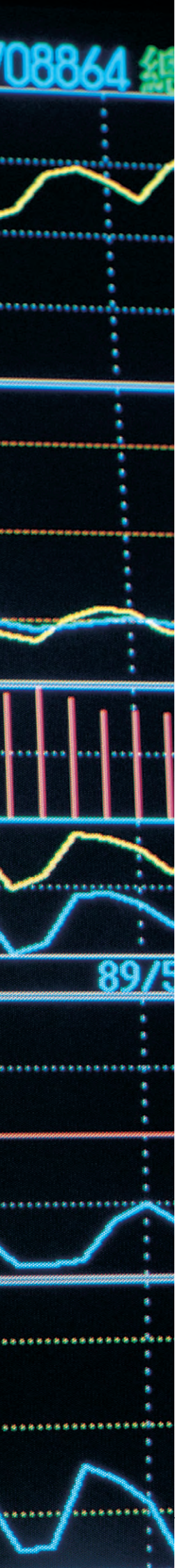


# 104年報

## Annual Report 2015



中華民國期貨業商業同業公會  
*Chinese National Futures Association*



# Contents

## 目錄

|                                  |    |
|----------------------------------|----|
| 壹、序言 .....                       | 2  |
| I. Preface .....                 | 3  |
| 貳、公會簡介 .....                     | 4  |
| II. CNFA Introduction .....      | 5  |
| 參、公會組織系統 .....                   | 7  |
| III. Association Structure ..... | 7  |
| 肆、會務報導 .....                     | 24 |
| IV. Association Affairs .....    | 24 |
| 伍、財務報告 .....                     | 52 |
| V. Financial Report .....        | 53 |
| 陸、大事紀要 .....                     | 58 |
| VI. Chronicle .....              | 58 |
| 柒、會員名錄 .....                     | 64 |
| VII. Directory of Members .....  | 64 |

# 壹

## 序言



104年期貨市場表現亮眼，年度交易量由103年2億口，成長到2.65億口，再創新高；日均量也來到108萬口，成長33%，全市場客戶保證金餘額也突破1,200億元，一舉攻到1,775億元，量能齊揚。整體期貨商稅前損益達44億元，大幅成長49.8%，達成獲利倍增、提昇交易動能的使命。在此要感謝主管機關，以開放的態度支持期貨產業發展，期交所的積極協助及業界的共同努力，期貨業才能在寒冬中持續發光發熱。

### 二大重點 創造產業發展新契機

#### 1、資產管理多元化：

104年投信、證券、期貨三業融合，投信募集發行多檔指數、商品ETF及槓桿型、反向型ETF等並在證交所上市，證券自營商擔任造市者，期貨市場則提供避險的服務，三業合作創佳績。

#### 2、期貨商品國際化：

繼歐臺期歐臺選後，期交所再推出日本東證指數期貨、上市首檔匯率期貨商品-美元兌人民幣匯率期貨，並推出陸股ETF期貨、延長陸股相關商品交易時間等，不但滿足交易人的交易需求，同時提供法人機構避險增益的管道。

### 二大元素 提昇產業經營效率

#### 一、期貨商財務控管面

本國期貨市場發展迄今近20年，期貨市場之交易制度、風險承受度及業者控管能力均亦趨成熟，為能與國際接軌，本公會已委託外部研究單位，重新檢視我國期貨商ANC計算、及比例標準並提出修改調整之建議，期能在兼顧市場風險的原則下，提升期貨商資金使用效益。

#### 二、市場參與面

##### (一) 促進法人機構運用期貨市場意願

為強化銀行業、保險業及投信業等法人機構對期貨市場的瞭解，本公會與期交所共同辦理法人機構利用期貨避險增益座談會，並拜訪相關單位，以促進上述法人機構更積極參與期貨市場。

##### (二) 校園推廣，培育期貨業未來人才

本公會於104年除持續辦理校園巡迴講座，提供大學生期貨業未來發展與就業機會之資訊外，並與期交所及工商時報共同辦理「樂學期貨」校園理財講座、網路有獎徵答活動及模擬交易競賽，提昇同學期貨理財知識與交易策略演練管道。

##### (三) 執行金融科技政策建置數位化服務環境

為響應主管機關金融3.0建置數位化金融環境，104年推動多項線上簽署文件或線上申請期貨交易相關服務，如：申請SPAN、當沖保證金減半、有價證券抵繳、保證金提領、以電子憑證辦理線上開戶、簽署期顧契約或期信契約…等。

### 展望未來

可喜也感恩104年的成長，展望未來，本公會將持續向主管機關爭取放寬法人機構進入期貨市場之限制、爭取大陸自然人得在期貨商開立國外期貨交易帳戶、推動期經事業，建立陽光代操制度，提供委任人公正透明之查詢平台；並致力推動資產管理領域，規劃多樣性的期經全委及期信基金推廣宣傳活動，為投資人打造更簡便的投資方式，並追隨期交所國際化腳步，推動更多國際多元產品。投信、證券、期貨同心，提供投資人更優質的投資環境，創造多贏共榮之佳績，在此，祝大家萬事順利、大展鴻圖，期貨業蒸蒸日上。

中華民國期貨業商業同業公會

理事長 **賀鳴珩**

Taiwan futures market made another brilliant year in 2015 while the annual transaction hit a record high of 265 million lots, compared to 200 million lots of the previous year, and the daily transaction expanded 33% to 1.09 million lots at the same time. Meanwhile, the balance of trading accounts broke the NT\$120 billion mark to stand at a historic high of NT\$177.5 billion. Both transaction amount and trading momentum were fueled up. Furthermore, futures companies recorded an overall pre-tax profit of NT\$4.4 billion, marking a sharp growth of 49.8%. All these figures well proved that we have fulfilled the missions of doubling profits and boosting market momentum. All the success is attributed to the government's support and open attitude, the Taiwan Futures Exchange's fervent assistance, as well as all our futures companies' efforts which jointly heated up the futures industry amid the chilly economic climate in 2015.

### **Two major points create opportunities for industrial development**

#### 1. Diverse assets management vehicles

In 2015, the three industries of securities firms, securities investment trust enterprises (SITEs), and futures companies worked together to introduce several new products. The SITEs launched the exchange traded funds (ETFs), leveraged fund, and inverse ETFs (each tracking either an index or commodity) for which the securities firms played as market makers and the futures market provided opportunities for risk-hedging. The three industries joined to secure successful launch and activate trading of these new types of funds.

#### 2. Internationalization of Taiwan futures

Following the FTX and OTX, the TAIEX introduced the JP-TPX (linked with Tokyo stock market), the RHF (the first foreign exchange futures in Taiwan), and the China stock ETF, as well as extended the trading hours of China stock-linked products, to satisfy the demand of traders and facilitate risk-hedging channels for institutional traders.

### **Two key elements to strengthen industry's operational efficiency**

#### 1. Financial control of futures companies

Taiwan futures market, since its inception two decades ago, has seen steady development in aspects of market trading system, risk-tolerance, as well as management capability of market players, and has been hastening its pace in tracking the international markets. The CNFA has commissioned an outsourced research institution to review the existing ANC calculation formula for Taiwan's futures companies and figure out the standard ratios as reference for future adjustment, an effort to allow futures companies to strengthen management efficiency for their funds while taking caution on market risks.

#### 2. Market participation

##### a. Encourage institutional investors to join the futures market

In order to help banks, insurance companies, and securities investment trust enterprises to have better understanding of the futures market, the CNFA and the TAIEX jointly arranged several risk-hedging & profit-seeking seminars, in addition to visit at related institutions to encourage their willingness for making futures trading.

##### b. Arrange futures promotion activities on campus for talents cultivation

The CNFA continued the "Talents-hunting on Campus" lecture series to make analysis of futures market outlook and offer job opportunities to university students. Besides, it joined the TAIEX and the Commercial Times in holding the "Happy Futures" lectures, quiz contest, futures simulation contests, etc., to help students enhance their assets management knowledge and provide channels for them to practice futures trading.

#### 3. Execute government's financial technology policies for developing financial 3.0 environment

To support the government's policy for developing financial 3.0 environment, the CNFA introduced several digitalized services in 2015, such as online trading account application with electronic signature, online SPAN application, 50% cut in margin for day traders, using securities to offset margin payment, as well as using electronic certificate to apply for trading account online, or sign on electronic futures advisory service contract, futures trust fund management contracts, etc.

### **Outlook**

We are very glad and grateful to see the phenomenal growth in 2015. While looking forward, the CNFA will continue to recommend the regulatory agency to relax regulations governing futures trading of institutional investors, to allow individual mainlanders to open accounts at Taiwan futures companies for trading foreign futures, to open even more managed futures businesses, to build an open system for discretionary account management service, and to provide transparent and fair inquiry platform for trusters. Meanwhile, regarding the business of assets management, the CNFA is proposing diverse managed futures businesses and helping futures trust enterprises strengthen business promotional activities, in a bid to provide the public with simplified and easier means for making investment. Meanwhile, we will propose diverse globally-linked products to echo the TAIEX's efforts for internationalization. The three industries of futures, securities, and securities investment trust will continue to work together to provide an even better investment environment and create a triple-win thriving market. Finally, I would like to take the opportunity to wish every member a smooth and fruitful year ahead and the overall futures industry a booming prospect.

*Ted Ho*  
Chairman, CNFA

# 貳

## 公會簡介

### 一、公會沿革

- 本公會前身為創立於民國84年4月17日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於93年6月21日升格改制為全國性單一公會，並於6月30日獲頒立案證書。
- 本公會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

### 二、設立宗旨

- 本公會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

### 三、本公會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

# CNFA Introduction

## A. CNFA History

- The Chinese National Futures Association (hereinafter referred to the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association first founded on April 17, 1995, as a national organization on June 21, 2004 and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.
- As a national self-disciplined organization, the Association handled members' license registrations, site equipment inspections, personnel training and other functions. Moreover, it assumes the responsibility as a self-regulatory body. For healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely reviews of industry personnel qualifications, site equipment inspections, member check-ups, as well as education and training for futures workers. It will actively coordinate the industry's unity and cooperation, promoting communication and interaction between the industry and the competent authorities, and under circumstances of sincere and positive, immaculate policies, lay a more solid foundation for the futures industry.

## B. Goals

- The Association was established to protect the investing public, develop the national economy, coordinate intra-industry relations, and promote common benefits of the society.

## C. Objectives

- To promote the full functioning of the futures market, working in step with the national economic development
- To make survey, compile statistics, and conduct R&D projects about the operations of member companies
- To make research and suggestions about government's policies and propose revisions to futures-related regulations
- To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement
- To arbitrate disputes among members
- To arrange career training courses and lectures for employees of member companies
- To supervise advertisements, exhibitions, and other promotional activities of member companies
- To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies
- To revise or renew licenses of member companies and handle related issues
- To organize or participate social welfare activities
- To protect legitimate rights and interest of member companies
- To take disciplinary actions against wrongful or illegal behaviors of member companies
- To offer the services on request of government agencies or non-profit organizations
- To take part in social activities
- To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders
- To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities which would mislead futures traders, clients, or other persons associated with futures trading
- To offer other related services on member company's requests in accordance with the government's policies and regulations



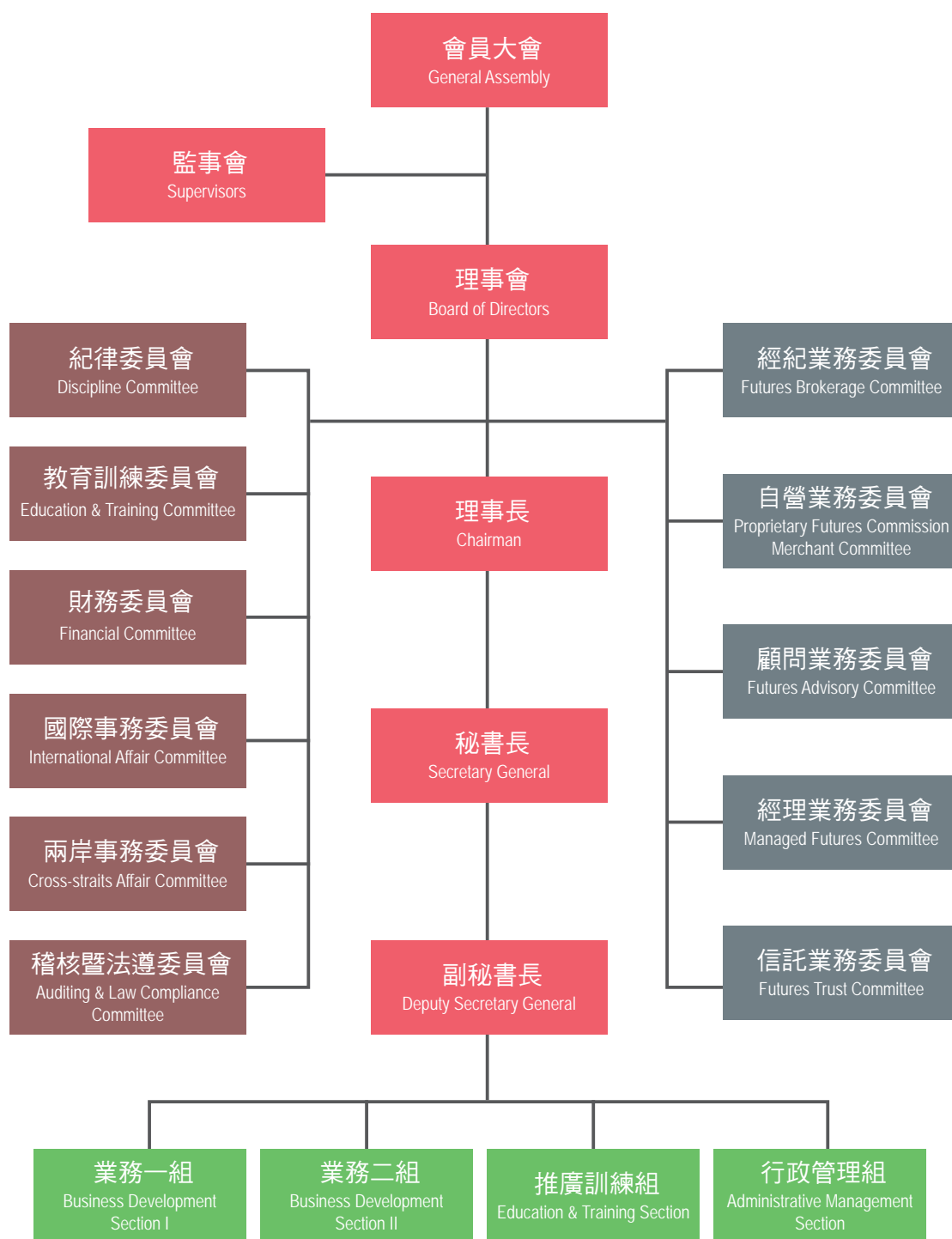
中華民國期貨業商業同業公會  
第四屆第三次會員大會





一、組織系統圖

A. Organizational Chart





## 二、組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計311位。

■成員：由會員代表組成。至104年12月31日止，本公會會員公司計有169家：

1. 專營期貨商15家
2. 兼營期貨商19家
3. 期貨交易輔助人49家
4. 國外複委託商2家
5. 期貨自營業務32家
6. 期貨經理業務8家
7. 期貨顧問業務34家
8. 期貨信託業務9家
9. 槓桿交易商1家

■執掌：為本公會最高決策機關。

■職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會

■成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。

## B. Structure and Responsibilities

1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2015, a total of 311 member representatives were registered with the Association.

■ Member companies: the Association was composed of 169 member companies at the end of 2015:

1. Specialized futures brokerage companies: 15
2. Concurrent futures brokerage companies: 19
3. Futures introducing brokers (IB): 49
4. Foreign re-engaging brokers: 2
5. Proprietary futures commission merchants: 32
6. Managed futures enterprises: 8
7. Futures advisory enterprises: 34
8. Futures trust enterprises: 9
9. Leverage Transaction Merchant: 1

■ Authority: the General Assembly is the supreme policy-making body of the Association.

■ Responsibilities:

1. To elect or recall board members or supervisors
2. To make and revise CNFA Charter
3. To approve annual working plans, budget, auditing reports, and R&D projects
4. To review proposals approved at the meetings of board members, supervisors, or General Assembly
5. To take disciplinary actions against misbehaved member companies or member representatives
6. To trade properties of the Association
7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues
8. To approve dismissing of any board member or supervisor
9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies
10. To approve other issues associated with member's rights or obligations

2. Board of Directors

■ Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.

■ Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.



■職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本公會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分違反本公會章程第二十條規定之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會

■成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互推其中一人為監事會召集人。

■執掌：為本公會最高監察單位。

■職權：

1. 選舉及罷免常務監事。
2. 監察理事會執行會員大會之決議案。
3. 監察理事會會務執行情形。
4. 審核理事會各種會計報告書類。
5. 稽核理事會之經費收支狀況。
6. 向會員大會提出年度監察報告。
7. 議決常務監事及監事之自行辭任。
8. 其他依職責應辦之事項。

■ **Responsibilities:**

1. To convene the General Assembly and execute its decisions
2. To elect or recall chairman or executive directors
3. To approve resignation of chairman or board members
4. To approve registration, withdrawal, or nullification of membership or member representative
5. To make annual working plans, budget, auditing report, and R&D projects
6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers
7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations
8. To deal with the projects approved at meetings of supervisors
9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
10. To take disciplinary actions against the members who fail to pay membership fee
11. To handle issues in accordance with laws, CNFA Charter, or related regulations
12. To handle other related issues

3. Supervisors

■ Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.

■ Authority: it's the highest supervising body of the Association.

■ **Responsibilities:**

1. To elect or recall executive supervisors
2. To supervise the Board of Directors' execution of the projects approved by the General Assembly
3. To supervise the Board of Directors' execution of the Association's working plans
4. To review the accounting reports which have been approved by the Board of Directors
5. To review the financial reports which have been approved by the Board of Directors
6. To make annual supervisory report to the General Assembly
7. To approve resignation tendered by any executive supervisor or supervisor
8. To handle other related issues



(四)、委員會：設有經紀業務、自營業務、顧問業務、經理業務、信託業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核暨法遵等11個委員會。

■成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。

■執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

- 經紀業務委員會
  - (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
  - (2) 有關期貨交易人服務事項。
  - (3) 有關編擬年度工作計畫事項。
  - (4) 其他有關期貨經紀業務之處理事項。
- 自營業務委員會
  - (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關期貨自營業務之處理事項。
- 顧問業務委員會
  - (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
  - (2) 有關委任人服務事項。
  - (3) 有關編擬年度工作計畫事項。
  - (4) 其他有關期貨顧問業務之處理事項。
- 經理業務委員會
  - (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
  - (2) 有關委任人服務事項。
  - (3) 有關編擬年度工作計畫事項。
  - (4) 其他有關期貨經理業務之處理事項。
- 信託業務委員會
  - (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
  - (2) 有關受益人服務事項。

4. Committees: the Association set up 11 committees, namely Futures Brokerage Committee, Proprietary Future Commission Merchant Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, and Auditing & Law Compliance Committee.

- Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.
- Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

#### Committees and their responsibilities:

- Futures Brokerage Committee
  - (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers
  - (2) To offer services to futures traders
  - (3) To make annual work plan for the committee
  - (4) To handle other related issues
- Proprietary Futures Commission Merchant Committee
  - (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them
  - (2) To make annual work plan for the committee
  - (3) To handle other related issues
- Futures Advisory Committee
  - (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business
  - (2) To offer services to clients of futures advisory enterprises
  - (3) To make annual work plan for the committee
  - (4) To handle other related issues
- Managed Futures Committee
  - (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business
  - (2) To offer services to clients of managed futures enterprises
  - (3) To make annual work plan for the committee
  - (4) To handle other related issues
- Futures Trust Committee
  - (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises
  - (2) To offer services to beneficiaries of futures trust fund



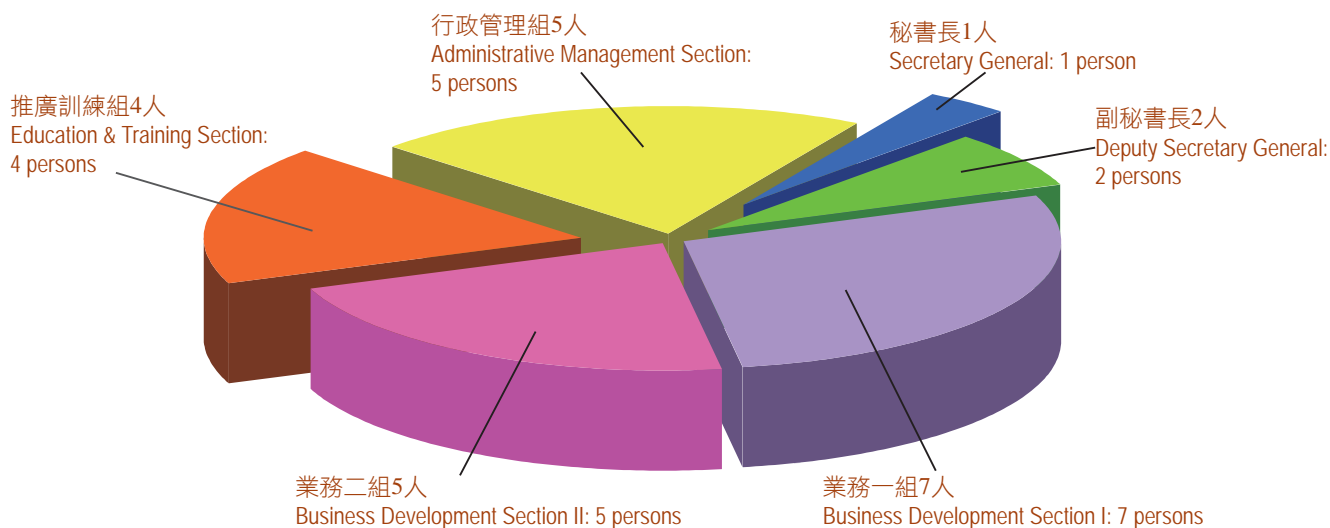
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨信託業務之處理事項。
- 紀律委員會
  - (1) 有關自律公約之擬訂、執行與督促會員自律事項。
  - (2) 有關會員職業道德之規範事項。
  - (3) 其他有關會員自律之處理事項。
- 教育訓練委員會
  - (1) 辦理期貨從業人員職前訓練及在職訓練事項。
  - (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
  - (3) 有關出版刊物之編輯事項。
  - (4) 有關期貨投資宣傳事項。
  - (5) 其他有關教育訓練、考試及核發證書之處理事項。
- 財務委員會
  - (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
  - (2) 有關財務之稽核事項。
  - (3) 有關預算、決算之編擬事項。
  - (4) 其他有關財務事宜之處理事項。
- 國際事務委員會
  - (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關期貨國際業務之處理事項。
- 兩岸事務委員會
  - (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關期貨兩岸業務之處理事項。
- 稽核暨法遵委員會
  - (1) 有關期貨業內部控制制度之研討與建議事項。
  - (2) 有關期貨業內部稽核業務之研討與建議事項。
  - (3) 有關期貨業法令遵循事項。

- (3) To make annual work plan for the committee
- (4) To handle other related issues
- Discipline Committee
  - (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies
  - (2) To help member companies comply with working ethics
  - (3) To handle other related issues
- Education & Training Committee
  - (1) To arrange pre-job and on-job training for futures workers
  - (2) To arrange visit, speech, lecture, leisure activity, sports, among others
  - (3) To handle publishing and editing matters
  - (4) To make advertise and promotional matters
  - (5) To handle training, examination, license issuance, and other education-related issues
- Financial Committee
  - (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues
  - (2) To handle auditing and related issues
  - (3) To make budget plan and deal with settlement issues
  - (4) To handle other related issues
- International Affair Committee
  - (1) To promote and coordinate issues about international affairs of the futures industry
  - (2) To make annual work plan for the committee
  - (3) To arrange other related issues
- Cross-straits Affair Committee
  - (1) To promote and coordinate cross-straits issues about the futures industry
  - (2) To make annual work plan for the committee
  - (3) To arrange other related issues
- Auditing & Law Compliance Committee
  - (1) To make research on internal control system of futures companies and propose suggestions for improvement
  - (2) To make research on internal auditing system of futures companies and propose suggestions for improvement
  - (3) To take charge of law-compliance issues of member companies



- (4) 協商並解決共通性之稽核業務或法令遵循事項。
  - (5) 有關編擬年度工作計畫綱要事項。
  - (6) 其他有關稽核業務或法令遵循事宜之處理事項。
- (五) 會務人員：分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

**104年會務人員統計表**  
CNFA Administrative Staff in 2015



- 業務一組  
辦理期貨商、期貨（證券）交易輔助人、槓桿交易商及海峽兩岸期貨業務相關之下列工作：
  - (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
  - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
  - (3) 蒐集業界資料，掌握市場動態。
  - (4) 檢討期貨法令並提出改進建議。
  - (5) 提供會員公司期貨業務法規諮詢服務。
  - (6) 自律規定之研議。
  - (7) 新種業務之可行性研究與分析。
  - (8) 期貨相關專題之研究。
  - (9) 聯繫、跟催委外專案研究之進度。

- (4) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems
  - (5) To make annual work plan for the committee
  - (6) To handle other related issues
5. Administrative staff: the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:
- Business Development Section I:  
To handle the business of futures companies, futures (securities) introducing brokers, leveraged trading, and cross-straits issues:
    - (1) To arrange meetings of the Futures Brokerage Committee, Proprietary Futures Commission Merchant Committee, Cross-straits Affair Committee, and Discipline Committee
    - (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
    - (3) To gather information about market trend and industrial development
    - (4) To review futures-related laws and, when necessary, propose suggestion for improvement
    - (5) To provide legal consulting services to member companies
    - (6) To propose or make revisions to self-discipline rules
    - (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
    - (8) To make research on futures-related topics
    - (9) To arrange out-sourcing research projects
    - (10) To gather and analyze the information about cross-straits issues
    - (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits



- (10) 蒐集及分析兩岸期貨業務之相關資訊。
- (11) 兩岸期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

● 業務二組

辦理期貨服務事業（不含期貨交易輔助人）及國際期貨業務相關之下列工作：

- (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。
- (4) 檢討期貨法令並提出改進建議。
- (5) 提供會員公司期貨業務法規諮詢服務。
- (6) 自律規定之研議。
- (7) 新種業務之可行性研究與分析。
- (8) 期貨相關專題之研究。
- (9) 聯繫、跟催委外專案研究之進度。
- (10) 蒐集及分析國際期貨業務之相關資訊。
- (11) 國際期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。





- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

● Business Development Section II

To handle issues regarding futures-related business (excluding those of futures introducing brokers), and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
- (3) To gather information about market trend and industrial development
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement
- (5) To provide legal consulting services to member companies
- (6) To propose or make revisions to self-discipline rules
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
- (8) To make research on futures-related topics
- (9) To arrange out-sourcing research projects
- (10) To gather and analyze the information about international futures issues
- (11) To arrange the interaction between the Association and international futures associations
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies



- 推廣訓練組
  - (1) 從業人員在職與相關訓練。
  - (2) 期貨業務講座、說明會等教育宣傳活動。
  - (3) 專題研究、公聽會及研討會之發表。
  - (4) 配合時事，研擬專案性之文宣活動。
  - (5) 刊物之編輯與發行事宜。
  - (6) 會員之會籍、負責人與業務員登錄及管理。
  - (7) 業務員工作證換發作業。
  - (8) 教育訓練委員會開會事宜。
  
- 行政管理組
  - (1) 採購驗收、財產保管。
  - (2) 資訊系統規劃建置及維護。
  - (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
  - (4) 檔案管理、收發文。
  - (5) 各種活動支援。
  - (6) 人事、總務、行政、文書等作業。
  - (7) 會員公司及從業人員之聯誼或康樂活動。
  - (8) 會員大會、理監事會開會事宜。
  - (9) 財務、稽核暨法遵委員會開會事宜。
  - (10) 期貨交易糾紛調處事項。





- Education & Training Section

- (1) To arrange pre-job and on-job training courses for futures workers
- (2) To arrange futures lecturers, seminars, and other promotional activities
- (3) To publish the reports of research projects, public hearing, or seminars
- (4) To arrange activities for advocating special news or research topic
- (5) To compile and publish publications
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies
- (7) To arrange renewal of job certificates of Association's staff workers
- (8) To arrange meetings of the Education & Training Committee

- Administrative Management Section

- (1) To handle procurement issues and safeguard Association's properties
- (2) To arrange IT equipment procurement, maintenance, and management
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues
- (4) To handle mails and keep documents
- (5) To provide financial support to Association-held activities
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents
- (7) To arrange social activities for employees of member companies and the Association's working staff
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors
- (9) To arrange meetings of the Financial Committee and Auditing & Law-compliance Committee
- (10) To mediate disputes about futures trading



## 理監事名錄 Board Members and Supervisors

## 理事名錄

| 序號<br>(No.) | 公會職稱<br>(Title)            | 姓名<br>(Name)            | 會員名稱<br>(Company)  | 職稱<br>(Position)                  |
|-------------|----------------------------|-------------------------|--|-----------------------------------|
| 1           | 理事長<br>Chairman            | 賀鳴珩<br>Ted M. H. Ho     | 元大期貨股份有限公司/元大證券股份有限公司<br>Yuanta Futures Co., Ltd./Yuanta Securities Co., Ltd | 董事/董事長<br>Board Member / Chairman |
| 2           | 常務理事<br>Ececutive Director | 呂常愷<br>Charles Lui      | 澳帝華期貨股份有限公司<br>Optiver Futures Co., Ltd.                                     | 董事長<br>Chairman                   |
| 3           | 常務理事<br>Ececutive Director | 李姿綺<br>Tzu-Chi Lee      | 國票期貨股份有限公司<br>Waterland Futures Co., Ltd                                     | 總經理<br>President                  |
| 4           | 常務理事<br>Ececutive Director | 孫天山<br>Tim Sun          | 群益期貨股份有限公司<br>Capital Futures Corp.  | 董事長<br>Chairman                   |
| 5           | 常務理事<br>Ececutive Director | 張雅斐<br>Phoebe Chang     | 富邦期貨股份有限公司<br>Fubon Futures Co., Ltd   | 總經理<br>President                  |
| 6           | 常務理事<br>Ececutive Director | 莊輝耀<br>Huei-Yao Chuang  | 大昌證券股份有限公司<br>Dah Chang Securities Co., Ltd.                                 | 董事長<br>Chairman                   |
| 7           | 常務理事<br>Ececutive Director | 陳立<br>Li Chen           | 元富證券股份有限公司<br>Master Link Securities Corp.                                   | 執行副總<br>Executive Vice President  |
| 8           | 常務理事<br>Ececutive Director | 黃奕銘<br>Yiming Huang     | 統一期貨股份有限公司<br>President Futures Corp.  | 總經理<br>President                  |
| 9           | 常務理事<br>Ececutive Director | 葉黃杞<br>Huang-chi Yeh    | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd.  | 董事長<br>Chairman                   |
| 10          | 常務理事<br>Ececutive Director | 鄭大宇<br>David Cheng      | 康和期貨股份有限公司<br>Concord Futures Corp.  | 副董事長<br>Vice Chairman             |
| 11          | 常務理事<br>Ececutive Director | 糜以雍<br>Falco Mi         | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd   | 董事長<br>Chairman                   |
| 12          | 理事<br>Director             | 王鎔娟<br>Winnei Wang      | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd   | 副總經理<br>Vice President            |
| 13          | 理事<br>Director             | 王登立<br>Tengli Wang      | 大眾綜合證券<br>Ta Chong Securities Co., Ltd                                       | 總經理<br>President                  |
| 14          | 理事<br>Director             | 余國樑<br>Kuoliang Yu      | 富邦綜合證券股份有限公司<br>Fubon Securities Co., Ltd.                                   | 資深副總經理<br>Senior Vice President   |
| 15          | 理事<br>Director             | 吳皇旗<br>Huang-chi Wu     | 統一期貨股份有限公司<br>President Futures Corp.  | 副總經理<br>Vice President            |
| 16          | 理事<br>Director             | 林家進<br>Chia-ching Lin   | 國泰期貨股份有限公司<br>Cathay Financial Holding Co., Ltd.                             | 總經理<br>President                  |
| 17          | 理事<br>Director             | 洪三雄<br>Steven Hung      | 國票綜合證券股份有限公司<br>Waterland Securities Co., Ltd.                               | 董事長<br>Chairman                   |
| 18          | 理事<br>Director             | 張禮賢<br>Li-hsien Chang   | 大昌期貨股份有限公司<br>Dah Chang Futures Co., Ltd.                                    | 總經理<br>President                  |
| 19          | 理事<br>Director             | 張鶴霖<br>Ho-lin Chang     | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd   | 副總經理<br>Vice President            |
| 20          | 理事<br>Director             | 莊智宏<br>Chih-hung Chuang | 大昌期貨股份有限公司<br>Dah Chang Futures Co., Ltd.                                    | 董事長<br>Chairman                   |
| 21          | 理事<br>Director             | 許文義<br>Wen-yi Hsu       | 中國信託綜合證券股份有限公司<br>Chinatrust Securities Co., Ltd.                            | 副總經理<br>Vice President            |
| 22          | 理事<br>Director             | 陳品呈<br>Ping-cheng Chen  | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd   | 副董事長<br>Vice Chairman             |
| 23          | 理事<br>Director             | 陳瑞珏<br>Jui-chueh Chen   | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd   | 總經理<br>President                  |
| 24          | 理事<br>Director             | 曾幼敏<br>Yu-ming Tseng    | 美商愛德盟期貨經紀股份有限公司台灣分公司<br>ADM Investor Services, Inc. Taiwan Branch            | 經理<br>Manager                     |

| 序號<br>(No.) | 公會職稱<br>(Title) | 姓名<br>(Name)           | 會員名稱<br>(Company)  | 職稱<br>(Position)       |
|-------------|-----------------|------------------------|--|------------------------|
| 25          | 理事<br>Director  | 黃正雄<br>Thomas Huang    | 元富期貨股份有限公司<br>MasterLink Securities Corp                       | 總經理<br>President       |
| 26          | 理事<br>Director  | 黃俊仁<br>Jun-jen Huang   | 統一綜合證券股份有限公司<br>President Securities Corp.                     | 副總經理<br>Vice President |
| 27          | 理事<br>Director  | 楊俊宏<br>June-hong Yang  | 富邦期貨股份有限公司<br>Fubon Futures Co., Ltd                           | 董事長<br>Chairman        |
| 28          | 理事<br>Director  | 楊新德<br>Hsin-te Yang    | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd                           | 總經理<br>President       |
| 29          | 理事<br>Director  | 賈中道<br>Alex Chia       | 群益期貨股份有限公司<br>Capital Futures Corp.                            | 副董事長<br>Vice Chairman  |
| 30          | 理事<br>Director  | 滕青華<br>Ching-hua Teng  | 兆豐期貨股份有限公司<br>Mega Futures Co., Ltd.                           | 總經理<br>President       |
| 31          | 理事<br>Director  | 蔡鎮村<br>Chen -tsun Tsai | 國票期貨股份有限公司<br>Waterland Futures Co., Ltd                       | 副總經理<br>Vice President |
| 32          | 理事<br>Director  | 鄭質榮<br>Vincent Cheng   | 新加坡商大華期貨有限公司台灣分公司<br>UOB Bullion & Futures Ltd., Taiwan Branch | 總經理<br>President       |

註：以上資料以104.12.31日為準，依姓氏筆劃排序。

### 監事名錄

| 序號<br>(No.) | 公會職稱<br>(Title)               | 姓名<br>(Name)             | 會員名稱<br>(Company)  | 職稱<br>(Position)       |
|-------------|-------------------------------|--------------------------|--|------------------------|
| 1           | 監事會召集人<br>Supervisor Convener | 許人祥<br>Mark Hsu          | 華南永昌綜合證券股份有限公司<br>HuaNan Securities Co., Ltd.                  | 副總經理<br>Vice President |
| 2           | 常務監事<br>Executive Supervisor  | 陳忠憲<br>Stephen Chen      | 兆豐期貨股份有限公司<br>Mega Futures Co., Ltd.                           | 顧問<br>Advisor          |
| 3           | 常務監事<br>Executive Supervisor  | 詹正恩<br>Cheng-en Chan     | 光和證券股份有限公司<br>Kuanzho Securities Corp.                         | 董事長<br>Chairman        |
| 4           | 監事<br>Supervisor              | 吳金榮<br>Chin-Jung Wu      | 宏遠證券股份有限公司<br>Horizon Securities Co., Ltd.                     | 總經理<br>President       |
| 5           | 監事<br>Supervisor              | 周筱玲<br>Hsiao-ling Chou   | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd                          | 總經理<br>President       |
| 6           | 監事<br>Supervisor              | 高山燕<br>Clara Shan Yin KO | 香港商法國興業證券股份有限公司台北分公司<br>SG Securities (HK) Ltd., Taipei Branch | 總經理<br>President       |
| 7           | 監事<br>Supervisor              | 許瑞立<br>Jurry Hsu         | 安泰證券股份有限公司<br>Antay Securities Co., Ltd.                       | 總經理<br>President       |
| 8           | 監事<br>Supervisor              | 陳明智<br>Min-jyh Chen      | 啟發證券投資顧問股份有限公司<br>Chi Far Securities Investment Consultant     | 董事長<br>Chairman        |
| 9           | 監事<br>Supervisor              | 楊金源<br>Chin-yuan Yang    | 兆豐期貨股份有限公司<br>Mega Futures Co., Ltd.                           | 董事<br>Board Member     |
| 10          | 監事<br>Supervisor              | 謝明智<br>Ming-chih Hsieh   | 台新綜合證券股份有限公司<br>Taishin Securities Co., Ltd                    | 董事<br>Board Member     |

註：以上資料以104.12.31日為準，依姓氏筆劃排序。

### 一、關於期貨交易法令協助推行

#### A. Implementation of futures-related regulations

##### 增修定範本、規則、申報書表

##### Formulating contract templates, guidelines, and report formats

##### 修定事項 Amendments to futures-related laws and regulations

1. 因應期交所規劃陸股ETF期貨延長交易時間及人民幣匯率期貨商品上市，調整「期貨商交易及風險控管機制專案」之相關作業。

In reaction to TAIFEX's planning for extending the trading hours for ETF of China stocks and launching RMB FX futures, the CNFA adjusted the "Project of Futures Company's Trading and Risk Control Mechanism" as well as related operations.

2. 修正「期貨商開戶徵信作業管理自律規則」

Revising the "Self-discipline Rules for Futures Company in Checking Credibility of New Trading Account Applicant"

- (1) 針對已申請放寬「加收保證金指標」的交易人，至少每六個月對該交易人進行重新評估之規定，修正為每年對該交易人進行重新評估。

The assessment interval for those traders who have applied for easing the "index for raising trading margin" is proposed to be extended to one year from the current six months.

- (2) 增訂交易人申請放寬加收保證金指標時，期貨商得免查詢票據退票資料之對象規定。

Adding the paragraph that the futures company no longer needs to inquire for the holders of bounced checks, if any, of the trader who applies for easing the "index for raising trading margin."

3. 修正「會員暨期貨信託基金銷售機構從事廣告業務招攬及營業促銷活動管理辦法」以下事項：

Revising the following paragraphs of the "Act of Governing Advertisement or Other Sales Promotion Activities of CNFA Members and Futures Trust Fund Sales Outlets":

- (1) 因應槓桿交易商業開放，將槓桿交易商廣告活動之管理規範納入本辦法；

In reaction to the opening of leverage trading, any advertisement or sales promotion activity of those companies engaged on leverage trading business should be subject to this Act.

- (2) 期信基金廣告活動規範改採事後審核制度，修正之；

It's revised that inspection of the advertisement campaign for futures trust fund would be conducted afterwards.

- (3) 配合金管會修正期貨商管理辦法第六條有關手續費不當競爭事宜，修正之。

The CNFA supported the FSC's amendment to Article 6 of Regulations Governing Futures Commission Merchants, regarding the improper market competition of service fees.

4. 訂定「會員訂定業務人員酬金制度應遵行原則」，另配合修正：

Formulating the "Guidelines for Salesperson Rewarding System of CNFA Member Companies" and made revisions to the following rules:

- (1) 「期貨經理事業內部控制制度範本」、「內部稽核實施細則範本」及「查核明細表範本」；

"Template for Internal Control System of Managed Futures Enterprises," "Template of Execution Measures for Internal Auditing," and "Template for Detailed Inspection List."

- (2) 「期貨顧問事業內部控制制度範本」、「內部稽核實施細則範本」及「查核明細表範本」。

"Template for Internal Control System of Futures Advisory Enterprises," "Template for Execution Measures for Internal Auditing," and "Template for Detailed Inspection List."

5. 配合櫃買中心修正「槓桿交易商經營槓桿保證金契約交易業務規則」、「槓桿交易商辦理槓桿保證金契約交易業務應注意事項」及「銀行業辦理外匯業務管理辦法」，修正「槓桿保證金契約交易風險預告書」及「期貨業業務員登錄作業須知」、「會員負責人及業務員登記事項作業要點」等相關規範。

## Association Affairs

In reaction to Taipei Exchange's amendments to the "Business Rules for Leverage Transaction Merchants in Trading Leverage Margin Trading Contracts," "Guidelines for Leverage Transaction Merchants in Doing Trading of Leverage Margin Trading Contracts," and "Regulations Governing Foreign Exchange Business of Banks," the CNFA made revisions to related rules such as the "Risk Warning Note for Transaction of Leverage Margin Trading Contracts," "Guidelines for Enrollment of Futures Brokers," and "Guidelines for Enrollment of CNFA Member Company's Responsible Person and Futures Brokers."

6. 放寬特定客戶為期貨商及期貨交易輔助人接受授權委託之對象，修正「期貨商、期貨交易輔助人接受特定客戶授權委託自律規則」名稱與條文。

Relaxing the requirements for particular traders to become the clients of discretionary account trading services of Specialized Futures Brokerage Companies or Futures Introducing Brokers (IB), and revised the titles and related articles of the "Self-discipline Rules for Specialized Futures Companies or Futures Introducing Brokers (IB) in Operating Discretionary Account Trading Business."

7. 因應「期貨業打造數位化金融環境3.0」放寬相關線上申請作業，修訂：

In reaction to the relaxed requirements for making online application under the policy for "Creating Digital Financial Environment 3.0 for Futures Industry," the CNFA made revisions to the following rules:

- (1) 「期貨商接受特定客戶授權委託自律規則」、「期貨商受託國內當日沖銷交易自律規則」；

The "Self-discipline Rules for Futures Companies to Be Authorized by Particular Clients" and the "Self-discipline Rules for Futures Companies Authorized for Making Day Trading of Domestic Futures on Behalf of Clients."

- (2) 「期貨信託基金電子交易作業準則」、「期貨信託事業內部控制制度範本」；

The "Guidelines for Electronic Transaction of Futures Trust Fund" and the "Template for Internal Control System of Futures Trust Enterprises."

- (3) 「期貨顧問事業內部控制制度範本」、「內部稽核實施細則範本」及「查核明細表範本」。

The "Template for Internal Control System of Futures Advisory Enterprises," the "Template for Execution Measures of Internal Auditing," and the "Template for Detailed Inspection List."

8. 為強化我國防制洗錢與打擊資助恐怖主義機制，

In order to strengthen the national mechanism for preventing money laundry and funding for terrorism, the CNFA made following amendments:

- (1) 修正「期貨商防制洗錢及打擊資助恐怖主義注意事項」範本及訂定「期貨商評估洗錢及資助恐怖主義風險及訂定相關防制計畫指引」。

Revising the template for "Money Laundry Control and Anti-terrorism Guidelines for Futures Companies" and formulating the "Directions for Futures Companies in Assessing Risks Involved with Money Laundry or Funding for Terrorist Attack and Taking Control Measures."

- (2) 修正「期貨信託事業暨期貨經理事業防制洗錢及打擊資助恐怖主義注意事項範本」及訂定「期貨信託事業暨期貨經理事業評估洗錢及資助恐怖主義風險及訂定相關防制計畫指引」。

Revising the "Template for Money Laundry Control and Anti-terrorism Guidelines for Futures Trust Enterprises and Managed Futures Enterprises" and formulating the "Directions for Futures Trust Enterprises and Managed Futures Enterprises in Assessing Risks Involved with Money Laundry or Funding for Terrorist Attack and Taking Control Measures."

- (3) 同時配合前項修正事項，修正「期貨信託事業內控制度標準規範」。

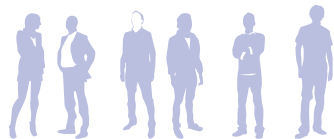
Revising the "Guidelines for Internal Control of Futures Trust Enterprises" in line with the amendments above.

9. 配合主管機關增列期貨事業遴選之受委任機構應符合資格條件，修正「期貨信託事業內控制度標準規範」及「期貨信託事業全權委託其他專業機構運用期貨信託基金契約範本」。

In reaction to the government-added requirements for Futures Trust Enterprises in selecting their authorized institutions, the CNFA made revisions to the "Guidelines for Internal Control of Futures Trust Enterprises" and the "Template for Contract of Authorization by Futures Trust Enterprise to Professional Institution for Discretionary Account Management for Futures Trust Fund."

10. 修正期貨經理事業「內部控制制度標準規範範本」、「內部稽核實施細則範本」及「查核明細表範本」，有關對主管機關出具之檢查報告內容，受檢機構得提供參閱之對象、範圍及方式。

Revising the "Template for Guidelines and Criteria of Internal Control System," the "Template for Execution Measures of Internal Auditing," and the "Template for Detailed Inspection List," regarding the targets, scope and types of the Government-issued inspection report for reference to interested persons.



## 研議、建議事項 Proposals

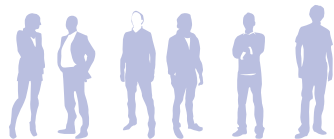
### 擴大業務範圍事項 Business expansion issues

1. 建請主管機關同意開放期貨商得以簽署契約方式提供其海外與大陸地區之業者業務往來合作服務。  
 Recommending the regulatory agency to allow futures companies to sign contract with clients for providing the services through cooperation or interaction with their counterparts on the mainland
2. 建請主管機關開放期貨商為「國際金融業務條例」第4條及第22條之4所稱「其他金融機構」核釋範圍。  
 Recommending the regulatory agency to include futures companies into the “other financial institutions” defined in Articles 4 and 22-4 of the “Offshore Banking Act.”
3. 建請主管機關同意開放兼營證券業務之期貨商得與同一集團之證券商簽署合作契約，接受證券商所屬客戶外國有價證券人工下單以及協助客戶處理外國有價證券網路下單問題。  
 Recommending the regulatory agency to allow the futures companies engaged on securities trading business to sign cooperation contract with affiliated securities firm so that the former will be able to serve the clients of the latter for placing orders manually for trading foreign securities or help them solve problems involved with trading foreign securities on the Internet.
4. 建請主管機關放寬專業客戶為期貨商接受授權委託之對象乙案，針對期貨商得接受符合一定條件之自然人之授權委託乙節，增訂期貨商內部管控措施標準等事項；另外並建請開放期貨交易輔助人比照期貨商，得接受專業投資機構、具備一定資格條件之自然人及法人授權委託交易。  
 Recommending the regulatory agency to relax the criteria for professional traders to authorize futures companies for discretionary account trading service and, regarding individual traders to authorize futures companies for the same service, adding requirements to the guidelines for internal control of futures companies; also recommending the regulatory agency to allow Futures BI to be authorized by professional traders and qualified individual or institutional traders for the same service as futures companies.
5. 建請主管機關放寬兼營期貨自營商之自有資金運用範圍，於國內上市(櫃)有價證券增列公司債及可轉換公司債，與另增列海外之股票、ETF、可轉換公司債。  
 Recommending the regulatory agency to expand the investments targets for Concurrent Futures Brokerage Companies to make investment on with their own fund to include domestic corporate bond, convertible corporate bond, as well as foreign stocks, ETF, and convertible corporate bond.
6. 建請主管機關開放期貨商受託買賣業務員得協助槓桿交易商辦理槓桿保證金契約銷售案。  
 Recommending the regulatory agency to allow the futures brokers of futures companies to help leverage trading companies arrange sales projects for leverage margin trading contracts.
7. 建請主管機關開放期貨商得委託已具備國外交易所會員資格之其他本國期貨商從事國外期貨交易。  
 Recommending the regulatory agency to allow futures companies to commission its counterparts which have gained membership of foreign exchanges to make foreign futures trading on behalf of them.
8. 「局長有約」建請主管機關開放投資型保險契約得投資期貨信託基金、優先開放大陸自然人及機構法人得透過國內期貨商進行國內外期貨交易，同時建議期交所積極爭取大陸指數授權交易並研議兩岸期貨合約互相掛牌事宜。  
 At the meeting of “Appointment with Bureau Director,” the CNFA recommended the government to allow investment-type insurance contracts to make investment on futures trust fund, and take it as priority to allow mainlander individual and institutional investors to open accounts at Taiwan’s futures companies for making futures trading in Taiwan, and it also recommended the Taiwan Futures Exchange (TAIFEX) to be active in acquiring authorization for launching China’s market index futures in Taiwan as well as make research on the feasibility for mutual listing of futures contracts with its mainland counterparts.
9. 建請主管機關放寬保險業從事避險目的或增加投資效益目的之衍生性金融商品交易限額。  
 Recommending the regulatory agency to allow insurance companies to make risk-hedging trading and increase their quota for trading financial derivatives on profit-making purpose.

10. 研議期貨信託事業符合投資抵減辦法之金融商品創新研究發展活動態樣及相關研發支出態樣具體認定標準。  
Proposing the criteria for defining the types and expenses of the financial innovation development activities held by Futures Trust Enterprises to apply for tax deduction.
11. 研議保險業全權委託期經業者操作國外衍生性金融商品交易標的不以金融類為限。  
Making research on the feasibility for adding non-financial products to the trading targets of the discretionary trading accounts managed by the Managed Futures Enterprises authorized by their clients of insurance companies.
12. 研議開放銀行、證券商等金融業資金可委託期經事業（含關係企業）代操。  
Making research on the feasibility for banks, securities firms, and other financial institutions to open discretionary trading accounts at Managed Futures Enterprises (or their affiliates).
13. 研議開放期貨經理事業得以不同進不同出之方式接受委任人共同委託。  
Making research on the feasibility for Managed Futures Enterprises to sign collective trust contract with clients, allowing different cosigners to join or withdraw separately.
14. 研議建置期貨經理事業操作績效陽光揭露平台。  
Proposing a platform for Managed Futures Enterprises to disclose their trading performance.
15. 研議放寬期貨經理事業接受委任人全權委託期貨交易，得於期貨交易輔人開立帳戶及從事交易或投資乙案。  
Making research on the feasibility for Managed Futures Enterprises to open account at Futures IB for making futures trading or securities investment through the discretionary trading accounts authorized by their clients.
16. 研議放寬證券經紀商兼營期貨顧問事業，業務範圍以辦理證券相關期貨顧問業務、期貨信託基金、期貨相關現貨商品及依期貨交易法第五條規定公告期貨商得受託從事之期貨交易契約提供分析建議乙案。  
Making research on the feasibility for expanding the business scope of the futures advisory business of securities firms to cover the businesses of making analysis and providing recommendations about trading of securities, futures, futures trust funds, and other futures-related products as futures companies do in accordance with Article 5 of Futures Trading Act.

### 簡化作業程序事項 Procedure-simplifying issues

1. 建請主管機關同意修正「期貨商接受期貨交易人委託辦理國外期貨當日沖銷交易應行注意事項」有關審核程序之規定。  
Recommending the regulatory agency to revise the “Guidelines for Futures Companies to Be Authorized by Clients for Making Day Trading of Foreign Futures,” regarding the regulations of assessment procedures.
2. 建議期交所開放同一期貨商或同一期貨交易輔助人之總分公司間得代為辦理開戶作業或於營業場所外辦理開戶前置作業。  
Recommending the TAIFEX to allow the Specialized Futures Brokerage Companies or Futures IB of the same group to work together in handling account opening issues at their companies or proceed preparatory work outside the office.
3. 建議期交所修訂法人開立期貨帳戶時，所需檢附之相關文件。  
Recommending the TAIFEX to revise the requirements of the documents for institutional investors to open futures trading account.
4. 建議期交所開放交易人「新增或變更約定轉帳存入保證金之委託人本人存款帳戶」得以線上或書面方式辦理。  
Recommending the TAIFEX to allow futures traders to “apply for or change designated margin deposit account of themselves” through the Internet or written documents.
5. 建議期交所放寬期貨商註銷帳戶作業程序。  
Recommending the TAIFEX to relax the procedures for futures companies to nullify futures trading account.



