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## 壹、序言



民國100年，國內期貨市場許多指標都創新高，不僅總交易量達1億8,299萬5,171口，較99年成長超過三成；整體期貨商稅後純益為38.12億元，平均每股稅後純益0.94元，也較去年大幅成長逾170%，成為當年度證券母公司重要獲利來源而漸露頭角，如此漂亮的成績，要感謝所有會員及從業人員的努力，而歷年來為期貨業界打下基石的先進們更是功不可沒。

全球金融環境受到歐美債危機衝擊下，期貨行情波幅加劇，本公會為維護國內期貨交易的安全與穩定，主動邀集期貨商前後台專業主管，成立「風險控管十大建設專案小組」，針對期貨商KYC風險評估程序、交易制度、風險控管、保證金追繳、代為執行沖銷規範等議題進行廣泛而密集的討論，目前已達成初步共識，積極與期交所、主管機關聯繫溝通中。

11月，美商「明富環球控股」(MF Global)公司申請破產事件，對於國內期貨業者造成相當大的衝擊，我們的期貨業者彼此間非常團結，透過公會運作的機制，積極協商且迅速地達成共識，對於客戶的權益提供了完整保護，進而也鞏固了整體期貨市場的信用，打造台灣期貨行業的優質環境，而我們風險控管與危機處理的能力，及建立安全交易環境的態度更受到國際間的青睞。

然，很遺憾的，我們也發現非法期貨業者以推陳出新的惡質手法、模糊不清的廣告文宣詐騙交易人，期貨公會為維護市場秩序、保障大眾與合法期貨業者之權益，除持續推出各式各樣之『合法期貨』宣導品，期將合法標記深植人心外，理監事們也聯袂通過會員公司指派專責人員負責辦理『合法期貨』宣導及疑似非法期貨事證通報案，本公會將彙轉檢調機關進行後續處理，以端正視聽並打擊地下期貨。

在活絡期貨市場方面，期貨公會與期交所攜手辦理『股票期貨』宣導活動，成功推升個股商品交易量，積極爭取調降「期貨商保護基金提撥金額」，在金管會長官體察與考量保護基金規模、結構下，調降了100年7月1日至101年12月31日保護基金提撥之比率；在擴大期貨業務方面，建請主管機關放寬期貨商之自有資金得於大陸地區轉投資專門銷售與證券期貨相關軟體之資訊公司，採取以IT工具(即資訊軟體)為載體，進行操作建議的顧問服務方式，擴大與大陸地區投資人接觸之介面，同時也為未來期貨業「登陸」奠定基石。

展望來年，強化自律機制、維持市場和諧，持續關注業界重要議題、找出交集形成共識、為業者發聲，仍是我們主要的工作項目，期望期貨業能在交易安全與市場穩定的格局下，延續100年的成長動能，開創更加亮眼的成績。

中華民國期貨業商業同業公會

理事長

糜以雍

## Preface

### Message of Chairman

*In 2011, many of Taiwan's futures market indicators reached new highs. Trade volume totaled 182.995171 million contracts, an increase of 30% over 2010. Overall, futures firms posted net profit of NT\$38.12 billion and average EPS of NT\$0.94 – a rise of 170% compared to 2010 – and contributed significantly to their parent securities corporations. These stellar results couldn't have come without the hard work of CNFA members and their employees, and the solid foundations laid by our predecessors.*

*The global financial turmoil triggered by the European and U.S. debt crisis has led to volatility in the futures market. To ensure the security and stability of futures trading in Taiwan, CNFA has asked various industry professionals to form a “risk control and management taskforce,” which discusses numerous issues including the KYC risk assessment procedure, the trading system, risk management, extra deposit payments and rules on managed day trading. The taskforce has formed consensus on these issues and will discuss it with the Taiwan Futures Exchange (TAIFEX) and related government regulators.*

*In November, Taiwan futures operators felt the impact of the bankruptcy of MF Global. They however showed solidarity and were able to find solutions through CNFA's operational mechanism. In the process, they offered full protection to clients, solidified the credit of Taiwan's overall futures market, and enhanced Taiwan's futures trading environment. Our risk control and crisis management capability and commitment to building a secure trading platform have also won recognition of the international community.*

*Regrettably, there were illegal futures operators who used fraudulence and misleading ads to scam traders. To maintain market order and protect the rights of the public and legal futures operators, CNFA will continue to distribute educational material on “legal futures trading” to the public. Moreover, CNFA will also ask member companies to hold public education campaigns and report suspected illegal activities to CNFA, which will then transfer the case to prosecutors to crack down on underground futures trading.*

*To invigorate the futures market, CNFA and TAIFEX have co-organized promotional campaigns on “securities futures” and in the process helped boost the trade volume of related products. Meanwhile, at the request of CNFA, the Financial Supervisory Commission agreed to let operators reduce their protection fund reserves for the period between July 1 and Dec. 31. Moreover, CNFA has asked regulators to further relax a measure allowing futures operators to reinvest their funds in China-based retailers of securities and futures-related software, which can be used to provide consultancy services. This is part of CNFA's effort to increase interactions with Chinese investors and prepare operators for their eventual entry into the Chinese market.*

*In 2012, CNFA will continue to strengthen its self-discipline mechanism, maintain market order, pay attention to important issues in the industry, find agreements and consensus, and speak on behalf of operators. It is my hope that operators can continue working in a secure and stable trading environment, propel the growth dynamics of 2011 and produce even better results.*

## 貳、公會簡介

### 一、公會沿革

- 本公會前身爲創立於民國84年4月17日之「台北市期貨商業同業公會」，爲配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於93年6月21日升格改制爲全國性單一公會，並於6月30日獲頒立案證書。
- 本公會目前之組織型態爲全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。爲促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能爲期貨業之發展奠定更穩健之基石。

### 二、設立宗旨

- 本公會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益爲宗旨。

### 三、本公會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

## II. CNFA Introduction

### A. CNFA History

- *The Chinese National Futures Association (hereinafter referred to the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association dating back to April 17, 1995, as a national organization on June 21, 2004 and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.*
- *As a national self-disciplined organization, the Association handled membership registration, business location inspection, training of futures workers, and self-discipline practice of member companies. For healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely membership registration, business location inspection, training of futures workers, and self-discipline of member companies. Meanwhile, it plays an active role in coordinating among member companies and promoting communication and interaction between member companies and the regulatory agency, paving a sound foundation for healthy and steady development of Taiwan's futures market.*

### B. Goals

- *The Association was established under the goals of protecting investors, boosting national economic development, making coordination among members, and promoting common interest of the society.*

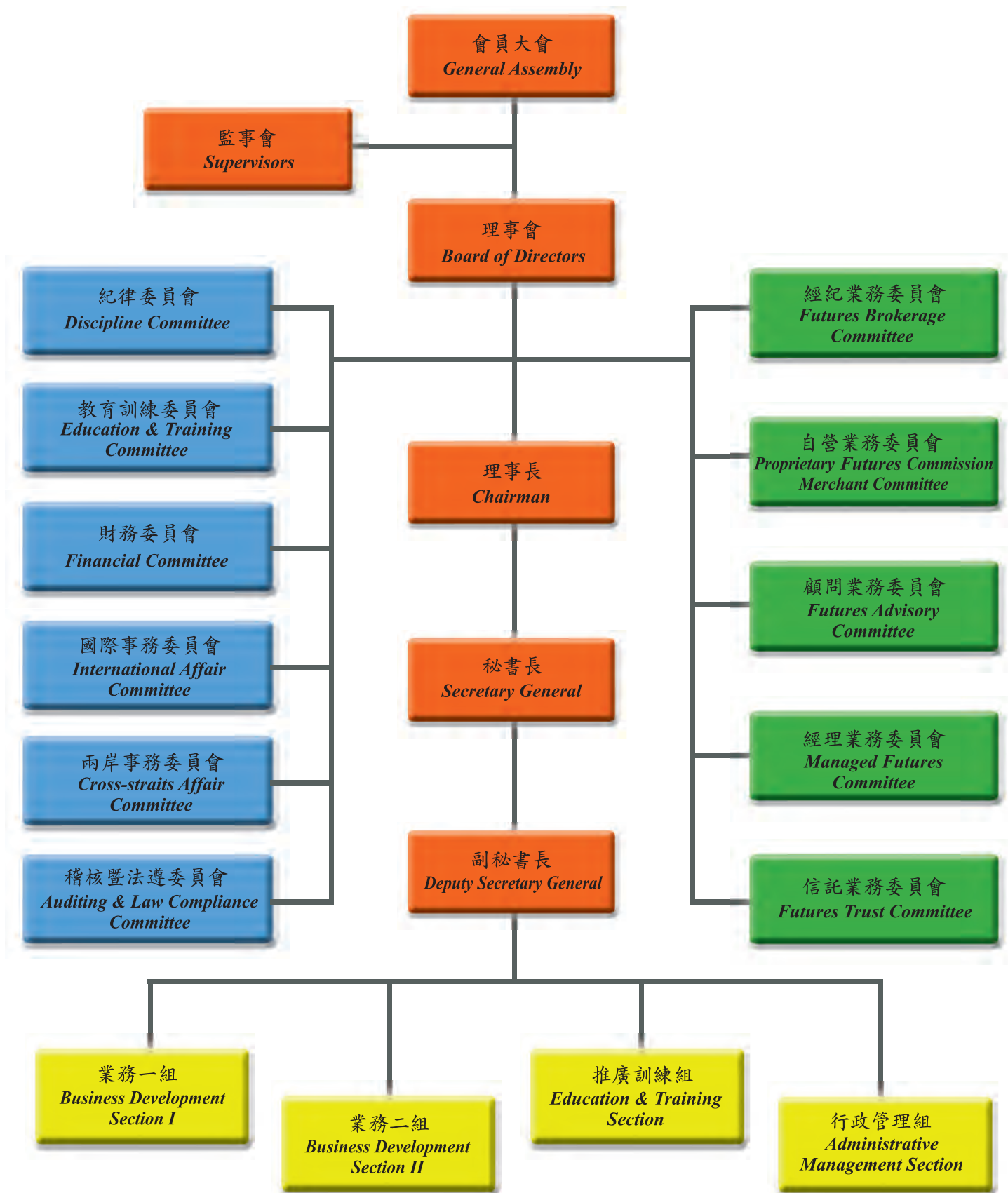
### C. Objectives

- *To promote futures market development in cope with national economic development*
- *To make survey, compile statistics, and conduct R&D projects about the operations of member companies*
- *To make research and suggestions about government's policies and propose revisions to futures-related regulations*
- *To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement*
- *To arbitrate disputes among members*
- *To arrange career training courses and lectures for employees of member companies*
- *To supervise advertisements, exhibitions, and other promotional activities of member companies*
- *To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies*
- *To revise or renew licenses of member companies and handle related issues*
- *To organize or participate social welfare activities*
- *To protect legitimate rights and interest of member companies*
- *To take disciplinary actions against wrongful or illegal behaviors of member companies*
- *To offer the services on request of government agencies or non-profit organizations*
- *To take part in social activities*
- *To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders*
- *To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities which would mislead futures traders, clients, or other persons associated with futures trading*
- *To offer other related services on member company's requests in accordance with the government's policies and regulations*



參、公會組織系統 (III. Association Structure)

一、組織系統圖 (A. Organizational Chart)



## 二、組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計342位。

■ **成員**：由會員代表組成。至100年12月31日止，本公會會員公司計有189家：

- 1.專營期貨商17家
- 2.兼營期貨商22家
- 3.期貨交易輔助人55家
- 4.國外複委託商3家
- 5.期貨自營業務36家
- 6.期貨經理業務10家
- 7.期貨顧問業務36家
- 8.期貨信託業務10家

■ **執掌**：為本公會最高決策機關。

■ **職權**：

- 1.選舉、罷免理事監事。
- 2.章程之訂定與修改。
- 3.核定年度工作計畫綱要、經費預算、決算及事業計畫。
- 4.審議理事會、監事會及會員（會員代表）提議事項。
- 5.會員及會員代表之除名處分。
- 6.財產之處分。
- 7.清算之決議、清算人之選派及關於清算事項之決議。
- 8.議決理事、監事之解職。
- 9.議決入會費、常年會費、事業費及會員捐款之金額。
- 10.議決其他有關會員權利義務事項。

(二)、理事會

■ **成員**：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■ **執掌**：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。



## **B. Structure and Responsibilities**

**1. General Assembly:** member companies, based on their business categories, assign up to seven representatives each to take part in the General Assembly. In 2011, a total of 342 member representatives were registered with the Association.

■ **Member companies:** the Association was composed of 189 member companies at the end of 2011:

1. Specialized futures brokerage companies: 17
2. Concurrent futures brokerage companies: 22
3. Futures introducing brokers (IB): 55
4. Foreign re-engaging brokers: 3
5. Proprietary futures commission merchants: 36
6. Managed futures enterprises: 10
7. Futures advisory enterprises: 36
8. Futures trust enterprises: 10

■ **Authority:** the General Assembly is the supreme policy-making body of the Association.

■ **Responsibilities:**

1. To elect or recall board members or supervisors
2. To make and revise CNFA Charter
3. To approve annual working plans, budget, auditing reports, and R&D projects
4. To review proposals approved at the meetings of board members, supervisors, or General Assembly
5. To take disciplinary actions against misbehaved member companies or member representatives
6. To trade properties of the Association
7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues
8. To approve dismissing of any board member or supervisor
9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies
10. To approve other issues associated with member's rights or obligations

## **2. Board of Directors**

■ **Board members:** the 33 board members were elected by member representatives during the General Assembly, and 11 standing board members (or executive directors) were elected from board members

■ **Authority:** the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.

■職權：

- 1.召開會員大會並執行其決議。
- 2.選舉、罷免常務理事及理事長。
- 3.議決常務理事、理事及理事長自行辭任。
- 4.通過會員入會、退會及註銷會員、會員代表會籍。
- 5.編擬年度工作計畫綱要、經費預、決算及事業計畫。
- 6.會務工作人員、顧問、研究員等之遴聘、解聘。
- 7.議決本公會相關規章之制訂及修正案。
- 8.辦理監事會移送執行案件。
- 9.遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
- 10.議決處分違反本公會章程第二十條規定之會員。
- 11.執行法令及章程所規定事項。
- 12.其他依職責應辦之事項。

(三)、監事會

■成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互選其中一人為監事會召集人。

■執掌：為本公會最高監察單位。

■職權：

- 1.選舉及罷免常務監事。
- 2.監察理事會執行會員大會之決議案。
- 3.監察理事會會務執行情形。
- 4.審核理事會各種會計報告書類。
- 5.稽核理事會之經費收支狀況。
- 6.向會員大會提出年度監察報告。
- 7.議決常務監事及監事之自行辭任。
- 8.其他依職責應辦之事項。

## ■ *Responsibilities:*

1. *To convene the General Assembly and execute its decisions*
2. *To elect or recall chairman or standing directors*
3. *To approve resignation of chairman or board members*
4. *To approve registration, withdrawal, or nullification of membership or member representative*
5. *To make annual working plans, budget, auditing report, and R&D projects*
6. *To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers*
7. *To approve the Association's new regulations or revisions to the CNFA Charter or regulations*
8. *To deal with the projects approved at meetings of supervisors*
9. *To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.*
10. *To take disciplinary actions against the members who fail to pay membership fee*
11. *To handle issues in accordance with laws, CNFA Charter, or related regulations*
12. *To handle other related issues*

### **3. Supervisors**

■ *Supervisors:* the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected three standing supervisors among whom one was selected as convenor.

■ *Authority:* it's the highest supervising body of the Association.

## ■ *Responsibilities:*

1. *To elect or recall executive supervisors*
2. *To supervise the Board of Directors' execution of the projects approved by the General Assembly*
3. *To supervise the Board of Directors' execution of the Association's working plans*
4. *To review the accounting reports which have been approved by the Board of Directors*
5. *To review the financial reports which have been approved by the Board of Directors*
6. *To make annual supervisory report to the General Assembly*
7. *To approve resignation tendered by any standing supervisor or supervisor*
8. *To handle other related issues*

(四)、委員會：設有經紀業務、自營業務、顧問業務、經理業務、信託業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核暨法遵等11個委員會。

■ **成員**：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。

■ **執掌**：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

#### 經紀業務委員會

- (1)有關期貨經紀業務之發展、聯繫、協調及改進事項。
- (2)有關期貨交易人服務事項。
- (3)有關編擬年度工作計劃事項。
- (4)其他有關期貨經紀業務之處理事項。

#### 自營業務委員會

- (1)有關期貨自營業務之發展、聯繫、協調及改進事項。
- (2)有關編擬年度工作計劃事項。
- (3)其他有關期貨自營業務之處理事項。

#### 顧問業務委員會

- (1)有關期貨顧問業務之發展、聯繫、協調及改進事項。
- (2)有關委任人服務事項。
- (3)有關編擬年度工作計劃事項。
- (4)其他有關期貨顧問業務之處理事項。

#### 經理業務委員會

- (1)有關期貨經理業務之發展、聯繫、協調及改進事項。
- (2)有關委任人服務事項。
- (3)有關編擬年度工作計劃事項。
- (4)其他有關期貨經理業務之處理事項。

**4. Committees:** *the Association set up 11 committees, namely Futures Brokerage Committee, Proprietary Futures Commission Merchant Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, and Auditing & Law Compliance Committee.*

■ **Committee members:** *each committee is led by a convenor, appointed by CNFA Chairman and the convenor then invites industrial experts, officials, scholars as committee members.*

■ **Authority:** *each committee works to fulfill its working plans and duties to boost the development of the futures market.*

## **Committees and their responsibilities:**

### **Futures Brokerage Committee**

- (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers*
- (2) To offer services to futures traders*
- (3) To make annual work plan for the committee*
- (4) To handle other related issues*

### **Proprietary Futures Commission Merchant Committee**

- (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them*
- (2) To make annual work plan for the committee*
- (3) To handle other related issues*

### **Futures Advisory Committee**

- (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business*
- (2) To offer services to clients of futures advisory enterprises*
- (3) To make annual work plan for the committee*
- (4) To handle other related issues*

### **Managed Futures Committee**

- (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business*
- (2) To offer services to clients of managed futures enterprises*
- (3) To make annual work plan for the committee*
- (4) To handle other related issues*

#### 信託業務委員會

- (1)有關期貨信託業務之發展、聯繫、協調及改進事項。
- (2)有關受益人服務事項。
- (3)有關編擬年度工作計劃事項。
- (4)其他有關期貨信託業務之處理事項。

#### 紀律委員會

- (1)有關自律公約之擬訂、執行與督促會員自律事項。
- (2)有關會員職業道德之規範事項。
- (3)其他有關會員紀律之處理事項。

#### 教育訓練委員會

- (1)辦理期貨從業人員職前訓練及在職訓練事項。
- (2)有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
- (3)有關出版刊物之編輯事項。
- (4)有關期貨投資宣導事項。
- (5)其他有關教育訓練、考試及核發證書之處理事項。

#### 財務委員會

- (1)有關會費、事業費、經費籌措、管理與運用之研議事項。
- (2)有關財務之稽核事項。
- (3)有關預算、決算之編擬事項。
- (4)其他有關財務事宜之處理事項。

