in the preliminary planning of the electronic administration system since 2002. After the approval by the Board of Directors & Supervisors, TFA has formally commenced the construction of the electronic system in 2004. Because of the repetitive and trivial nature of TFA's administrative operations, especially the registration and training for employees at member companies, an electronic system is the most effective way of cost reduction and error elimination. The electronic system obviously plays a vital role in this intellectual era, where a majority of duties are now being completed online, from business promotion to the timely announcement of the latest news released by member companies or regulatory authorities. Apparently, the building of an electronic administration system will remain one of the major tasks for TFA in the near future.

### 3. Boost in Professionalism & A Better Job-Training

Because of the every-two-year on-the-job training for associated persons at member companies as required by law, plus the opening of the Futures Advisory Enterprise and the Managed Futures Enterprise, the demand for both pre-job and on-the-job training is undoubtedly on the rise. Similarly, the continuous launch of futures product and the inflow of new workers into the futures industry will only push the demand for job training further up the scale. However, TFA has always strived to design, in the best interests of trainees, training courses with contents that are innovative and practical. For example, after the approval from regulatory authorities, TFA will introduce the concept of digital learning, as a complement to the conventional physical learning, to ensure training is being conducted in a meaningful and practical manner.



#### 四、爭取經營利基 開發新種業務 深入知識領域 開拓研究發展

進入廿一世紀的知識經濟時代，研究發展是領先群雄的必勝之途。為協助業界擴大新種業務及經營利基，九十二年度公會即委託產、官、學界的專業人士，就期貨業的法制面、實務面、新商品面及管理制度面進行研究探討。未來公會在成立研究發展組後，將為業界進行更多的研究發展工作，希望藉由公會超然、客觀及公正的立場，提出中肯、務實及可行的方案，提供業界及主管當局參考研議，為期貨界創造更好的發展空間。

#### 五、知識傳承 促進交流 加強溝通 互換心得

為提供業界專業心得交流與經驗互換，同時提供期貨人有發表心得的園地，讓大家了解業界及人員動態，在出版兩年來的「期貨人」季刊中，針對內容，我們也做了大幅的變革，除了提供專業報導外，還包括了生活化的訊息，以輕鬆活潑的方式展現期貨人的另一面。九十二年度公會還出版了「臺灣期貨與衍生性商品學刊」，完全以專業化的學術性論文為主。未來公會仍將持續出刊，希望透過不同屬性的刊物，養成期貨人閱讀的美德，並達到知識傳承的目的。

#### 六、加強共識 團結合作 放眼國際 創造未來

由於期貨顧問專業及經理事業的相繼加入，未來會員間類別及人數均會呈現不同於以往的情況。如何加強彼此間的共識，促使業界團結合作，共同攜手為期貨業界創造更美好的前景，是未來公會與業界間應亟思的重要課題。由於全球化的趨勢，且期貨商品本身極具國際化的特質，因此未來應加強與國際期貨市場間的經驗交流，參考先進國家的機制，為台灣期貨市場進軍國際化做好準備。





#### 4. Creation of Market Niche and R&D

R&D is undoubtedly the key to success in this intellectual 21<sup>st</sup> century. In order to assist member companies in the development of new businesses and the establishment of competitive advantages, TFA has invited experts from member companies, government units, and academics to conduct research on various respects of the futures industry; including regulations, product development, risk management, and the actual trading of futures. With the addition of the R&D division, it is TFA's responsibility to carry out research on the above mentioned areas, and to prepare for both member companies and regulatory authorities, in a fair and practical manner, proposals that will benefit the futures industry as a whole.

#### 5. Exchange of Knowledge & Ideas

In order to facilitate the exchange of knowledge and experience for member companies, and to keep the public informed of the movements in the futures industry, TFA has revised the contents of its professional quarterly publication "Taiwan Futures", with the addition of information that are more representative of the daily life hence more entertaining to read. Furthermore, TFA also published the "Journal of Taiwan Futures & Derivatives" during the previous year, which consisted of purely theoretical research articles. In the future, TFA will carry on with its commitment of producing a variety of futures publications, to ensure that the goal of knowledge exchange is fulfilled.

#### 6. Consensus, Collaboration & Future Creation

Because of the emergence of the Futures Advisory Enterprise and the Managed Futures Enterprise, the category and number of member companies are subject to change subsequently. Challenges such as the formation of consensus, the collaboration among member companies, and the struggle for a flourishing futures market, will remain the critical concerns for both member companies and TFA. Similarly, the trend towards globalization and the worldwide nature inherent in futures products have implied an urgent need for the exchange of knowledge with those advanced nations overseas, so as to pave for Taiwan futures market the path towards an international platform.