## 放寬自有資金運用限制 Relaxing restrictions on paid-in fund management

1. 建請主管機關開放證券商兼營期貨自營業務者，因從事股票期貨或股票選擇權造市衍生避險需求，於證券承銷部門參與承銷有價證券之承銷期間，得買賣已持有之該種有價證券。  
Recommending the regulatory agency to allow the securities firm with concurrent futures brokerage division, for hedging the risks involved with its holding of stock futures or stock options which it previously purchased as a market maker, to buy or sell such securities during the period of the underwriting project which its underwriting division participates as a co-underwriter.
2. 建請主管機關放寬股票選擇權或股票期貨造市者可依實際避險需求自定彈性之現貨避險規範。  
Recommending the regulatory agency to allow a market maker of stock futures or stock options to trade the underlying stocks for risk hedging with the flexibility of their own.
3. 建請主管機關開放期信基金得購買自家期信事業所發行之期信基金或證投信基金。  
Recommending the regulatory agency to allow Futures Trust Enterprise to purchase its own futures trust fund or the securities investment fund issued by securities investment trust fund companies.

## 因應期貨業打造數位化金融環境 3.0 Creating digital financial environment 3.0 for futures industry

1. 建請主管機關同意期貨商及期貨交易輔助人（IB）得以受理非當面開戶作業。  
Recommending the regulatory agency to allow futures brokerage companies and futures IB to take account opening application which is not filed by the applicant in person.
2. 建請主管機關對交易人採行整戶風險保證金計收方式（SPAN）前，得以電子方式簽署約定書。  
Recommending the regulatory agency to require futures trader to sign electronic transaction contract first before using the SPAN (standardized portfolio analysis of risk) margin collecting system.
3. 建請主管機關辦理期貨交易人有價證券抵繳保證金作業，得以電子方式簽署約定書及同意書。  
Recommending the regulatory agency to allow futures traders to sign electronic version of the contract or the letter of agreement on the Internet for using the securities they held as deposit for making futures trading.
4. 建請主管機關接受交易人委託從事國內及國外當日沖銷交易前，得以線上方式辦理風險告知、以電子方式簽署當日沖銷風險預告書。  
Recommending the regulatory agency to allow futures traders with attempt to authorize futures companies for making day trading of domestic or foreign futures to read and sign the risk warning note through the Internet.
5. 建請主管機關放寬兼營期貨顧問事業既有客戶得使用憑證機構所簽發之電子簽章簽署電子文件方式辦理期貨顧問委任契約乙案。  
Recommending the regulatory agency to allow the clients of the futures advisory affiliate of specialized futures brokerage company to sign futures advisory contracts with their electronic signatures verified by certificate verification institution.
6. 建請主管機關放寬期信事業及期信基金銷售機構既有客戶得使用憑證機構所簽發之電子簽章簽署電子文件方式辦理開戶及簽署風險預告書。  
Recommending the regulatory agency to allow existing customers of managed futures enterprises or futures trust fund sales outlets to use their electronic signatures issued by certification institution to sign on the electronic trading account application documents and risk-warning letter.
7. 建議期交所開放交易人得以電子簽章簽署電子文件方式簽署「使用電話語音系統（以網際網路方式）提領保證金申請書」。  
Recommending the TAIFEX to allow futures traders to sign the electronic version of the “Application Form for Withdrawing Deposit through Telephone Vocal System or Internet” with their electronic signatures verified by certificate verification institution.
8. 建議期交所交易人得使用憑證機構所簽發之電子簽章簽署電子文件方式申請使用應用程式介面（API）服務。  
Recommending the TAIFEX to allow futures traders to apply for API services with their electronic signatures verified by certificate verification institution.
9. 建議期交所簡化「期貨商補發電子交易網站密碼作業規範」中，有關申請補發密碼之作業程序。  
Recommending the TAIFEX to simplify the password re-application procedures stated in the “Guidelines for Password Re-issue by Futures Company.”
10. 建議期交所境外華僑及外國人與大陸地區投資人開立期貨交易帳戶得以電子化方式辦理。  
Recommending the TAIFEX to allow overseas Chinese, foreigners, and mainlanders to open futures trading account at Taiwan futures companies through Internet.
11. 建議期交所放寬期貨商以電子郵件方式寄送買賣報告書或對帳單，均得以電子文件方式取得期貨交易人同意書。  
Recommending the TAIFEX to allow futures companies to send transaction note or bank statement by email, with electronic Letter of Consent signed by clients online.
12. 建議期交所修正「期貨商辦理以電子方式填具買賣委託書作業要點」。  
Recommending the TAIFEX to make amendments to the “Guidelines for Futures Company in Handling Online Application for Futures Trading Brokerage.”

## 其他建議事項 Other recommendations

1. 建請主管機關修正「期貨商內部人員開戶從事期貨交易應注意事項」。  
Recommending the regulatory agency to amend the “Guidelines for Employees of Futures Company to Open Futures Trading Account at Their Company.”
2. 建請主管機關同意放寬期貨商與期貨交易輔助人之負責人、受雇人之配偶得為受任人。  
Recommending the regulatory agency to allow the responsible person and employees of a Specialized Futures Brokerage Company or a Future IB, or their spouses to act as trustees.
3. 建請主管機關修正「期貨商接受期貨交易人委託辦理國外期貨當日沖銷交易應行注意事項」。  
Recommending the regulatory agency to amend the “Guidelines for Futures Brokerage Companies to be Authorized for Making Day Trading of Foreign Futures.”
4. 建請主管機關修正「期貨信託事業全權委託其他專業機構運用期貨信託基金應注意事項」第8點「期貨信託事業遴選之受委任機構應符合下列資格條件」之第2款規定。  
Recommending the regulatory agency to amend the second paragraph of Article 8 “Qualifications of Institutions to Be Selected by Futures Trust Enterprises as Their Trustees” of the “Guidelines for Futures Trust Enterprises to Authorize Other Professional Institution for Discretionary Account Management for Futures Trust Fund.”
5. 建請主管機關修正「金管證七字第0970026507號令」，有關期貨信託基金計收績效報酬之規定。  
Recommending the regulatory agency to revise “FSCSFB7 Order No. 0970026507,” regarding the regulations on performance rewarding system for futures trust fund management.
6. 建請主管機關放寬傘型期貨信託基金可發行子基金之檔數上限、辦理追加募集申請日前五個營業日平均已發行單位數占原申請核准發行單位數之比率95%放寬為80%。  
Recommending the regulatory agency to relax the limit on the number of sub-funds launched under an umbrella-type futures trust fund and, regarding the fund expansion application, raise the percentage of issued units to applied new units from original 95% to 80% during the five business days prior to the application.
7. 建請主管機關放寬期貨信託事業人員及其關係人等，基於現金增資發行新股、員工福利儲蓄信託及員工持股信託取得股份，得不受期貨信託事業管理規則第55條第1項規定限制。  
Recommending the regulatory agency to relax the regulations governing staff workers of Managed Futures Enterprises and their related persons, allowing them to be exempted from the restrictions stated in Paragraph 1, Article 55 of Regulations Governing Futures Trust Enterprises when their company issues new shares for capital increase, arranges savings trust to benefit employees, or allow employees to acquire company’s shares through trust of employee’s share holdings.
8. 建議期交所新增偏離理論價格（不合理價格）取消交易制度，以及檢討市價做為風險指標之合理性。  
Recommending the TAIEX to establish the mechanism to cancel transaction at an abnormal price, which is deviated from the normal range of market prices, and examine the rationality for referring market prices while setting risk index.
9. 建議期交所將結算會員辦理結算保證金提領入帳之結算銀行由現行僅得指定1家增加為2家，及將結算保證金存提作業及繳存交割結算基金採電腦作業方式傳輸辦理。  
Recommending the TAIEX to allow its settlement members each to designate one more bank for trading margin settlement (currently each settlement member has only one designated settlement bank), and handle deposit saving or withdrawal and payment of settlement fund through computerized network
10. 建議期交所調整期貨商內部控制制度關於開戶與帳戶管理作業程序中，有關「空白開戶文件」管理之相關作業。  
Recommending the TAIEX to adjust the internal control system of futures company, regarding the management of blank forms for account application during the procedures of creating new trading account and management of existing accounts.
11. 建議期交所增訂「國外合法註冊證券商或期貨商轉介紹客戶從事國內外期貨交易，期貨商得以應收手續費之一部或全部支付介紹人作為報酬」。  
Recommending the TAIEX to add the rule “Domestic futures company should pay a portion or all the service fee as reward to the foreign introducer, a foreign-registered legitimate securities firm or futures company, which introduces clients to trade futures in Taiwan.”
12. 為使期貨商因受託從事期貨交易發生錯誤，於申報作業時能充分反映錯誤發生之原因，俾利期貨商管理，建議期交所修訂相關辦法及作業程序。  
Recommending the TAIEX to revise the regulations and related procedures to ensure that futures companies, once encountering any mistake in the trading through their brokerage, would fully disclose the reasons leading to the mistake.
13. 建議期交所修正有關期貨商執行代為沖銷時，對期貨交易人採行「期貨商禁止期貨交易人自行平倉」特殊措施之相關作業處理程序。  
Recommending the TAIEX to revise the regulations and related procedures to ensure that the futures company, while offsetting the positions of futures traders, should strictly prohibit clients from liquidating their positions by themselves.
14. 配合專營期貨自營商得申請兼任槓桿交易商並申辦各項槓桿保證金契約交易業務，就「風險預告書(範本)」與櫃買中心進行研議。  
Working with the Taipei Exchange in making the “Template for Note of Risk Warning” for Proprietary futures commission merchant which applies for acting as a leverage trader and applies for conducting various leverage margin contract trading.



## 二、業務發展事項

### B. Association activities

#### 市場推廣活動 Market promotion activities

##### 「2015年期貨業校園徵才」巡迴講座 (3/07-5/28)

本講座全省共30所大專院校、計2,033位同學參與，對即將步入社會的應屆畢業生，以及對期貨業有興趣的學子，提供最好的業種介紹及最佳的解惑管道，也為職場生涯構築藍圖，邁向成功。

##### Talents-Hunting on Campus" lecture series for 2015 (March 7-May 28)

In 2015, campus lectures were arranged for 30 universities throughout Taiwan, participated by 2,033 students in total. It provided the best opportunities for the students about to be graduated and those with interest in the futures industry to understand and find answers to their questions about this industry, helping students map out blueprints for building successful careers in the futures industry.

##### 「樂學期貨」校園理財巡迴講座 (4/09、4/13、5/11)

為推廣期貨市場，本公會與期交所共同委託工商時報辦理校園巡迴理財講座，北中南共辦理3場，期盼使同學能更加了解期貨理財工具與培養多元理財知識，及早做好財務規劃與管理。

##### "Happy Futures" roadshow lectures on campus (April 9, 13, May 11)

In order to promote futures trading, the CNFA and the TAIFEX jointly commissioned the Commercial Times to arrange the "Happy Futures" roadshow lectures on campus of universities, a total of three rounds in northern, central, and southern Taiwan, respectively, helping students further understand how to use futures for making assets management, acquire diverse knowledge about financial management, and take actions to make personal financial management plan in earlier life.

##### 期貨業務人才養成班聯合徵才活動 (9/22-10/12)

本公會於104年度舉辦第28期聯合徵才活動，「期貨業務人才養成班」係由臺灣期貨交易所贊助、證券暨期貨市場發展基金會執行培訓課程，再由本公會籌辦甄選作業。開辦至今已為業界媒合348位生力軍。

##### Joint talents recruitment activity for futures salesperson training courses (Sept. 22-Oct. 12)

The CNFA held the 28<sup>th</sup> joint talents recruitment activity in 2015, in conjunction with the "Futures Salesperson Training Course" sponsored by the Taiwan Futures Exchange (TAIFEX) and organized by the Securities & Futures Institute (SFI). The CNFA was responsible for screening the trainees for this Course which, since inception, has seen 348 trainees find competent jobs after they completed the Course.





### 「投資人理財講座」(8/02)

為推廣人民幣匯率期貨，活絡期貨市場，且使參與者能了解並善加運用期貨商品進行避險或策略交易，臺灣期貨交易所、期貨公會攜手合作，與工商時報異業合作共同辦理投資人理財講座，分享資產管理經驗。

### “Investment & Wealth Management Lecture” (Aug. 2)

In order to promote RMB FX futures, activate the futures market, as well as help traders well understand futures and make good use of it for risk-hedging or strategic trading, the CNFA and the Taiwan Futures Exchange (TAIFEX), with co-sponsor of the Commercial times, jointly held the “Investment & Wealth Management Lecture” to share experience in wealth management.



### 「法人機構」避險增益論壇(6/03、12/18)

期交所、期貨公會與經濟日報針對銀行保險業、投信業辦理二場避險增益論壇，藉由專家經驗，將本國期貨市場各項多元、創新之資產管理工具推薦給法人機構，期達提升國內資產管理市場規模，與推廣期貨市場之雙贏目的。

### Risk-hedging and profit-seeking forum for institutional investors (June 3, Dec. 18)

The CNFA and the TAIFEX, with co-sponsor of the Economic Daily News, held two rounds of risk-hedging and profit-seeking forum for insurance companies and securities investment trust enterprises (SITE), through experience sharing to introduce the diverse and innovative assets management vehicles on futures market to institutional investors as a means to reach the double goals of expanding domestic assets management market and promoting futures trading.

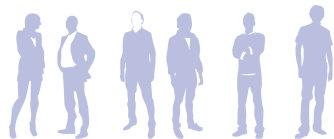


### 理財設攤活動(9/18、10/28、11/09、12/10)

為使業者與企業主管能夠直接面對面的接觸，今年度創新於法人機構理財講座內外場設置期貨商攤位，提供業者放置文宣並與來賓互動交流，說明期貨交易、開戶、風險管理等相關事宜。

### Assets management expo (Sept. 18, Oct. 28, Nov. 9, Dec. 10)

In order to arrange opportunities for futures workers to meet executives of institutional investors, the CNFA for the first time arranged the futures expo in conjunction with the Assets Management Lecture to distribute promotional DM and make interaction with visitors to introduce futures trading as well as related issues such as how to open futures trading account, hedge risks, etc.



設攤活動盛況

Glimpses at the financial management expo.





### 「證券商承作國外期貨」說明會 (4/14~5/21)

因應主管機關開放證券商可承作國外期貨業務，為使從業人員進一步瞭解國外期貨交易及作業內容，規劃7場說明會，講解國外期貨交易商品與簡介、如何推廣國外期貨業務增加收入、證券商國外交易之實務作業需求。

#### Presentation on foreign futures brokerage service by securities firms (April 14-May 21)

In reaction to the regulatory agency's policy to allow securities firms to do foreign futures business and in order to help securities workers to understand the operation of foreign futures market, the CNFA arranged seven presentations to introduce foreign futures, trading strategies, and principles for doing foreign futures business.



### 「中國大陸期貨市場發展對台灣可借鏡之處」專題演講 (7/08)

近年來中國大陸期貨市場發展快速，尤其以資產管理最為快速，本公會特邀康和期經總經理林彥全向本公會理監事及期貨業代表介紹「中國大陸期貨市場發展對台灣可借鏡之處」，做為發展臺灣期貨特色之參考。

#### Speech on "How to Take Development of China's Futures Market as Reference for Taiwan" (July 8)

China's futures market saw rapid growth in recent years, especially in the field of assets management. The CNFA thus invited Lin Yen-chuan, General Manager of Concord Managed Futures, to speak on "How to Take Development of China's Futures Market as Reference for Taiwan" at a meeting participated by the CNFA directors and supervisors as well as other representatives of futures industry. His speech made valuable reference for people to develop characteristics of Taiwan's futures market.



### 「與局長有約」座談會 (7/09)

為促進期貨業發展，本公會彙整會員公司對期貨市場發展及主管機關與期貨市場等法規鬆綁之意見，邀請業者共同參與「與局長有約」座談會，由主管機關與期交所逐項回應，讓業者更瞭解政策方向，對未來期貨業的發展亦有正面的幫助。

#### Meeting of "Appointment with Bureau Director" (July 9)

In order to promote development of Taiwan's futures market, the CNFA collected Member Companies' comments and suggestions regarding market liberalization and development and invited Member Companies to attend the meeting entitled "Appointment with Bureau Director" where government officials and TAIFEX executives made reply to their comments and suggestions one by one while clarifying the directions of government's policies. This activity would make very positive influence on the development of Taiwan's futures market in the future.



## 政令宣導 Policy Advocating

### 「個人資料保護-數位化經濟時代下新興科技的隱私安全」(8/26)

在科技進入雲端，智慧裝置取代PC後，數位化金融環境呈現不可擋之勢，而無所不在的數位科技也帶來資訊安全的挑戰，為協助會員防範新興科技所帶來的風險、降低其衝擊性，本公會特邀專家提出建議供會員參考。

#### “Personal information protection-how to protect personal privacy amid new technologies in digital economic era” (Aug. 26)

While technology has developed into the clouding age and smart mobile devices have replaced personal computers, the digital financial environment is an inevitable trend amid which new digital technologies, however, seriously threaten information safety. In order to help Member Companies prevent risks associated with the new technologies and reduce its impact, the CNFA invited experts to give suggestions as references for Member Companies.

### 「法令遵循主管座談會」(8/31)

配合主管機關強化證券期貨事業法令遵循主管之角色與功能，於104年8月31日由證交所邀集週邊單位共同規劃舉辦「法令遵循主管座談會」，藉以宣導法令遵循主管之角色與功能、落實金融消費者保護及金融消費爭議處理機制。

#### “Meeting with Executives of Law-compliance Issues” (Aug. 31)

To support the regulatory agency in reinforcing the government’s role to secure compliance of the laws and decrees governing securities and futures transaction, the TAIEX held the “Meeting with Executives of Law-compliance Issues” on Aug. 31, co-sponsored by the CNFA and other related institutions, to advocate the government’s policies and role in protecting financial consumers and securing functions of the mechanism for solving financial disputes.

### 「洗錢防制說明會」(9/15)

為利會員遵循防制洗錢注意事項範本之規範，本公會邀請調查局洗錢防制中心專家從案例分析講解，協助從業人員對洗錢資訊之蒐集、分析、處理與運用的了解，進而於交易之際發現重大犯罪與洗錢行為，以防杜重大犯罪情事。

#### “Money Laundry Control” seminar (Sept. 15)

In order to set money laundry prevention guidelines for futures workers, the CNFA invited experts from Money Laundry Control Center of Investigation Bureau to give examples and make analysis of such crimes, helping futures workers understand the whole procedures of money laundry from information collection, analysis, management, and application, and thus keep alert on money laundry and similar crime behaviors and take prevention actions.



### 「證券期貨業暨投信投顧業-評估洗錢及資助恐怖主義風險與訂定相關防制計畫」宣導會 (10/22)

為協助業者落實防制計畫，遵循注意事項範本及指引，證券公會、投信投顧公會與本公會共同辦理宣導會，邀請主管機關及業者從規範及實務二個面向介紹。

Advocating activity for “Money Laundry and Terrorism Funding Risk Assessment and Control Plan” for securities, futures, securities investment trust, and securities investment consulting firms (Oct. 22)

In order to help futures workers implement crime control plans in compliance with the CNFA-compiled guidelines and directions, the Taiwan Securities Association, Securities Investment Trust & Consulting Association of the ROC, and the CNFA jointly held this advocating activity, inviting government officials and industry representatives to talk about this issue from both aspects of rules and practice.

### 「金融消費者保護-從業務招攬、執行、操作面向談期貨交易者保護」(12/9)

為維護期貨交易市場穩定，邀請法務部調查局專家，自業務招攬、執行、操作面向以查緝案例經驗交流，期藉由相關案例，提醒從業人員不得為之行為，以達防範期貨交易犯罪、保護期貨交易者之功能。

“Protection of financial consumers—how to protect futures traders in aspects of business inducing, execution, and operation” (Dec. 9)

In order to protect market stability, the CNFA invited experts from Investigation Bureau under the Ministry of Justice (MOJ) to share their experience in cracking financial crimes, giving examples and analyzing illegal behaviors from business inducing, execution, to operation. They reminded futures workers of the behaviors which may break laws to help them behave properly and thus protect futures traders.

## 國際交流 International exchange

### 第40屆美國期貨業協會年會 (3/10-12)

美國期貨業協會(FIA)於2015年3月10日至12日假佛州 Boca Raton 舉行第40屆年會，共有30個國家1,100位期貨市場之高階主管與會。我國由金管會證期局王副局長詠心率領紐約辦事處陳主任銘賢、詹科長淑貞、臺灣期交所蔡副總蒔銓及期貨公會范副秘書長加麟等8人參加。

Boca 2015, annual meeting of the Futures Industry Association, FIA (March 10-12)

The American Futures Industry Association (FIA) held its 40<sup>th</sup> annual meeting in Boca Raton, Florida, on March 10-12, 2015, participated by 1,100 futures workers from around 30 countries. Taiwan's delegation, lead by Wang Yung-hsin, Deputy Director of Securities and Futures Bureau under Financial Supervisory Commission (FSC), was composed of eight members, including Chen Ming-hsien, director of ROC Representative Office in New York; Section Chief Chan Hsu-chen; Tsai Shih-chuan, Vice President of TAIFEX, and Fang Chia-lin, Deputy Secretary General of CNFA.





### 「2015台北國際期貨論壇」(9/30)

考量槓桿交易商新種業務之開放，且國際原油走勢為近期全球矚目的議題，因此本年度舉辦之台北國際期貨論壇議題以原油衍生性商品為主軸，探討「石油現貨及衍生品市場之現況與展望」。

#### Taipei International Futures Forum 2015 (Sept. 30)

In reaction to the opening of new leverage trading business and the hot topic of international crude oil price movement trend, the CNFA set crude oil derivatives as the focus of the Taipei International Futures Forum for 2015, themed “Outlook of Crude Oil Commodity and Derivatives Market.”



### 「LME實務課程」(4/10、11/12)

本公會為了服務實體經濟，協助LME及港務公司高雄分公司，針對期貨業、銀行、貿易商及五金製造商等，假高雄港區辦理LME交易暨倉儲訓練課程，就交易流程、交割作業及倉單質借實務等進行介紹。

#### Training course of “LME Practice” (April 10, Nov. 12)

In order to serve operators of physical commodities, the CNFA helped the London Metal Exchange (LME) and the Kaohsiung Office of Taiwan International Ports Corporation hold training workshops twice on LME and warehousing operations at Kaohsiung Harbor, introducing trading procedures, settlement, borrowing with pledged warehousing notes, etc., to participants including workers from futures companies, banks, trading companies, metal hardware manufacturers.



## 兩岸往來 Cross-straits Interaction

### 鄭州商品交易所來訪(1/26)

鄭州商品交易所於準備白糖期貨期權上市之際，由該交易所期貨衍生品部助理總監劉少華等6人，於2015年1月26日至30日來臺觀摩期權業務與經驗交流，並於26日至本公會參訪。

#### Visit of Zhengzhou Commodity Exchange (Jan. 26)

Prior to the launch of refined sugar futures and options on its exchange, the Zhengzhou Commodity Exchange organized a delegation, led by Liu Shiao-hua, assistant general manager of derivatives department, to visit Taiwan on Jan. 26-30, 2015, observing operations of Taiwan’s futures and options market and making exchange of viewpoints. They called at the CNFA on Jan. 26.





#### 證監會姜洋副主席交流會議（4/23）

4月22日證監會姜洋副主席至台北出席第五屆兩岸及香港《經濟日報》財經高峰論壇，本公會賀理事長於4月23日率同常務理監事與其會面，就有關兩岸期貨業務往來議題、大陸期貨各項業務種類混業或分業經營的原則...等相關議題進行深入之交流。

#### Exchange Meeting with Vice Chairman Jiang Yang of China Securities Regulatory Committee (April 23)

On April 22, Jiang Yang, Vice Chairman of China Securities Regulatory Committee, came to Taiwan to attend the 5th Financial and Economic Summit for Greater China Area, held by the Economic Daily News, where CNFA Chairman Ted Ho led the association's directors and supervisors to meet him, discussing how to promote interaction of futures business across the Taiwan Straits, as well as the rules for mixed or separate operations of various futures businesses in China.



#### 第九屆中國期貨分析師論壇暨首屆場外衍生品論壇（4/25-26）

本屆論壇以“新常態 新征程---護航中國經濟轉型升級”為主題，就投資顧問、場外衍生品、期權、互聯網金融與期貨衍生品、大宗商品與風險管理等領域以及推動跨界綜合經營等議題展開深入交流與研討。

#### "The 9th China Derivatives Analyst Forum" and the "First OTC Derivatives Forum 2015" (April 25-26)

The forum, themed "New Normal, A new journey through China's economic reforms," was held with broad and comprehensive discussion on investment consulting, OTC derivatives, cash flow on IoT, as well as futures and other financial derivatives, commodities, risk management, and related topics.



#### 理監事參訪上海能源交易所（5/27）

為輔導槓桿交易者推展業務，探尋大陸即將上市之原油期貨為可能之連結商品，本公會賀理事長率領理監事及會務主管參訪上海國際能源交易中心並參加「第十二屆上海衍生品市場論壇」。

#### Visit of executive directors and supervisors at The Shanghai International Energy Exchange Corporation (May 27)

In order to help leverage traders promote their business and seek opportunities for making China's soon-to-launch crude oil futures as linked commodities, CNFA Chairman Ted Ho lead CNFA directors, supervisors, and administrative officials to visit The Shanghai International Energy Exchange Corporation (INE) and attended the 12<sup>th</sup> Shanghai Derivatives Market Forum.



### 浙江寧波理工學院來訪 (7/17)

該校師生一行23人來訪，由本公會陳怡真組長負責接待，參訪中除介紹本公會之組織、職能外，並就台灣期貨市場做了精要之介紹，讓來訪師生對台灣期貨業能有基本之認識。

#### Visit of teaching faculty members and students from Ningbo Institute of Technology (July 17)

Ningbo Institute of Technology organized a delegation, composed of 23 teaching faculty members and students, to call at the CNFA, received by Section Chief Chen Yi-cheng who introduced the CNFA's structure, functions as well as Taiwan's futures market to these visitors, helping them acquire basic understanding of Taiwan's futures industry.



### 原油講習課程 (8/18)

本公會補助期貨商參加大陸原油講習課程，協助業者更瞭解原油期貨規則、交易及結算業務、風控業務、交割與倉單業務、技術及系統對接作業，期透過各期貨商代表的參與，將資訊帶回公司分享同仁。

#### Workshop on Crude Oil Futures (Aug. 18)

The CNFA offered subsidies to futures companies for attending the Workshop on Crude Oil Futures held on the mainland, helping futures workers understand the rules of crude oil commodities, trade, clearance, risk-control, settlement, position management, as well as related technology and systemic operations. Representatives of Taiwan futures companies to attend this workshop brought back information from this activity to share with their colleagues in Taiwan.