#### 國際事務委員會

- (1)有關期貨國際業務之發展、聯繫、協調及改進事項。
- (2)有關編擬年度工作計劃事項。
- (3)其他有關期貨國際業務之處理事項。

#### 兩岸事務委員會

- (1)有關期貨兩岸業務之發展、聯繫、協調及改進事項。
- (2)有關編擬年度工作計劃事項。
- (3)其他有關期貨兩岸業務之處理事項。

## **Futures Trust Committee**

- (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises*
- (2) To offer services to beneficiaries of futures trust fund*
- (3) To make annual work plan for the committee*
- (4) To handle other related issues*

## **Discipline Committee**

- (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies*
- (2) To help member companies comply with working ethics*
- (3) To handle other related issues*

## **Education & Training Committee**

- (1) To arrange pre- and on-job training programs for futures workers*
- (2) To arrange visit, speeches, lectures, leisure activities, and sporting activities for member companies*
- (3) To compile and publish futures-related publications*
- (4) To arrange futures advocacy and promotional activities*
- (5) To arrange other education-related issues such as holding futures license tests and issuing certificates*

## **Financial Committee**

- (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues*
- (2) To handle auditing and related issues*
- (3) To make budget plan and deal with settlement issues*
- (4) To handle other related issues*

## **International Affair Committee**

- (1) To promote and coordinate issues about international affairs of the futures industry*
- (2) To make annual work plan for the committee*
- (3) To arrange other related issues*

## **Cross-straits Affair Committee**

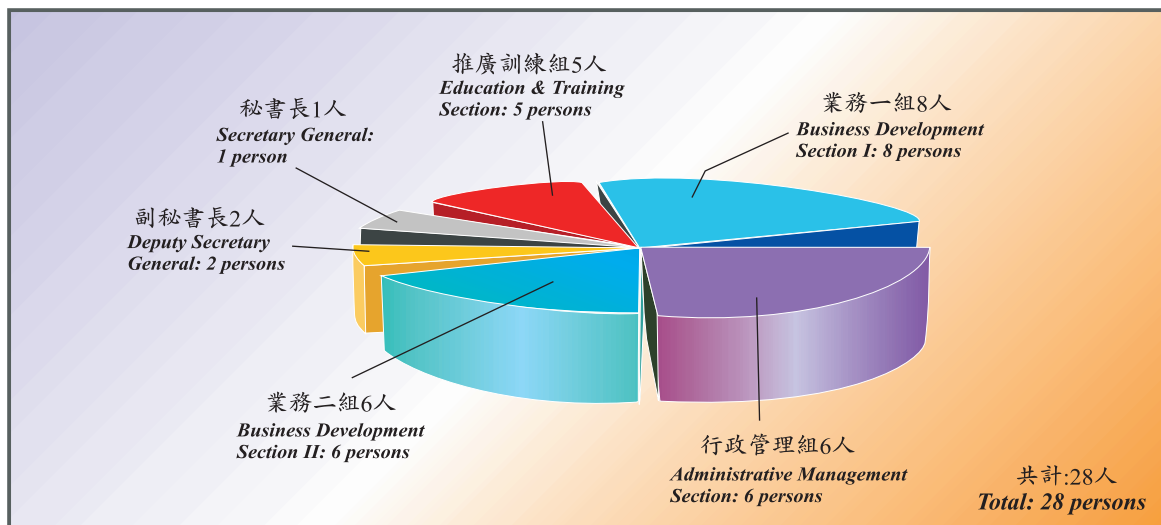
- (1) To promote and coordinate cross-straits issues about the futures industry*
- (2) To make annual work plan for the committee*
- (3) To arrange other related issues*

### 稽核暨法遵委員會

- (1)有關期貨業內部控制制度之研討與建議事項。
- (2)有關期貨業內部稽核業務之研討與建議事項。
- (3)有關期貨業法令遵循事項。
- (4)協商並解決共通性之稽核業務或法令遵循事項。
- (5)有關編擬年度工作計畫綱要事項。
- (6)其他有關稽核業務或法令遵循事宜之處理事項。

(五)、會務人員：分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

100年會務人員統計表  
CNFA Administrative Staff in 2011



### 業務一組

辦理期貨商、期貨（證券）交易輔助人及海峽兩岸期貨業務相關之下列工作：

- (1)經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
- (2)與主管機關、周邊單位及會員公司之聯繫事宜。
- (3)蒐集業界資料，掌握市場動態。
- (4)檢討期貨法令並提出改進建議。
- (5)提供會員公司期貨業務法規諮詢服務。
- (6)自律規定之研議。
- (7)新種業務之可行性研究與分析。
- (8)期貨相關專題之研究。
- (9)聯繫、跟催委外專案研究之進度。

## *Auditing & Law Compliance Committee*

- (1) To make research on internal control system of futures companies and propose suggestions for improvement*
- (2) To make research on internal auditing system of futures companies and propose suggestions for improvement*
- (3) To take charge of law-compliance issues of member companies*
- (4) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems*
- (5) To make annual work plan for the committee*
- (6) To handle other related issues*

**5. Administrative staff:** *the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:*

### *Business Development Section I:*

*To handle the business of futures companies, futures (securities) introducing brokers, and cross-straits issues:*

- (1) To arrange meetings of the Futures Brokerage Committee, Proprietary Futures Commission Merchant Committee, Cross-straits Affair Committee, and Discipline Committee*
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies*
- (3) To gather information about market trend and industrial development*
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement*
- (5) To provide legal consulting services to member companies*
- (6) To propose or make revisions to self-discipline rules*
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential*
- (8) To make research on futures-related topics*
- (9) To arrange out-sourcing research projects*

- (10)蒐集及分析兩岸期貨業務之相關資訊。
- (11)兩岸期貨組織之聯繫及交流事宜。
- (12)會員公司宣傳資料與廣告物之審查。
- (13)會員公司業務及財務之查察與輔導。
- (14)會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15)執行自律規定及主管機關交辦事項之稽查作業。
- (16)各會員公司營業據點場地、設備查驗。

#### 業務二組

辦理期貨服務事業（不含期貨交易輔助人）、槓桿交易商及國際期貨業務相關之下列工作：

- (1)顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
- (2)與主管機關、周邊單位及會員公司之聯繫事宜。
- (3)蒐集業界資料，掌握市場動態。
- (4)檢討期貨法令並提出改進建議。
- (5)提供會員公司期貨業務法規諮詢服務。
- (6)自律規定之研議。
- (7)新種業務之可行性研究與分析。
- (8)期貨相關專題之研究。
- (9)聯繫、跟催委外專案研究之進度。
- (10)蒐集及分析國際期貨業務之相關資訊。
- (11)國際期貨組織之聯繫及交流事宜。
- (12)會員公司宣傳資料與廣告物之審查。
- (13)會員公司業務及財務之查察與輔導。
- (14)會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15)執行自律規定及主管機關交辦事項之稽查作業。
- (16)各會員公司營業據點場地、設備查驗。

- (10) To gather and analyze the information about cross-straits issues
- (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

## **Business Development Section II**

To handle issues regarding futures-related business (excluding those of futures introducing brokers), leveraged trading, and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
- (3) To gather information about market trend and industrial development
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement
- (5) To provide legal consulting services to member companies
- (6) To propose or make revisions to self-discipline rules
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
- (8) To make research on futures-related topics
- (9) To arrange out-sourcing research projects
- (10) To gather and analyze the information about international futures issues
- (11) To arrange the interaction between the Association and international futures associations
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

### 推廣訓練組

- (1)從業人員在職與相關訓練。
- (2)期貨業務講座、說明會等教育宣傳活動。
- (3)專題研究、公聽會及研討會之發表。
- (4)配合時事，研擬專案性之文宣活動。
- (5)刊物之編輯與發行事宜。
- (6)會員之會籍、負責人與業務人員登錄及管理。
- (7)業務員工作證換發作業。
- (8)教育訓練委員會開會事宜。

### 行政管理組

- (1)採購驗收、財產保管。
- (2)資訊系統規劃建置及維護。
- (3)預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
- (4)檔案管理、收發文。
- (5)各種活動支援。
- (6)人事、總務、行政、文書等作業。
- (7)會員公司及從業人員之聯誼或康樂活動。
- (8)會員大會、理監事會開會事宜。
- (9)財務、稽核暨法遵委員會開會事宜。
- (10)期貨交易糾紛調處事項。



## **Education & Training Section**

- (1) To arrange pre-job and on-job training courses for futures workers
- (2) To arrange futures lecturers, seminars, and other promotional activities
- (3) To publish the reports of research projects, public hearing, or seminars
- (4) To arrange activities for advocating special news or research topic
- (5) To compile and publish publications
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies
- (7) To arrange renewal of job certificates of Association's staff workers
- (8) To arrange meetings of the Education & Training Committee

## **Administrative Management Section**

- (1) To handle procurement issues and safeguard Association's properties
- (2) To arrange IT equipment procurement, maintenance, and management
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues
- (4) To handle mails and keep documents
- (5) To provide financial support to Association-held activities
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents
- (7) To arrange social activities for employees of member companies and the Association's working staff
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors
- (9) To arrange meetings of the Financial Committee and Auditing & Law-compliance Committee
- (10) To mediate disputes about futures trading



理監事名錄 (Board members and supervisors)

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)	職稱 (Position)
1	理事長 <i>Chairman</i>	糜以雍 <i>Falco Mai</i>	凱基期貨股份有限公司 <i>KGI Futures Co., Ltd</i>	董事長 <i>Chairman</i>
2	常務理事 <i>Standing Director</i>	丁予嘉 <i>Michael Ding</i>	國票綜合證券股份有限公司 <i>Waterland Securities Co., Ltd</i>	顧問 <i>Advisor</i>
3	常務理事 <i>Standing Director</i>	吳學敏 <i>Shieh-ming Wu</i>	華南期貨股份有限公司 <i>Hua Nan Futures Co., Ltd</i>	董事長 <i>Chairman</i>
4	常務理事 <i>Standing Director</i>	林明輝 <i>Ming-hui Lin</i>	日盛期貨股份有限公司 <i>Jih Sun Futures Co., Ltd</i>	總經理 <i>President</i>
5	常務理事 <i>Standing Director</i>	陳立 <i>Li Chen</i>	元富證券股份有限公司 <i>MasterLink Securities Corp.</i>	執行副總經理 <i>Executive Vice President</i>
6	常務理事 <i>Standing Director</i>	張雅斐 <i>Phoebe Chang</i>	富邦期貨股份有限公司 <i>Fubon Futures Co., Ltd</i>	總經理 <i>President</i>
7	常務理事 <i>Standing Director</i>	賀鳴珩 <i>Ted M.H. Ho</i>	寶來曼氏期貨股份有限公司 <i>Polaris MF Global Futures Co., Ltd</i>	董事長 <i>Chairman</i>
8	常務理事 <i>Standing Director</i>	黃奕銘 <i>Yiming Huang</i>	統一期貨股份有限公司 <i>President Futures Corp.</i>	總經理 <i>President</i>
9	常務理事 <i>Standing Director</i>	葉黃杞 <i>Huang-chi Yeh</i>	永豐期貨股份有限公司 <i>SinoPac Futures Corp.</i>	董事長 <i>Chairman</i>
10	常務理事 <i>Standing Director</i>	鄧序鵬 <i>Paul Teng</i>	康和期貨股份有限公司 <i>Concord Futures Co., Ltd</i>	董事 <i>Board Member</i>
11	常務理事 <i>Standing Director</i>	盧立正 <i>Maurice Lu</i>	元大期貨股份有限公司 <i>Yuanta Futures Co., Ltd</i>	董事長 <i>Chairman</i>
12	理事 <i>Director</i>	王冲青 <i>Philip Wang</i>	美商愛德盟期貨經紀股份有限公司台灣分公司 <i>ADM Investor Services, Inc. Taiwan Branch</i>	總經理 <i>President</i>
13	理事 <i>Director</i>	王淑慧 <i>Shu-wei Wang</i>	大眾期貨股份有限公司 <i>Ta Chong Futures Co., Ltd</i>	董事長 <i>Chairman</i>
14	理事 <i>Director</i>	江燕琇 <i>Yen-shiao Chiang</i>	日盛證券股份有限公司 <i>Jih Sun Securities Co., Ltd</i>	副總經理 <i>Vice President</i>
15	理事 <i>Director</i>	呂常愷 <i>Charles Liu</i>	澳帝華期貨股份有限公司 <i>Optiver Taiwan Futures Co., Ltd</i>	董事長 <i>Chairman</i>
16	理事 <i>Director</i>	宋肖瑾 <i>Joyce Sung</i>	元大期貨股份有限公司 <i>Yuanta Futures Co., Ltd</i>	執行副總經理 <i>Senior Vice President</i>
17	理事 <i>Director</i>	吳松濤 <i>Sung-tao Wu</i>	大華期貨股份有限公司 <i>Grand Cathay Futures Corp.</i>	副總經理 <i>Vice President</i>
18	理事 <i>Director</i>	吳英龍 <i>Ying-lung Wu</i>	元富證券股份有限公司 <i>MasterLink Futures Corp.</i>	副總經理 <i>Vice President</i>
19	理事 <i>Director</i>	侯青志 <i>Chris Ho</i>	寶來曼氏期貨股份有限公司 <i>Polaris MF Global Futures Co., Ltd</i>	國際部總經理 <i>President of International Business Division</i>
20	理事 <i>Director</i>	高瑞堂 <i>R. T. Kao</i>	大昌期貨股份有限公司 <i>Dah Chang Futures Co., Ltd</i>	董事 <i>Board Member</i>
21	理事 <i>Director</i>	高政煌 <i>Eric Kao</i>	大眾綜合證券股份有限公司 <i>Ta Chong Securities Co., Ltd</i>	副總經理 <i>Vice President</i>
22	理事 <i>Director</i>	陳忠憲 <i>Chung-hsien Chen</i>	兆豐期貨股份有限公司 <i>Mega Futures Co., Ltd</i>	董事長 <i>Chairman</i>

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)	職稱 (Position)
23	理事 Director	陳品呈 Ping-cheng Chen	大華期貨股份有限公司 Grand Cathay Futures Corp.	董事長 Chairman
24	理事 Director	郭晃庭 Huan-ting Kuo	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd	執行副總經理 Senior Vice President
25	理事 Director	陳瑞珏 James Chen	凱基期貨股份有限公司 KGI Futures Co., Ltd	總經理 President
26	理事 Director	陳添富 Tien-fu Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd	總經理 President
27	理事 Director	黃佩儀 Peggy Huang	國泰期貨股份有限公司 Cathay Futures Corp.	協理 Assistant Vice President
28	理事 Director	黃俊仁 Chun-jen Huang	統一綜合證券股份有限公司 President Securities Corp.	副總經理 Vice President
29	理事 Director	楊新德 Hsin-teh Yang	永豐期貨股份有限公司 SinoPac Futures Corp.	總經理 President
30	理事 Director	賈中道 Chung-tao Chia	群益期貨股份有限公司 Capital Futures Corp.	總經理 President
31	理事 Director	詹正恩 Cheng-en Chan	光和證券股份有限公司 Kuanz Ho Securities Corp.	董事長 Chairman
32	理事 Director	楊金源 Chin-yuan Yang	兆豐期貨股份有限公司 Mega Futures Co., Ltd	董事 Board Member
33	理事 Director	劉威廉 Kevin Liu	台灣工銀證券股份有限公司 IBT Securities Co., Ltd	新金部副總經理 President of New Consumer Finance Division
1	監事會召集人 Supervisor Convenor	孫天山 Tim Sun	群益期貨股份有限公司 Capital Futures Corp.	董事長 Chairman
2	常務監事 Standing Supervisor	任俊行 Eric Jen	大華期貨股份有限公司 Grand Cathay Futures Corp.	總經理 President
3	常務監事 Standing Supervisor	許瑞立 Jerry hsu	安泰證券股份有限公司 AnTay Securities Co., Ltd	總經理 President
4	監事 Supervisor	王娟娟 Winnei Wang	太平洋證券股份有限公司 Pacific Securities Co., Ltd	協理 Assistant Vice President
5	監事 Supervisor	李文柱 Wen-chu Li	康和綜合證券股份有限公司 Concord Securities Corp.	總經理 President
6	監事 Supervisor	李姿錡 Tze-chi Lee	國票期貨股份有限公司 Waterland Futures Co., Ltd	總經理 President
7	監事 Supervisor	黃貽寬 Yi-kuan Huang	康和期貨股份有限公司 Concord Futures Co., Ltd	總經理 President
8	監事 Supervisor	許人祥 J. H. Hsu	華南永昌綜合證券股份有限公司 Hua Nan Securities Co., Ltd	副總經理 Vice President
9	監事 Supervisor	葉一豐 Eric Yeh	新加坡商明富環球期貨經紀股份有限公司台灣分公司 MF Global Singapore Pte. Taiwan Branch	總經理 President
10	監事 Supervisor	鄭質榮 Vincent Cheng	新加坡商華大期貨經紀股份有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	總經理 President
11	監事 Supervisor	滕青華 Chin-hua Teng	兆豐期貨股份有限公司 Mega Futures Co., Ltd	總經理 President

註：以上資料以100.12.31日為準，依姓氏筆劃排序。

Note: the list is in an order of the stroke number of the person's Chinese surname, as of the end of 2011.

## 肆、會務報導 (IV. Association Affairs)

### 一、關於期貨交易法令協助推行、研議事項 (*Execution and proposals of futures-related regulations*)

#### 增修定範本、規則、申報書表 (*Making model copies of contracts, guidelines and report formats*)

1. 修定「會員自律公約」。  
*Revised “Self-Discipline Rules for CNFA Members”*
2. 修定期貨經理事業「保管機構委任契約（共同委任）範本」。  
*Revised the model copy of “Contract of Joint Authorization for Custodian Banking Service” of Managed Futures Enterprises*
3. 修定期貨經理事業「保管機構委任契約範本」。  
*Revised the model copy of “Contract of Custodian Banking Institution Authorization” of Managed Futures Enterprises*
4. 修定「會員營業處所場地及設備標準」。  
*Revised “Guidelines for CNFA Member Companies' Business Location Facilities”*
5. 修定「期貨交易風險預告書（參考範本）」。  
*Revised the reference copy of “Risk Prediction Agreement for Futures Trading”*
6. 修定「期貨經理事業經營全權委託期貨交易業務操作辦法」。  
*Revised “Guidelines for Discretionary Account Trading of Managed Futures Enterprises”*
7. 修定「期貨經理事業內部控制制度標準規範」。  
*Revised “Guidelines for Internal Control of Managed Futures Enterprises”*
8. 修定「期貨顧問事業內部控制制度標準規範」。  
*Revised “Guidelines for Internal Control of Futures Advisory Enterprises”*
9. 修定「期貨信託內部控制制度範本」。  
*Revised the model copy of “Internal Control of Futures Trust Enterprises”*
10. 修定「期貨信託內部稽核制度範本」。  
*Revised the model copy of “Internal Audit of Futures Trust Enterprises”*
11. 修定「會員暨期貨信託基金銷售機構宣傳資料及廣告管理辦法」第6條第2款。  
*Revised Article 6, Section 2 of “Regulations on Advertisement and Promotional Activities of CNFA Members and Futures Trust Fund Sales Outlets”*
12. 修定「會員暨期貨信託基金銷售機構宣傳資料及廣告管理辦法」。  
*Revised “Regulations on Advertisement and Promotional Activities of CNFA Members and Futures Trust Fund Sales Outlets”*

13. 訂定「期貨信託事業及其銷售機構基金通路報酬揭露施行要點」。  
*Drafted “Guidelines for Yield Disclosure for Futures Trust Enterprises and Their Fund Sales Outlets”*
14. 修定「期貨信託事業暨期貨經理事業治理實務守則」。  
*Revised “Guidelines for Practices of Futures Trust Enterprises and Managed Futures Enterprises”*
15. 修定「期貨信託基金之募集、發行、銷售及申購買回作業程序」。  
*Revised “Procedures for Raising, Issuance, Sales and Redemption of Futures Trust Funds”*
16. 訂定「經營期貨信託基金業務之業者對外發布訊息行為規範準則」  
*Drafted “Information-Publishing Guidelines for Futures Trust Fund Operations”*
17. 修定「期貨業經理人資格審核作業須知」。  
*Revised “Procedure for Qualification Review of Futures Managers”*
18. 修定「期貨商業務員資格認可暨測驗作業要點」。  
*Revised “Guidelines for Certification and Examination of Futures Traders”*
19. 修定「辦理期貨交易分析人員資格測驗辦法」。  
*Revised “Regulations on Examination of Futures Trading Mangers”*
20. 修定「辦理期貨商業務員資格測驗辦法」。  
*Revised “Regulations on Examination of Futures Traders”*

## 研議事項 (Proposals)

1. 建請主管機關放寬期貨商之自有資金得轉投資與期貨相關之資訊系統顧問公司。  
*Asked regulators to further relax a measure allowing futures traders to re-invest their assets in futures-related information technology consulting firms*
2. 建請主管機關同意「證券投資顧問事業擔任期信基金之銷售機構時，受理與其簽訂證券投資顧問契約之客戶以金錢信託帳戶所為之基金申購、買回申請」。  
*Asked regulators to allow the securities investment consulting enterprise, which acts as a futures trust fund sales outlet, to handle fund subscription and redemption for the futures trust fund at a pecuniary trust account of its clients, based on their contract for securities investment consulting service*

3. 研議「期貨信託事業發行以投資槓桿型及放空型ETF為主之組合型期貨信託基金之可行性」。

*Studied the feasibility for domestic futures trust enterprises to launch futures trust funds composed of a combination of exchange-traded funds, such as those for leverage trade or short sale.*

4. 研議「部分類型ETF究係屬證券投資信託基金性質或具期貨信託基金性質之疑義，如商品ETF、槓桿型ETF等」，以及「未經核准於國內從事該等境外商品之募集、銷售或顧問行為，究應以何法據移送為適」。

*Studied whether certain ETFs, such as commercial ETFs and leverage ETFs, are securities investment trust funds or futures trust funds, and what law should be applied for prosecuting those who raise, sell and offer consultation on unapproved offshore ETFs in Taiwan*

5. 研議我國發展商品ETF之可行性及相關配套措施。

*Studied the feasibility of launching commercial ETFs as futures trust funds in Taiwan and proposing a full package of ETF-related regulations*

6. 研議「期貨商暨期貨交易輔助人建立客戶資料保密及限制非經合法授權客戶資料使用制度」。

*Studied the feasibility of building a system that keeps the identity of customers of futures traders and assistant traders confidential, and that limits the usage of customer data without legal authorization*

7. 建請主管機關開放「期貨經理事業客戶委任同一受任人為全權委託投資時，得按不同契約，於期貨商之同一營業處所分別開立不同契約目的之全權委託帳戶」。

*Asked regulators to allow the person entrusted by a client at the Managed Futures Enterprise to open multiple discretionary accounts based on the different contracts signed with the same client*

8. 建請主管機關開放「期貨經理事業向非特定人募集資金」。

*Asked regulators to allow managed futures enterprises to raise funds from non-specific persons*

9. 建請主管機關開放「期貨經理事業得以自有資金交易」。

*Asked regulators to allow managed futures enterprises to trade with their own assets*

10. 研議「期貨商負責人及業務員管理規則」第5條第2項：「…取得業務員資格者，自證書所載核發日起5年未辦理登記或離職滿5年者，喪失資格」維持現況。

*Studied the feasibility of retaining Article 5, Section 2 of “Administrative Rules for Futures Company Heads and Salespeople,” which says: “Salespeople will lose their qualification if they do not register within a five-year period after the issuance of their licenses, or have left their jobs after a five-year period”*

## 二、業務發展事項 (Association activities)

### 研討會 Seminar



#### 風險控管十大建設專案研討會 (11/25、11/26)

本次會議邀集理監事、經紀業務委員會及稽核暨法遵委員會委員、風控專案小組成員參加，由廩理事長主持、盧秘書長作議題引言，會議中就專案小組初步研議內容作討論，與會人員提出許多寶貴之意見及建議事項，過程討論熱烈。本公會已將「風險控管專案」相關議題彙整業界提出之寶貴意見及建議後，提請第三屆理監事第9次聯席會議討論並通過，本公會積極與主管機關及期貨交易所溝通協調中，並進行相關後續事宜。

#### *Seminar on Risk Control for 10 Major Construction Projects (Nov. 25-26)*

*The seminar, hosted by CNFA Chairman Falco Mai, gathered CNFA directors, supervisors, members of Futures Brokerage Committee and Auditing & Law Compliance Committee, as well as workers for Risk Control Project, to discuss the preliminary research outcome of the Risk Control Project, following an introduction of Secretary General Lu. The discussion process was lively, putting forward many valuable opinions and recommendations. The association has made a summary of the discussion and sent it to the board of directors and supervisors for their review at their ninth joint meeting. Meanwhile, the CNFA coordinated with government agencies and the Taiwan Futures Exchange for the follow-up arrangements.*



#### 「洗錢防制」研討會 (11/8、11/10、11/15)

本次研討會分別於台北、台中、高雄辦理3場次，共計212人參加，本公會每年舉辦研討會，藉由專家經驗分享及實務案例研討，協助從業人員遵循防制洗錢注意事項之規範。

#### *Money laundering Control Seminar (Nov. 8, 10, and 15)*

*The seminar, held in Taipei, Taichung, and Kaohsiung, respectively, was participated by a total of 212 people. Through sharing of experts' experience and discussion of practical cases at the annual activity, it assisted people to act in compliance with the regulations against money laundering.*

## 講座 Lecture



### 「期貨業未來發展與就業機會校園巡迴講座」 (4、5月)

本講座全省共計25所大專院校、計1434位同學參與，對即將步入社會的應屆畢業生，以及對期貨業有興趣的學子，提供最好的業種介紹及最佳的解惑管道，也為職場生涯構築藍圖，邁向成功。

### Campus Lecture Tour of “Futures Market Development and Job Opportunities” (April, May)

The lecture tours, joined by 1,434 students from 25 colleges around the province, provided the best introduction to the industry. It made the best channel for students and soon-to-be graduates to dispel doubts about the futures business and helped them map out the blueprint for pursuing career success.

## 說明會 Presentation



### 「期貨商國際會計準則IFRS宣導」說明會(04/22、 05/20、06/20、07/15、08/19、09/16、10/21、11/18)

與期交所合辦本系列說明會，邀請到鍾丹丹會計師就期貨商實務導入IFRS議題探討做系列精彩的解說，參加對象為各期貨業之財會主管、內部稽核人員及自行查核人員，共計826人次參加。

### “IFRS for Futures Commission Merchants” (April 22, May 20, June 20, July 15, Aug. 19, Sept. 16, Oct. 21, Nov. 18)

The presentation, co-organized with the Taiwan Futures Exchange, invited accountant Chong Dandan to give a series of explanations and discuss the cases of IFRS futures practices. Attendees were finance and account managers, internal auditing employees, and self-assessment employees in the futures industry, in total of 826 people.



## 「個人資料保護法」說明會 (07/07、07/12、07/14)

本次說明會分別於台北、台中、高雄辦理3場次，共吸引232人參加，係希藉由專家的經驗，提供產業因應個資法施行之道，與面對個資事件之應變與管理能力，期協助業者及早規劃並調整作業。

### *“Personal Information Protection” (July 7, 12, and 14)*

*Three rounds of the presentation were held in Taipei, Taichung, and Kaohsiung, respectively and attracted a total of 232 participants. Through sharing of experts' experience, it provided the ways that futures companies could follow to act in compliance with the relevant regulations and law, and helped companies build up the ability to deal with personal data violation cases, assisting them to plan as early as possible and adjust their operation in accordance with the law.*



## 「金融消費者保護法」說明會 (09/15、09/20、09/22)

本次說明會分別於台北、台中、高雄辦理3場次，共吸引249人參加，係為協助從業人員，能了解金融消費者保護法之內涵，期能提昇服務品質，並減少金融消費糾紛。

### *“Financial Consumer Protection Law” (Sept. 15, 20, and 22)*

*The presentation, held in Taipei, Taichung, and Kaohsiung, respectively, attracted a total of 249 participants. It helped industry employees understand the content of the financial consumer protection law and then improve service quality and reduce disputes with financial consumers.*

## 市場推廣活動 *Market Promotion Activities*



### 「期股爭鋒擂台賽」(100/8/1~100/12/30)

為推廣股票期貨，本公會與臺灣期貨交易所共同出資，特別委請經濟日報專為股票期貨量身訂作本擂台賽，促使廣大股民更加瞭解股票期貨的特性及交易流程，並就熟悉的產業族群中去挑選適當的股票期貨進行交易，本活動共吸引4,845人參加。

### *Stock Futures Contest Arena (Aug 1-Dec. 30)*

*To promote stock futures trading, the CNFA and the Taiwan Futures Exchange commissioned the Economic Daily News to create the “Arena for Stock Futures Contest” in a hope to help stock investors and futures traders understand stock features and their trading process. It also helped them learn how to pick stock futures associated with their interested or familiar industries. The activity attracted a total of 4,845 participants.*



### 「全民股票期貨王」競賽活動(100/8/15~101/1/13)

為推廣股票期貨，活絡期貨市場，且使參與者能了解並善加運用股票期貨進行避險或策略交易，本公會再度與臺灣期貨交易所攜手合作，與工商時報異業結盟共同辦理「全民股票期貨王」競賽活動，本活動共吸引2,150人參加。

### *“King of Stock Futures” Competition (Aug. 15, 2011-Jan. 13, 2012)*

*In order to promote stock futures trading, enliven the futures market, and help people understand how to make strategic trading or hedge risk with stock futures, the CNFA worked with the Taiwan Futures Exchange again to assign the Commercial Times to arrange the “King of Stock Futures Competition” which was joined by a total of 2,150 participants.*

## 國際交流 *International interaction*



### 理監事荷蘭Liffe期貨商品及制度考察團 (02/18-02/27)

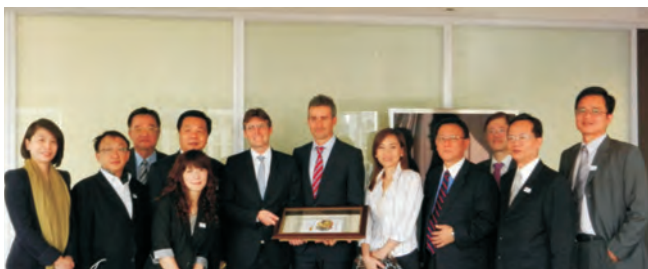
本公會理監事及會務人員共計13人，於2月25日參訪位於荷蘭阿姆斯特丹之紐約-泛歐交易所集團 (NYSE Euronext) 旗下的倫敦國際金融期貨交易所 (NYSE Liffe)，考察短天期選擇權 (daily/weekly options) 商品及店頭衍生性商品集中結算機制，以瞭解其運作實務與市場現況，並作為我國日後發展相關產品及制度之參考。

### *Business trip to Liffe in Europe (Feb. 18-27)*

*The CNFA organized a delegation and composed of 13 CNFA board members, supervisors, and staff workers, to visit NYSE Liffe in Amsterdam, Holland, which is under the flag of NYSE Euronext, to observe the centralized settlement system for its daily/weekly options commodities and over-the-counter derivative commodities transactions, and to understand its operation practices and current market situation as a reference for Taiwan to develop its related products and system in the future.*

### 理監事西班牙考察團

適逢臺灣期貨交易所今年大幅增加股票期貨檔數，西班牙金融期貨交易所 (MEFF) 之主力商品為個股期貨及個股選擇權，去年度之交易量分別為我國的27.2及535.2倍，相當值得觀摩與學習，故今年特別安排理監事參訪西班牙，作為業界日後經營之參考。



### 第1梯次-參訪法商興業銀行西班牙分行證券部 (09/30-10/09)

第一梯次參訪本公會會員香港商法國興業證券集團之法國興業銀行西班牙分行 (SG SPAIN)，就業務拓展與經營理念交換心得。



### 第2梯次-參訪西班牙交易所 (10/21-10/30)

第二梯次參訪西班牙金融期貨交易所，就其組織架構、管理效能、交易系統、商品開發、市場拓展方面進行觀摩。

### *Business trips to Spain*

*While Taiwan saw a significant growth in the number of single stock futures in 2011, the annual transaction amounts of the two major products traded at the Spanish Finance and Futures Exchange (MEFF)-the single stock futures and single stock options-were around 27.2 and 353.2 times those of Taiwan's, respectively. The MEFF was a market worth observing and studying. Therefore, the CNFA arranged a tour of board members and supervisors to visit the MEFF and their observation during the tour would be a reference for the industry's later operation in Taiwan.*

#### *First trip: visit at SG Spain, Société Générale (Sept. 30-Oct. 9)*

*The first trip was arranged to visit SG Spain, the securities division of Société Générale, observing its business operations and exchanging operation ideas. Société Générale operates a Taipei subsidiary which is a member of the CNFA.*

#### *Second trip: visit at MEFF (Oct. 21-30)*

*The second Spain trip was to visit the MEFF, learning of its structure, management efficiency, transaction systems, new product development, market expansion, and others.*



#### *世界聯合交易所參訪本公會(08/17)*

*世界聯合交易所 (world federation of exchanges , WFE)秘書處Romain Devai先生帶領4位WFE代表團等成員，來台參訪本公會進行國際業務交流。*

#### *WFE visits CNFA(Aug. 17)*

*A 4-member delegation of the World Federation of Exchanges (WFE), led by Secretary General Romain Devai, made a visit to the CNFA for international exchange.*

## 兩岸往來 *Cross-straits interaction*



### 理監事參加第七屆深圳國際期貨大會 (12/03~12/04)

為加強兩岸期貨業交流，由廩理事長率領理監事及會務人員共計20人至深圳參加「第七屆中國（深圳）國際期貨大會」，會議第二天，本公會與深圳市期貨同業協會及中證期貨共同舉辦「兩岸期貨業務發展研討會」，雙方共計30多人參與了這場交流會，會議討論內容豐富，交流熱絡，雙方並期許日後能再有機會互訪交流。

### *7<sup>th</sup> Annual China International Derivatives Forum (CIDF) held in Shenzhen (Dec. 3-4)*

*To strengthen exchange between China's and Taiwan's futures industries, CNFA Chairman Falco Mai led a total of 20 CNFA board members, supervisors, and staff workers to participate in the 7<sup>th</sup> Annual China International Derivatives Forum in Shengzhen. On the second day of the activity, the CNFA joined the Shenzhen Futures Association and CITICS Futures Co. in holding the Cross-straits Futures Development Conference, attended by a total of over 20 people from both sides. Plenty of discussion and lively exchange at the meeting created the opportunities for the two sides to visit each other again for closer interaction.*



### 鄭州商品交易所來台參訪 (01/18)

鄭州交易所王曉明高級總監等一行6人參訪本公會，這是繼去年五月上旬該所梅宏斌副總率團來台參訪後，第二次來訪，可見鄭州交易所對台灣期貨業之發展經驗非常有興趣瞭解，而本公會、期交所及期貨業者均表達了高度歡迎之意。

### *Visit of Zhengzhou Commodity Exchange delegation (Jan. 18)*

*Zhengzhou Commodity Exchange's high-ranking executive Wang Xiaoming led six colleagues to visit the CNFA, following the first visit of the Exchange's delegation led by Vice President Hongbin Mei in early May of the previous year. It's the second time for the people from the Exchange to visit Taiwan, and they have been familiar with the development of Taiwan's futures industry. The CNFA and futures companies all showed them a warm welcome.*



**第五屆中國杭州分析師大會 (04/24)**

本次大會由盧秘書長及詹副秘書長出席參加，其中詹副秘書長受邀參加「期貨投資諮詢業務研會」，談論主題為「如何滿足機構諮詢服務需求」。

**5<sup>th</sup> China Hangzhou Analysts Forum (April 24)**

*Secretary General Lu and Deputy Secretary General Zhan represented the CNFA to attend the “Futures Investment Consultation Business Conference” under the theme of “How to Provide Satisfactory Consultation Services.”*



**海通期貨來訪 (09/19)**

大陸海通期貨總經理徐凌率領該公司高級主管一行共6人參訪本會，瞭解本公會運作情形並汲取台灣經驗。

**Visit of Haitong Futures (Sept. 19)**

*Xu Lin, general manager of Haitong Futures, led a 6-member delegation to visit the CNFA to understand the CNFA's operation and learn about the Taiwan's experience.*



**廈門證券期貨業協會來訪 (11/14)**

廈門證券期貨業協會培訓部主管陳靜率領該協會會員公司高級主管一行11人參訪本公會，進行業務交流。

**Visit of Securities and Futures Association of Xiamen (Nov. 14)**

*Chen Jing, manager of training department of Securities and Futures Association of Xiamen, led other 10 high-ranking executives to visit the CNFA for business exchange.*

## 人員培訓活動及輔導就業 *Training and Employment*



### 1. 期貨業務人才養成班

本公會100年度分別於台北及高雄舉辦三場聯合徵才活動，第21期台北班經電腦媒合結果計20位學員分別為13家公司所錄取、第22期高雄班計5位學員分別予3家公司所錄取，以及第23期台北班計5位學員分別為4家公司所錄取。

### 1. *Futures Talents Cultivation*

*In 2011, the CNFA arranged three joint recruitment activities in Taipei and Kaohsiung. Through computerized-matching, 20 persons of the 21<sup>st</sup> Taipei training program were recruited by 13 companies, five persons of the 22<sup>nd</sup> Kaohsiung training program were recruited by three companies, and another five persons of the 23<sup>rd</sup> Taipei training program were recruited by four companies,*



### 2. 期貨信託基金銷售人員輔導考照班 (01/04-01/08)

為協助更多期貨信託基金銷售人員順利取得證照，本公會特分別於2011年1月4、6、8日舉辦北、中、南三場「期貨信託基金銷售人員輔導考照班」。

### 2. *Futures Trust Fund Sales Representative License Exam Class (Jan. 4-8)*

*To assist more futures trust fund salesmen smoothly obtain certification, the CNFA especially held three exam coaching classes in Taipei, Taichung and Kaohsiung on Jan. 1, 4 and 6, respectively.*