### 「兩岸期貨及金融衍生品法律問題研討會」暨「陝西省法學會金融法學研究會2015年年會」 (11/03)

為進一步深入研究兩岸期貨、期權以及金融衍生品之法學理論與法律實務問題，西北政法大學經濟法學院、陝西省法學會金融法學研究會特辦理此研討/研究會，本公會盧秘書長及詹副秘書長受邀出席此會議。

#### “Seminar on legal issues involved with futures and financial derivatives in Greater China Market” and the annual meeting of “Financial Law Research Association of Shangxi Law Society” for 2015 (Nov. 3)

In order to make deeper research on futures, options, and other financial derivatives issued in Taiwan or China from both aspects of theory and practice, the Northwest University of Political Science and Law and the Financial Law Research Association of Shangxi Law Society jointly held this conference/seminar where CNFA Secretary General Lu and Deputy Secretary General Chan were invited to attend.





### 理監事深圳國際期貨大會考察團 (12/04-06)

此會議為大陸期貨行業之年度盛會，每年皆吸引境內外同業、專家及政府高層參加，在中國期貨業協會盛情邀請下，本公會循往例由賀理事長率領理監事及會務主管共22人組團前往參加。

### CNFA delegation for joining "China (Shenzhen) International Derivatives Forum" (Dec. 4-6)

It's a great annual event of China's futures industry, attended by futures workers, experts, and government officials attended this annual meeting under invitation of the event organizer. The CNFA, as usual, organized a delegation to attend this meeting, led by Chairman Ted Ho and composed of 22 members including CNFA directors, supervisors, administrative executives.

## 社會關懷 Social care

### 「104年金融服務關懷社會」園遊會 (7/18、11/14)

為推動普及金融知識，喚起民眾對金融知識的重視及對社會弱勢族群的關懷，本公會除積極參加7/18及11/14金融總會統籌辦理之南投及台東兩場關懷社會園遊會，從事教育宣導工作，並捐贈款項予弱勢團體，幫助需要關懷人士。

### "Financial Service & Social Care 2015" courtyard party (July 18, Nov. 14)

In order to disseminate financial knowledge, arouse the public's attention to assets management and its care for disadvantaged groups, the CNFA supportively took part in the "Financial Service & Social Care 2015" courtyard party, which was held in two sessions in Nantou and Taitung on July 14 and Nov. 18, respectively, and disseminated related information and knowledge at the activity.



### 「金融服務業教育獎助學金計畫」(104學年)

本計畫是由金管會指導，金融總會統籌辦理，各金融周邊、同業公會、控股公司及外商銀行，共同捐助約新臺幣1億2仟萬元，其中1億元針對需要幫助之大專青年作為教育獎助學金，2仟萬元辦理金融教育課程。

### Educational Scholarship Program for Financial Service Industry (school year 2015)

This program, in accordance with the FSC's instruction, is executed by the Taiwan Financial Services Roundtable, with an initial fund of NT\$120 million, donated by financial satellite institutions, associations, holding companies, foreign banks, and so on. Of the NT\$120 million fund, NT\$100 million will be used as scholarship for collage students while the rest NT\$20 million will be used for hedging educational courses and activities.





## 聯誼活動 Friendship activities

### 1. 從業人員聯誼活動 (11/15)

為提倡健康自然之休閒活動並提供從業人員聯誼管道，本公會每年辦理從業人員登山健行聯誼活動，至今已辦理九屆，為增加證券、期貨二業交流，今年仍與證券投信投顧公會共同辦理，規劃親子同樂、老少咸宜的指南宮登山健行路線，並同時辦理「捐發票、送愛心」活動，希望能藉以達到另一層面的教育意義並實現社會責任，本次活動計超過1,000名從業人員及眷屬參與。

#### Friendship activities for futures workers (Nov. 15)

In order to provide healthy and natural leisure activities to futures workers, the CNFA arranged hiking activity every year, and it has been the ninth year in 2015. Meanwhile, in order to promote interaction between securities and futures workers, the CNFA invited the Securities Investment Trust and Consulting Association of the ROC (SITCA) to co-organize the hiking activity. In 2015, they selected the Chihnan Temple hiking track, a route suitable for both parents and children as well as people of all age brackets. An "Invoice donation for social care" activity was held at the same time, in a hope to have the leisure activity proceed with the functions of education and social responsibilities. The activity was participated by over 1,000 futures workers and their family members.



### 2. 委員會聯誼活動

104年度委員會聯誼活動規劃蝶飛草香飛牛牧場17公里煙波行兩日行程，共2梯次（6/6-7、6/13-14），期透過此聯誼活動，讓平日業務繁忙的業界同仁，得以互相交流並聯絡感情。

#### Friendship activity for committee members

The friendship activity for committee members for 2015 was the 17km journey to the Flying Cow Farmland for two days, two groups on June 6-7 and June 13-14, respectively, offering a good opportunities for futures workers to entirely relax themselves from busy working schedules and make closer interaction with each other during the two days of this journey.





### 3. 理監事聯誼活動

Friendship activity for CNFA directors and supervisors

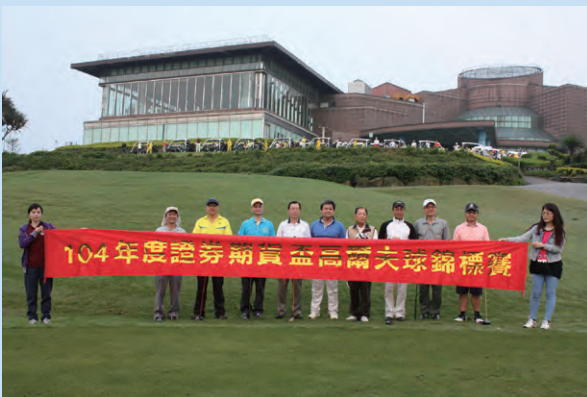
理監事聯誼-俄羅斯 (7/30-8/06)

Friendship activity for directors and supervisors—in Russian (July 30-Aug. 6)



理監事聯誼-紐西蘭 (10/26-11/02)

Friendship activity for directors and supervisors—in New Zealand (Oct. 26-Nov. 2)



### 4. 104年度證券期貨盃高爾夫球賽 (5/01)

104年證交所、期交所、集保、櫃買、證券公會、期貨公會及投信投顧公會7大單位共同舉辦證券期貨盃高爾夫球賽，以提倡正當休閒運動，並加強證券、期貨業之交流聯誼。

Securities & Futures Gold Championship for 2015 (May 1)

The Securities & Futures Gold Championship is co-organized by seven associations and institutes of the TSE, TAIFEX, TDCC, GreTai, TSA, CNFA, and SITCA to promote healthy leisure activity for securities and futures workers and strengthen interactions among them.





### 三、督促會員自律、共謀業務上改進事項

#### C. Self-discipline and operation improvement of member companies

##### 1. 期貨顧問事業查察輔導事項

###### Inspection of futures advisory enterprises

本公會104年度針對期貨顧問事業之業務及內部稽核作業實施查察輔導工作，例行訪查期貨顧問事業共計33家，專案查核期貨顧問事業9件。其中違反本公會「會員自律公約」，發函會員公司要求改善者4件；警告者4件。

The Association made annual inspection on futures advisory enterprises and their practices of internal auditing. In 2015, it inspected 33 futures advisory enterprises and 9 futures advisory projects. Among those found breaking the Self-discipline Agreement for CNFA Members, 4 were required to make improvement and 4 received the CNFA's warning notices.

##### 2. 督促事項

###### Discipline matters

會員因違反本公會自律規範，提紀律委員會報告案共4案，討論案共8案，其中處「注意改善」者8件，處警告處分一次者3件、處警告處分二次者1件。

In 2015, the CNFA reported 4 cases of violating the CNFA's self-discipline rules to the Discipline Committee, in addition to 8 other cases for further discussion. Among them, there were 8 cases where the member companies were advised to "Be careful to improve," 3 cases where the member companies received the CNFA's warning once, and other 1 cases where the member companies received the CNFA's warning twice.



#### 四、關於會員業務之廣告及宣傳審查事項

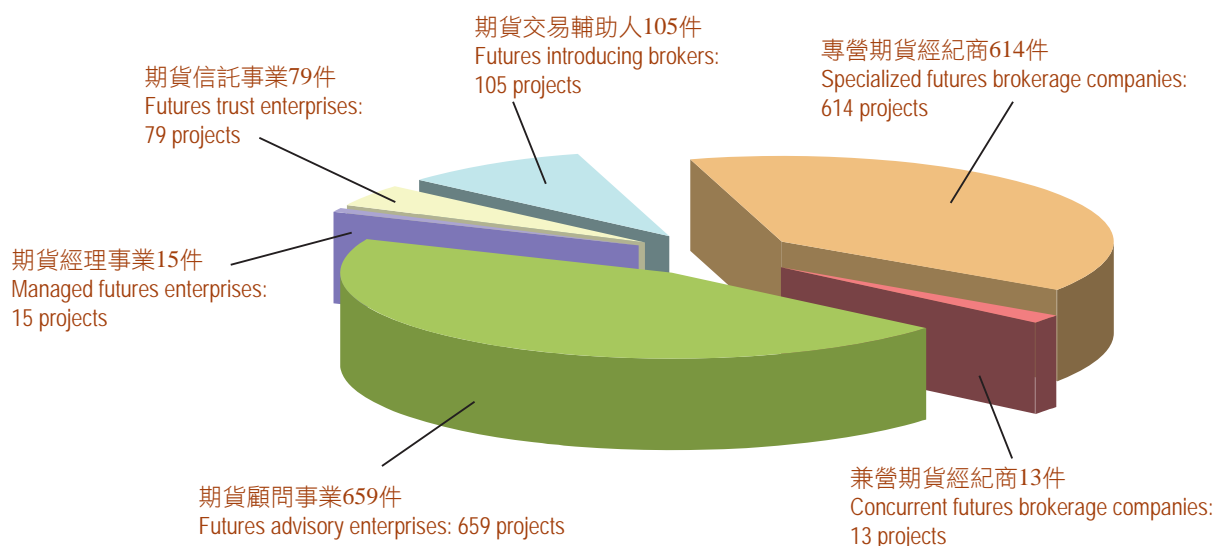
##### D. Inspection of advertisement and promotional materials of member companies

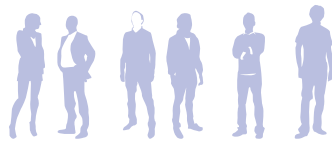
本公會104年度共計審核1,485件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報614件、兼營期貨經紀商申報13件、期貨顧問事業申報659件、期貨經理事業申報15件、期貨信託事業申報79件、期貨交易輔助人申報105件。

In 2015, the Association reviewed 1,485 projects of advertisement and promotional materials published by member companies. Among them, 614 projects were filed by the specialized futures brokerage companies, 13 by concurrent futures brokerage companies, 659 by futures advisory enterprises, 15 by managed futures enterprises, 79 by futures trust enterprises, and 105 by futures introducing brokers

##### 104年度宣傳資料及廣告申報案件統計

Advertisement and Business Promotion Projects of CNFA Members in 2015

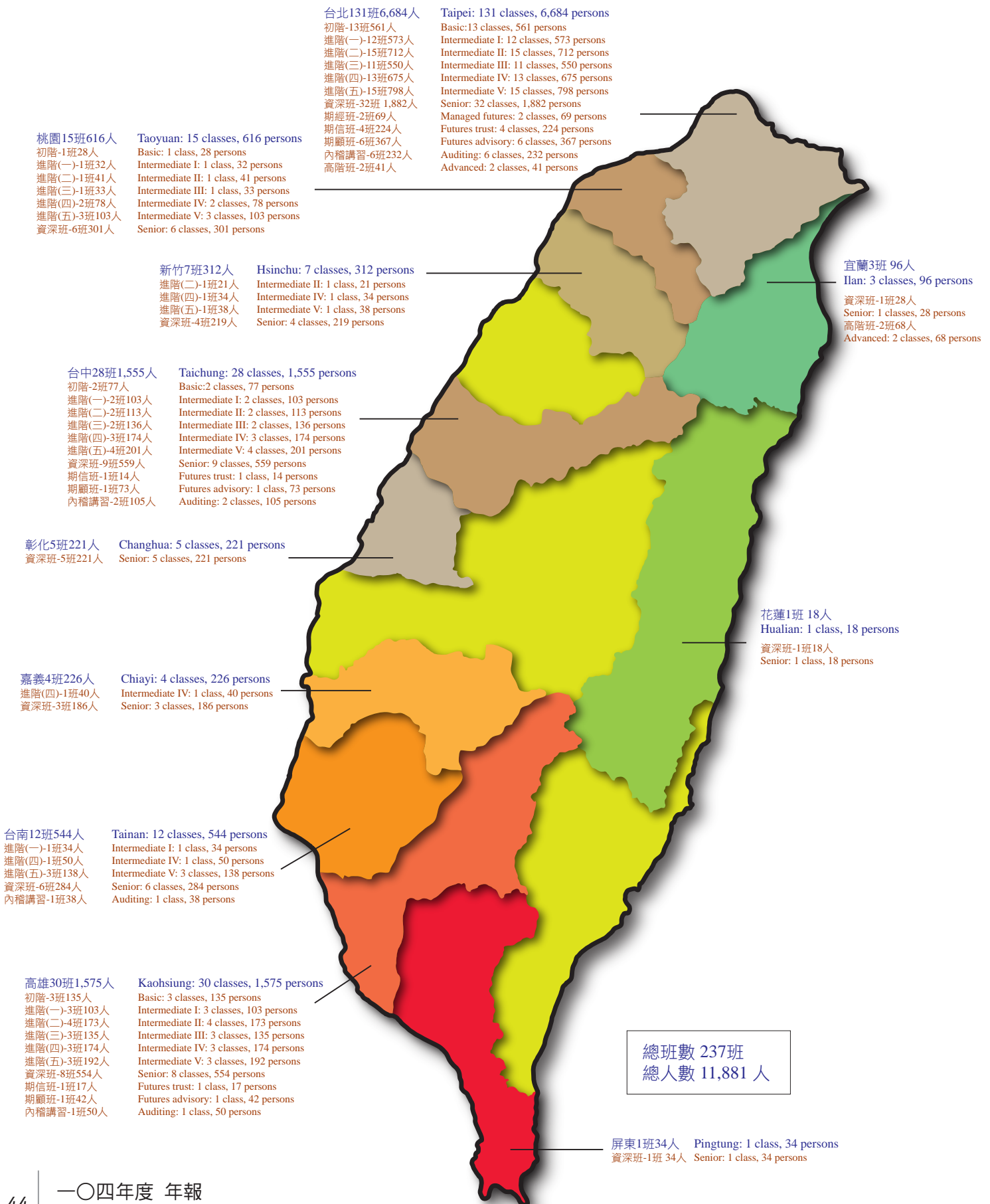




五、在職訓練

E. On-job training

104年度舉辦之地區別、班別及人數詳如下圖：  
CNFA-arranged Training Courses in 2015



六、關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項

F. Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives

1. 會員及業務員基本資料之建立及登記事項

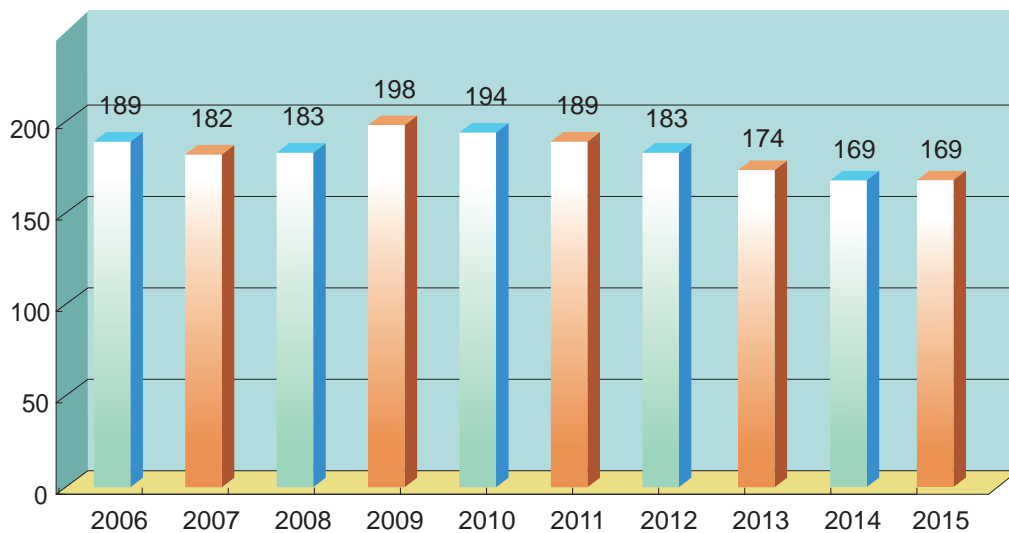
Registration of member companies and their business representatives

- 會員家數：共計169家，其中經營期貨經紀業務為36家(21%)；期貨業務輔助人49家(29%)；期貨自營業務32家(19%)；期貨經理業務8家(5%)；期貨顧問業務34家(20%)；期貨信託業務9家(5%)；槓桿交易商1家(1%)。

There were 169 member companies registered with the CNFA at the end of 2015: 36 (21%) futures brokerage companies, 49 (29%) futures introducing brokers, 32 (19%) proprietary futures commission merchants, 8 (5%) managed futures enterprises, 34 (20%) futures advisory enterprises, 9 (5%) futures trust enterprises, 1(1%) leverage transaction merchant.

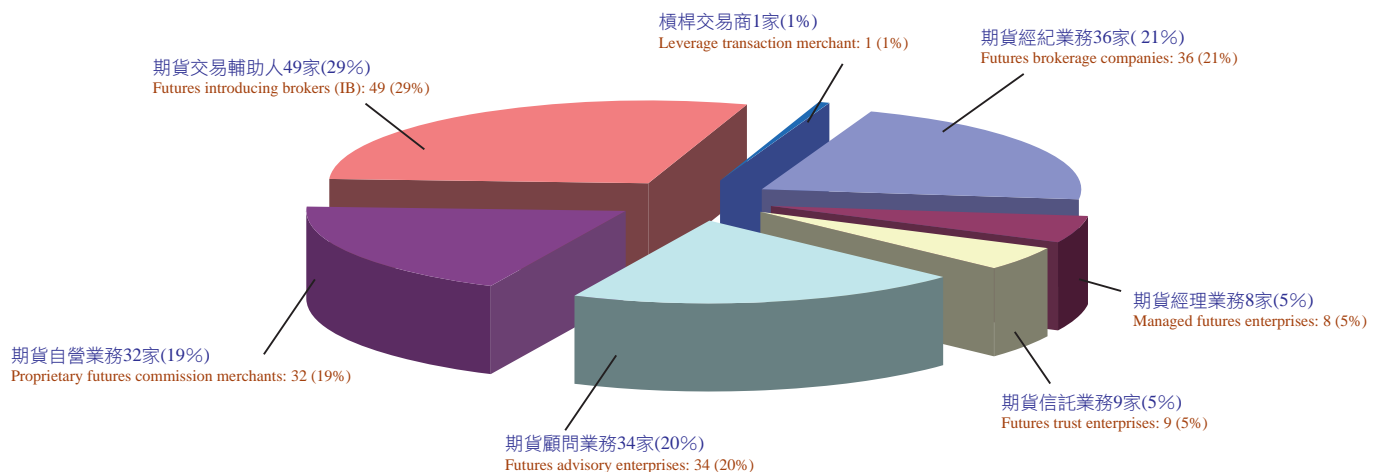
近10年會員家數統計圖

Statistics of CNFA Member Companies over Past 10 Years

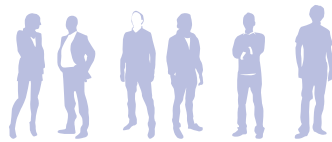


104年度會員類別統計圖

Statistics of CNFA Member Companies in 2015, by Business Category



共計169家會員 資料統計截至104/12/31  
A total of 169 member companies as of Dec. 31, 2015

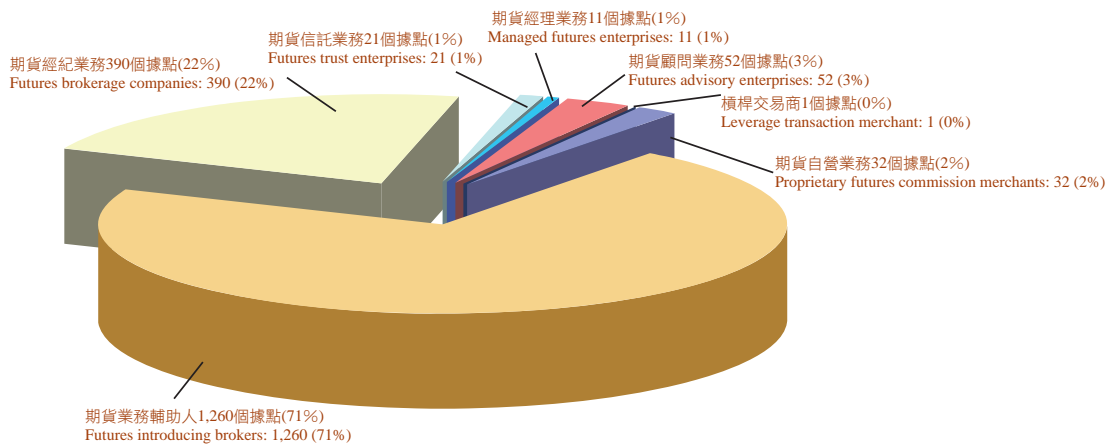


- 會員總分公司分支機構數：共計1,767家，其中經營期貨經紀業務為390個營業據點(22%)；期貨業務輔助人1,260個營業據點(71%)；期貨自營業務32個營業據點(2%)；期貨經理業務11個營業據點(1%)；期貨顧問業務52個營業據點(3%)；期貨信託業務21個營業據點(1%)；槓桿交易商1個營業據點(0%)。

CNFA Member Companies were operated with a total of 1,767 headquarters offices and branch offices as of the end of 2015: 390 used by futures brokers (22%), 1,260 used by introducing brokers (71%), 32 used by proprietary futures commission merchants (2%), 11 used by managed futures enterprises (1%), 52 used by futures advisory enterprises (3%), and 21 used by futures trust enterprises (1%), 1 used by leverage transaction merchant (0%)。

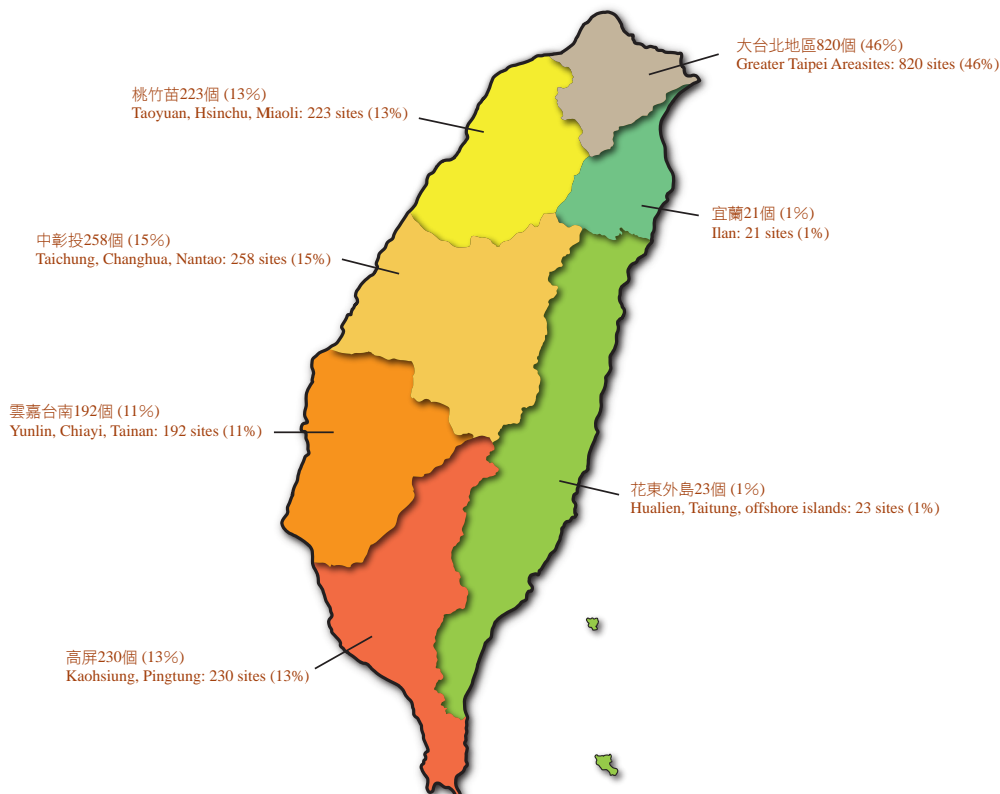
### 會員營業據點統計圖

Business Sites of CNFA Member Companies in 2015



### 104年度會員營業據點區域分布統計圖

Geographical Location of Business Sites of CNFA Member Companies in 2015

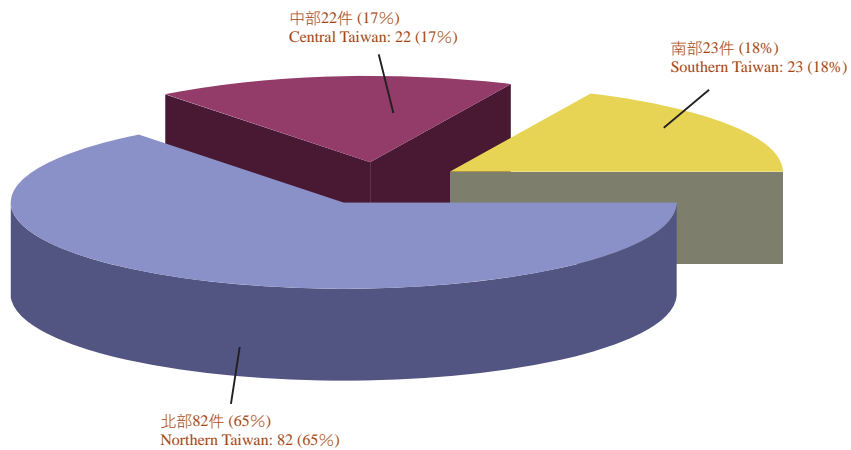


● 會員場地勘察 Business site inspection

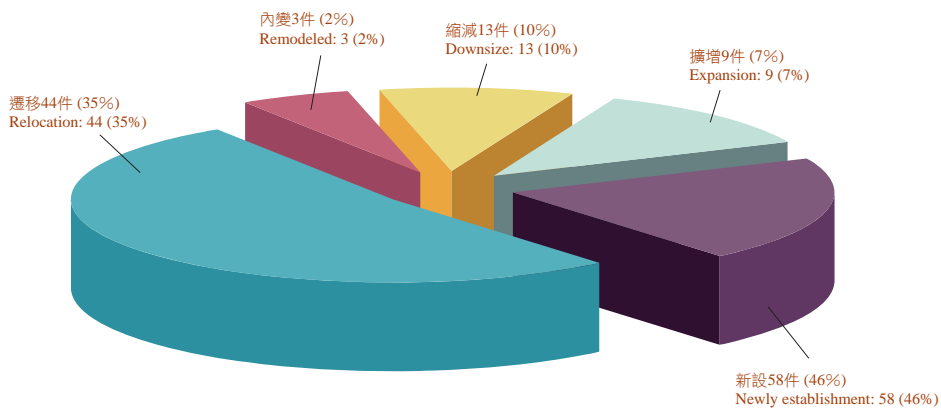
104年度共計127件場勘案(北部地區82件、中部地區22件、南部地區23件)，就其場勘案件分析，新設營業處所58件(46%)、遷移營業處所44件(35%)、縮減營業處所13件(10%)、擴增營業處所9件(7%)、營業處所內部配置變動3件(2%)。

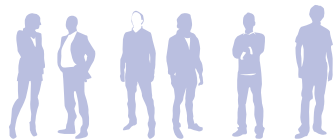
The Association conducted a total of 127 business site inspection projects in 2015 (82 in northern Taiwan, 22 in central Taiwan, 23 in southern Taiwan). Among these inspected sites, 58 (46%) were newly established, 44 (35%) were relocated, 13 (10%) were downsized, 9 (7%) were expanded, and 3 (2%) were remodeled.