聯誼活動 *Social Activities*

1. 理監事聯誼活動 ( *Social activities for directors and supervisors* )

理監事聯誼-青島之旅 ( 05/10-05/14 )  
*Trip to Qingdao, Shangdong province, China*  
( May 10-14 )



理監事聯誼-泰國之旅(05/14-05/18)  
*Trip to Thailand (May 14-18)*



2. 委員會聯誼活動 ( *Social activities for committee members* )

委員會聯誼-九族+日月潭纜車+埔里風光精緻2日遊，共2梯次( 10/08-10/09、10/15-10/16 )  
*Two 2-day trips to Formosan Aboriginal Culture Village and Sun Moon Lake and Puli ( Oct. 8-9, Oct. 15-16 )*



### 3. 會員聯誼活動 (Social activities for members)

本公會每年舉辦登山健行活動，主要目的是讓平日工作壓力極大的從業人員，可以有一個釋放情緒並能同時增進親子關係的活動。本年度並邀請證券投信投顧公會共同攜手擴大舉辦，藉此增進證券期貨從業人員聯誼交流；另，更特別商請罕見疾病基金會協助設攤，除略盡社會責任外，也希望能藉以達到另一層面的教育意義，本次活動共計912名從業人員及眷屬參與。

*The CNFA annually holds mountain climbing and trekking activity. Its main goal is to let industry people release everyday stress and simultaneously improve parent-child relationships. In 2011, it invited the Securities Investment Trust and Consulting Association to co-organize this activity which promoted social exchange between securities and futures employees. Also, it especially invited the Foundation for Rare Disorders to assist setting up a stall at the activity. Besides social responsibility, the CNFA also hopes to reach another level of education through the activity which was attended by a total of 912 employees and family members of the CNFA member companies.*



### 三、督促會員自律、共謀業務上改進事項

#### (Self-discipline and operation improvement of member companies)

##### 1. 期貨顧問事業查察輔導事項 (Inspection of futures advisory enterprises)

本公會100年度針對期貨顧問事業之業務及內部稽核作業實施查察輔導工作，例行訪查期貨顧問事業共計34家，專案查核期貨顧問事業12件。其中違反本會「會員自律公約」，發函會員公司要求改善者10件；警告者6件；科違約金者1件。

*The Association made annual inspection on futures advisory enterprises and their practice of internal auditing. In 2011, it inspected 34 companies and 12 futures advisory projects. Among those found in violation against the Self-discipline Agreement for CNFA Members, 10 were required to make improvement, 6 received the Association's warning notice, and one was fined for rule violation.*

## 2. 其他違規事項 (Other rule-violation cases)

會員公司100年度違反本公會「會員自律公約」，提紀律委員會討論者19件，其中處違約金者2件，警告處分者11件，要求公司改善者4件。

*For other projects where member companies were found acting against the Self-discipline Agreement for CNFA Members, 19 projects were sent to the Discipline Committee for its review, 2 companies were fined for rule-violation, 11 ones received the Association's warning notice, and 4 ones were required to make improvement.*

## 四、關於會員業務之廣告及宣傳審查事項

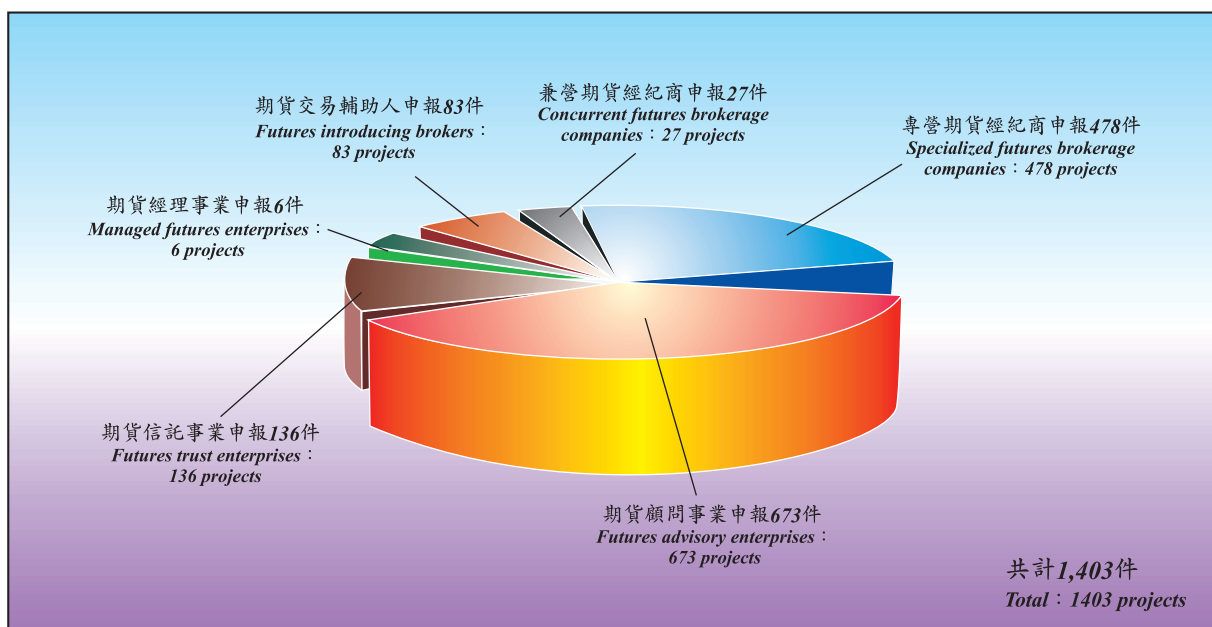
### ( Inspection of advertisement and promotional materials of member companies )

本公會100年度共計審核1403件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報478件、兼營期貨經紀商申報27件、期貨顧問事業申報673件、期貨經理事業申報6件、期貨信託事業報136件、期貨交易輔助人申報83件。

*In 2011, the Association reviewed 1,403 projects of advertisement and promotional materials published by member companies. Among them, 478 projects were filed by the specialized futures brokerage companies, 27 by concurrent futures brokerage companies, 673 by futures advisory enterprises, 6 by managed futures enterprises, 136 by futures trust enterprises, and 83 by futures introducing brokers.*

#### 100年度宣傳資料及廣告申報案件統計

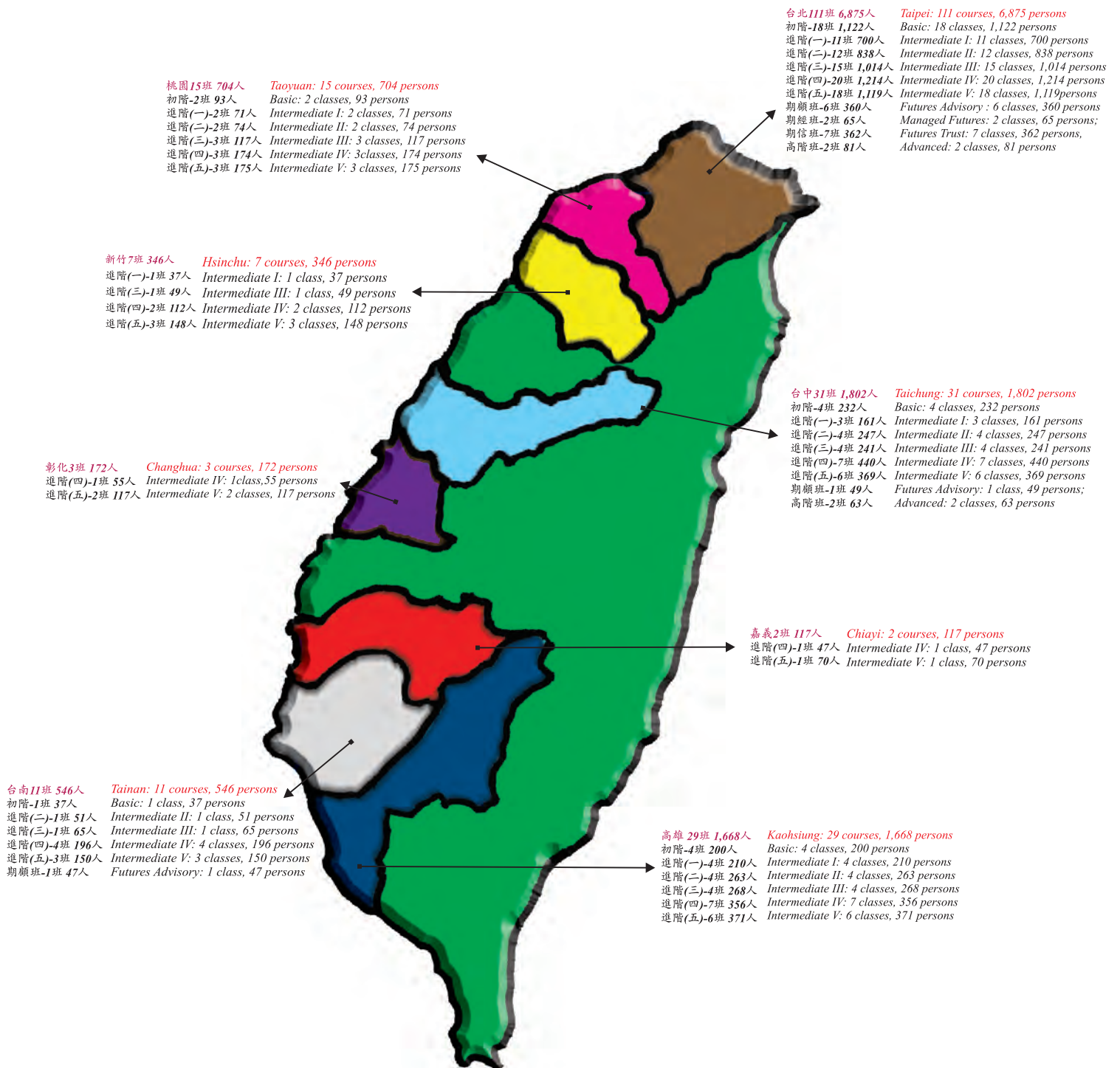
#### Advertisement and Business Promotion Projects of CNFA Members in 2011



## 五、在職訓練 (On-job training)

100年度舉辦之地區別、班別及人數詳如下圖：

In 2011, the CNFA arranged following training courses for futures workers:



## 六、關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項 (Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives)

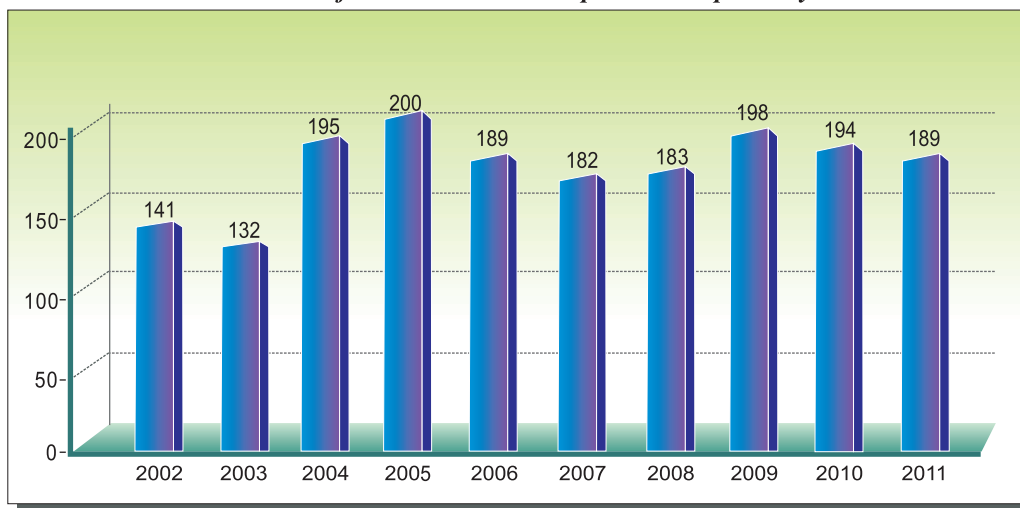
### 1. 會員及業務員基本資料之建立及登記事項

#### Registration of member companies and their business representatives

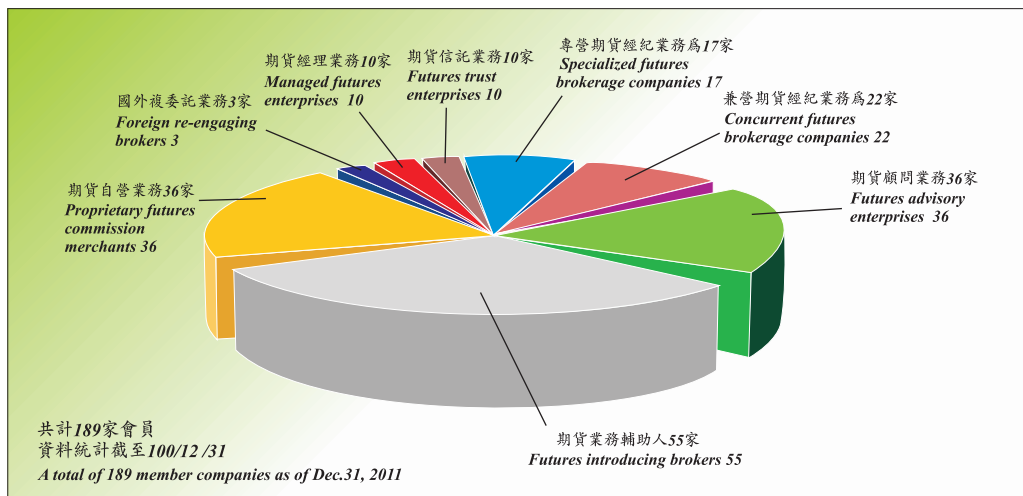
- 會員家數：共計189家，其中經營期貨經紀業務為42家(24%)；期貨業務輔助人55家(29%)；期貨自營業務36家(19%)；期貨經理業務10家(5%)；期貨顧問業務36家(19%)；期貨信託業務10家(5%)。

*There were 189 member companies registered with the CNFA at the end of 2011: 42 (24%) futures brokerage companies, 55 (29%) futures introducing brokers, 36 (19%) proprietary futures commission merchants, 10 (5%) managed futures enterprises, 36 (19%) futures advisory enterprises, and 10 (5%) futures trust enterprises.*

近10年會員家數統計圖  
Statistics of CNFA Member Companies over past 10 years



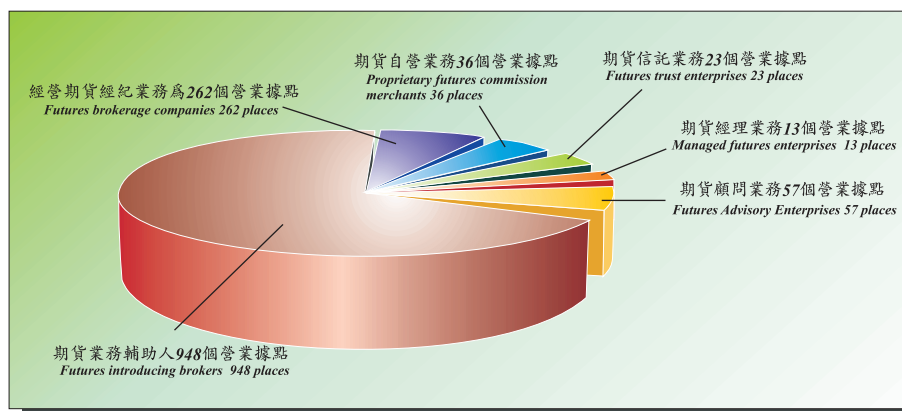
100年度會員類別統計圖  
Statistics of CNFA Member Companies in 2011, by Business Category



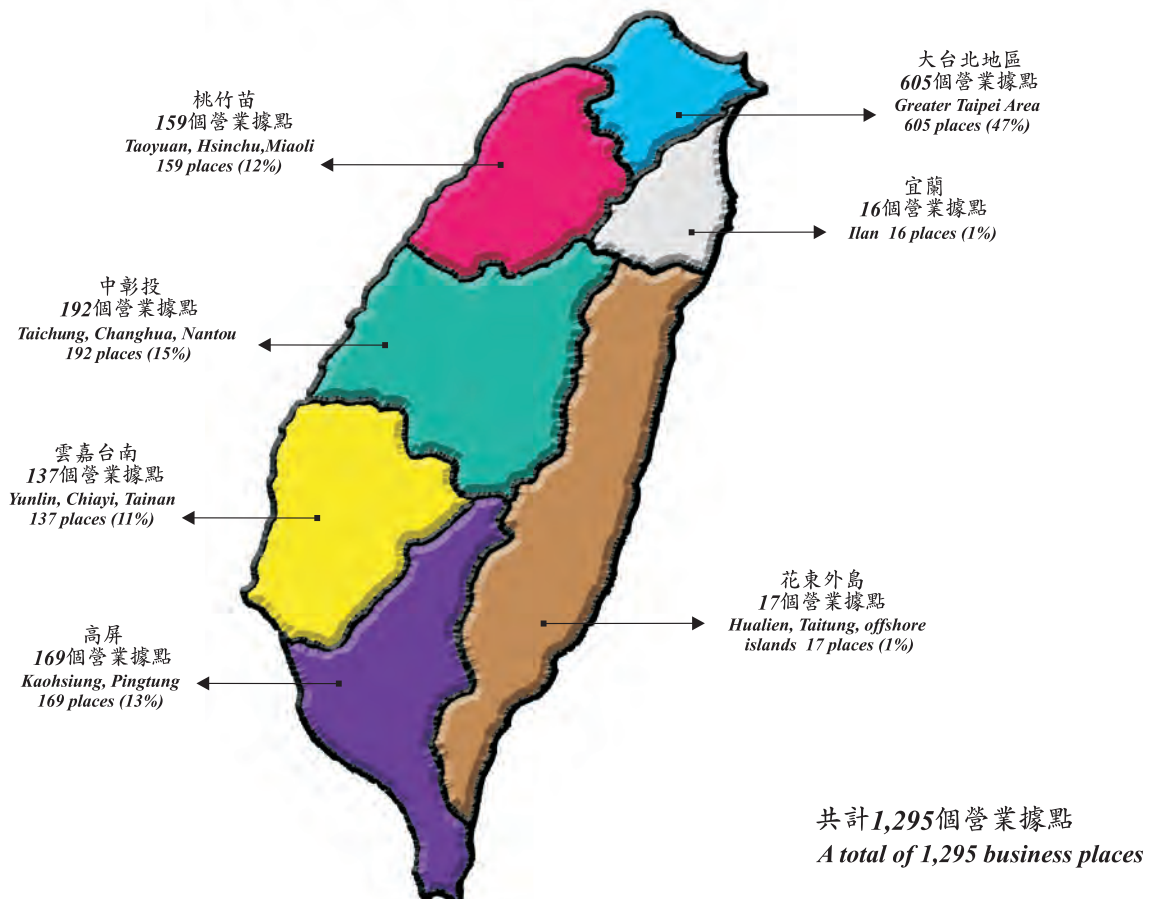
- 會員總分公司分支機構數：共計1,339家，其中經營期貨經紀業務為262個營業據點(20%)；期貨業務輔助人948個營業據點(71%)；期貨自營業務36個營業據點(3%)；期貨經理業務13個營業據點(1%)；期貨顧問業務57個營業據點(4%)；期貨信託業務23個營業據點(2%)。

CNFA member companies were operated with a total of 1,339 headquarters offices and branch offices as of the end of 2011: 262 used by futures brokers (20%), 948 used by introducing brokers (71%), 36 used by proprietary futures commission merchants (3%), 13 used by managed futures enterprises (1%), and 57 used by futures advisory enterprises (4%), and 23 used by futures trust enterprises (2%).

會員營業據點統計圖  
Business Places of CNFA Member Companies in 2011



會員營業據點區域分佈統計圖 ( Geographical Location of CNFA Member Companies )

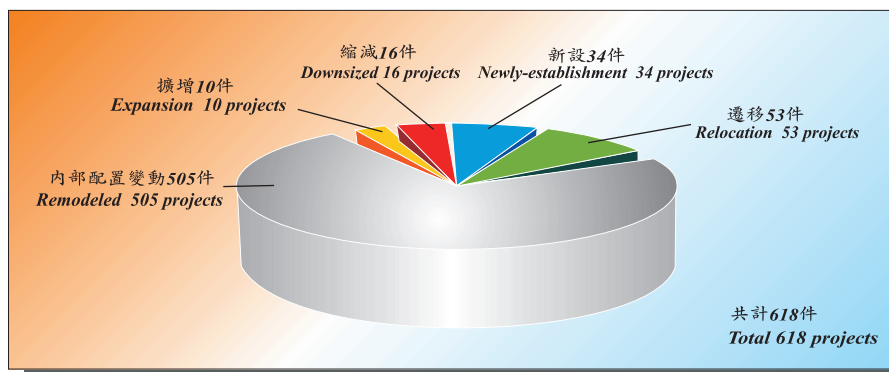


■ 會員場地勘察 (Business place inspection)

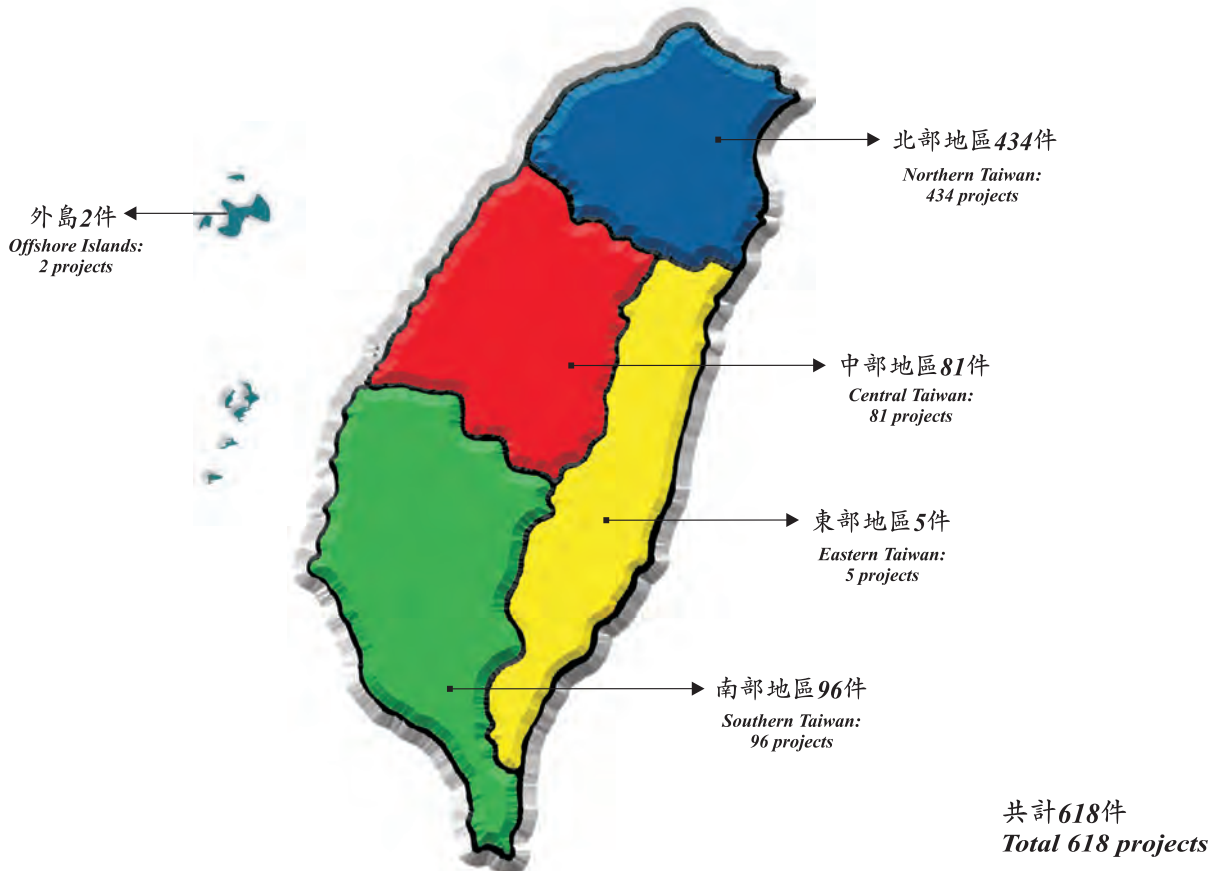
100年度共計618件場勘案(北部地區434件、中部地區81件、南部地區96件、東部地區5件、外島2件)，就其場勘案件分析，新設營業處所34件(6%)、遷移營業處所53件(8%)、縮減營業處所16件(3%)、擴增營業處所10件(2%)、營業處所內部配置變動505件(80%)。

The Association conducted a total of 618 business place inspection cases in 2011 (434 in northern Taiwan, 81 in central Taiwan, 96 in southern Taiwan, 5 in eastern Taiwan, and 2 on offshore islands). Among these inspected places, 34 (6%) were newly established, 53 (8%) were relocated, 16 (3%) were downsized, 10 (2%) were expanded, and 505 (80%) were remodeled.

100年度場地勘察類別統計圖  
Statistics on Business Place Inspection Projects in 2011



100年度場地勘察區域分佈統計圖 (Geographical Areas of Inspected Business Places in 2011)

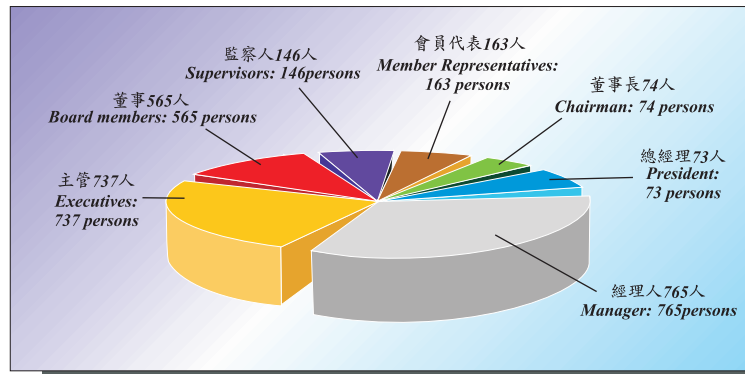


## 2. 會員委託證照之申請變更、換領及其他服務事項 (Certificate renewal, change, and other related services)

- 會員代表、會員負責人100年度於本會異動登錄共計2,523人次，細分如下：

*In 2011, the Association handled a total of 2,523 certificate renewal and change projects for high-ranking executives, board members, and supervisors of member companies*

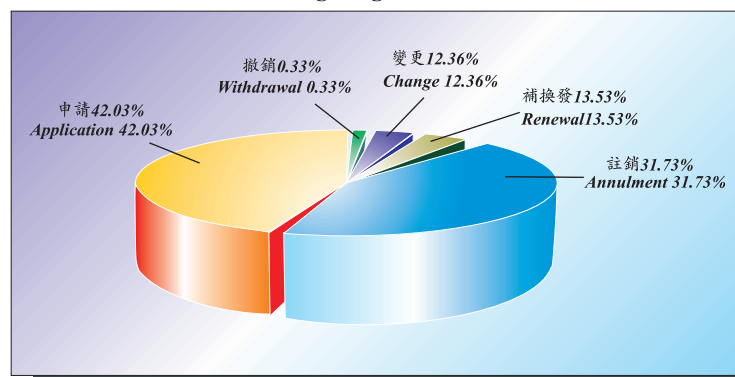
身分類異動統計  
Changes of executives board and Supervisors in 2011



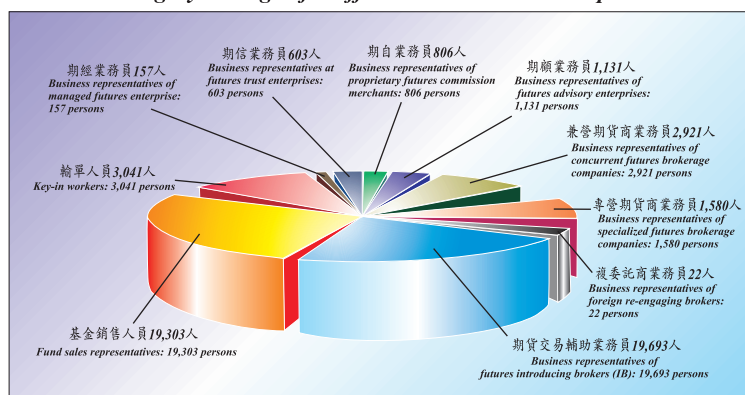
- 業務員、輸單員100年度於本會異動登錄共計24,670件，每月平均2,056件。

*In 2011, the Association handled a total of 24,670 business representative and key-in worker enrollment cases, with an average of 2,056 cases per month*

業務異動類別統計圖  
Job change registration in 2011



業務種類異動統計  
Business Category Change of Staff at CNFA Member Companies in 2011



## 七、本公會出版品 (Publications)

### 1. 會訊 (Newsletter)



### 2. 期貨人季刊 (Futures Journal)



### 3. 台灣期貨與衍生性商品學刊 (Taiwan Futures and Derivatives Journal)



### 4. 台灣期貨研究叢書 (Futures-related Books)



5. 政令宣導 (Policy advocate materials)

- (1) 配合年節並宣導『合法期貨』標章印製桌曆、紅包袋、年卡及財神寄送會員公司總公司分支機構、周邊單位及鄉鎮公所。

*In cope with holiday and festival celebration, the CNFA made seasonal giveaways like desk calanders, red envelopes, greeting cards with the logo of "Legitimate Futures," which are distributed to member companies, related institutions, and local administration offices.*



- (2) 製作毛巾及便利貼等文宣品供活動使用。

*The CNFA made towels and stickers as promotional gifts to be distributed at CNFA-sponsored activities.*



- (3) 製作拭鏡布及標章貼紙分送在職訓練學員，以推廣『合法期貨』標章。

*The CNFA made class cloth and stickers with the print of "Legitimate Futures" logo for the students at CNFA-held training courses.*



## 伍、財務報告



會計師查核報告

(101)財審報字第 11002791 號

中華民國期貨業商業同業公會 公鑒：

中華民國期貨業商業同業公會民國 100 年及 99 年 12 月 31 日之資產負債表及財產目錄，暨民國 100 年及 99 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，業經本會計師查核竣事。上開會計報告之編製係管理階層之責任，本會計師之責任則為根據查核結果對上開會計報告表示意見。

本會計師係依照一般公認審計準則規劃並執行查核工作，以合理確信會計報告有無重大不實表達。此項查核工作包括以抽查方式獲取會計報告所列金額及所揭露事項之查核證據、評估管理階層編製會計報告所採用之會計原則及所作之重大會計估計，暨評估會計報告整體之表達。本會計師相信此項查核工作可對所表示之意見提供合理之依據。

依本會計師之意見，第一段所述會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」及中華民國一般公認會計原則編製，足以允當表達中華民國期貨業商業同業公會民國 100 年及 99 年 12 月 31 日之資產負債狀況，暨民國 100 年及 99 年 1 月 1 日至 12 月 31 日之收支情形。

資 誠 聯 合 會 計 師 事 務 所

會計師

賴 吳 義



中 華 民 國 1 0 1 年 2 月 1 7 日

## V. Financial Report

### *Independent Auditor's Report*

*To: Chinese National Futures Association*

*We have audited the balance sheet and assets list of the Chinese National Futures Association (CNFA) as of the end of 2011 as well as related statement of income, cash flow, and fund management for the year then ended. The CNFA is responsible for making all these financial statements about which we are responsible for expressing our opinions based on our audit.*

*We conduct our audits in accordance with generally accepted auditing standards in the Republic of China, which requires that we plan and perform the audit to obtain reasonable assurance about whether these financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statement. We believe that our audits provide a reasonable basis for our opinion.*

*In our opinion, the financial statements referred to in the first paragraph present fairly, in all material aspects, the financial position of the CNFA as of December 31, 2011 and the results of its operations and cash flows for the year then ended in conformity with the “Rules Governing Financial Management of Industrial and Commercial Associations” and generally accepted accounting principles in the Republic of China.*

*PricewaterhouseCoopers*

*Taipei, Taiwan, ROC*

*Feb. 17, 2012*

中華民國期貨業同業公會  
新莊會館  
民國100年12月31日及民國99年12月31日

	100年12月31日		99年12月31日		100年12月31日		99年12月31日	
	金額	%	金額	%	金額	%	金額	%
<b>資產</b>								
流動資產								
現金及銀行存款(附註三(一))	\$ 35,084,705	7	\$ 24,710,150	5	\$ 23,850,636	5	\$ 12,989,256	3
有價證券(附註三(二))	57,194,579	12	52,014,579	11	211,038	-	232,123	-
應收帳款(附註三(三))	1,837,796	-	7,375,840	2	133,874	-	255,270	-
預付款項	259,085	-	215,646	-	-	-	-	-
流動資產合計	94,376,165	19	84,316,215	18	24,195,548	5	13,476,649	3
基金								
會務發展準備基金-專戶存款(附註三(四))	77,391,708	15	72,028,650	15	66,960,000	13	69,300,000	15
退撫準備基金-專戶存款(附註三(五))	12,376,544	3	10,144,928	2	66,960,000	13	70,288,943	15
基金合計	89,768,252	18	82,173,578	17	91,155,548	18	83,765,592	18
固定資產(附註三(六))								
土地	133,989,345	28	133,989,345	29	-	-	988,943	-
房屋及建築	91,281,927	18	91,281,927	19	-	-	-	-
事務設備	12,162,429	2	10,949,150	2	81,453,768	16	76,428,650	16
運輸設備	652,381	-	652,381	-	14,966,222	3	12,677,163	3
雜項設備	990,654	-	450,619	-	254,537,428	50	238,663,012	50
辦公設備	1,339,590	-	1,339,590	-	350,957,418	69	327,768,825	69
未完工程	14,121,102	3	-	-	-	-	-	-
固定資產合計	254,537,428	50	238,663,012	50	62,976,688	13	48,806,899	10
其他資產								
存出保證金	58,300	-	58,300	-	610,491	-	14,169,789	3
自律基金-專戶存款	66,960,000	13	69,300,000	15	63,587,179	13	62,976,688	13
其他資產合計	67,018,300	13	69,358,300	15	414,544,597	82	390,745,513	82
資產總計	\$ 505,700,145	100	\$ 474,511,105	100	\$ 505,700,145	100	\$ 474,511,105	100
<b>負債、基金及餘絀</b>								
流動負債								
應付款項(附註三(七))								
代收帳款								
暫收款								
流動負債合計								
其他負債								
存入保證金								
存入保證金-自律基金								
其他負債合計								
負債總額								
基金及餘絀								
基金								
會務發展準備基金								
退撫準備基金								
資產基金								
基金合計								
餘絀								
累計餘絀(附註三(九))								
本期餘絀								
餘絀合計								
基金及餘絀合計								
負債、基金及餘絀總計								

後附會計報告附註為本報告之一部分，請併同參閱

理事長： 秘書長： 會計主管： 製表：

Chinese National Futures Association  
Balance Sheet  
Dec. 31, 2011

	Dec. 31, 2011		Dec. 31, 2010		Dec. 21, 2011		Dec. 21, 2010		
	Amount	%	Amount	%	Amount	%	Amount	%	
<b>Assets</b>									
<b>Current assets</b>									
Cash & bank deposit (Note 3-1)	\$ 35,084,705	7	\$ 24,710,150	5	\$ 23,850,636	5	\$ 12,989,256	3	
Securities (Note 3-2)	57,194,579	12	52,014,579	11	211,038	-	232,123	-	
Receivables (Note 3-3)	1,837,796	-	7,375,840	2	133,874	-	255,270	-	
Pre-payments	259,085	-	215,646	-	24,195,548	5	13,476,649	3	
Sub-total of current assets	94,376,165	19	84,316,215	18					
<b>Funds</b>									
Development fund at special bank account (Note 3-4)	77,391,708	15	72,028,650	15	66,960,000	13	69,300,000	15	
Pension fund at special bank account (Note 3-5)	12,376,544	3	10,144,928	2	66,960,000	13	70,288,943	15	
Sub-total of funds	89,768,252	18	82,173,578	17	91,155,548	18	83,765,592	18	
<b>Fixed assets (Note 3-6)</b>									
Land	133,989,345	28	133,989,345	29			988,943	-	
Buildings and structures	91,281,927	18	91,281,927	19			69,300,000	15	
OA equipment	12,162,429	2	10,949,150	2	81,453,768	16	76,428,650	16	
Transportation equipment	652,381	-	652,381	-	14,966,222	3	12,677,163	3	
Other facilities	990,654	-	450,619	-	254,537,428	50	238,663,012	50	
Office facilities	1,339,590	-	1,339,590	-	350,957,418	69	327,768,825	69	
Unfinished construction	14,121,102	3	-	-					
Sub-total of fixed assets	254,537,428	50	238,663,012	50					
<b>Other assets</b>									
Guarantee deposits paid	58,300	-	58,300	-	62,976,688	13	48,806,899	10	
Self-discipline fund at special bank account	66,960,000	13	69,300,000	15	610,491	-	14,169,789	3	
Sub-total of other assets	67,018,300	13	69,358,300	15	63,587,179	13	62,976,688	13	
Total assets	\$ 505,700,145	100	\$ 474,511,105	100	\$ 505,700,145	100	\$ 474,511,105	100	
<b>Liabilities, funds, and surplus</b>									
<b>Current liabilities</b>									
Payables (Note 3-7)									
Receipts under custody									
Temporary credits									
Sub-total of current liabilities									
<b>Other liabilities</b>									
Guarantee deposits received									
Self-discipline Fund									
Sub-total of other liabilities									
Total of liabilities									
<b>Funds and surplus</b>									
<b>Funds</b>									
Development fund									
Pension fund									
Assets fund									
Sub-total of funds									
<b>Surplus</b>									
Accumulated balance (Note 3-9)									
Balance for this period									
Sub-total of surplus									
Total of funds and surplus									
Total liability, funds and surplus									