104年度場地勘察區域分布統計圖  
Geographical Areas of Inspected Business Sites in 2015



104年度場地勘察類別統計圖  
Statistics of Business Site Inspection Projects in 2015

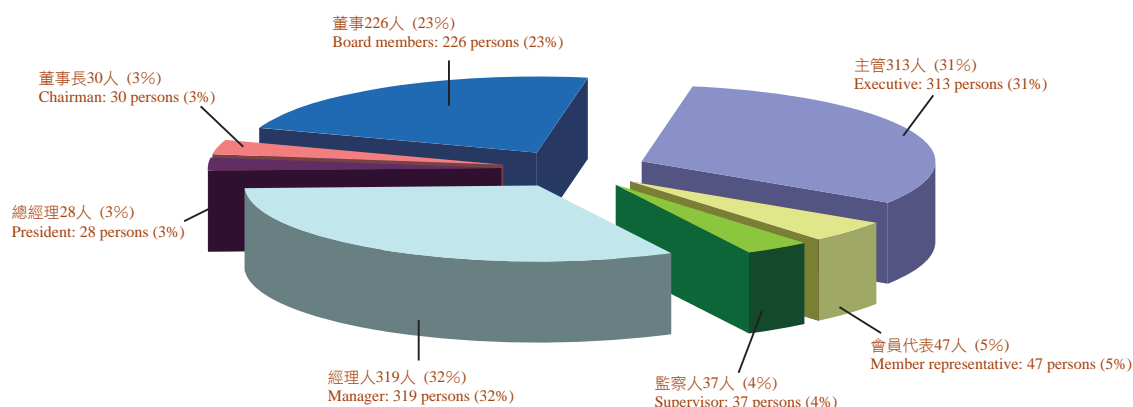




2. 會員委託證照之申請變更、換領及其他服務事項  
Certificate renewal, change, and other related services

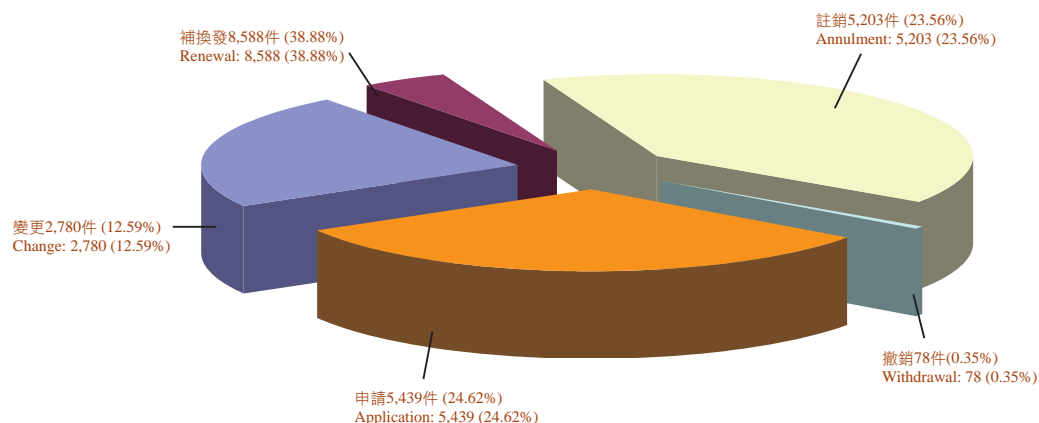
- 會員代表、會員負責人104年度於本公會異動登錄共計1,000人次，細分如下：  
In 2015, the Association handled a total of 1,000 certificate renewal and change cases for high-ranking executives, board members, and supervisors of member companies.

104年度身分類異動統計圖  
Position Change Statistics for 2015

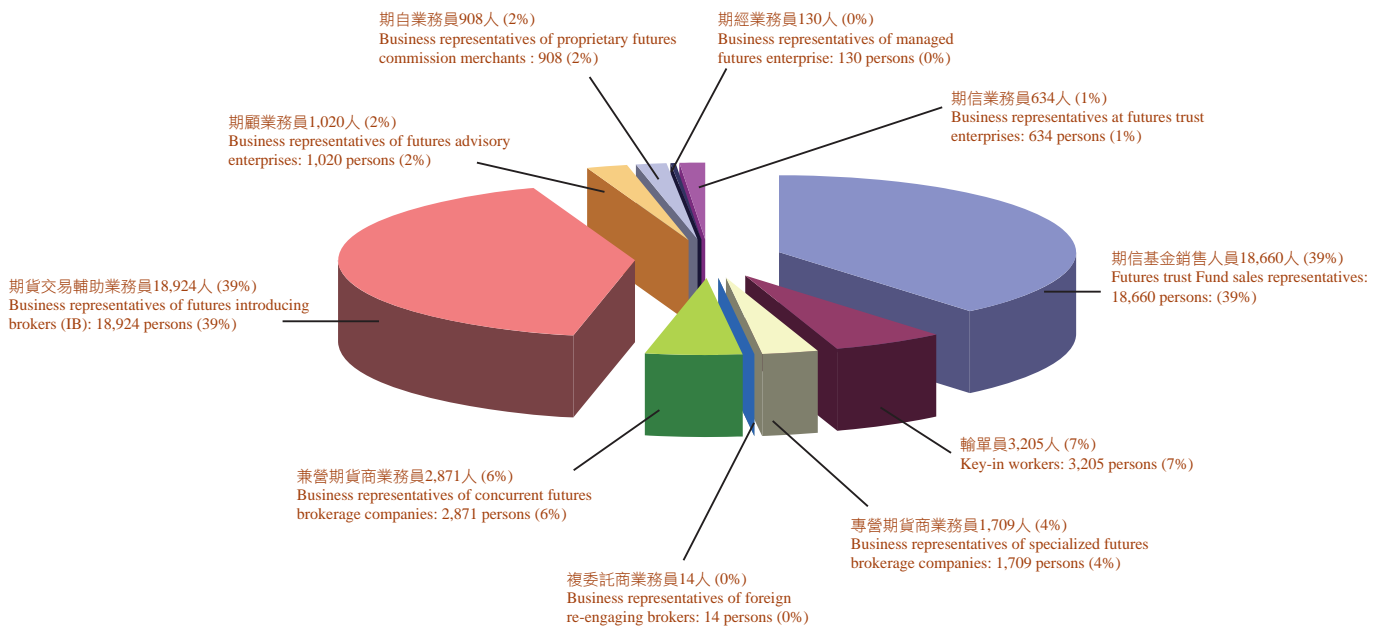


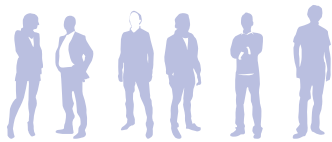
- 業務員、輸單員、銷售人員104年度於本公會異動登錄共計22,088件，每月平均1,840件  
In 2015, the Association handled a total of 22,088 business representative, key-in worker, and salesperson enrollment cases, with an average of 1,840 cases per month.

104年度業務異動類別統計圖  
Job Change Registrations in 2015



**104年度從業人員登記統計圖**  
**Business Category Change of Staff at CNFA Member Companies in 2015**





# 七、本公會出版品 G. Publications

## 1. 會訊 Newsletter

中華民國期貨業商業同業公會  
中華民國期貨業商業同業公會  
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中華民國期貨業商業同業公會

## 2. 期貨人季刊 Futures Journal



## 3. 研究叢書 Study Reports



#### 4. 政令宣導 Policy Advocacy

製作擦拭布、保溫杯、掛勾、運動毛巾及環保袋等實用物品，以推廣「合法期貨」。

Making glass wipers, thermos bottles, hooks, sport towels, and handbags to promote the logo of “Legitimate Futures.”



#### 5. 海報 Poster



# 伍

## 財務報告



### 會計師查核報告

(105)財審報字第 15002679 號

中華民國期貨業商業同業公會 公鑒：

中華民國期貨業商業同業公會民國 104 年 12 月 31 日及民國 103 年 12 月 31 日之資產負債表及財產目錄，暨民國 104 年 1 月 1 日至 12 月 31 日及民國 103 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，業經本會計師查核竣事。上開會計報告之編製係管理階層之責任，本會計師之責任則為根據查核結果對上開會計報告表示意見。

本會計師係依照中華民國一般公認審計準則規劃並執行查核工作，以合理確信會計報告有無重大不實表達。此項查核工作包括以抽查方式獲取會計報告所列金額及所揭露事項之查核證據、評估管理階層編製會計報告所採用之會計原則及所作之重大會計估計，暨評估會計報告整體之表達。本會計師相信此項查核工作可對所表示之意見提供合理之依據。

依本會計師之意見，第一段所述會計報告在所有重大方面係依照內政部頒佈之「工商團體財務處理辦法」及中華民國一般公認會計原則編製，足以允當表達中華民國期貨業商業同業公會民國 104 年 12 月 31 日及民國 103 年 12 月 31 日之資產負債狀況，暨民國 104 年 1 月 1 日至 12 月 31 日及民國 103 年 1 月 1 日至 12 月 31 日之收支情形。

資誠聯合會計師事務所

會計師

賴亭義



中華民國 105 年 2 月 19 日

## *Independent Auditor's Report*

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To: Chinese National Futures Association

Feb. 19, 2016

**W**e have audited the balance sheet and assets list of the Chinese National Futures Association (CNFA) as of the end of 2015 as well as related statement of income, cash flow, and fund management for the year then ended. The CNFA is responsible for making all these financial statements about which we are responsible for expressing our opinions based on our audit.

We conduct our audits in accordance with generally accepted auditing standards in the Republic of China, which requires that we plan and perform the audit to obtain reasonable assurance about whether these financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statement. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material aspects, the financial position of the CNFA as of December 31, 2015 and the results of its operations and cash flows for the year then ended in conformity with the "Rules Governing Financial Management of Industrial and Commercial Associations" and generally accepted accounting principles in the Republic of China.

PricewaterhouseCoopers

Taipei, Taiwan, ROC



中華民國農業商業同業公會  
會計師事務所  
民國104年12月31日

|                       | 104年12月31日     |     | 103年12月31日     |     | 104年12月31日     |     | 103年12月31日     |     |
|-----------------------|----------------|-----|----------------|-----|----------------|-----|----------------|-----|
|                       | 金額             | %   | 金額             | %   | 金額             | %   | 金額             | %   |
| 資 產                   |                |     |                |     |                |     |                |     |
| 流動資產                  |                |     |                |     |                |     |                |     |
| 現金及銀行存款(附註三(一))       | \$ 36,232,341  | 6   | \$ 21,387,497  | 4   | \$ 22,438,036  | 4   | \$ 17,419,110  | 3   |
| 有價證券(附註三(二))          | 66,094,579     | 12  | 65,794,579     | 12  | 296,592        | -   | 194,464        | -   |
| 應收帳款(附註三(三))          | 2,914,828      | 1   | 2,665,534      | 1   | 52,992         | -   | 82,876         | -   |
| 預付款項                  | 581,062        | -   | 362,823        | -   | 22,787,620     | 4   | 17,696,450     | 3   |
| 其他流動資產                | 327,916        | -   | -              | -   | -              | -   | -              | -   |
| 流動資產合計                | 106,150,726    | 19  | 90,150,433     | 17  | -              | -   | -              | -   |
| 基 金                   |                |     |                |     |                |     |                |     |
| 會務發展準備基金—專戶存款(附註三(四)) | 100,456,065    | 18  | 94,135,184     | 18  | 58,320,000     | 10  | 58,680,000     | 11  |
| 退熱準備基金—專戶存款(附註三(五))   | 22,764,767     | 4   | 20,188,509     | 4   | 81,107,620     | 14  | 76,376,450     | 14  |
| 基金合計                  | 123,220,832    | 22  | 114,323,693    | 22  | -              | -   | -              | -   |
| 固定資產(附註三(六))          |                |     |                |     |                |     |                |     |
| 土地                    | 133,989,345    | 24  | 133,989,345    | 25  | -              | -   | -              | -   |
| 房屋及建築                 | 107,770,320    | 19  | 107,770,320    | 20  | -              | -   | -              | -   |
| 事務設備                  | 25,426,171     | 5   | 17,435,335     | 3   | 105,226,065    | 19  | 98,785,184     | 19  |
| 雜項設備                  | 3,194,690      | 1   | 3,194,690      | 1   | 25,234,058     | 4   | 22,433,984     | 4   |
| 辦公設備                  | 1,591,990      | -   | 1,591,990      | -   | 271,972,516    | 49  | 268,949,180    | 51  |
| 預付設備款                 | -              | -   | 4,967,500      | 1   | 402,432,639    | 72  | 390,168,348    | 74  |
| 固定資產合計                | 271,972,516    | 49  | 268,949,180    | 50  | -              | -   | -              | -   |
| 其他資產                  |                |     |                |     |                |     |                |     |
| 存出保證金                 | 55,300         | -   | 55,300         | -   | 65,613,808     | 12  | 64,799,023     | 12  |
| 自律基金—專戶存款             | 58,320,000     | 10  | 58,680,000     | 11  | 10,565,307     | 2   | 814,785        | -   |
| 其他資產合計                | 58,375,300     | 10  | 58,735,300     | 11  | 76,179,115     | 14  | 65,613,808     | 12  |
| 資產總計                  | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 |
| 負債、基金及餘絀              |                |     |                |     |                |     |                |     |
| 流動負債                  |                |     |                |     |                |     |                |     |
| 應付款項(附註三(七))          | -              | -   | -              | -   | -              | -   | -              | -   |
| 代收款                   | -              | -   | -              | -   | -              | -   | -              | -   |
| 暫收款                   | -              | -   | -              | -   | -              | -   | -              | -   |
| 流動負債合計                | -              | -   | -              | -   | -              | -   | -              | -   |
| 其他負債                  |                |     |                |     |                |     |                |     |
| 存入保證金—自律基金            | -              | -   | -              | -   | -              | -   | -              | -   |
| 負債總額                  | -              | -   | -              | -   | -              | -   | -              | -   |
| 基金及餘絀                 |                |     |                |     |                |     |                |     |
| 基金                    | 107,770,320    | 20  | 107,770,320    | 20  | 105,226,065    | 19  | 98,785,184     | 19  |
| 會務發展準備基金              | 25,426,171     | 5   | 17,435,335     | 3   | 25,234,058     | 4   | 22,433,984     | 4   |
| 退熱準備基金                | 3,194,690      | 1   | 3,194,690      | 1   | 271,972,516    | 49  | 268,949,180    | 51  |
| 資產基金                  | 1,591,990      | -   | 1,591,990      | -   | 402,432,639    | 72  | 390,168,348    | 74  |
| 基金合計                  | 271,972,516    | 49  | 268,949,180    | 50  | -              | -   | -              | -   |
| 餘絀                    |                |     |                |     |                |     |                |     |
| 累計餘絀                  | 55,300         | -   | 55,300         | -   | 65,613,808     | 12  | 64,799,023     | 12  |
| 本期餘絀                  | 58,320,000     | 10  | 58,680,000     | 11  | 10,565,307     | 2   | 814,785        | -   |
| 餘絀合計                  | 58,375,300     | 10  | 58,735,300     | 11  | 76,179,115     | 14  | 65,613,808     | 12  |
| 基金及餘絀合計               | 58,375,300     | 10  | 58,735,300     | 11  | 478,611,754    | 86  | 455,782,156    | 86  |
| 負債、基金及餘絀總計            | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 |

後附會計報告附註為本報告之一部分，請併同參閱



理事長：



秘書長：



會計主管：



製表：

## Chinese National Futures Association Balance Sheet

Dec. 31, 2014 & Dec. 31, 2015

Unit: NTS

|  | Dec. 31, 2015  |     | Dec. 31, 2014  |     | Dec. 31, 2015  |     | Dec. 31, 2014  |     |
|--|----------------|-----|----------------|-----|----------------|-----|----------------|-----|
|  | Amount         | %   | Amount         | %   | Amount         | %   | Amount         | %   |
| <b>Assets</b>                                      |                |     |                |     |                |     |                |     |
| <b>Current assets</b>                              |                |     |                |     |                |     |                |     |
| Cash & bank deposit (Note 3-1)                     | \$ 36,232,341  | 6   | \$21,387,497   | 4   | \$ 22,438,036  | 4   | \$ 17,419,110  | 3   |
| Securities (Note 3-2)                              | 66,094,579     | 12  | 65,734,579     | 12  | 296,592        | -   | 194,464        | -   |
| Accounts receivable (Note 3-3)                     | 2,914,828      | 1   | 2,665,534      | 1   | 52,992         | -   | 82,876         | -   |
| Pre-payments                                       | 581,062        | -   | 362,823        | -   | 22,787,620     | 4   | 17,696,450     | 3   |
| Other current liability                            | 327,916        | -   | -              | -   | -              | -   | -              | -   |
| Sub-total of current assets                        | 106,150,726    | 19  | 90,150,433     | 17  |                |     |                |     |
| <b>Liabilities, funds, and surplus</b>             |                |     |                |     |                |     |                |     |
| <b>Current liabilities</b>                         |                |     |                |     |                |     |                |     |
| Payables (Note 3-7)                                |                |     |                |     |                |     |                |     |
| Receipts under custody                             |                |     |                |     |                |     |                |     |
| Temporary receipts                                 |                |     |                |     |                |     |                |     |
| Sub-total of current liabilities                   |                |     |                |     |                |     |                |     |
| <b>Funds</b>                                       |                |     |                |     |                |     |                |     |
| Development fund at special bank account (Note3-4) | 100,456,065    | 18  | 94,135,184     | 18  | 58,320,000     | 10  | 58,680,000     | 11  |
| Pension fund at special bank account (Note 3-5)    | 22,764,767     | 4   | 20,188,509     | 4   | 81,107,620     | 14  | 76,376,450     | 14  |
| Sub-total of funds                                 | 123,220,832    | 21  | 114,323,693    | 22  |                |     |                |     |
| <b>Fixed assets (Note 3-6)</b>                     |                |     |                |     |                |     |                |     |
| Land   | 133,989,345    | 24  | 133,989,345    | 25  |                |     |                |     |
| Buildings  | 107,770,320    | 19  | 107,770,320    | 20  |                |     |                |     |
| OA equipment                                       | 25,426,171     | 5   | 17,435,335     | 3   | 105,226,065    | 19  | 98,785,184     | 19  |
| Transportation equipment                           | 3,194,690      | 1   | 3,194,690      | 1   | 25,234,058     | 4   | 22,433,984     | 4   |
| Other facilities                                   | 1,591,990      | -   | 1,591,990      | -   | 271,972,516    | 49  | 268,949,180    | 51  |
| Unfinished construction                            | -              | -   | 4,967,500      | 1   | 402,432,639    | 72  | 390,168,348    | 74  |
| Sub-total of fixed assets                          | 271,972,516    | 49  | 268,949,180    | 50  |                |     |                |     |
| <b>Other assets</b>                                |                |     |                |     |                |     |                |     |
| Refundable deposits                                | 55,300         | -   | 55,300         | -   | 65,613,808     | 12  | 64,799,023     | 12  |
| Self-discipline fund at special bank account       | 58,320,000     | 10  | 58,680,000     | 11  | 10,565,307     | 2   | 814,785        | -   |
| Sub-total of other assets                          | 58,375,300     | 10  | 58,735,300     | 11  | 76,179,115     | 14  | 65,613,808     | 12  |
| <b>Total assets</b>                                | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 |
|  |                |     |                |     |                |     |                |     |
| <b>Liabilities, funds, and surplus</b>             |                |     |                |     |                |     |                |     |
| Accumulated balance                                |                |     |                |     |                |     |                |     |
| Balance for this period                            |                |     |                |     |                |     |                |     |
| Sub-total of surplus                               |                |     |                |     |                |     |                |     |
| Total of funds and surplus                         |                |     |                |     |                |     |                |     |
| <b>Total liabilities, funds and surplus</b>        | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 | \$ 559,719,374 | 100 | \$ 532,158,606 | 100 |

Please refer to the following notes as part of the statement

中華民國國貨業商業同業公會



民國104年1月1日至12月31日

單位：新台幣元

| 科 目           | 決算數           | 預算數         | 決算與預算比較數      |               | 說明 |
|---------------|---------------|-------------|---------------|---------------|----|
|               |               |             | 增 加           | 減 少           |    |
| 經費收入          |               |             |               |               |    |
| 入會費收入         | \$ 2,400      | \$ -        | \$ 2,400      | \$ -          |    |
| 常年會費收入        | 1,760,800     | 1,832,000   | -             | ( 71,200)     |    |
| 業務費收入(附註三(九)) | 88,665,863    | 100,255,000 | -             | ( 11,589,137) | 註1 |
| 利息收入          | 2,686,582     | 2,280,000   | 406,582       | -             |    |
| 委託收入          | 15,464,751    | 14,633,000  | 831,751       | -             | 註2 |
| 其他收入          | 1,482,034     | -           | 1,482,034     | -             | 註3 |
| 收入合計          | 110,062,430   | 119,000,000 | 2,722,767     | ( 11,660,337) |    |
| 經費支出          |               |             |               |               |    |
| 人事費           | 34,022,774    | 36,776,000  | -             | ( 2,753,226)  | 註4 |
| 辦公費           | 6,737,211     | 7,928,000   | -             | ( 1,190,789)  | 註5 |
| 業務費           | 40,278,866    | 55,706,000  | -             | ( 15,427,134) | 註6 |
| 購置費           | 3,248,366     | 5,000,000   | -             | ( 1,751,634)  | 註7 |
| 團體會費          | 1,570,000     | 1,570,000   | -             | -             |    |
| 什項支出          | 5,176,533     | 2,655,000   | 2,521,533     | -             | 註8 |
| 預備金           | -             | 595,000     | -             | ( 595,000)    | 註9 |
| 基金提存(附註三(十))  | 8,463,373     | 8,770,000   | -             | ( 306,627)    |    |
| 支出合計          | 99,497,123    | 119,000,000 | 2,521,533     | ( 22,024,410) |    |
| 本期餘絀(稅前)      | 10,565,307    | -           | 10,565,307    | -             |    |
| 所得稅費用(附註三(八)) | -             | -           | -             | -             |    |
| 本期餘絀(稅後)      | \$ 10,565,307 | \$ -        | \$ 10,565,307 | \$ -          |    |

後附會計報告附註為本報告之一部分，請併同參閱

註1：業務費收入減少，主係經理監事會決議，自本期7月起視結餘情況調整折扣數所致。

註2：委託收入增加，主係因會員之在職訓練人數較預估數增加所致。

註3：其他收入增加，主係外幣定存到期轉換成台幣定存所產生之兌換利益所致。

註4：人事費減少，主係本期員工人數下降所致。

註5：辦公費減少，主係樽節辦公費用支出所致。

註6：業務費減少，主係因預估之業務推廣活動未全數執行所致。

註7：購置費減少，主係本期預計購置之官網並未購置所致。

註8：什項支出增加，主係本期捐款增加所致。

註9：預備金減少，主係本期無特殊狀況動支。

理事長：



秘書長：



會計主管：



製表：

張佩瑜

### Chinese National Futures Association Income Statement

Jan. 1-Dec. 31, 2015

Unit: NT\$

| Item                               | Actual Amount        | Estimated Amount   | Difference between actual and estimated amounts |                      | Remarks |
|------------------------------------|----------------------|--------------------|---|----------------------|---------|
|                                    |                      |                    | Increase  | Decrease             |         |
| <b>Revenue</b>                     |                      |                    |   |                      |         |
| Entry fees                         | \$ 2,400             |                    | \$ 2,400  | \$ -                 |         |
| Annual membership fees             | 1,760,800            | 1,832,000          | -   | ( 71,200)            |         |
| Business promotion fees (Note 3-9) | 88,665,863           | 100,255,000        | -   | ( 11,589,137)        | Note1   |
| Interest income                    | 2,686,582            | 2,280,000          | 406,582   |                      |         |
| Income from entrusted projects     | 15,464,751           | 14,633,000         | 831,751   |                      | Note2   |
| Miscellaneous income               | 1,482,034            | -                  | 1,482,034                                       |                      | Note3   |
| <b>Total revenue</b>               | <b>110,062,430</b>   | <b>119,000,000</b> | <b>2,722,767</b>                                | <b>( 11,660,337)</b> |         |
| <b>Expenses</b>                    |                      |                    |   |                      |         |
| Personnel expense                  | 34,022,774           | 36,776,000         | -   | ( 2,753,226)         | Note4   |
| Administration expense             | 6,737,211            | 7,928,000          | -   | ( 1,190,789)         | Note5   |
| Business expense                   | 40,278,866           | 55,706,000         | -   | ( 15,427,134)        | Note6   |
| Procurement expense                | 3,248,366            | 5,000,000          | -   | ( 1,751,634)         | Note7   |
| Association activity fee           | 1,570,000            | 1,570,000          | -   | -                    |         |
| Miscellaneous items                | 5,176,533            | 2,655,000          | 2,521,533                                       |                      | Note8   |
| Advance cash                       | -                    | 595,000            | -   | ( 595,000)           | Note9   |
| Fund deposit (Note 3-10)           | 8,463,373            | 8,770,000          | -   | ( 306,627)           |         |
| <b>Total expense</b>               | <b>99,497,123</b>    | <b>119,000,000</b> | <b>2,521,533</b>                                | <b>( 22,024,410)</b> |         |
| Pre-tax balance                    | 10,565,307           | -                  | 10,565,307                                      |                      |         |
| Income tax (Note 3-8)              | -                    | -                  | -   |                      |         |
| <b>After-tax balance</b>           | <b>\$ 10,565,307</b> | <b>\$ -</b>        | <b>\$ 10,565,307</b>                            | <b>\$ -</b>          |         |

Please refer to the following notes as part of this statement:

1. Income of business fees decreased due to the new policy approved at the meeting of board members and supervisors, under which discount would be adjusted along with the balance, starting from July 2015.
2. Income from commissioned projects increased mainly for the increase of trainees of training courses.
3. Income from other resources increased mainly because of the profits from conversion of foreign currency certificated deposits into New Taiwan Dollar deposits.
4. The personnel expense decreased primarily due to the decrease in the number of staff workers.
5. Administration fee decreased mainly due to the cut in budget.
6. Business activity fees decreased because the proposed business promotion activities haven't been completely implemented in 2015.
7. Procurement expenditure decreased because the website have not been completed.
8. Miscellaneous disbursements increased due to the Association's increased donation.
9. Provisions decreased because there were no special conditions for extra expenditure.

# 陸

## 大事紀要

### 大事紀要 Chronicle

| 日期 Date | 項目 Event   |
|---------|--|
| 1/9     | 防制洗錢-期貨商工作小組第二次會議<br>Money Laundry Control—the second meeting of Futures Work Group  |
| 1/23    | 第4屆經紀業務委員會第13次會議<br>The 13 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session                |
| 1/26    | 鄭州商品交易所來訪<br>Visit of Zhengzhou Commodity Exchange   |
| 1/28    | 第4屆自營業務委員會第8次會議<br>The 8 <sup>th</sup> meeting of Proprietary Business Committee of the 4 <sup>th</sup> Session                |
| 2/2     | 103年歲末聯誼餐會<br>Year-end dinner gathering for 2014   |
| 2/4     | 第4屆顧問業務委員會第8次會議<br>The 8 <sup>th</sup> meeting of Advisory Business Committee of the 4 <sup>th</sup> Session                   |
| 2/6     | 第4屆信託業務委員會第9次會議<br>The 8 <sup>th</sup> meeting of Futures Trust Committee of the 4 <sup>th</sup> Session                       |
| 2/26    | 第4屆理監事第11次聯席會議<br>The 11 <sup>th</sup> joint meeting of directors and supervisors of the 4 <sup>th</sup> session               |
| 3/4     | 期貨業校園徵才巡迴講座-文化大學<br>“Talents-hunting on Campus” lecture series—Chinese Culture University                                      |
| 3/6     | 第4屆經理業務委員會第8次會議<br>The 8 <sup>th</sup> meeting of Managed Futures Committee of the 4 <sup>th</sup> Session                     |
| 3/6~9   | BOCA年會<br>BOCA Annual Meeting  |
| 3/18    | 期貨業校園徵才巡迴講座-元智大學<br>“Talents-hunting on Campus” lecture series—Yuan Ze University  |
| 3/18    | 期貨業校園徵才巡迴講座-宜蘭大學<br>“Talents-hunting on Campus” lecture series—National Ilan University  |
| 3/19    | 第4屆紀律委員會第9次會議<br>The 9 <sup>th</sup> meeting of Discipline Committee of the 4 <sup>th</sup> Session                            |
| 3/23    | 期貨業校園徵才巡迴講座-輔仁大學<br>“Talents-hunting on Campus” lecture series—Fu Ren Catholic University                                      |
| 3/24    | 第4屆經紀業務委員會第14次會議<br>The 14 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session                |
| 3/25    | 期貨業校園徵才巡迴講座-佛光大學(1)<br>“Talents-hunting on Campus” lecture series—Fo Guang University (1)                                      |
| 3/25    | 期貨業校園徵才巡迴講座-僑光科大<br>“Talents-hunting on Campus” lecture series—Overseas Chinese University                                     |
| 3/26    | 第4屆自營業務委員會第9次會議<br>The 9 <sup>th</sup> meeting of Proprietary Business Committee of the 4 <sup>th</sup> Session                |
| 3/26    | 期貨業校園徵才巡迴講座-高雄第一科大<br>“Talents-hunting on Campus” lecture series—National Kaohsiung First University of Science and Technology |
| 3/26    | 期貨業校園徵才巡迴講座-淡江大學<br>“Talents-hunting on Campus” lecture series—Tamkang University  |
| 3/27    | 期貨業校園徵才巡迴講座-東華大學<br>“Talents-hunting on Campus” lecture series—National Dong Hwa University                                    |
| 3/27    | 期貨業校園徵才巡迴講座-萬能科大<br>“Talents-hunting on Campus” lecture series—Vanung University   |
| 3/30    | 第4屆教育訓練委員會第7次會議<br>The 7 <sup>th</sup> meeting of Education & Training Committee of the 4 <sup>th</sup> Session                |
| 3/30    | 期貨業校園徵才巡迴講座-佛光大學(2)<br>“Talents-hunting on Campus” lecture series—Fo Guang University (2)                                      |

# Chronicle

| 日期 Date | 項目 Event   |
|---------|--|
| 4/1     | 第4屆理監事第12次聯席會議<br>The 12 <sup>th</sup> joint meeting of directors and supervisors of the 4 <sup>th</sup> Session                         |
| 4/7     | 期貨業校園徵才巡迴講座-亞洲大學<br>“Talents-hunting on Campus” lecture series—Asia University   |
| 4/9     | 「樂學期貨」校園理財講座-台大場<br>“Happy Futures” lecture on campus—National Taiwan University   |
| 4/9     | 期貨業校園徵才巡迴講座-德明科大<br>“Talents-hunting on Campus” lecture series—Takming University of Science and Technology                              |
| 4/10    | LME實務課程<br>Training course of “Trading at LME”   |
| 4/10    | 期貨業校園徵才巡迴講座-銘傳大學<br>“Talents-hunting on Campus” lecture series—Ming Chuan University   |
| 4/13    | 「樂學期貨」校園理財講座-高雄第一科大場<br>“Happy Futures” lecture on campus—National Kaohsiung First University of Science and Technology                  |
| 4/14    | 期貨業校園徵才巡迴講座-中興大學<br>“Talents-hunting on Campus” lecture series—National Chung Hsing University   |
| 4/14    | 期貨業校園徵才巡迴講座-政治大學<br>“Talents-hunting on Campus” lecture series—National Chengchi University  |
| 4/14    | 證券商承作國外期貨推廣說明會-台北場<br>Presentation on foreign futures brokerage service by securities firms—Taipei                                       |
| 4/15    | 第4屆財務委員會第11次會議<br>The 11 <sup>th</sup> meeting of Financial Committee of the 4 <sup>th</sup> Session                                     |
| 4/16    | 期貨業校園徵才巡迴講座-明道大學<br>“Talents-hunting on Campus” lecture series—Ming Dao University   |
| 4/16    | 證券商承作國外期貨推廣說明會-桃園場<br>Presentation on foreign futures brokerage service by securities firms—Taoyuan                                      |
| 4/22    | 第4屆第3次會員大會<br>The 3 <sup>rd</sup> General Assembly of CNFA Members of the 4 <sup>th</sup> Session  |
| 4/23    | 證監會姜洋副主席交流會議<br>Exchange Meeting with Vice Chairman Jiang Yang of China Securities Regulatory Committee                                  |
| 4/23    | 證券商承作國外期貨推廣說明會-新竹場<br>Presentation on foreign futures brokerage service by securities firms—Hsinchu                                      |
| 4/25~26 | 參加「第九屆中國期貨分析師論壇暨首屆場外衍生品論壇」<br>Attending “The 9 <sup>th</sup> China Derivatives Analyst Forum” and the “First OTC Derivatives Forum 2015” |
| 4/28    | 期貨業校園徵才巡迴講座-台北商業大學<br>“Talents-hunting on Campus” lecture series—National Taipei University of Business                                  |
| 4/28    | 期貨業校園徵才巡迴講座-開南科大<br>“Talents-hunting on Campus” lecture series—Kainan University   |
| 4/28    | 證券商承作國外期貨推廣說明會-台中場<br>Presentation on foreign futures brokerage service by securities firms—Taichung                                     |
| 4/29    | 期貨業校園徵才巡迴講座-靜宜大學<br>“Talents-hunting on Campus” lecture series—Providence University   |
| 4/30    | 期貨業校園徵才巡迴講座-醒吾科大<br>“Talents-hunting on Campus” lecture series—Hsin Wu University  |
| 5/1     | 證券期貨盃高爾夫球聯誼賽<br>Securities & Futures Gold Championship for 2015  |
| 5/4     | 第4屆經紀業務委員會第15次會議<br>The 15 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session                          |
| 5/5     | 第4屆紀律委員會第10次會議<br>The 10 <sup>th</sup> meeting of Discipline Committee of the 4 <sup>th</sup> Session                                    |



| 日期 Date | 項目 Event   |
|---------|--|
| 5/6     | 期貨業校園徵才巡迴講座-長榮大學<br>“Talents-hunting on Campus” lecture series—Chang Jung Christian University   |
| 5/6     | 期貨業校園徵才巡迴講座-暨南大學<br>“Talents-hunting on Campus” lecture series—National Chi Nan University   |
| 5/6     | 證券商承作國外期貨推廣說明會-台南場<br>Presentation on foreign futures brokerage service by securities firms—Tainan   |
| 5/7     | 期貨業校園徵才巡迴講座-玄奘大學<br>“Talents-hunting on Campus” lecture series—Hsuan Chuang University   |
| 5/7     | 期貨業校園徵才巡迴講座-和美科大<br>“Talents-hunting on Campus” lecture series—Meiho University  |
| 5/8     | 期貨業校園徵才巡迴講座-雲科大<br>“Talents-hunting on Campus” lecture series—National Yunlin University of Science and Technology   |
| 5/11    | 「樂學期貨」校園理財講座-逢甲場<br>“Happy Futures” lecture on campus—Feng Chia University   |
| 5/12    | 期貨業校園徵才巡迴講座-真理大學<br>“Talents-hunting on Campus” lecture series—Aletheia University   |
| 5/12    | 期貨業校園徵才巡迴講座-朝陽科大<br>“Talents-hunting on Campus” lecture series—Chaoyang University of Technology   |
| 5/12    | 會務管理系統操作說明教育訓練課程<br>Training course on operation of accounting management system   |
| 5/12    | 證券商承作國外期貨推廣說明會-高雄場<br>Presentation on foreign futures brokerage service by securities firms—Kaohsiung  |
| 5/13    | 會務管理系統操作說明教育訓練課程<br>Training course on operation of accounting management system   |
| 5/14    | 會務管理系統操作說明教育訓練課程<br>Training course on operation of accounting management system   |
| 5/15    | 期貨業校園徵才巡迴講座-台南科大<br>“Talents-hunting on Campus” lecture series—Tainan University of Technology   |
| 5/15    | 會務管理系統操作說明教育訓練課程<br>Training course on operation of accounting management system   |
| 5/18    | 第4屆常務理事第2次聯席會議<br>The 12 <sup>th</sup> joint meeting of Executive Directors and Supervisors of the 4 <sup>th</sup> Session   |
| 5/20    | 第4屆顧問業務委員會第9次會議<br>The 9 <sup>th</sup> meeting of Advisory Business Committee of the 4 <sup>th</sup> Session   |
| 5/21    | 證券商承作國外期貨推廣說明會-台北場<br>Presentation on foreign futures brokerage service by securities firms—Taipei   |
| 5/22    | 第4屆經紀業務委員會第16次會議<br>The 16 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session  |
| 5/22    | 第4屆經理業務委員會第9次會議<br>The 9 <sup>th</sup> meeting of Managed Futures Committee of the 4 <sup>th</sup> Session   |
| 5/25    | 期貨業校園徵才巡迴講座-高雄應用科大<br>“Talents-hunting on Campus” lecture series—National Kaohsiung University of Applied Science  |
| 5/26    | 期信基金系統&會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of Futures Trust Fund System & CNFA Member Service System (Northern Taiwan)  |
| 5/27-31 | 理監事年度參訪-上海國際能源交易中心及參加「第十二屆上海衍生品市場論壇」<br>Annual visiting activity of executive directors and supervisors—The Shanghai International Energy Exchange Corporation (INE) & 12 <sup>th</sup> Shanhai Derivatives Market Forum |
| 5/27    | 第4屆信託業務委員會第10次會議<br>The 10 <sup>th</sup> meeting of Futures Trust Committee of the 4 <sup>th</sup> Session   |
| 5/27    | 期信基金系統&會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of Futures Trust Fund System & CNFA Member Service System (Northern Taiwan)  |
| 5/28    | 金融獎助學金頒獎典禮<br>Award ceremony of CNFA Scholarship and Subsidy   |
| 5/28    | 期信基金系統&會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of Futures Trust Fund System & CNFA Member Service System (Northern Taiwan)  |

| 日期 Date  | 項目 Event   |
|----------|--|
| 5/29     | 期信基金系統&會員服務系統操作說明教育訓練課程(南區)<br>Training course on operation of Futures Trust Fund System & CNFA Member Service System (Southern Taiwan)  |
| 6/2      | 會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of CNFA Member Service System (Northern Taiwan)   |
| 6/3      | 「臺灣期貨市場新亮點-銀行保險業避險增益時代來臨」理財講座<br>Wealth Management Lecture on “New Focus of Taiwan Futures Market—An Age of Risk-hedging & Profit-making for Banking and Insurance Industries” |
| 6/3      | 會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of CNFA Member Service System (Northern Taiwan)   |
| 6/4      | 第4屆自營業務委員會第10次會議<br>The 10 <sup>th</sup> meeting of Proprietary Business Committee of the 4 <sup>th</sup> Session  |
| 6/4      | 會員服務系統操作說明教育訓練課程(北區)<br>Training course on operation of CNFA Member Service System (Northern Taiwan)   |
| 6/5      | 第4屆經紀業務委員會第17次會議<br>The 17 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session  |
| 6/5      | 會員服務系統操作說明教育訓練課程(中區)<br>Training course on operation of CNFA Member Service System (Central Taiwan)  |
| 6/6~7    | 委員會聯誼活動-新竹、苗栗（第一梯次）<br>Friendship activity for committee members in Hasinchu and Miaoli (Group 1)  |
| 6/9      | 會員服務系統操作說明教育訓練課程(南區)<br>Training course on operation of CNFA Member Service System (Southern Taiwan)   |
| 6/12     | 第4屆理監事第13次聯席會議<br>The 13 <sup>th</sup> joint meeting of executive directors and supervisors of the 4 <sup>th</sup> Session   |
| 6/13-14  | 委員會聯誼活動-新竹、苗栗（第二梯次）<br>Friendship activity for committee members in Hasinchu and Miaoli (Group 2)  |
| 6/29     | 第4屆教育訓練委員會第8次會議<br>The 8 <sup>th</sup> meeting of Education & Training Committee of the 4 <sup>th</sup> Session  |
| 7/2      | 第4屆常務理監事第3次聯席會議<br>The 3 <sup>rd</sup> joint meeting of executive directors and supervisors of the 4 <sup>th</sup> Session   |
| 7/8      | 「中國大陸期貨市場發展對台灣可借鏡之處」專題演講<br>Speech on “How to Take Development of China’s Futures Market as Reference for Taiwan”  |
| 7/9      | 「與局長有約」座談會<br>Meeting of “Appointment with Bureau Director”  |
| 7/17     | 寧波理工學院師生來訪<br>Visit of teaching faculty members and students from Ningbo Institute of Technology   |
| 7/18     | 「104年金融服務關懷社會」園遊會（南投場）<br>“Financial Service & Social Care, 2015” courtyard party (in Nantao)  |
| 7/20     | 第4屆經紀業務委員會第18次會議<br>The 12 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session  |
| 7/22     | 第4屆財務委員會第12次會議<br>The 12 <sup>th</sup> meeting of Financial Committee of the 4 <sup>th</sup> Session   |
| 7/23     | 詹副秘書長受邀至證期局就「大陸期貨市場發展現況」進行演講<br>Deputy Secretary General invited to speak on “Development of China’s Futures Market” at Securities and Futures Bureau                          |
| 7/30~8/6 | 理監事俄羅斯聯誼活動（第一梯次）<br>Friendship activity for directors and supervisors in Russia (Group 1)  |
| 7/31     | 第4屆經理業務委員會第10次會議<br>The 10 <sup>th</sup> meeting of Managed Futures Committee of the 4 <sup>th</sup> Session   |
| 8/1      | 期貨信託基金銷售機構銷售人員輔導考照班(台北場)<br>Driving license test training course for futures trust fund salespersons (Taipei)  |
| 8/2      | 「期貨市場新亮點-人民幣匯率期貨」投資人理財講座<br>Wealth Management Lecture on “New Focus of Taiwan Futures Market—RMB FX Futures”   |
| 8/7      | 第4屆紀律委員會第11次會議<br>The 11 <sup>th</sup> meeting of Discipline Committee of the 4 <sup>th</sup> Session  |



| 日期 Date    | 項目 Event  |
|------------|---|
| 8/11       | 第4屆國際事務委員會第5次會議<br>The 5 <sup>th</sup> meeting of International Affairs Committee of the 4 <sup>th</sup> Session  |
| 8/12       | 第4屆經紀業務委員會第19次會議<br>The 10 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session   |
| 8/15       | 期貨信託基金銷售機構銷售人員輔導考照班(高雄場)<br>Driving license test training course for futures trust fund salespersons (Kaohsiung)  |
| 8/17-19    | 「原油期貨講習課程」<br>Workshop on Crude Oil Futures   |
| 8/21       | 第4屆理監事第14次聯席會議<br>The 14 <sup>th</sup> joint meeting of directors and supervisors of the 4 <sup>th</sup> Session  |
| 8/26       | 「個人資料保護-數位化經濟時代下新興科技的隱私安全」<br>“Personal information protection-how to protect personal privacy amid new technologies in digital economic era”   |
| 8/31       | 「法令遵循主管座談會」<br>“Meeting with Executives of Law-Compliance Issues”   |
| 9/9        | 第4屆信託業務委員會第11次會議<br>The 11 <sup>th</sup> meeting of Futures Trust Committee of the 4 <sup>th</sup> Session  |
| 9/15       | 「洗錢防制說明會」<br>“Money Laundry Control” seminar  |
| 9/16       | 第4屆顧問業務委員會第10次會議<br>The 10 <sup>th</sup> meeting of Advisory Business Committee of the 4 <sup>th</sup> Session  |
| 9/17       | 第4屆自營業務委員會第11次會議<br>The 10 <sup>th</sup> meeting of Proprietary Business Committee of the 4 <sup>th</sup> Session   |
| 9/18       | 「人民幣匯率期貨宣傳講座」(台北1場)<br>“RMB FX Futures Promotional Seminar” (1 round in Taipei)   |
| 9/18       | 「原油期貨上市前會議」(於深圳)<br>“Crude Oil Futures Pre-launch Meeting” (in Shengzhen)   |
| 9/24       | 第4屆稽核暨法遵委員會第7次會議<br>The 7 <sup>th</sup> meeting of Auditing & Law Compliance Committee of the 4 <sup>th</sup> Session   |
| 9/25       | 第4屆教育訓練委員會第9次會議<br>The 9 <sup>th</sup> meeting of Education & Training Committee of the 4 <sup>th</sup> Session   |
| 9/29       | 期貨人才養成班聯合徵才活動<br>Joint talents recruitment activity for futures salesperson training courses  |
| 9/30       | 「2015台北國際期貨論壇」<br>Taipei International Futures Forum 2015   |
| 10/1       | 第4屆經紀業務委員會第20次會議<br>The 20 <sup>th</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session   |
| 10/2       | 內政部績優授獎典禮<br>MOI-held national social and vocational group assessment and awarding ceremony for 2014  |
| 10/9       | 第4屆教育訓練委員會第10次會議<br>The 20 <sup>th</sup> meeting of Education & Training Committee of the 4 <sup>th</sup> Session   |
| 10/13      | 第4屆財務委員會第13次會議<br>The 13 <sup>th</sup> meeting of Financial Committee of the 4 <sup>th</sup> Session  |
| 10/16      | 第4屆理監事第15次聯席會議<br>The 15 <sup>th</sup> joint meeting of directors and supervisors of the 4 <sup>th</sup> Session  |
| 10/22      | 「證券期貨業暨投信投顧業-評估洗錢及資助恐怖主義風險與訂定相關防制計畫」宣導會<br>Advocating activity for promoting the “Money-laundry and Terrorism-funding Risk Assessment and Control Plan” — targeted at securities, futures, securities investment trust, and securities investment consulting firms. |
| 10/23      | 「期貨商調整後淨資本額(ANC)計算及比例標準合宜性」委外研究計畫案討論會議<br>Meeting to discuss the outsourcing research project of “Appropriate Ratio Standard for Calculation of Adjusted Net capital (ANC) of Futures Companies”  |
| 10/26-11/3 | 理監事紐西蘭聯誼活動(第二梯次)<br>Friendship activity for directors and supervisors in New Zealand (Group 2)  |
| 11/2       | 新版會務資訊管理系統、會員服務系統&期貨基金銷售機構服務系統正式上線使用<br>Debut of new CNFA information management system, member service system, as well as futures trust fund sales network   |

| 日期 Date | 項目 Event   |
|---------|--|
| 11/3    | 參加「兩岸期貨及金融衍生品法律問題研討會」暨「陝西省法學會金融法學研究會2015年年會」<br>Attending the “Seminar on legal Issues involved with futures and financial derivatives in Greater China Market” and the annual meeting of “Financial Law Research Association of Shangxi Law Society” for 2015 |
| 11/3    | 第4屆經理業務委員會第11次會議<br>The 11 <sup>th</sup> meeting of Managed Futures Committee of the 4 <sup>th</sup> Session   |
| 11/9    | 「人民幣匯率期貨宣傳講座」(台北2場)<br>“RMB FX Futures Promotional Lecture” (2 rounds in Taipei)   |
| 10/28   | 「人民幣匯率期貨宣傳講座」(高雄場)<br>“RMB FX Futures Promotional Lecture” (in Kaohsiung)  |
| 11/10   | 第4屆顧問業務委員會第11次會議<br>The 11 <sup>th</sup> meeting of Advisory Business Committee of the 4 <sup>th</sup> Session   |
| 11/12   | 「LME交易暨倉儲課程」<br>Training course on LME Trading and Warehousing   |
| 11/14   | 「104年金融服務關懷社會」園遊會(台東場)<br>“Financial Service & Social Care 2015” courtyard party (in Taitung)  |
| 11/16   | 詹副秘書長受邀至期交所就「大陸期貨市場發展現況」進行演講<br>Deputy Secretary General invited to speak on “Development of China’s Futures Market” at the TAIFEX   |
| 11/21   | 從業人員指南宮登山健行聯誼活動<br>Hiking activity on Chihnan Mountain for futures workers   |
| 11/24   | 第4屆國際事務委員會第6次會議<br>The 6 <sup>th</sup> meeting of International Affairs Committee of 4 <sup>th</sup> Session   |
| 11/25   | 第4屆紀律委員會第12次會議<br>The 12 <sup>th</sup> meeting of Discipline Committee of the 4 <sup>th</sup> Session  |
| 12/1    | 第4屆經紀業務委員會第21次會議<br>The 21 <sup>st</sup> meeting of Brokerage Business Committee of the 4 <sup>th</sup> Session  |
| 12/2    | 第4屆信託業務委員會第12次會議<br>The 12 <sup>th</sup> meeting of Futures Trust Committee of the 4 <sup>th</sup> Session   |
| 12/3~5  | 參加「2015年第11屆中國(深圳)國際期貨大會」<br>Attending the “11 <sup>th</sup> China (Shenzhen) International Derivatives Forum”   |
| 12/8    | 第4屆自營業務委員會第12次會議<br>The 12 <sup>th</sup> meeting of Proprietary Business Committee of the 4 <sup>th</sup> Session  |
| 12/9    | 「金融消費者保護-從業務招攬、執行、操作面向談期貨交易者保護」<br>“Protection of financial consumers—how to protect futures traders in aspects of business inducing, implementation, and operation”   |
| 12/10   | 「人民幣匯率期貨宣傳講座」(竹北場)<br>“RMB FX Futures Promotional Lecture” (in Northern Hsinchu)   |
| 12/10   | 第4屆兩岸事務委員會第7次會議<br>The 7 <sup>th</sup> meeting of Cross-straits Affairs Committee of the 4 <sup>th</sup> Session   |
| 12/10   | 第4屆財務委員會第14次會議<br>The 14 <sup>th</sup> meeting of Financial Committee of the 4 <sup>th</sup> Session   |
| 12/11   | 第4屆稽核暨法遵委員會第8次會議<br>The 8 <sup>th</sup> meeting of Auditing & Law Compliance Committee of the 4 <sup>th</sup> Session  |
| 12/15   | 「期貨商調整後淨資本額(ANC)計算及比例標準合宜性」委外研究案中報告<br>Mid-term report of outsourcing research project on “Appropriate Ratio Standard for Calculation of Adjusted Net capital (ANC) of Futures Companies”  |
| 12/16   | 研商期經共同委任之開戶細節會議<br>Discussion over detailed issues of opening joint entrusted account at managed futures enterprise  |
| 12/17   | 「保險業參與期貨信託基金及衍生性金融商品交易之研究」委外研究案中報告<br>Mid-term report of outsourcing research project on “Feasibility for Insurance Companies to Purchase Futures Trust Fund and Other Financial Derivatives”  |
| 12/18   | 「資產管理競爭力-投信業避險增益新世代」論壇<br>Forum on “Assets Management Competitiveness—New-generation Risk-hedging and Profit-seeking Skills for Securities Investment Trust Fund”  |
| 12/18   | 第4屆理監事第16次聯席會議<br>The 16 <sup>th</sup> joint meeting of directors and supervisors of the 4 <sup>th</sup> Session   |