Please refer to the following notes as part of the statement

中華民國期貨業商業同業公會

收支決算表

民國100年1月1日至12月31日

單位：新台幣元

科 目	決算數	預算數	決算與預算比較數		說明
			增 加	減 少	
<b>經費收入</b>					
入會費收入	\$ 9,600	\$ 19,000	\$ -	(\$ 9,400)	
常年會費收入	2,042,400	1,856,000	186,400	-	
業務費收入(附註三(十))	81,803,745	81,344,000	459,745	-	
利息收入	1,627,117	1,410,000	217,117	-	
專案計劃收入	-	450,000	-	( 450,000)	
委託收入	15,226,005	14,610,000	616,005	-	
其他收入	2,629,897	2,311,000	318,897	-	
收入合計	<u>103,338,764</u>	<u>102,000,000</u>	<u>1,798,164</u>	<u>( 459,400)</u>	
<b>經費支出</b>					
人事費	30,233,366	32,681,500	-	( 2,448,134)	註1
辦公費	7,659,137	8,056,000	-	( 396,863)	
業務費	38,271,522	41,842,500	-	( 3,570,978)	註2
購置費	17,145,087	7,500,000	9,645,087	-	註3
團體會費	1,070,000	1,070,000	-	-	
什項支出	905,775	688,000	217,775	-	
專案計劃支出	-	450,000	-	( 450,000)	
預備金	-	2,000,000	-	( 2,000,000)	註4
基金提存(附註三(十一))	7,443,386	7,712,000	-	( 268,614)	
支出合計	<u>102,728,273</u>	<u>102,000,000</u>	<u>9,862,862</u>	<u>( 9,134,589)</u>	
本期餘絀(稅前)	610,491	-	-	610,491	
所得稅費用(附註三(八))	-	-	-	-	
本期餘絀(稅後)	<u>\$ 610,491</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 610,491</u>	

後附會計報告附註為本報告之一部分，請併同參閱

註1：人事費減少，主係因本期在職員工人數減少且年終獎金實際發放數較原預算估列金額為少所致。

註2：業務費減少，主係因本期國際論壇及兩岸論壇等活動未舉辦所致。

註3：購置費增加，主係因本期13樓會所裝潢所致。

註4：預備金減少，主因係本預算原訂為發生特殊事項時應急使用，本期並未有特殊天災人禍事項發生，故尚未使用。

理事長：



秘書長：



會計主管：



製表：

張佩瑜

## Chinese National Futures Association

## Income Statement

Dec. 31, 2011

Unit: NT\$

Item	Actual Amount	Estimated Amount	Difference between actual and estimated amounts		Remarks
			Increase	Decrease	
<b>Revenue</b>					
Entry fees	\$ 9,600	\$ 19,000	\$ -	(\$ 9,400)	
Annual membership fees	2,042,400	1,856,000	186,400	-	
Business promotion fees (Note 3-10)	81,803,745	81,344,000	459,745	-	
Interest income	1,627,117	1,410,000	217,117	-	
Income from special projects	-	450,000	-	(450,000)	
Income from entrusted projects	15,226,005	14,610,000	616,005	-	
Miscellaneous income	2,629,897	2,311,000	318,897	-	
Total revenue	103,338,764	102,000,000	1,798,164	(459,400)	
<b>Expenses</b>					
Personnel expense	30,233,366	32,681,500	-	(2,448,134)	Note 1
Administration	7,659,137	8,056,000	-	(396,863)	
Business promotion	38,271,522	41,842,500	-	(3,570,978)	Note 2
Procurement	17,145,087	7,500,000	9,645,087	-	Note 3
Association activity fee	1,070,000	1,070,000	-	-	
Miscellaneous items	905,775	688,000	217,775	-	
Contracted research projects	-	450,000	-	(450,000)	
Advance cash	-	2,000,000	-	(2,000,000)	Note 4
Fund deposit (Note 3-11)	7,443,386	7,712,000	-	(268,614)	
Total expense	102,728,273	102,000,000	9,862,862	(9,134,589)	
Pre-tax balance	610,491	-	-	610,491	
Income tax (Note 3-8)	-	-	-	-	
After-tax balance	\$ 610,491	\$ -	\$ -	\$ 610,491	

Please refer to the following notes as part of this statement:

Note 1: The decrease in personnel expense was mainly resulted from the gap between actual year-end bonus payout and the original estimated amount.

Note 2: The business promotion fee decreased mainly because the CNFA didn't held the international conference and cross-straits activities in 2011.

Note 3: The increase in procurement was mainly due to the re-modeling of the reception room on the 13th floor.

Note 4: The advanced cash decreased mainly because no serious natural disasters or accidents happened in 2011.

## 陸、大事紀要 (VI. Chronicle)

日期 (Date)	活動名稱 (Event)
01/01-02/06	「合法期貨標章」公車車體宣導活動 <i>“Legitimate Futures Mark” advertisements on bus coach</i>
01/04-01/06	期貨信託基金銷售人員輔導考照班-台北 <i>Futures Trust Fund Sales Person License Exam Class-Taipei</i>
01/08	期貨信託基金銷售人員輔導考照班-台中、高雄 <i>Futures Trust Fund Sales Person License Exam Class-Taichung, Kaohsiung</i>
01/18	鄭州商品交易所來台參訪 <i>Zhengzhou Commodity Exchange visited CNFA</i>
02/17	第3屆理監事第4次聯席會議 <i>The 3-4 joint meeting of board members and supervisors</i>
02/18-02/27	理監事荷蘭NYSE LIFFE 99年第二梯次參訪活動 <i>Second business trip of CNFA board members and supervisors to Liffe in Europe</i>
03/15-03/18	派員參加第36屆美國期貨業協會年會 <i>Participation in the 36<sup>th</sup> annual meeting of American Futures Industry Association (FIA)</i>
03/24	「會員暨期貨信託基金銷售機構從事廣告、業務招攬及營業促銷活動」自律規範專案會議 <i>Meeting on “Regulations on Advertisement and Promotional Activities of CNFA Members and Futures Trust Fund Sales Outlets”</i>
04/06	「期貨業未來發展與就業機會校園巡迴講座」清雲科技大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Ching Yun University</i>
04/08	「期貨業未來發展與就業機會校園巡迴講座」中國文化大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Chinese Culture University</i>
04/08	「期貨業未來發展與就業機會校園巡迴講座」臺北大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -National Taipei University</i>
04/13	「期貨業未來發展與就業機會校園巡迴講座」元智大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Yuan Ze University</i>

日期 (Date)	活動名稱 (Event)
04/13	「期貨業未來發展與就業機會校園巡迴講座」臺中技術學院 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -National Taichung University of Science and Technology</i>
04/15	「期貨業未來發展與就業機會校園巡迴講座」中央大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -National Central University</i>
04/18	「期貨業未來發展與就業機會校園巡迴講座」醒吾技術學院 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Hsin Wu Institute of Technology</i>
04/19	「期貨業未來發展與就業機會校園巡迴講座」黎明技術學院 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -LeeMing Institute of Technology</i>
04/22	「期貨業未來發展與就業機會校園巡迴講座」中華大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Chung Hua University</i>
04/22	第3屆理監事第5次聯席會議 <i>The 3-5 joint meeting of board members and supervisors</i>
04/22	期貨商國際會計準則IFRS宣導說明會第1場(與期交所合辦) <i>The 1<sup>st</sup> “IFRS Rules for Futures Commission Merchants” presentation (co-organized with TAIFEX)</i>
04/24	派員參加第五屆中國杭州分析師大會 <i>Participation in the 5<sup>th</sup> China Hangzhou Analysts Forum</i>
04/26	「期貨業未來發展與就業機會校園巡迴講座」虎尾科技大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -National Formosa University</i>
04/27	「期貨業未來發展與就業機會校園巡迴講座」暨南國際大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -National Chi Nan University</i>
04/28	「期貨業未來發展與就業機會校園巡迴講座」明道大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Ming Dao University</i>
04/28	「期貨業未來發展與就業機會校園巡迴講座」東海大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Tung Hai University</i>
05/03	「期貨業未來發展與就業機會校園巡迴講座」靜宜大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Provindence University</i>

日期 (Date)	活動名稱 (Event)
05/04	「期貨業未來發展與就業機會校園巡迴講座」元培科技大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Yuan Pei University</i>
05/04	「期貨業未來發展與就業機會校園巡迴講座」輔仁大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Fu Jen Catholic University</i>
05/05	「期貨業未來發展與就業機會校園巡迴講座」樹德科技大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Shu Te University</i>
05/10	「期貨業未來發展與就業機會校園巡迴講座」東吳大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Soochow University</i>
05/10-05/14	理監事聯誼活動-青島 <i>Social activity for Board members and supervisors in Qingdao, China</i>
05/11	「期貨業未來發展與就業機會校園巡迴講座」聯合大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-National United University</i>
05/12	「期貨業未來發展與就業機會校園巡迴講座」高雄應用科技大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-National Kaohsiung University of Applied Science</i>
05/14-05/18	理監事聯誼活動-泰國 <i>Social activity for Board members and supervisors in Thailand</i>
05/17	「期貨業未來發展與就業機會校園巡迴講座」新生醫專 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Hsin Sheng College of Medical care and Management</i>
05/18	「期貨業未來發展與就業機會校園巡迴講座」崇右技術學院 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-Chung Yu University</i>
05/19	「期貨業未來發展與就業機會校園巡迴講座」東華大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-National Dong Huw University</i>
05/20	「期貨業未來發展與就業機會校園巡迴講座」中正大學 <i>Campus Lecture Tour of "Futures Market Development and Job Opportunities"</i> <i>-National Chungcheng University</i>
05/20	期貨商國際會計準則IFRS宣導說明會第2場(與期交所合辦) <i>The 2<sup>nd</sup> "IFRS Rules for Futures Commisison Merchants" presentation</i> <i>(co-organized with TAIFEX)</i>

日期 (Date)	活動名稱 (Event)
05/25	「期貨業未來發展與就業機會校園巡迴講座」南台科技大學 <i>Campus Lecture Tour of “Futures Market Development and Job Opportunities” -Southern Taiwan University</i>
06/09	第3屆理監事第6次聯席會議 <i>The 3-6 joint meeting of board members and supervisors</i>
06/20	期貨商國際會計準則IFRS宣導說明會第3場(與期交所合辦) <i>The 3<sup>rd</sup> “IFRS Rules for Futures Commission Merchants” presentation (co-organized with TAIFEX)</i>
06/29	第3屆第2次會員大會 <i>The 3-2 general assembly of CNFA members</i>
07/07	個人資料保護法宣導說明會-台北場 <i>Presentation on “Personal Information Protection” in Taipei</i>
07/12	個人資料保護法宣導說明會-台中場 <i>Presentation on “Personal Information Protection” in Taichung</i>
07/14	個人資料保護法宣導說明會-高雄場 <i>Presentation on “Personal Information Protection” in Kaohsiung</i>
07/15	期貨商國際會計準則IFRS宣導說明會第4場(與期交所合辦) <i>The 4<sup>th</sup> “IFRS Rules for Futures Commission Merchants” presentation (co-organized with TAIFEX)</i>
08/01-12/30	「期股爭鋒擂台賽」(經濟日報主辦、本公會與期交所協辦) <i>“Arena for Stock Futures Contest” (organized by Economic Daily News with CNFA and TAIFEX as co-sponsors)</i>
08/15-101/01/13	「全民股票期貨王」(工商時報主辦、本公會與期交所協辦) <i>“King of Stock Futures Competition” (organized by Economic Daily News with CNFA and TAIFEX as co-sponsors)</i>
08/17	世界聯合交易所參訪本公會 <i>World Federation of Exchanges (WFE) visited CNFA</i>
08/19	第3屆理監事第7次聯席會議 <i>The 3-7 joint meeting of board members and supervisors</i>
08/19	期貨商國際會計準則IFRS宣導說明會第5場(與期交所合辦) <i>The 5<sup>th</sup> “IFRS Rules for Futures Commission Merchants” presentation (co-organized with TAIFEX)</i>

日期 (Date)	活動名稱 (Event)
09/01-09/16	第22期「期貨人才養成班」甄選活動 <i>Participant-selection for the 22<sup>nd</sup> "Futures Talents Training Course"</i>
09/06-09/22	第21期「期貨人才養成班」甄選活動 <i>Participant-selection for the 21<sup>st</sup> "Futures Talents Training Course"</i>
09/15	金融消費者保護法宣導說明會-台北場 <i>Presentation on "Financial Consumer Protection Law" in Taipei</i>
09/16	期貨商國際會計準則IFRS宣導說明會第6場(與期交所合辦) <i>The 6<sup>th</sup> "IFRS Rules for Futures Commission Merchants" presentation (co-organized with TAIFEX)</i>
09/19	海通期貨來訪 <i>Haitong Futures visited CNFA</i>
09/20	金融消費者保護法宣導說明會-台中場 <i>Presentation on "Financial Consumer Protection Law" in Taichung</i>
09/22	金融消費者保護法宣導說明會-高雄場 <i>Presentation on "Financial Consumer Protection Law" in Kaohsiung</i>
09/30-10/09	理監事法商興業銀行西班牙分行證券部參訪活動 <i>Overseas trip of board members and supervisors: visit at SG Spain, Société Générale</i>
10/08-10/09	委員會九族、日月潭、埔里第一梯次聯誼會 <i>Social activity of committee members: trip to Formosan Aboriginal Culture Village and Sun Moon Lake and Puli (first group)</i>
10/15-16	委員會九族、日月潭、埔里第二梯次聯誼會 <i>Social activity of committee members: trip to Formosan Aboriginal Culture Village and Sun Moon Lake and Puli (second group)</i>
10/19	第3屆理監事第8次聯席會議 <i>The 3-8 joint meeting of board members and supervisors</i>
10/21	期貨商國際會計準則IFRS宣導說明會第7場(與期交所合辦) <i>The 7<sup>th</sup> "IFRS Rules for Futures Commission Merchants" presentation (co-organized with TAIFEX)</i>
10/21-10/30	理監事西班牙交易所參訪活動 <i>Overseas trip of board members and supervisors: visit at MEFF in Spain</i>

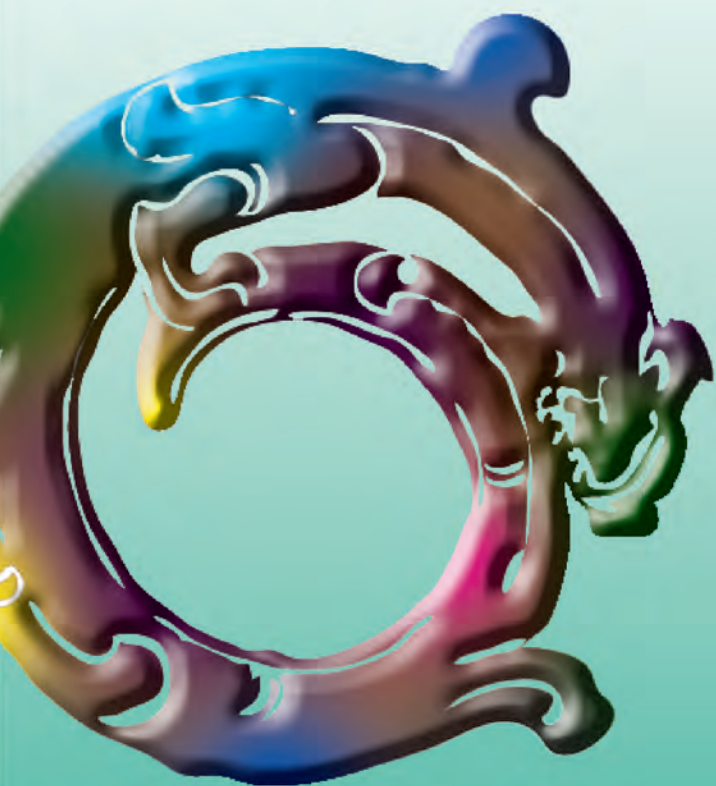
日期 (Date)	活動名稱 (Event)
10/22	忠義山登山健行活動 <i>Mountain-hiking activity on Chung-yi Mountain</i>
10/25	配合金保法子法修訂自律規範專案聯席會議 <i>Joint meeting on how to revise self-discipline rules based on amendments to Financial Consumer Protection Law</i>
11/08	洗錢防制研討會-台北場 <i>Money laundering Control Seminar in Taipei</i>
11/10	洗錢防制研討會-台中場 <i>Money laundering Control Seminar in Taichung</i>
11/14	廈門證券期貨業協會來訪 <i>Securities and Futures Association of Xiamen visited CNFA</i>
11/15	洗錢防制研討會-高雄場 <i>Money laundering Control Seminar in Kaohsiung</i>
11/18	期貨商國際會計準則IFRS宣導說明會第8場(與期交所合辦) <i>The 8<sup>th</sup> "IFRS Rules for Futures Commission Merchants" presentation (co-organized with TAIFEX)</i>
11/25-11/26	風險控管十大建設專案研討會 <i>Seminar on Risk Control for 10 Major Construction Projects</i>
12/03-12/04	理監事參加第7屆深圳國際期貨大會 <i>Participation in the 7<sup>th</sup> Annual China International Derivatives Forum (CIDF) held in Shenzhen</i>
12/13	第3屆理監事第9次聯席會議 <i>The 3-9 joint meeting of board members and supervisors</i>
12/27-101/01/13	第23期「期貨人才養成班」甄選活動 <i>Participant-selection for the 23<sup>rd</sup> "Futures Talents Training Course"</i>