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## 會員名錄

## 中華民國期貨業商業同業公會會員名錄 Directory of CNFA Members

| 代號   | 公司名稱<br>(Company)   | 公司地址<br>(Address)   | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)                 |
|------|---|---|-------------|-------------|-----------------------------------|
| F001 | 國泰期貨股份有限公司<br>Cathay Financial Holding Co., Ltd.                  | 106 台北市敦化南路二段333號19樓部分<br>19F., No.333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan                                    | 02-23269899 | 02-27087967 | http://www.onrich.com.tw          |
| F002 | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd                              | 100台北市重慶南路1段2號8樓<br>8F, 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan   | 02-23811799 | 02-23894228 | http://www.spf.com.tw             |
| F004 | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd.                               | 100台北市重慶南路一段2號6樓部分、12樓及13樓<br>6F., No.2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100, Taiwan                      | 02-23619889 | 02-23713146 | http://www.kgifutures.com.tw/     |
| F005 | 國票期貨股份有限公司<br>Waterland Futures Co., Ltd                          | 105 台北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10<br>No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan                    | 02-27683998 | 02-25287576 | http://www.wlf.com.tw             |
| F007 | 日盛期貨股份有限公司<br>Jihsun Futures Co., Ltd                             | 104台北市南京東路2段111號4樓<br>4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan   | 02-25042088 | 02-25078288 | http://www.jihsunfutures.com.tw   |
| F008 | 統一期貨股份有限公司<br>President Futures Corp.                             | 105台北市東興路8號B1-1<br>B1, 8, Tong Shin Rd., Taipei 105, Taiwan   | 02-27488338 | 02-27488335 | http://www.pfcf.com.tw            |
| F014 | 華南期貨股份有限公司<br>HuaNan Futures Co., Ltd.                            | 105台北市民生東路4段54號3樓之7、8、9<br>3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan   | 02-27180000 | 02-25463700 | http://ft.entrust.com.tw/         |
| F018 | 元富期貨股份有限公司<br>MasterLink Securities Corp                          | 106台北市復興南路1段209號4樓<br>4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan   | 02-27213458 | 02-87732029 | http://futures.masterlink.com.tw/ |
| F020 | 群益期貨股份有限公司<br>Capital Futures Corp.                               | 106 台北市大安區敦化南路2段97號32樓及地下1樓<br>32F., No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan                                | 02-27002888 | 02-27551532 | http://www.capitalfutures.com.tw/ |
| F021 | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd.                            | 104 台北市中山區南京東路三段225號4樓之1、11樓及11樓之1、之2、之4、12樓及12樓之1、之2<br>12F, No.225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-27176000 | 02-27008363 | http://www.pmf.com.tw/            |
| F026 | 富邦期貨股份有限公司<br>Fubon Financial                                     | 100台北市襄陽路9號3樓及3樓之1<br>3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan  | 02-23882626 | 02-23718064 | http://www.fubon.com/             |
| F029 | 康和期貨股份有限公司<br>Concord Futures Corp                                | 105台北市復興北路143號5樓、6樓<br>5F, 143, Fusing N.Rd., Taipei 105, Taiwan  | 02-27171339 | 02-27171319 | http://www.concordfutures.com.tw  |
| F030 | 兆豐期貨股份有限公司<br>Mega Futures Co., Ltd.                              | 110 台北市中正區忠孝東路二段95號2樓<br>2F, 95 Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan   | 02-23278895 | 02-23278879 | http://www.megafutures.com.tw/    |
| F034 | 澳帝華期貨股份有限公司<br>Optiver Futures Co., Ltd.                          | 100 台北市杭州南路1段19號12樓<br>12F, 19 Sec. 1, Hangzhou S. Rd., Taipei 100, Taiwan  | 02-23570777 | 02-23578392 | http://www.optiver.com.au/        |
| F039 | 大昌期貨股份有限公司<br>Dah Chang Futures Co., Ltd.                         | 220台北縣板橋市東門街30之2號9樓<br>9F, 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan   | 02-29601066 | 02-29657707 | http://www.dcnf.com.tw/           |
| R201 | 美商愛德盟期貨經紀股份有限公司台灣分公司<br>ADM Investor Services, Inc. Taiwan Branch | 105台北市南京東路4段161號3樓<br>3F, 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan  | 02-27137590 | 02-27137648 | http://www.admis.com              |
| R208 | 新加坡商大華期貨經紀有限公司台灣分公司<br>UOB Bullion & Futures Ltd., Taiwan Branch  | 110台北市忠孝東路五段68號30樓<br>30F., No.68, Sec. 5, Zhongxiao E. Rd., Xinyi Dist., Taipei City Taiwan                                      | 02-23451266 | 02-23451639 | http://www.uob.com.sg             |
| S109 | 台灣工銀證券股份有限公司<br>IBT Securities Co., Ltd.                          | 114台北市堤頂大道2段99號7樓<br>7F, 99, Sec.2, Tiding Blvd, Taipei 114, Taiwan   | 02-26561111 | 02-26561212 | http://www.ibts.com.tw/           |
| S126 | 宏遠證券股份有限公司<br>Horizon Securities Co., Ltd.                        | 106台北市信義路4段236號3樓<br>3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan   | 02-27008899 | 02-27006088 | http://www.honsec.com.tw/         |
| S144 | 美林證券股份有限公司<br>Merrill Lynch Securities (Taiwan) Limited           | 106 台北市敦化南路二段207號9樓部份、17樓部份<br>9F, 207 Sec. 2, Tun Hwa S. Rd., Taipei 106, Taiwan   | 02-23763766 | 02-23763740 | http://www.ml.com                 |
| S147 | 台灣摩根士丹利證券股份有限公司<br>Morgan stanley taiwan limited.                 | 106 台北市敦化南路二段207號22樓<br>22F., No.207, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan                                      | 02-27302888 | 02-27302804 | http://www.morganstanley.com      |

# Directory of Members

| 代號   | 公司名稱<br>(Company)  | 公司地址<br>(Address)  | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|--|--|-------------|-------------|---|
| S152 | 瑞士商瑞士信貸銀行股份有限公司<br>台北證券分公司<br>Credit Suisse, Taipei Securities Branch      | 105 台北市民生東路3段109號5樓<br>5F, 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan                   | 02-27156307 | 02-27156373 | <a href="http://www.credit-suisse.com">http://www.credit-suisse.com</a>         |
| S153 | 香港商德意志證券亞洲有限公司<br>台北分公司<br>Deutsche Securities Asia Limited, Taipei Branch | 106 台北市仁愛路4段296號13樓<br>13F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan                         | 02-21922888 | 02-37078473 | 建置中   |
| S159 | 花旗環球證券股份有限公司<br>Citigroup Global Markets Taiwan Securities Company Limited | 110 台北市松智路1號14樓<br>14F, 1, Songzhi Rd., Taipei 110, Taiwan                                     | 02-87269000 | 02-87254755 | <a href="http://www.citigroup.com">http://www.citigroup.com</a>                 |
| S165 | 新加坡商瑞銀證券股份有限公司<br>台北分公司<br>UBS Securities Pte.Ltd., Taipei Branch          | 110 台北市松仁路7號5樓<br>5F, 7, Sung Jen Rd., Taipei 110, Taiwan                                      | 02-87227200 | 02-87227230 | <a href="http://www.ubs.com">http://www.ubs.com</a>                             |
| S218 | 亞東證券股份有限公司<br>Oriental Securities Corp.                                    | 100 台北市重慶南路1段86號2樓<br>2F, 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan                    | 02-23618600 | 02-23811061 | <a href="http://www.osc.com.tw">http://www.osc.com.tw</a>                       |
| S505 | 大展證券股份有限公司<br>Ta Chan Securities Co., Ltd.                                 | 103 台北市承德路1段17號17樓<br>17F, 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan                        | 02-25551234 | 02-25554200 | <a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>               |
| S526 | 大慶證券股份有限公司<br>Ta Ching Securities Co., Ltd.                                | 104 台北市民生東路2段176號4樓<br>4F, 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan                   | 02-25084888 | 02-25066545 | <a href="http://www.tcstock.com.tw/">http://www.tcstock.com.tw/</a>             |
| S538 | 第一金證券股份有限公司<br>First Securities Inc  | 104 台北市長安東路1段22號4樓<br>4F., No.22, Sec. 1, Chang'an E. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-25379722 | 02-25635713 | <a href="http://www.ftsi.com.tw">http://www.ftsi.com.tw</a>                     |
| S616 | 中國信託綜合證券股份有限公司<br>Chinatrust Securities Co., Ltd.                          | 115 台北市南港區經貿二路168號3樓<br>3F, No.168, Jingmao 2nd Rd., Nangang Dist., Taipei City, Taiwan        | 02-66392000 | 02-55813881 | <a href="http://www.ctbcsec.com/">http://www.ctbcsec.com/</a>                   |
| S645 | 永全證券股份有限公司<br>Yung Chuan Securities Co., Ltd.                              | 330 桃園市縣府路82號1樓<br>1F, 82, Hsien Fu Rd., Taoyuan 330, Taiwan                                   | 03-3352155  | 03-3350711  | <a href="http://www.yucn.com.tw/">http://www.yucn.com.tw/</a>                   |
| S653 | 大眾綜合證券股份有限公司<br>Ta Chong Securities Co., Ltd.                              | 807 高雄市壽昌路93號2樓<br>2F, 93, Shou Chang Rd., Kaohsiung 807, Taiwan                               | 07-3871166  | 07-3854985  | <a href="http://www.tcsc.com.tw">http://www.tcsc.com.tw</a>                     |
| S703 | 致和證券股份有限公司<br>Concord International Securities Co., Ltd.                   | 704 台南市西門路三段10號地下1樓、1、2、3、4、7樓<br>10 Shi-Men Rd., Sec.3, Tainan 704, Taiwan                    | 06-2219777  | 06-2286324  | <a href="http://www.wintan.com.tw/">http://www.wintan.com.tw/</a>               |
| S844 | 摩根大通證券股份有限公司<br>J.P. Morgan Securities Co., Ltd.                           | 110 台北市信義路5段106號3樓<br>3F, 106, Sec.5, Hsin Yi Rd., Taipei 110, Taiwan                          | 02-87862288 | 02-27253183 | <a href="http://www.jpmorgan.com">http://www.jpmorgan.com</a>                   |
| S856 | 新光證券股份有限公司<br>Shin Kwang Securities Co., Ltd.                              | 100 台北市重慶南路1段66之1號5樓<br>5F, 66-1, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan                | 02-23118181 | 02-23714293 | <a href="http://www.skis.com.tw/">http://www.skis.com.tw/</a>                   |
| S884 | 玉山綜合證券股份有限公司<br>E. Sun Securities Co., Ltd.                                | 105 台北市松山區民生東路3段158號6樓、156號2樓<br>2F, NO.156 Sec 3, Ming Sheng E. Rd., Taipei Taiwan            | 02-55561313 | 02-27186613 | <a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>               |
| B091 | 群益金鼎證券股份有限公司<br>The Capital Group  | 110 台北市松仁路101號4樓<br>4F, 101 Sung Jen Rd., Taipei 110, Taiwan                                   | 02-87898888 | 02-87892942 | <a href="http://www.capital.com.tw">http://www.capital.com.tw</a>               |
| B092 | 凱基證券股份有限公司<br>KGI Securities Co., Ltd.                                     | 104 台北市明水路700號10樓<br>10F, 700, Mingshui Rd., Taipei 104, Taiwan                                | 02-21818888 | 02-85011852 | <a href="http://www.kgi.com.tw/">http://www.kgi.com.tw/</a>                     |
| B093 | 華南永昌綜合證券股份有限公司<br>HuaNan Securities Co., Ltd.                              | 105 台北市民生東路4段54號5樓<br>5F, 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan                     | 02-25456888 | 02-25459231 | <a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>               |
| B096 | 富邦綜合證券股份有限公司<br>Fubon Financial  | 106 台北市大安區仁愛路四段169號2樓及15樓<br>2F., No.169, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei City         | 02-87716888 | 02-87716795 | <a href="http://www.fubon.com/">http://www.fubon.com/</a>                       |
| B098 | 元大證券股份有限公司<br>Yuanta Futures Co., Ltd                                      | 104 台北市南京東路3段225號13樓<br>13F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan                    | 02-27181234 | 02-27180412 | <a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>                 |
| B09A | 永豐金證券股份有限公司<br>SinoPac Securities Corp.                                    | 100 台北市重慶南路一段2號7、18及20樓<br>20F, 2 Sec.1, Chung Ching S. Rd. Taipei 100 Taiwan                  | 02-23823866 | 02-23823529 | <a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a> |

# 會員名錄

| 代號   | 公司名稱<br>(Company)   | 公司地址<br>(Address)  | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|---|--|-------------|-------------|---|
| B102 | 合作金庫證券股份有限公司<br>Taiwan Cooperative Bank Co., Ltd.               | 106台北市忠孝東路4段325號6樓<br>6F, 325, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan                             | 02-27319987 | 02-27754202 | <a href="http://www.tcb-bank.com.tw/">http://www.tcb-bank.com.tw/</a>   |
| B103 | 臺灣土地銀行股份有限公司<br>Land Bank Co., Ltd.                             | 100台北市延平南路81號<br>81, Yen Ping S.Rd., Taipei 100, Taiwan  | 02-23483975 | 02-23891864 | <a href="http://www.landbank.com.tw">http://www.landbank.com.tw</a>   |
| B104 | 臺銀綜合證券股份有限公司<br>Bank Taiwan Securities Co., Ltd.                | 100台北市重慶南路1段58號4樓<br>4F, 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan                              | 02-23882188 | 02-23822045 | <a href="http://www.twfhsec.com.tw/">http://www.twfhsec.com.tw/</a>   |
| B111 | 台灣中小企業銀行股份有限公司<br>Taiwan Business Bank Co., Ltd.                | 103台北市塔城街30號4樓<br>4F, 30, Tacheng St., Taipei 103, Taiwan  | 02-25597171 | 02-25509987 | <a href="http://www.tbb.com.tw">http://www.tbb.com.tw</a>   |
| B116 | 日盛證券股份有限公司<br>Jih Sun Securities Co., Ltd.                      | 104台北市南京東路2段111號3樓<br>3F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan                                 | 02-25048888 | 02-25071047 | <a href="http://www.jihsun.com.tw/">http://www.jihsun.com.tw/</a>   |
| B123 | 彰化商業銀行股份有限公司<br>Chang Hwa Bank Co., Ltd.                        | 100台北市衡陽路68號3樓<br>3F,68, Hengyang Rd., Taipei 100, Taiwan  | 02-23619654 | 02-23113726 | <a href="http://www.chb.com.tw">http://www.chb.com.tw</a>   |
| B511 | 富隆證券股份有限公司<br>Fullong Securities Co., Ltd.                      | 105台北市南京東路3段287號3樓<br>3F, 287, Sec.3, Nanking E. Rd., Taipei 104, Taiwan                                 | 02-27182788 | 02-27183445 | <a href="http://www.fullong.com.tw">http://www.fullong.com.tw</a>   |
| B532 | 高橋證券股份有限公司<br>Goldengate Securities Co., Ltd.                   | 320桃園縣中壢市中和路96號<br>96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan  | 03-4224243  | 03-4225738  | <a href="http://www.easytrade.com.tw">http://www.easytrade.com.tw</a>   |
| B546 | 寶盛證券股份有限公司<br>Pao Shin Securities Co., Ltd.                     | 406台中市北興街43號1樓<br>1F, 43, Beising St., Taichung 406, Taiwan  | 04-22309377 | 04-22371684 | 建置中   |
| B560 | 永興證券股份有限公司<br>Yung Shin Securities Co., Ltd.                    | 100台北市南海路20號2樓<br>2F, 20, Nanhai Rd., Taipei 100, Taiwan   | 02-23218200 | 02-23516239 | <a href="http://www.yssc.com.tw">http://www.yssc.com.tw</a>   |
| B566 | 日進證券股份有限公司<br>Fortune Securities Co., Ltd.                      | 360苗栗市中正路426號4樓<br>4F, 426, Chung Cheng Rd., Miaoli 360, Taiwan  | 037-332266  | 037-335228  | <a href="http://www.fscl.com.tw/">http://www.fscl.com.tw/</a>   |
| B585 | 統一綜合證券股份有限公司<br>President Securities Corp.                      | 105台北市東興路8號1樓<br>1F, 8, Tong Shin Rd., Taipei 105, Taiwan  | 02-27478266 | 02-27463737 | <a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>   |
| B586 | 盈溢證券股份有限公司<br>Ying Yi Securities Co., Ltd.                      | 800高雄市七賢二路38號<br>38, Chihshien 2 Rd., Kaohsiung 800, Taiwan  | 07-2888516  | 07-9682619  | <a href="http://www.e-order.com.tw/e-order/layout.jsp?err=logout">http://www.e-order.com.tw/e-order/layout.jsp?err=logout</a> |
| B587 | 光隆證券股份有限公司<br>Kuang Long Securities Co., Ltd.                   | 970花蓮市公園路26號4樓<br>4F, 26, Kungyuan Rd., Hualian 970, Taiwan  | 03-8352181  | 03-8353447  | <a href="https://etrade.kunglon.com.tw/kunglon/about/layout.jsp">https://etrade.kunglon.com.tw/kunglon/about/layout.jsp</a>   |
| B592 | 元富證券股份有限公司<br>Master Link Securities Corp.                      | 106台北市復興南路1段209號1樓<br>1F, 209, Sec.1, Fuhsing S.Rd., Taipei 106, Taiwan                                  | 02-23255818 | 02-23257923 | <a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>   |
| B596 | 日茂證券股份有限公司<br>Jee Mach Securities Co., Ltd.                     | 542南投縣草屯鎮草溪路1020號2樓<br>2F, 1020, Tsao-His Rd., Tsao-tun Nantou 542, Taiwan                               | 049-2353266 | 049-2357216 | <a href="http://www.gmstock.com.tw">http://www.gmstock.com.tw</a>   |
| B601 | 犇亞證券股份有限公司<br>Primasia Securities Co., Ltd.                     | 105台北市松山區復興北路99號3樓<br>3F, 99 Fushin N. Rd., Taipei 105, Taiwan   | 02-27180101 | 02-27180202 | <a href="http://www.primasia.com">http://www.primasia.com</a>   |
| B611 | 台中銀證券股份有限公司<br>TAICHUNG COMMERCIAL BANK<br>Securities Co., Ltd. | 400 台中市 中區民族路45號1、2樓<br>1F, No.45, Minzu Rd., Central Dist., Taichung City 400, Taiwan                   | 04-22236021 | 04-22208175 | <a href="http://www.tcbbank.com.tw/">http://www.tcbbank.com.tw/</a>   |
| B621 | 新百王證券股份有限公司<br>New Hundred King Securities Co., Ltd.            | 801高雄市中區中華三路11號B1<br>B1, 11, Chunghua 3 Rd., Kaohsiung 801, Taiwan                                       | 07-2118888  | 07-2512518  | <a href="http://www.nhks.com.tw/">http://www.nhks.com.tw/</a>   |
| B638 | 光和證券股份有限公司<br>Kuanzho Securities Corp.                          | 521彰化縣北斗鎮光復路166號3樓<br>3F, 166, Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan                          | 04-8886500  | 04-8886626  | <a href="http://www.kuanzho.com.tw/">http://www.kuanzho.com.tw/</a>   |
| B646 | 大昌證券股份有限公司<br>Dah Chang Securities Co., Ltd.                    | 220 台北縣板橋市東門街30之2號2樓之1至85及9樓之1、2<br>9F, No.30-2, Dongmen St., Banqiao Dist., New Taipei City 220, Taiwan | 02-29689685 | 02-29676069 | <a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>   |
| B648 | 福邦證券股份有限公司<br>Grand Fortune Securities Co., Ltd.                | 104 台北市民生東路一段51號3樓<br>3F, No.51, Sec. 1, Minsheng E. Rd., Zhongshan Dist., Taipei 104, Taiwan            | 02-21812688 | 02-21812606 | <a href="http://www.gfortune.com.tw/">http://www.gfortune.com.tw/</a>   |

| 代號   | 公司名稱<br>(Company)                              | 公司地址<br>(Address)  | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|--|--|-------------|-------------|---|
| B662 | 全泰證券股份有限公司<br>Chuan Tai Securities Co., Ltd.   | 330桃園市三民路3段317號3樓<br>3F, 317, Sec.3, San Ming Rd., Taoyuan 330, Taiwan                         | 03-3353359  | 03-3345286  | 建置中   |
| B691 | 德信綜合證券股份有限公司<br>Reliance Securities Co., Ltd.  | 100台北市新生南路1段50號3樓<br>3F, 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan                      | 02-23939988 | 02-23567722 | <a href="http://www.rsc.com.tw">http://www.rsc.com.tw</a>   |
| B695 | 福勝證券股份有限公司<br>Fushan Securities Co., Ltd.      | 251台北縣淡水鎮中正路63號5樓<br>5F, 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan                       | 02-26251818 | 02-26251705 | <a href="http://www.fushan-ewin.com.tw">http://www.fushan-ewin.com.tw</a>   |
| B700 | 兆豐證券股份有限公司<br>Mega Securities Co., Ltd.        | 106台北市忠孝東路2段95號3樓<br>3F, 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan                     | 02-23278988 | 02-33932995 | <a href="http://www.emega.com.tw/">http://www.emega.com.tw/</a>   |
| B702 | 信富證券股份有限公司<br>Shin Fan Securities Co., Ltd.    | 613 嘉義縣朴子市山通路37號3、4樓<br>3F, No.37, Shantong Rd., Puzi City, Chiayi County 613, Taiwan          | 05-3700988  | 05-3700909  | <a href="https://www.shinfan.com.tw/shinfan/main.jsp">https://www.shinfan.com.tw/shinfan/main.jsp</a>                             |
| B707 | 豐農證券股份有限公司<br>Feng Long Securities Co., Ltd.   | 420台中縣豐原市水源路669號<br>669, Shuiyuan Rd., Fengyuan, Taichung 420, Taiwan                          | 04-25281000 | 04-25253100 | <a href="https://sptrade.fenglong.com.tw/fenglong/index/layout.jsp">https://sptrade.fenglong.com.tw/fenglong/index/layout.jsp</a> |
| B767 | 金港證券股份有限公司<br>Goldport Stock Corp.             | 802高雄市三多二路93號2樓<br>2F, 93, Sando 2 Rd., Kaohsiung 802, Taiwan                                  | 07-7129399  | 07-7133579  | <a href="https://sptrade.chinkang.com.tw/chinkang/index/layout.jsp">https://sptrade.chinkang.com.tw/chinkang/index/layout.jsp</a> |
| B775 | 北城證券股份有限公司<br>Pei Cheng Securities Co., Ltd.   | 234台北縣永和市永和路2段116號3樓<br>3F, 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan               | 02-29283456 | 02-29208664 | <a href="http://www.peicheng.com.tw">http://www.peicheng.com.tw</a>   |
| B778 | 富星證券股份有限公司<br>Fu Hsing Securities Co., Ltd.    | 632雲林縣虎尾鎮和平路1號4樓<br>4F, 1, Ho Ping Rd., Hu Wei Chen, Yunlin 632, Taiwan                        | 05-6334888  | 05-6334700  | <a href="http://www.fuhsin.com.tw/">http://www.fuhsin.com.tw/</a>   |
| B779 | 國票綜合證券股份有限公司<br>Waterland Securities Co., Ltd. | 103台北市重慶北路3段199號B1<br>B1, 199, Sec.3, Chung Ching N. Rd., Taipei 103, Taiwan                   | 02-25288988 | 02-27647834 | <a href="http://www.wls.com.tw/">http://www.wls.com.tw/</a>   |
| B790 | 豐德證券股份有限公司<br>Fung Der Securities Co., Ltd.    | 420台中縣豐原市中山路481號<br>481, Chung Shan Rd., Feng-Yuan, Taichung 420, Taiwan                       | 04-25282800 | 04-25282306 | <a href="https://sptrade.kingfong.com.tw/kingfong/index/layout.jsp">https://sptrade.kingfong.com.tw/kingfong/index/layout.jsp</a> |
| B815 | 台新綜合證券股份有限公司<br>Taishin Securities Co., Ltd.   | 104 台北市中山北路二段44號2樓<br>2F, No.44, Sec. 2, Zhongshan N. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-21815888 | 02-25232016 | <a href="http://www.tssco.com.tw/">http://www.tssco.com.tw/</a>   |
| B838 | 安泰證券股份有限公司<br>Antay Securities Co., Ltd.       | 830高雄縣鳳山市五福二路36號<br>36, Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan                          | 07- 8122789 | 07-8315419  | <a href="http://www.antay.com.tw/">http://www.antay.com.tw/</a>   |
| B845 | 康和綜合證券股份有限公司<br>Concord Securities Corp.       | 110台北市基隆路1段176號B1<br>B1, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan                           | 02-87871888 | 02-27697562 | <a href="http://www.concords.com.tw/">http://www.concords.com.tw/</a>   |
| B849 | 萬泰證券股份有限公司<br>Wan Tai Securities Co., Ltd.     | 600嘉義市新榮路193號2樓<br>2F, 193, Hsin-Jung Rd., Chiayi 600, Taiwan                                  | 05-2289911  | 05-2273459  | 建置中   |
| B852 | 中農證券股份有限公司<br>Chung Nour Securities Co., Ltd.  | 435台中縣梧棲鎮四維中路157巷1號2樓<br>2F, 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan       | 04-26572801 | 04-26564499 | <a href="https://sptrade.chungnour.com.tw/">https://sptrade.chungnour.com.tw/</a>   |
| B858 | 聯邦商業銀行股份有限公司<br>Union Bank of Taiwan Co., Ltd. | 104台北市南京東路2段137號2樓<br>2F, 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan                       | 02-25040066 | 02-25171241 | <a href="http://www.ubot.com.tw">http://www.ubot.com.tw</a>   |
| B866 | 萬通證券股份有限公司<br>Vantone Securities Co., Ltd.     | 104台北市松江路301號2樓<br>2F, 301, Songjiang Rd., Taipei 104, Taiwan                                  | 02-25156655 | 02-25026363 | 建置中   |
| B871 | 陽信證券股份有限公司<br>Sunny Securities Co., Ltd.       | 105台北市民生東路5段165號B2<br>B2, 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan                    | 02-28288585 | 02-28213444 | <a href="http://www.sunnysec.com.tw">http://www.sunnysec.com.tw</a>   |
| B877 | 大鼎證券股份有限公司<br>Da-Din Securities Co., Ltd.      | 110 台北市信義路四段456、458號8樓<br>8F, No.458、456, Sec. 4, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan   | 02-87862666 | 02-87862663 | <a href="http://www.ycsc.com.tw">http://www.ycsc.com.tw</a>   |
| B885 | 鑫豐證券股份有限公司<br>Shing Fong Securities Co., Ltd.  | 104 台北市大同區民權西路104號5樓之1<br>5F-1, No.104, Minquan W. Rd., Datong Dist., Taipei 103, Taiwan       | 02-25538000 | 02-25548064 | <a href="http://www.promoney.com.tw/">http://www.promoney.com.tw/</a>   |

# 會員名錄

| 代號   | 公司名稱<br>(Company)  | 公司地址<br>(Address)  | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|--|--|-------------|-------------|---|
| B888 | 國泰綜合證券股份有限公司<br>Cathay Securities Co., Ltd.                    | 106 台北市敦化南路二段333號19樓部分暨335號6樓部分、19樓部分<br>19F., No.333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan               | 02-23269888 | 02-27003670 | <a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>             |
| P001 | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd                           | 100台北市重慶南路1段2號8樓<br>8F, 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan  | 02-23811799 | 02-23894228 | <a href="http://www.spf.com.tw">http://www.spf.com.tw</a>                         |
| P002 | 兆豐證券股份有限公司<br>Mega Securities Co., Ltd.                        | 100台北市忠孝東路二段95號5樓、9樓(部分)及10樓(部分)<br>5F., No.95, Sec. 2, Zhongxiao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan                   | 02-33227073 | 02-33933052 | <a href="http://www.megasec.com.tw/">http://www.megasec.com.tw/</a>               |
| P003 | 統一期貨股份有限公司<br>President Futures Corp.                          | 105台北市東興路8號B1<br>B1, 8, Tong Shin Rd., Taipei 105, Taiwan  | 02-27488338 | 02-27488335 | <a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>                       |
| P004 | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd                          | 104台北市中山區南京東路三段225號4樓之1、11樓及11樓之1、之2、之4、12樓及12樓之1、之2<br>12F, No.225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-27176000 | 02-27008363 | <a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>                       |
| P005 | 日盛期貨股份有限公司<br>Jih Sun Futures Co., Ltd.                        | 104台北市南京東路2段111號4樓<br>4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan  | 02-25042088 | 02-25078288 | <a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>     |
| P006 | 群益期貨股份有限公司<br>Capital Futures Corp.                            | 106 台北市大安區敦化南路2段97號32樓及地下1樓<br>32F., No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan                               | 02-87800099 | 02-87893782 | <a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a> |
| P008 | 元富證券股份有限公司<br>Master Link Securities Corp.                     | 106台北市敦化南路2段97號19樓<br>19F, 97, Sec.2, Tunhwa S.Rd., Taipei 106, Taiwan   | 02-23255818 | 02-27000208 | <a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>           |
| P014 | 大展證券股份有限公司<br>Ta Chan Securities Co., Ltd.                     | 103台北市承德路1段17號17樓<br>17F, 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan   | 02-25551234 | 02-25554200 | <a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>                 |
| P015 | 元富期貨股份有限公司<br>Master Link Futures Corp.                        | 106台北市復興南路1段209號4樓<br>4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan  | 02-27213458 | 02-87732029 | <a href="http://futures.masterlink.com.tw/">http://futures.masterlink.com.tw/</a> |
| P020 | 凱基證券股份有限公司<br>KGI Securities Co., Ltd.                         | 104台北市明水路700號9樓<br>9F, 700, Mingshui Rd., Taipei 104, Taiwan   | 02-21818888 | 02-85011852 | <a href="http://etrade.kgi.com.tw/">http://etrade.kgi.com.tw/</a>                 |
| P022 | 富邦綜合證券股份有限公司<br>Fubon Financial.                               | 106台北市大安區仁愛路四段169號2樓及15樓<br>2F., No.169, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei City  | 02-27767819 | 02-27210783 | <a href="http://www.fubon.com/">http://www.fubon.com/</a>                         |
| P024 | 統一綜合證券股份有限公司<br>President Securities Corp.                     | 105台北市東興路8號2樓、11樓<br>11F,8 Tong Shin Rd., Taipei 105, Taiwan   | 02-27478266 | 02-27463737 | <a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>                   |
| P026 | 華南永昌綜合證券股份有限公司<br>HuaNan Securities Co., Ltd.                  | 105台北市民生東路4段54號5樓<br>5F, 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan  | 02-25456888 | 02-25454122 | <a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>                 |
| P027 | 台灣工銀證券股份有限公司<br>IBT Securities Co., Ltd.                       | 114台北市堤頂大道2段99號7樓<br>7F, 99, Sec.2, Tiding Blvd, Taipei 114, Taiwan  | 02-26561111 | 02-26561212 | <a href="http://www.ibts.com.tw/">http://www.ibts.com.tw/</a>                     |
| P028 | 元大證券股份有限公司<br>Yuanta Futures Co., Ltd                          | 104台北市南京東路3段225號13樓、14樓<br>13F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan   | 02-27181234 | 02-27180412 | <a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>                   |
| P030 | 日盛證券股份有限公司<br>Jih Sun Securities Co., Ltd.                     | 104台北市南京東路2段111號3樓<br>3F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan   | 02-25048888 | 02-25048400 | <a href="http://www.jihsun.com.tw/">http://www.jihsun.com.tw/</a>                 |
| P032 | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd.                            | 100台北市重慶南路一段2號6樓部分、12樓及13樓<br>6F., No.2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100, Taiwan                     | 02-25169009 | 02-25082351 | <a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>         |
| P033 | 國泰綜合證券股份有限公司<br>Cathay Securities Co., Ltd.                    | 106台北市敦化南路二段333號19樓部分暨335號6樓部分、19樓部分<br>19F., No.333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan                | 02-23269888 | 02-27003350 | <a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>             |
| P034 | 香港商法國興業證券股份有限公司台北分公司<br>SG Securities (HK) Ltd., Taipei Branch | 110台北市信義區忠孝東路5段68號38樓部分<br>38F., No.68, Sec. 5, Zhongxiao E. Rd., Xinyi Dist., Taipei City 110, Taiwan                           | 02-21750800 | 02-25467376 | <a href="http://www.socgen.com">http://www.socgen.com</a>                         |
| P036 | 大眾綜合證券股份有限公司<br>Ta Chong Securities Co., Ltd.                  | 104台北市中山區復興南路1段2號2樓<br>2F, 2 Sec.1, Fushing S., Taipei 104, Taiwan   | 02-27790866 | 02-27790800 | <a href="http://www.tscs.com.tw">http://www.tscs.com.tw</a>                       |

| 代號   | 公司名稱<br>(Company)                                 | 公司地址<br>(Address)   | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|---|---|-------------|-------------|---|
| P037 | 亞東證券股份有限公司<br>Oriental Securities Corp.           | 100台北市重慶南路1段86號2樓<br>2F, 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan  | 02-23618600 | 02-23811061 | <a href="http://www.osc.com.tw">http://www.osc.com.tw</a>                         |
| P038 | 澳帝華期貨股份有限公司<br>Optiver Taiwan Co., Ltd.           | 100台北市杭州南路1段19號12樓<br>12F, 19, Sec.1, Hangzhou S. Rd., Taipei 100, Taiwan   | 02-23570777 | 02-23578392 | <a href="http://www.optiver.com">http://www.optiver.com</a>                       |
| P039 | 第一金證券股份有限公司<br>First Securities Inc.              | 104 台北市長安東路1段22號3樓<br>3F, No.22, Sec. 1, Chang-an E. Rd., Taipei City 104, Taiwan   | 02-25636262 | 02-25635713 | <a href="http://www.ftsi.com.tw">http://www.ftsi.com.tw</a>                       |
| P042 | 中國信託商業銀行股份有限公司<br>Chinatrust Commercial Bank      | 115台北市南港區經貿二路168號5、6樓<br>5F, No.168, Jingmao 2nd Rd., Nangang Dist., Taipei City, Taiwan  | 02-27222002 | 02-87808867 | <a href="http://hrbank.chinatrust.com.tw">http://hrbank.chinatrust.com.tw</a>     |
| P045 | 國票綜合證券股份有限公司<br>Waterland Securities Co., Ltd.    | 105台北市南京東路5段188號15樓<br>15F, 188, Sec.5, Nanking E. Rd., Taipei 105, Taiwan  | 02-25288988 | 02-27647834 | <a href="http://web.wls.com.tw/">http://web.wls.com.tw/</a>                       |
| P046 | 宏遠證券股份有限公司<br>Horizon Securities Co., Ltd.        | 106台北市信義路4段236號7樓<br>7F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan   | 02-27008899 | 02-27008897 | <a href="http://www.honsec.com.tw/">http://www.honsec.com.tw/</a>                 |
| P047 | 國票期貨股份有限公司<br>Waterland Futures Co., Ltd          | 105 台北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10<br>No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan                  | 02-27683998 | 02-27287576 | <a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>                         |
| P050 | 康和期貨股份有限公司<br>Concord Futures Co., Ltd            | 105台北市復興北路143號5樓<br>5F, 143, Fusing N. Rd., Taipei 105, Taiwan  | 02-27171339 | 02-27171319 | <a href="http://www.concordfutures.com.tw/">http://www.concordfutures.com.tw/</a> |
| P052 | 中國信託綜合證券股份有限公司<br>Chinatrust Securities Co., Ltd. | 115 台北市南港區經貿二路188號14樓<br>14F, No.188, Jingmao 2nd Rd., Nangang Dist., Taipei City   | 02-66392000 | 02-66392500 | <a href="http://www.chinatrustsec.com.tw/">http://www.chinatrustsec.com.tw/</a>   |
| P053 | 玉山綜合證券股份有限公司<br>E. Sun Securities Co. Ltd.        | 105 台北市松山區民生東路3段158號6樓、156號2樓<br>2F, NO.156 Sec 3, Ming Sheng E. Rd., Taipei Taiwan   | 02-55561313 | 02-27188913 | <a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>                 |
| P054 | 法銀巴黎證券股份有限公司<br>BNP PARIBAS INVESTMENT PARTNERS   | 110台北市信義區信義路五段7號72樓(部分)<br>72F, No.7, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei City   | 02-87297000 | 02-81012168 | <a href="http://www.bnpparibas-ip.com">http://www.bnpparibas-ip.com</a>           |
| P09A | 永豐金證券股份有限公司<br>SinoPac Securities Corp.           | 100 台北市重慶南路一段2號7、18及20樓<br>20F, 2 Sec.1, Chung Ching S. Rd. Taipei 100 Taiwan   | 02-23823866 | 02-23823529 | <a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>   |
| A001 | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd              | 100台北市重慶南路1段2號8樓<br>8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan  | 02-23811799 | 02-23894228 | <a href="http://www.spf.com.tw">http://www.spf.com.tw</a>                         |
| A002 | 統一期貨股份有限公司<br>President Futures Corp.             | 105台北市東興路8號B1<br>B1, 8, Tong Shin Rd., Taipei 105, Taiwan   | 02-27488338 | 02-27488335 | <a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>                       |
| A003 | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd             | 104 台北市南京東路三段225號11樓、11樓之1、2、3及12樓、12樓之1、2、3<br>11F-1, No.225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei City 104, Taiwan | 02-27176000 | 02-27008363 | <a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>                       |
| A004 | 日盛期貨股份有限公司<br>Jih Sun Futures Co., Ltd.           | 104台北市南京東路2段111號4樓<br>4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan   | 02-25042088 | 02-25078288 | <a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>     |
| A005 | 群益期貨股份有限公司<br>Capital Futures Corp.               | 106 台北市大安區敦化南路2段97號32樓及地下1樓<br>32F, No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan                          | 02-87800099 | 02-87893782 | <a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a> |
| A006 | 國泰期貨股份有限公司<br>Cathay Financial Holding Co., Ltd.  | 106 台北市敦化南路二段333號19樓部分<br>19F, No.333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan                              | 02-23269899 | 02-27087967 | <a href="http://www.onrich.com.tw">http://www.onrich.com.tw</a>                   |
| A008 | 康和期貨股份有限公司<br>Concord Futures Co., Ltd.           | 105台北市復興北路143號5樓、6樓<br>5F, 143, Fusing N. Rd., Taipei 105, Taiwan   | 02-27171339 | 02-27171319 | <a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>   |
| A009 | 富邦期貨股份有限公司<br>Fubon Financial                     | 100台北市襄陽路9號3樓及3樓之1<br>3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan  | 02-23882626 | 02-23718064 | <a href="http://www.fubon.com/">http://www.fubon.com/</a>                         |
| A011 | 華南期貨股份有限公司<br>HuaNan Futures Co., Ltd.            | 105台北市民生東路4段54號3樓之7、8、9<br>3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan   | 02-27180000 | 02-25463700 | <a href="http://ft.entrust.com.tw/">http://ft.entrust.com.tw/</a>                 |
| A013 | 元富期貨股份有限公司<br>Master Link Futures Corp.           | 106台北市復興南路1段209號4樓<br>4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan   | 02-27213458 | 02-87732029 | <a href="http://futures.masterlink.com.tw/">http://futures.masterlink.com.tw/</a> |

# 會員名錄

| 代號   | 公司名稱<br>(Company)  | 公司地址<br>(Address)  | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|--|--|-------------|-------------|---|
| A019 | 國票期貨股份有限公司<br>Waterland Futures Co., Ltd   | 105 台北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10<br>No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan | 02-27683998 | 02-25287576 | <a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>                               |
| A020 | 兆豐期貨股份有限公司<br>Mega Futures Co., Ltd.   | 110 台北市中正區忠孝東路二段95號2樓<br>2F, 95 Sec.2, Chung Hsiao E. Rd., Taipei 110 Taiwan                                   | 02-23278895 | 02-23278879 | <a href="http://www.megafutures.com.tw/">http://www.megafutures.com.tw/</a>             |
| A021 | 凱基期貨股份有限公司<br>KGI Futures Co., Ltd.  | 100台北市重慶南路一段2號6樓部分、12樓及13樓<br>6F., No.2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100, Taiwan   | 02-23619889 | 02-23713146 | <a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>               |
| A025 | 永豐金證券股份有限公司<br>SinoPac Securities Corp.  | 100 台北市重慶南路一段2號7、18及20樓<br>20F,2 Sec.1,Chung Ching S.Rd.Taipei 100 Taiwan                                      | 02-23123866 | 02-23823529 | <a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>         |
| A026 | 運達證券投資顧問股份有限公司<br>Yun Ta Investment Consulting Co.,Ltd.                            | 104 台北市中山區八德路二段260號10樓<br>10F., No.260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei City 104, Taiwan             | 02-55899966 | 02-27312166 | <a href="http://win-dollar.com/">http://win-dollar.com/</a>                             |
| A027 | 萬寶證券投資顧問股份有限公司<br>Marbo Securities Consultant Co., Ltd.                            | 104台北市松江路87號4樓<br>4F, 87, Songjiang Rd., Taipei 104, Taiwan  | 02-66083998 | 02-66088833 | <a href="http://www.marbo.com.tw/">http://www.marbo.com.tw/</a>                         |
| A028 | 啟發證券投資顧問股份有限公司<br>Chi Far Securities Investment Consultant                         | 100台北市忠孝西路1段100號9樓<br>9F, 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan                                   | 02-23820566 | 02-23313628 | <a href="http://www.chifar.com.tw/">http://www.chifar.com.tw/</a>                       |
| A029 | 大華國際證券投資顧問股份有限公司<br>Da Hwa International Securities Investment Consulting Co., Ltd | 100 台北市羅斯福路二段102號23樓及100號26樓之1<br>23F., 102., Sec.2., Roosevelt Rd., Taipei 100, Taiwan                        | 02-83695566 | 02-77258855 | <a href="http://www.toptrade.com.tw/">http://www.toptrade.com.tw/</a>                   |
| A030 | 亨達證券投資顧問股份有限公司<br>Hantec Securities Consultant Limited                             | 110台北市信義路5段7號24樓<br>24F, 7, Sec5, Hsin Yi Rd., Taipei, Taiwan  | 02-81010618 | 02-81010616 | <a href="http://www.hantec.com.tw/">http://www.hantec.com.tw/</a>                       |
| A031 | 聯華證券投資顧問股份有限公司<br>United Asset Investment Consultant Services                      | 104 台北市中山區松江路369號6樓<br>6F., No.369, Songjiang Rd., Zhongshan Dist., Taipei City, Taiwan                        | 02-25096777 | 02-25043151 | <a href="http://www.uas5888.com.tw/index.php">http://www.uas5888.com.tw/index.php</a>   |
| A032 | 顧德證券投資顧問股份有限公司<br>Good Securities Investment Consultant                            | 105 台北市松山區南京東路四段126號14樓<br>14F., No.126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan            | 02-23913000 | 02-23413451 | <a href="http://www.good-group.com.tw/">http://www.good-group.com.tw/</a>               |
| A033 | 華信證券投資顧問股份有限公司<br>Hwashin Investment Consulting Co., Ltd                           | 100台北市青島東路7號5樓之4<br>5F, 7, Qingdao E.Rd., Taipei 100, Taiwan   | 02-23923988 | 02-77253896 | <a href="http://www.hwashin.com.tw/">http://www.hwashin.com.tw/</a>                     |
| A034 | 承通證券投資顧問股份有限公司<br>Cheng-Tung Securities Investment Consulting CO.,LTD              | 104 台北市八德路2段260號6樓<br>6F., No.260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei City 104, Taiwan                  | 02-27813900 | 02-27722813 | <a href="http://27813900.com/">http://27813900.com/</a>                                 |
| A036 | 永誠國際證券投資顧問股份有限公司<br>Yong Cheng Securities Investment Consultant                    | 104台北市中山北路1段145號6樓<br>6F, 145, Sec.1, Jhongshan N. Rd., Taipei 104, Taiwan                                     | 02-25611115 | 02-25611084 | <a href="http://www.88598.com.tw/">http://www.88598.com.tw/</a>                         |
| A040 | 康和期貨經理事業股份有限公司<br>Concord Managed Futures Co., Ltd.                                | 110 台北市基隆路一段176號14樓<br>14F,176 Sec.1, Keelung Rd., Taipei 110, Taiwan  | 02-37653688 | 02-37651038 | <a href="http://www.concordfutures.com.tw/FM/">http://www.concordfutures.com.tw/FM/</a> |
| A041 | 大昌證券股份有限公司<br>Dah Chang Securities Co.,Ltd   | 220 台北縣板橋市東門街30之2號2樓之1至85及9樓1、2<br>No.2-2, No.85-9, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan         | 02-29689685 | 02-29658473 | <a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>                               |
| A042 | 玉山證券投資顧問股份有限公司<br>E.SUN Investment Consulting Co.,Ltd.                             | 105 台北市松山區民生東路三段156號6樓之1<br>7E., No.117, Sec. 3, Minsheng E. Rd., Songshan Dist., Taipei 105, Taiwan           | 02-55569913 | 02-25453313 | <a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>                       |
| A043 | 亞洲證券投資顧問股份有限公司<br>Asia securities investment advisory-inc.                         | 105 台北市松山區南京東路四段126號11樓A2<br>Rm. A2, 11F., No.126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan  | 02-25783777 | 02-25779495 | <a href="http://www.asia-rich.com.tw/">http://www.asia-rich.com.tw/</a>                 |
| A044 | 倫元證券投資顧問股份有限公司<br>E-invest Security Investment Consultant Co., LTD                 | 100 台北市中正區青島東路7號6樓<br>6F., No.7, Qingdao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan                          | 02-23219933 | 02-23927062 | <a href="http://www.e-invest.com.tw/main/">http://www.e-invest.com.tw/main/</a>         |
| A045 | 大昌期貨股份有限公司<br>Dah Chang Futures Co., Ltd.  | 220 新北市板橋區東門街30之2號9樓之2<br>9F-2, No.30-2, Dongmen St., Banqiao Dist., New Taipei City 220, Taiwan               | 02-29601066 | 02-29657707 | <a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>                               |
| A046 | 聚揚證券投資顧問股份有限公司<br>Ever Young Led.  | 104 台北市中山區松江路315號2樓<br>2F., No.315, Songjiang Rd., Zhongshan Dist., Taipei City 104, Taiwan                    | 02-25066808 | 02-25065018 | <a href="http://everyoung88.com.tw/">http://everyoung88.com.tw/</a>                     |

| 代號   | 公司名稱<br>(Company)   | 公司地址<br>(Address)   | 電話<br>(Tel) | 傳真<br>(Fax) | 公司網址<br>(Website)   |
|------|---|---|-------------|-------------|---|
| A047 | 第一金證券股份有限公司<br>First Securities Inc   | 104 台北市長安東路一段22號4樓<br>4F, No.22, Sec. 1, Chang'an E. Rd., Zhongshan Dist., Taipei City  | 02-25636262 | 02-25635713 | <a href="http://www.ftsi.com.tw/">http://www.ftsi.com.tw/</a>   |
| A049 | 高欣證券投資顧問股份有限公司<br>Securities Investment Consulting Co., Ltd                 | 104 台北市中山區復興北路150號7樓之2<br>7F-2, No.150, Fuxing N. Rd., Zhongshan Dist., Taipei City 104, Taiwan                                   | 02-27171000 | 02-27150077 | <a href="http://www.ks5567.com.tw/public/video_list.php">http://www.ks5567.com.tw/public/video_list.php</a> |
| A050 | 宏遠證券股份有限公司<br>Horizon Securities Co., Ltd.                                  | 106 台北市信義路4段236號3樓<br>3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan  | 02-27008899 | 02-27008897 | <a href="http://www.honsec.com.tw/">http://www.honsec.com.tw/</a>   |
| L001 | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd                                       | 104 台北市中山區南京東路三段225號4樓之1、11樓及11樓之1、之2、之4、12樓及12樓之1、之2<br>12F, No.225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-27176000 | 02-27008363 | <a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>   |
| M008 | 康和期貨經理事業股份有限公司<br>Concord Managed Futures Co., Ltd.                         | 110 台北市基隆路1段176號14樓<br>14F, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan   | 02-37653688 | 02-37651038 | <a href="http://www.concordfutures.com.tw/FM/">http://www.concordfutures.com.tw/FM/</a>                     |
| M016 | 元大期貨股份有限公司<br>Yuanta Futures Co., Ltd                                       | 104 台北市中山區南京東路三段225號4樓之1、11樓及11樓之1、之2、之4、12樓及12樓之1、之2<br>12F, No.225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan | 02-27176000 | 02-27008363 | <a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>   |
| M018 | 凱基期貨股份有限公司<br>KGI Futures CO., LTD  | 100 台北市重慶南路一段2號6樓部分、12樓及13樓<br>6F, No.2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100, Taiwan                      | 02-23619889 | 02-23713146 | <a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>                                   |
| M020 | 永豐期貨股份有限公司<br>SinoPac Futures Co.Ltd  | 100 台北市重慶南路1段2號8樓<br>8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan   | 02-23811799 | 02-23894228 | <a href="http://www.spf.com.tw">http://www.spf.com.tw</a>   |
| M022 | 富邦期貨股份有限公司<br>Fubon Financial   | 100 台北市襄陽路9號3樓<br>3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan  | 02-23882626 | 02-23718064 | <a href="http://www.fubon.com/">http://www.fubon.com/</a>   |
| M023 | 日盛期貨股份有限公司<br>Jih Sun Futures Co., Ltd.                                     | 104 台北市南京東路2段111號4樓<br>4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan  | 02-25042088 | 02-25078288 | <a href="http://www.jihsunfutures.com.tw/">http://www.jihsunfutures.com.tw/</a>                             |
| M024 | 華南期貨股份有限公司<br>HuaNan Futures Co., Ltd.                                      | 105 台北市民生東路4段54號3樓<br>3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan  | 02-27180000 | 02-25463700 | <a href="http://ft.entrust.com.tw/">http://ft.entrust.com.tw/</a>   |
| M025 | 群益期貨股份有限公司<br>Capital Futures Corp.   | 106 台北市大安區敦化南路2段97號32樓及地下1樓<br>32F., No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan                                | 02-87800099 | 02-87893782 | <a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>                           |
| T002 | 復華證券投資信託股份有限公司<br>Fuh Hwa Securities Investment Trust CO.,LTD.              | 104 台北市八德路2段308號8樓<br>8F, 308, Sec.2, Bade Rd., Taipei, Taiwan  | 02-81616800 | 02-87728000 | <a href="http://www.fhtrust.com.tw/">www.fhtrust.com.tw/</a>  |
| T003 | 國泰證券投資信託股份有限公司<br>Cathay Securities Investment Trust Co.,Ltd.               | 106 台北市大安區仁愛路四段296號18樓、8樓<br>8th .18th Fl.,No.296,Jen-ai Rd.,Sec.4,Taipei 106,Taiwan  | 02-27008399 | 02-23269633 | <a href="http://www.cathaysite.com.tw/">http://www.cathaysite.com.tw/</a>                                   |
| T004 | 元大證券投資信託股份有限公司<br>Yuanta Securities Investment Trust Co., Ltd.              | 105 台北市松山區敦化南路一段 66號5樓、6樓及68號2樓之1<br>5F., No.66, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei 105, Taiwan                        | 02-27175555 | 02-27722221 | <a href="http://www.yuantafunds.com/">http://www.yuantafunds.com/</a>                                       |
| T008 | 新光證券投資信託股份有限公司<br>Shin Kong Investment Trust Co., Ltd.                      | 104 台北市南京東路2段123號12樓<br>12F, 123, Sec.2, Nanking E. Rd., Taipei 104, Taiwan   | 02-25071123 | 02-25077600 | <a href="http://www.skit.com.tw/">http://www.skit.com.tw/</a>   |
| T009 | 摩根證券投資信託股份有限公司<br>JPMorgan Asset Management (Taiwan) Limited                | 110 台北市信義區松智路1號20樓<br>20F., No.1, Songzhi Rd., Xinyi Dist., Taipei 110, Taiwan  | 02-27558686 | 02-87268976 | <a href="https://www.jpmmrich.com.tw/wps/portal/">https://www.jpmmrich.com.tw/wps/portal/</a>               |
| T010 | 統一證券投資信託股份有限公司<br>Uni-President Assets Management Corp.                     | 105 台北市東興路8號8樓<br>8,8 Dongsing Rd., Taipei 105, Taiwan  | 02-27478388 | 02-27478129 | <a href="http://www.ezmoney.com.tw/">http://www.ezmoney.com.tw/</a>   |
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| T014 | 康和期貨經理事業股份有限公司<br>Concord Managed Futures Co., Ltd.                         | 110 台北市基隆路一段176號14樓<br>14F,176 Sec.1, Keelung Rd., Taipei 110, Taiwan   | 02-37653688 | 02-37651038 | <a href="http://fm.concordfutures.com.tw">http://fm.concordfutures.com.tw</a>                               |
| T015 | 富邦證券投資信託股份有限公司<br>Fubon Financial Co.Ltd                                    | 105 台北市松山區敦化南路一段108號8樓<br>8F., No.108, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei City   | 02-87716688 | 02-87716788 | <a href="https://www.fubon.com">https://www.fubon.com</a>   |

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# 104年報

## Annual Report 2015

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