## 中華民國期貨業商業同業公會會員名錄

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## CHINESE NATIONAL FUTURES ASSOCIATION DIRECTORY OF MEMBERS



## 柒、會員名錄 (VII. Directory of Members)

中華民國期貨業商業同業公會會員名錄  
Chinese National Futures Association Directory of members

代號 (Code)	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市仁愛路4段296號17樓 17F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-23269899	02-27087967	<a href="http://www.onrich.com.tw">http://www.onrich.com.tw</a>
F002	永豐期貨股份有限公司 SinoPac Futures Corp.	100台北市重慶南路1段2號8樓 8F, 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
F004	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市博愛路35號8樓 8F, 35, Boai Rd., Taipei 100, Taiwan	02-23619889	02-23713146	<a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>
F005	國票期貨股份有限公司 Waterland Futures Co., Ltd	臺北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10 No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-25287576	<a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>
F006	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路3段225號12樓 12F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="http://www.yuanta-futures.com.tw">http://www.yuanta-futures.com.tw</a>
F007	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>
F008	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
F013	大華期貨股份有限公司 Grand Cathay Futures Corp.	100台北市忠孝西路1段100號13樓 13F, 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcfc.com.tw">http://www.gcfc.com.tw</a>
F014	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
F018	元富期貨股份有限公司 Master Link Futures Corp.	106台北市復興南路1段209號4樓 4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
F020	群益期貨股份有限公司 Capital Futures Corp.	台北市大安區敦化南路2段97號32樓及地下1樓 32F, No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
F021	寶來曼氏期貨股份有限公司 Polaris MF Global Futures Co., Ltd	106台北市敦化南路2段69號10樓 10F, 69, Sec.2, Tunhua S. Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市襄陽路9號3樓 3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	<a href="http://www.fbf.com.tw/">http://www.fbf.com.tw/</a>
F029	康和期貨股份有限公司 Concord Futures Co., Ltd.	105台北市復興北路143號5樓 5F, 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110台北市忠孝東路4段563號4樓 4F, 563, Sec.4, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-87878080	02-27642513	<a href="http://www.megafutures.com.tw/">http://www.megafutures.com.tw/</a>
F034	澳帝華期貨股份有限公司 Optiver Taiwan Futures Co., Ltd.	台北市杭州南路1段19號12樓 12F, 19 Sec. 1, Hangzhou S. Rd., Taipei 100, Taiwan	02-23570777	02-23578392	建置中 Under Construction
F039	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓 9F, 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	<a href="http://www.dcnf.com.tw/">http://www.dcnf.com.tw/</a>
R201	美商愛德曼期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105台北市南京東路4段161號3樓 3F, 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	<a href="http://www.admis.com">http://www.admis.com</a>
R208	新加坡華大期貨經紀有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	105台北市民生東路3段109號16樓 16F, 109, Sec.3, Min Sheng E. Rd., Taipei 105, Taiwan	02-25456163	02-27199435	<a href="http://www.uob.com.sg">http://www.uob.com.sg</a>
R219	新加坡明富環球期貨股份有限公司台灣分公司 MF Global Singapore Pte. Taiwan Branch	105台北市敦化南路1段1號6樓 6F, 1, Sec.1, Tunhua S. Rd., Taipei 105, Taiwan	02-25798118	02-25704868	<a href="http://www.mfglobal.com/Pages/default.aspx">http://www.mfglobal.com/Pages/default.aspx</a>
S109	台灣工銀證券股份有限公司 IBT Securities Co., Ltd.	114台北市堤頂大道2段99號7樓 7F, 99, Sec.2, Tiding Blvd, Taipei 114, Taiwan	02-26561111	02-26561212	<a href="http://www.ibts.com.tw/">http://www.ibts.com.tw/</a>
S144	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Limited	台北市敦化南路二段207號9樓部份、17樓部份 9F, 207 Sec. 2, Tun Hwa S. Rd., Taipei 106, Taiwan	02-23763766	02-23763574	<a href="http://www.ml.com">http://www.ml.com</a>

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S147	台灣摩根士丹利證券股份有限公司 <i>Morgan stanley taiwan limited.</i>	台北市敦化南路二段207號22樓 22F, No.207, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-27302800	02-27302990	<a href="http://www.morganstanley.com">http://www.morganstanley.com</a>
S152	瑞士商瑞士信貸銀行股份有限公司台北證券分公司 <i>Credit Suisse, Taipei Securities Branch</i>	105台北市民生東路3段109號5樓 5F, 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27156307	02-27156373	<a href="http://www.credit-suisse.com">http://www.credit-suisse.com</a>
S153	香港商德意志證券亞洲有限公司台北分公司 <i>Deutsche Securities Asia Limited, Taipei Branch</i>	106台北市仁愛路4段296號13樓 13F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-21922888	02-37078473	建置中 Under Construction
S159	花旗環球證券股份有限公司 <i>Citigroup Global Markets Taiwan Securities Company Limited</i>	110台北市松智路1號14樓 14F, 1, Songzhi Rd., Taipei 110, Taiwan	02-87269000	02-87254755	<a href="http://www.citigroup.com">http://www.citigroup.com</a>
S165	新加坡商瑞銀證券股份有限公司台北分公司 <i>UBS Securities Pte.Ltd., Taipei Branch</i>	110台北市松仁路7號5樓 5F, 7, Sung Jen Rd., Taipei 110, Taiwan	02-87227200	02-87227230	<a href="http://www.ubs.com">http://www.ubs.com</a>
S218	亞東證券股份有限公司 <i>Oriental Securities Corp.</i>	100台北市重慶南路1段86號2樓 2F, 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
S505	大展證券股份有限公司 <i>Ta Chan Securities Co., Ltd.</i>	103台北市承德路1段17號17樓 17F, 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	<a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>
S518	太平洋證券股份有限公司 <i>Pacific Securities Co., Ltd.</i>	106台北市忠孝東路4段200號13樓 13F, 200, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27161177	02-27188211	<a href="http://www.nettrade.com.tw/">http://www.nettrade.com.tw/</a>
S526	大慶證券股份有限公司 <i>Ta Ching Securities Co., Ltd.</i>	104台北市民生東路2段176號4樓 4F, 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	<a href="http://www.tcstock.com.tw/">http://www.tcstock.com.tw/</a>
S538	第一金證券股份有限公司 <i>First Securities Inc</i>	台北市大安區安和路一段27號6樓、29號7樓 6F, No.27, Sec. 1, Anhe Rd., Da'an Dist., Taipei City 106, Taiwan	02-25379722	02-25635713	<a href="http://www.ftsi.com.tw">http://www.ftsi.com.tw</a>
S601	華亞證券股份有限公司 <i>Primasia Securities Co., Ltd.</i>	105台北市復興北路99號3樓 3F, 99, Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27193771	<a href="http://www.primasia.com.tw">http://www.primasia.com.tw</a>
S616	中國信託綜合證券股份有限公司 <i>Chinatrust Securities Co., Ltd.</i>	110台北市松壽路3號10樓 10F, 3, Sung Shou Rd., Taipei 110, Taiwan	02-87808867	02-27224555	<a href="http://www.chinatrustsec.com.tw/">http://www.chinatrustsec.com.tw/</a>
S645	永全證券股份有限公司 <i>Yung Chuan Securities Co., Ltd.</i>	330桃園市縣府路82號1樓 1F, 82, Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	建置中 Under Construction
S653	大眾綜合證券股份有限公司 <i>Ta Chong Securities Co., Ltd.</i>	807高雄市壽昌路93號2樓 2F, 93, Shou Chang Rd., Kaohsiung 807, Taiwan	07-3871166	07-3854985	<a href="http://www.tscs.com.tw">http://www.tscs.com.tw</a>
S703	致和證券股份有限公司 <i>Concord International Securities Co., Ltd.</i>	704台南市西門路3段10號 10, Sec.3, Shi Men Rd., Tainan 704, Taiwan	06-2219777	06-2286324	<a href="http://www.wintan.com.tw/">http://www.wintan.com.tw/</a>
S838	安泰證券股份有限公司 <i>Antay Securities Co., Ltd.</i>	830高雄市鳳山區五福二路36號 36, Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	建置中 Under Construction
S844	摩根大通證券股份有限公司 <i>J.P. Morgan Securities Co., Ltd.</i>	110台北市信義路5段106號3樓 3F, 106, Sec.5, Hsin Yi Rd., Taipei 110, Taiwan	02-87862288	02-27253183	<a href="http://www.jpmorgan.com">http://www.jpmorgan.com</a>
S856	新光證券股份有限公司 <i>Shin Kwang Securities Co., Ltd.</i>	100台北市重慶南路1段66之1號5樓 5F, 66-1, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23714293	<a href="http://www.skis.com.tw/">http://www.skis.com.tw/</a>
S884	玉山綜合證券股份有限公司 <i>E. Sun Securities Corp.</i>	台北市松山區民生東路3段117號地下1樓 B1, 117 Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27186613	<a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>
S889	大和國泰證券股份有限公司 <i>Daiwa Securities SMBC-Cathay Co., Ltd.</i>	110台北市基隆路1段200號13樓 13F, 200, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-27239698	02-23453640	建置中 Under Construction
B091	群益金鼎證券股份有限公司 <i>The Capital Group</i>	台北市松仁路101號4樓 4F, 101 Sung Jen Rd., Taipei 110, Taiwan	02-87898888	02-87892942	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
B092	凱基證券股份有限公司 <i>KGI Securities Co., Ltd.</i>	104台北市明水路698號3樓 3F, 698, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	<a href="http://etrade.kgi.com.tw/">http://etrade.kgi.com.tw/</a>
B093	華南永昌綜合證券股份有限公司 <i>HuaNan Securities Co., Ltd.</i>	105台北市民生東路4段54號5樓 5F, 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25459231	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
B096	富邦綜合證券股份有限公司 <i>Fubon Securities Co., Ltd.</i>	106台北市敦化南路1段108號B1 B1, 108, Sec.1, Tunhwa S. Rd., Taipei 106, Taiwan	02-87716888	02-87716795	<a href="http://www.fbs.com.tw">http://www.fbs.com.tw</a>

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B097	寶來證券股份有限公司 Polaris Securities Co., Ltd.	106台北市忠孝東路4段270號3樓 3F, 270, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87918899	02-87922644	<a href="http://www.polaris.com.tw">http://www.polaris.com.tw</a>
B098	元大證券股份有限公司 Yuanta Securities Co., Ltd.	104台北市南京東路3段225號11樓 11F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
B102	合作金庫證券股份有限公司 Taiwan Cooperative Bank Co., Ltd.	106台北市忠孝東路4段325號2樓 2F, 325, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27319987	02-27754202	<a href="http://www.tcb-bank.com.tw/">http://www.tcb-bank.com.tw/</a>
B103	臺灣土地銀行股份有限公司 Land Bank Of Taiwan Co., Ltd.	100台北市延平南路81號 81, Yen Ping S. Rd., Taipei 100, Taiwan	02-23483974	02-23891864	<a href="http://www.landbank.com.tw">http://www.landbank.com.tw</a>
B104	臺銀綜合證券股份有限公司 BankTaiwan Securities Co., Ltd.	100台北市重慶南路1段58號4樓 4F, 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23882188	02-23822045	<a href="http://www.twfhcsec.com.tw/">http://www.twfhcsec.com.tw/</a>
B111	台灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103台北市塔城街30號4樓 4F, 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	<a href="http://www.tbb.com.tw">http://www.tbb.com.tw</a>
B116	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25071047	<a href="http://www.jihsun.com.tw/">http://www.jihsun.com.tw/</a>
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	100台北市衡陽路68號2樓 2F, 68, Hengyang Rd., Taipei 100, Taiwan	02-23619654	02-23113726	<a href="http://www.chb.com.tw">http://www.chb.com.tw</a>
B126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27006088	<a href="http://www.honsec.com.tw/">http://www.honsec.com.tw/</a>
B511	富隆證券股份有限公司 Fullong Securities Co., Ltd.	105台北市南京東路3段287號3樓 3F, 287, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27182788	02-27183445	<a href="http://www.fullong.com.tw">http://www.fullong.com.tw</a>
B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320桃園縣中壢市中和路96號 96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	<a href="http://www.easytrade.com.tw">http://www.easytrade.com.tw</a>
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406台中市北興街43號1樓 1F, 43, Beising St., Taichung 406, Taiwan	04-22309377	04-22371684	建置中 Under Construction
B551	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路1段2號7樓 7F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23495123	02-23823540	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100台北市南海路20號2樓 2F, 20, Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	<a href="http://www.yss.com.tw">http://www.yss.com.tw</a>
B566	日進證券股份有限公司 Fortune Securities Co.,	360苗栗市中正路426號4樓 4F, 426, Chung Cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	建置中 Under Construction
B569	豐興證券股份有限公司 Fong Shing Securities Co., Ltd.	420台中市豐原區中正路220號3樓 3F, 220, Chung Cheng Rd., Fengyuan, Taichung 420, Taiwan	04-25277150	04-25261151	<a href="http://www.fstk.com.tw">http://www.fstk.com.tw</a>
B572	大華證券股份有限公司 Grand Cathay Securities Corp.	100台北市許昌街17號3樓 3F, 17, Hsuehchang ST., Taipei 100, Taiwan	02-23148800	02-23819565	<a href="http://www.gcsc.com.tw">http://www.gcsc.com.tw</a>
B585	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號1樓 1F, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	<a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd..	800高雄市七賢二路38號 38, Chihhsien 2 Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682619	<a href="http://k5860.myweb.hinet.net/">http://k5860.myweb.hinet.net/</a>
B587	光隆證券股份有限公司 Kuang Long Securities Co., Ltd.	970花蓮市公園路26號4樓 4F, 26, Kungyuan Rd., Hualian 970, Taiwan	03-8352181	03-8353447	建置中 Under Construction
B592	元富證券股份有限公司 Master Link Securities Corp.	106台北市復興南路1段209號1樓 1F, 209, Sec.1, Fuhsing S.Rd., Taipei 106, Taiwan	02-23255818	02-23257923	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F, 1020, Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-2353266	049-2357216	<a href="http://www.gmstock.com.tw">http://www.gmstock.com.tw</a>
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中華三路11號B1 B1, 11, Chunghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	建置中 Under Construction
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521彰化縣北斗鎮光復路166號3樓 3F, 166, Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan	04-8886500	04-8886626	<a href="http://www.kuanzho.com.tw/">http://www.kuanzho.com.tw/</a>

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B646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	新北市板橋區東門街30之2號2樓之1至85及9樓之1、2 2F, 30 Tung-men St., Panchiao, Taipei 220, Taiwan	02-29689685	02-29676069	<a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>
B648	福邦證券股份有限公司 Grand Fortune Securities Co., Ltd.	104台北市民生東路一段51號3樓 2F, 51 Sec.1, Nanking E. Rd., Taipei 104, Taiwan	02-23966555	02-33225222	<a href="http://www.gfortune.com.tw/">http://www.gfortune.com.tw/</a>
B662	全泰證券股份有限公司 Chuan Tai Securities Co., Ltd.	330桃園市三民路3段317號3樓 3F, 317, Sec.3, San Ming Rd., Taoyuan 330, Taiwan	03-3353359	03-3345286	建置中 Under Construction
B664	渣打國際商業銀行股份有限公司 Standard Chartered Bank(Taiwan)	330桃園市中正路465號3樓 3F, 465, Chung Cheng Rd., Taoyuan 330, Taiwan	03-3347111	03-3347107	<a href="http://www.standardchartered.com.tw/">http://www.standardchartered.com.tw/</a>
B666	和興證券股份有限公司 Hosin Securities Co., Ltd.	234新北市永和區中正路455號3樓 3F, 455, Chung Cheng Rd., Yung-ho, Taipei 234, Taiwan	02-29229797	02-29225700	建置中 Under Construction
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路1段50號3樓 3F, 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	<a href="http://www.rsc.com.tw">http://www.rsc.com.tw</a>
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251新北市淡水區中正路63號5樓 5F, 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	建置中 Under Construction
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106台北市忠孝東路2段95號2樓 2F, 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	<a href="http://www.megasec.com.tw/">http://www.megasec.com.tw/</a>
B702	信富證券股份有限公司 Shin Fan Securities Co., Ltd.	613嘉義縣朴子市山通路37號3、4樓 3F, No.37, Shantong Rd., Putzi City, Chiayi County 613, Taiwan	05-3700988	05-3700909	建置中 Under Construction
B707	豐農證券股份有限公司 Feng Long Securities Co., Ltd.	420台中市豐原區水源路669號 669, Shuiyuan Rd., Fungyuan, Taichung 420, Taiwan	04-25281000	04-25253100	建置中 Under Construction
B767	金港證券股份有限公司 Goldport Stock Corp.	802高雄市三多二路93號2樓 2F, 93, Sando 2 Rd., Kaohsiung 802, Taiwan	07-7129399	07-7133579	建置中 Under Construction
B769	金興證券股份有限公司 Ching Shing Securities Co., Ltd.	360苗栗市北苗里義民街51號 51, Yimin St, Pei-miao LI, Miaoli 360, Taiwan	037-266666	037-266060	建置中 Under Construction
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234新北市永和區永和路2段116號3樓 3F, 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29208664	<a href="http://www.peicheng.com.tw">http://www.peicheng.com.tw</a>
B778	富星證券股份有限公司 Fu Hsing Securities Co., Ltd.	632雲林縣虎尾鎮和平路1號4樓 4F, 1, Ho Ping Rd., Hu Wei Chen, Yunlin 632, Taiwan	05-6334888	05-6334700	<a href="http://www.fuhsin.com.tw/">http://www.fuhsin.com.tw/</a>
B779	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.	103台北市重慶北路3段199號B1 B1, 199, Sec.3, Chung Ching N. Rd., Taipei 103, Taiwan	02-25288988	02-27647834	<a href="http://web.wls.com.tw/">http://web.wls.com.tw/</a>
B790	金豐證券股份有限公司 King Fong Securities Co., Ltd.	420台中市豐原區中山路481號 481, Chung Shan Rd., Feng-Yuan, Taichung 420, Taiwan	04-25282800	04-25282306	<a href="http://kingfong142.myweb.hinet.net/">http://kingfong142.myweb.hinet.net/</a>
B815	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104台北市中山北路二段44號2樓 2F, No.44, Sec. 2, Zhongshan N. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-21815888	02-25232016	<a href="http://www.tssco.com.tw/">http://www.tssco.com.tw/</a>
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110台北市基隆路1段176號B1 B1, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	<a href="http://www.6016.com">http://www.6016.com</a>
B849	萬泰證券股份有限公司 Wan Tai Securities Co., Ltd.	600嘉義市新榮路193號2樓 2F, 193, Hsin-Jung Rd., Chiayi 600, Taiwan	05-2289911	05-2273459	建置中 Under Construction
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435台中市梧棲區四維中路157巷1號2樓 2F, 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan	04-26572801	04-26564499	建置中 Under Construction
B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104台北市南京東路2段137號2樓 2F, 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	<a href="http://www.ubot.com.tw">http://www.ubot.com.tw</a>
B866	萬通證券股份有限公司 Vantone Securities Co., Ltd.	104台北市松江路301號2樓 2F, 301, Songjiang. Rd., Taipei 104, Taiwan	02-25156655	02-25026363	建置中 Under Construction
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105台北市民生東路5段165號B2 B2, 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	<a href="http://www.sunnysec.com.tw">http://www.sunnysec.com.tw</a>
B877	大鼎證券股份有限公司 Da-Din Securities Co., Ltd.	265宜蘭縣羅東鎮公正路154號3樓 3F, 154, Gongjheng Rd., Luodong, Yilan 265, Taiwan	03-9566999	03-9532669	<a href="http://www.yesc.com.tw">http://www.yesc.com.tw</a>

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B880	鼎富證券股份有限公司 Team Pro Securities Co., Ltd.	106台北市忠孝東路4段300號7樓 7F, 300, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87739666	02-87739899	建置中 Under Construction
B885	鑫豐證券股份有限公司 Shing Fong Securities Co., Ltd.	103台北市大同區民權西路104號5樓之1 5F-1, No.104, Minquan W. Rd., Datong Dist., Taipei City 103, Taiwan	02-25538000	02-25548064	<a href="http://www.promoney.com.tw/">http://www.promoney.com.tw/</a>
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市仁愛路4段296號17樓 17F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-23269888	02-27003670	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P001	永豐期貨股份有限公司-自營 SinoPac Futures Corp.	100台北市重慶南路1段2號8樓 8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
P002	兆豐證券股份有限公司-自營 Mega Securities Co., Ltd.	100台北市忠孝東路2段95號4樓 4F, 95, Sec.2, Chung Hsiao E. Rd., Taipei 100, Taiwan	02-33227073	02-33933052	<a href="http://www.megasec.com.tw/">http://www.megasec.com.tw/</a>
P003	統一期貨股份有限公司-自營 President Futures Corp.	105台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
P004	寶來曼氏期貨股份有限公司-自營 Polaris MF Global Futures Co., Ltd	106台北市仁愛路4段68號3樓 3F, 68, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>
P005	日盛期貨股份有限公司-自營 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>
P006	群益期貨股份有限公司-自營 Capital Futures Corp.	106台北市大安區敦化南路2段97號32樓及地下1樓 32F, No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
P008	元富證券股份有限公司-自營 Master Link Securities Corp.	106台北市敦化南路2段97號19樓 19F, 97, Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-23255818	02-27000208	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
P009	寶來證券股份有限公司-自營 Polaris Securities Co., Ltd.	106台北市敦化南路2段97號29樓 29F, 97, Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-87918899	02-87922644	<a href="http://www.polaris.com.tw">http://www.polaris.com.tw</a>
P010	永豐金證券股份有限公司-自營 SinoPac Securities Corp.	100台北市重慶南路1段2號17樓 17F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23823866	02-23823529	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
P012	元大期貨股份有限公司-自營 Yuanta Futures Co.Ltd.	104台北市南京東路3段225號12樓 12F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25454913	<a href="http://www.yuantafutures.com.tw">http://www.yuantafutures.com.tw</a>
P014	大展證券股份有限公司-自營 Ta Chan Securities Co., Ltd.	103台北市承德路1段17號17樓 17F, 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	<a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>
P015	元富期貨股份有限公司-自營 Master Link Futures Corp.	106台北市復興南路1段209號4樓 4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
P020	凱基證券股份有限公司-自營 KGI Securities Co., Ltd.	104台北市明水路700號9樓 9F, 700, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	<a href="http://etrade.kgi.com.tw/">http://etrade.kgi.com.tw/</a>
P022	富邦綜合證券股份有限公司-自營 Fubon Securities Co., Ltd.	106台北市仁愛路4段169號16樓 16F, 169, Sec. 4, Ren-ai Rd., Taipei 106, Taiwan	02-27767819	02-27210783	<a href="http://www.fbs.com.tw">http://www.fbs.com.tw</a>
P023	大華證券股份有限公司-自營 Grand Cathay Securities Corp.	100台北市重慶南路1段2號13樓 13F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23148800	02-23819565	<a href="http://www.gcsc.com.tw">http://www.gcsc.com.tw</a>
P024	統一綜合證券股份有限公司-自營 President Securities Corp.	105台北市東興路8號3樓 3F, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	<a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>
P026	華南永昌綜合證券股份有限公司-自營 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F, 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25454122	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
P027	台灣工銀證券股份有限公司-自營 IBT Securities Co., Ltd.	114台北市堤頂大道2段99號5樓 5F, 99, Sec.2, Tiding Blvd, Taipei 114, Taiwan	02-26561111	02-26561212	<a href="http://www.ibts.com.tw/">http://www.ibts.com.tw/</a>
P028	元大證券股份有限公司-自營 Yuanta Securities Co., Ltd.	104台北市南京東路3段225號14樓 14F, 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
P030	日盛證券股份有限公司-自營 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25048400	<a href="http://www.jihsun.com.tw/">http://www.jihsun.com.tw/</a>
P031	康和綜合證券股份有限公司-自營 Concord Securities Corp.	110台北市基隆路1段176號B1 B1, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	<a href="http://www.6016.com">http://www.6016.com</a>

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P032	凱基期貨股份有限公司-自營 KGI Futures Co., Ltd.	104台北市建國北路1段96號11樓 11F, 96, Sec.1, Jianguo N. Rd., Taipei 104, Taiwan	02-5169009	02-25082351	<a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>
P033	國泰綜合證券股份有限公司-自營 Cathay Securities Co., Ltd.	106台北市仁愛路4段296號17樓 17F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-23269888	02-27003350	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P034	香港商法國興業證券股份有限公司台北分公司-自營 SG Securities (HK) Ltd., Taipei Branch	105台北市民生東路3段109號6樓 6 F, 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27151486	02-25467376	<a href="http://www.socgen.com">http://www.socgen.com</a>
P036	大眾綜合證券股份有限公司-自營 Ta Chong Securities Co., Ltd.	104台北市復興南路1段2號2樓 2F, 2, Sec.1, Fushing S Rd., Taipei 104, Taiwan	02-27790866	02-27790800	<a href="http://www.tcsc.com.tw">http://www.tcsc.com.tw</a>
P037	亞東證券股份有限公司-自營 Oriental Securities Corp.	100台北市重慶南路1段86號2樓 2F, 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
P038	澳帝華期貨股份有限公司-自營 Optiver Taiwan Futures Co., Ltd.	100台北市杭州南路1段19號12樓 12F, 19, Sec.1, Hangzhou S. Rd., Taipei 100, Taiwan	02-23570777	02-23578392	<a href="http://www.optiver.com">http://www.optiver.com</a>
P039	第一金證券股份有限公司-自營 First Securities Inc.	106台北市安和路1段27號6樓 6F, 27, Sec.1, Anho Rd., Taipei 106, Taiwan	02-25636262	02-25635713	<a href="http://www.ftsi.com.tw">http://www.ftsi.com.tw</a>
P042	中國信託商業銀行股份有限公司-自營 Chinatrust Commercial Bank	110台北市松壽路3號5樓 5F, 3, Sung Shou Rd., Taipei 110, Taiwan	02-27222002	02-87808867	<a href="http://hrbank.chinatrust.com.tw">http://hrbank.chinatrust.com.tw</a>
P043	台北富邦商業銀行股份有限公司-自營 Taipei Fubon Bank Co., Ltd.	106台北市仁愛路4段169號16樓 16F, 169, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-66027309	02-66003808	<a href="http://www.taipeifubon.com.tw/">http://www.taipeifubon.com.tw/</a>
P045	國票綜合證券股份有限公司-自營 Waterland Securities Co., Ltd.	105台北市南京東路5段188號15樓 15F, 188, Sec.5, Nanking E. Rd., Taipei 105, Taiwan	02-25288988	02-27647834	<a href="http://web.wls.com.tw/">http://web.wls.com.tw/</a>
P046	宏遠證券股份有限公司-自營 Horizon Securities Co., Ltd.	106台北市信義路4段236號7樓 7F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	<a href="http://www.honsec.com.tw/">http://www.honsec.com.tw/</a>
P047	國票期貨股份有限公司-自營 Waterland Futures Co., Ltd	臺北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10 No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-27287576	<a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>
P049	大眾期貨股份有限公司-自營 Ta Chong Futures Co., Ltd	100台北市忠孝東路2段88號15樓 15F,88, Sec.2., Chung Hsiao E.Rd., Taipei 100, Taiwan	02-23973456	02-23973232	建置中 Under Construction
P050	康和期貨股份有限公司-自營 Concord Futures Co., Ltd	105台北市復興北路143號5樓 5F, 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw/">http://www.concordfutures.com.tw/</a>
P051	大華期貨股份有限公司-自營 Grand Cathay Futures Corp.	台北市忠孝西路 1段100號13樓及14樓 13F, 100 Sec.1, ChungHsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcfc.com.tw">http://www.gcfc.com.tw</a>
A001	永豐期貨股份有限公司-顧問 SinoPac Futures Corp.	100台北市重慶南路1段2號8樓 8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
A002	統一期貨股份有限公司-顧問 President Futures Corp.	105台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfef.com.tw">http://www.pfef.com.tw</a>
A003	寶來曼氏期貨股份有限公司-顧問 Polaris MF Global Futures Co., Ltd	106台北市敦化南路2段67號10樓 10F, 67, Sec.2, Tunhwa S.Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>
A004	日盛期貨股份有限公司-顧問 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>
A005	群益期貨股份有限公司-顧問 Capital Futures Corp.	106台北市大安區敦化南路2段97號32樓及地下1樓 32F, No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
A006	國泰期貨股份有限公司-顧問 Cathay Futures Corp.	106台北市仁愛路4段296號17樓 17F, 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-23269899	02-27087967	<a href="http://www.onrich.com.tw">http://www.onrich.com.tw</a>
A008	康和期貨股份有限公司-顧問 Concord Futures Co., Ltd.	105台北市復興北路143號5樓 5F, 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
A009	富邦期貨股份有限公司-顧問 Fubon Futures Co., Ltd.	100台北市襄陽路9號3樓 3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	<a href="http://www.fbf.com.tw/">http://www.fbf.com.tw/</a>
A010	元大期貨股份有限公司-顧問 Yuanta Futures Co., Ltd.	104台北市南京東路三段225號12樓、12樓之1及12樓之2及12樓之3 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25454913	<a href="http://www.yuantafutures.com.tw">http://www.yuantafutures.com.tw</a>

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A011	華南期貨股份有限公司-顧問 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
A013	元富期貨股份有限公司-顧問 Master Link Futures Corp.	106台北市復興南路1段209號4樓 4F, 209, Sec.1, Fushing S Rd., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
A019	國票期貨股份有限公司-顧問 Waterland Futures Co., Ltd	105台北市松山區南京東路五段188號8樓、8樓之1、8樓之9、8樓之10 No.188-8, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-25287576	<a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>
A020	兆豐期貨股份有限公司-顧問 Mega Futures Co., Ltd.	110台北市忠孝東路4段563號4樓 4F,563, Sec.4, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-87878080	02-27642513	<a href="http://www.megafutures.com.tw/">http://www.megafutures.com.tw/</a>
A021	凱基期貨股份有限公司-顧問 KGI Futures Co., Ltd.	100台北市博愛路35號8樓 8F, 35, Boai Rd., Taipei 100, Taiwan	02-23619889	02-23713146	<a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>
A022	大華期貨股份有限公司-顧問 Grand Cathay Futures Corp.	100台北市忠孝西路1段100號13樓 13F, 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcfc.com.tw">http://www.gcfc.com.tw</a>
A024	國際大通證券投資顧問股份有限公司 International Da-tong Securities Investment Consultant	104台北市中山北路1段145號10樓 10F, 145, Sec.1, Jhongsan N. Rd., Taipei 104, Taiwan	02-25678502	02-25679794	建置中 Under Construction
A025	永豐金證券股份有限公司-顧問 SinoPac Securities Corp.	100台北市重慶南路1段2號22樓 22F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23123866	02-23165233	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
A026	運達證券投資顧問股份有限公司 Yun Ta Investment Consulting Co.,Ltd.	104台北市中山區八德路二段260號10樓 10F, No.260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei City 104, Taiwan	02-55899966	02-55825187	建置中 Under Construction
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104台北市松江路87號4樓 4F, 87, Songjiang. Rd., Taipei 104, Taiwan	02-66083998	02-66088833	<a href="http://www.marbo.com.tw/">http://www.marbo.com.tw/</a>
A028	啓發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant	100台北市忠孝西路1段100號9樓 9F, 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	<a href="http://www.chifar.com.tw/">http://www.chifar.com.tw/</a>
A029	大華國際證券投資顧問股份有限公司 Da Hua International Securities Investment Consulting Co., Ltd	100台北市羅斯福路2段102號23樓 23F, 102, Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-83695566	02-77258855	建置中 Under Construction
A030	亨達證券投資顧問股份有限公司 Hantec Securities Consultant Limited	110台北市信義路5段7號24樓 24F, 7, Sec5, Hsin Yi.Rd., Taipei, Taiwan	02-81010618	02-81010616	<a href="http://www.hantec.com.tw/">http://www.hantec.com.tw/</a>
A031	聯華證券投資顧問股份有限公司 United Asset Investment Consultant Services	104台北市八德路2段232號9樓 9F, 232, Sec.2, Bade Rd., Taipei, Taiwan,	02-27315444	02-27318155	建置中 Under Construction
A032	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant	100台北市忠孝東路2段88號5樓 5F, 88, Sec. 2, Chung Hsiao E.Rd., Taipei 100, Taiwan	02-23913000	02-23413451	建置中 Under Construction
A033	華信證券投資顧問股份有限公司 Hwashin Investment Consulting Co., Ltd	100台北市青島東路7號5樓之4 5F, 7, Cingdao E.Rd., Taipei 100, Taiwan	02-23923988	02-77253896	<a href="http://www.hwashin.com.tw/">http://www.hwashin.com.tw/</a>
A034	承通證券投資顧問股份有限公司 Cheng-Tung Securities Investment Consulting CO.,LTD	104台北市南京東路三段248號11樓之3 No.248-11, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27811979	02-27525176	建置中 Under Construction
A035	元富證券投資顧問股份有限公司 Master Link Securities Investment Advisory Co., Ltd.	106台北市敦化南路2段97號19樓 19, 97, Sec. 2, Tunhwa S. Rd., Taipei 106, Taiwan	02-23253299	02-27026353	建置中 Under Construction
A036	永誠國際證券投資顧問股份有限公司-顧問 Yong Cheng Securities Investment Consultant	104台北市中山北路1段145號4樓 4F, 145, Sec.1, Jhongsan N. Rd., Taipei 104, Taiwan	02-25611115	02-25611084	<a href="http://www.88598.com.tw/">http://www.88598.com.tw/</a>
A038	新加坡商明富環球期貨股份有限公司台灣分公司-顧問 MF Global Singapore Pte. Taiwan Branch	105台北市敦化南路1段1號6樓 6F, 1, Sec.1, Tunhwa S. Rd., Taipei 105, Taiwan	02-25798118	02-25704868	<a href="http://www.mfglobal.com/Pages/default.aspx">http://www.mfglobal.com/Pages/default.aspx</a>
A039	君安證券投資顧問股份有限公司-顧問 Richers securities investment consulting co.ltd.	104台北市松江路318號11樓之3 11F-3, No.318, Songjiang Rd., Zhongshan Dist., Taipei City 104, Taiwan	02-25115878	02-25116167	<a href="http://www.richers.com.tw/">http://www.richers.com.tw/</a>
A040	康和期貨經理事業股份有限公司-顧問 Concord Managed Futures Co., Ltd.	110台北市基隆路一段176號14樓 14F,176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	<a href="http://www.concordfutures.com.tw/FM/">http://www.concordfutures.com.tw/FM/</a>
A041	大昌證券股份有限公司-顧問 Dah Chang Cecurities Co.,Ltd	220新北市板橋區東門街30之2號2樓之1至85及9樓1、2 No.2-2, No.85-9, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29689685	02-29658473	<a href="http://www.dcn.com.tw">http:// www.dcn.com.tw</a>
A042	玉山證券投資顧問股份有限公司-顧問 E.SUN Invesment Consulting,Co.,Ltd.	105台北市松山區民生東路三段117號7樓 7F, No.117, Sec. 3, Minsheng E. Rd., Songshan Dist., Taipei 105, Taiwan	02-21751313	02-25453313	<a href="http://www.esunsec.com.tw">http:// www.esunsec.com.tw</a>

代號 (Code)	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
A043	亞洲證券投資顧問股份有限公司-顧問 Asia securities investment advisory-inc.	100 台北市中正區忠孝東路二段88號5樓 5F, No.88, Sec. 2, Zhongxiao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23948282	02-23413451	建置中 Under Construction
A044	倫元證券投資顧問股份有限公司-顧問 E-invest Security Investment Consultant Co., LTD	100 台北市中正區青島東路七號六樓 6F, No.7, Qingdao E. Rd., Zhongzheng Dist., Taipei City 100, Taiwan	02-23219933	02-23927062	<a href="http://www.e-invest.com.tw/main/">http://www.e-invest.com.tw/main/</a>
A045	大昌期貨股份有限公司-顧問 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街30之2號9樓之2 9F-2, No.30-2, Dongmen St., Banqiao Dist., New Taipei City 220, Taiwan	02-29601066	02-29657707	<a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>
M008	康和期貨經理事業股份有限公司 Concord Managed Futures Co., Ltd.	110 台北市基隆路1段176號14樓 14F, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	建置中 Under Construction
M015	大華期貨股份有限公司-經理 Grand Cathay Futures Corp.	100 台北市忠孝西路1段100號13樓 13F, 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcfc.com.tw">http://www.gcfc.com.tw</a>
M016	寶來曼氏期貨股份有限公司-經理 Polaris MF Global Futures Co., Ltd	106 台北市敦化南路2段67號10樓 10F, 67, Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://www.pmf.com.tw/">http://www.pmf.com.tw/</a>
M018	凱基期貨股份有限公司-經理 KGI Futures CO., LTD	100 台北市博愛路35號8樓 8F, 35, Boai Rd., Taipei 100, Taiwan	02-23619889	02-23713146	<a href="http://www.kgifutures.com.tw/">http://www.kgifutures.com.tw/</a>
M020	永豐期貨股份有限公司-經理 SinoPac Futures Corp.	100 台北市重慶南路1段2號8樓 8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
M021	元富證券投資顧問股份有限公司-經理 Master Link Securities Investment Advisory Co., Ltd.	106 台北市敦化南路2段97號19樓 19, 97, Sec. 2, Tunhwa S. Rd., Taipei 106, Taiwan	02-23253299	02-27026353	建置中 Under Construction
M022	富邦期貨股份有限公司-經理 Fubon Futures Co., Ltd.	100 台北市襄陽路9號3樓 3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	<a href="http://www.fbf.com.tw/">http://www.fbf.com.tw/</a>
M023	日盛期貨股份有限公司-經理 Jih Sun Futures Co., Ltd.	104 台北市南京東路2段111號4樓 4F, 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw/">http://www.jihsunfutures.com.tw/</a>
M024	華南期貨股份有限公司-經理 HuaNan Futures Co., Ltd.	105 台北市民生東路4段54號3樓 3F, 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
M025	群益期貨股份有限公司-經理 Capital Futures Corp.	106 台北市大安區敦化南路2段97號32樓及地下1樓 32F, No.97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
T001	寶來證券投資信託股份有限公司-信託 Polaris International Securities Investment Trust Co., Ltd	104 台北市民生東路2段141號6樓 6F, 141, Sec 2, Min Sheng E. Rd., Taipei 104, Taiwan	02-25169339	02-25095231	<a href="https://www.polarisfund.com.tw/">https://www.polarisfund.com.tw/</a>
T002	復華證券投資信託股份有限公司-信託 Fuh Hwa Securities Investment Trust CO.,LTD.	104 台北市八德路2段308號8樓 8F, 308, Sec.2, Bade Rd., Taipei, Taiwan	02-81616800	02-87720066	<a href="http://www.fhtrust.com.tw/">www.fhtrust.com.tw/</a>
T003	國泰證券投資信託股份有限公司-信託 Cathay Securities Investment Trust Co.,Ltd.	106 台北市仁愛路4段296號18樓 18F, 296, Sec.4, Jen-ai Rd., Taipei, Taiwan	02-27008399	02-23269633	<a href="http://www.cathaysite.com.tw/">http://www.cathaysite.com.tw/</a>
T004	元大證券投資信託股份有限公司-信託 Yuanta Securities Investment Trust Co., Ltd.	105 台北市松山區敦化南路一段六六號五樓、六樓及六八號二樓之一 5F, No.66, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei City 105, Taiwan	02-27175555	02-25472380	<a href="http://www.yuantafunds.com/">http://www.yuantafunds.com/</a>
T006	寶富期貨信託股份有限公司 MF Global Futures Trust Co., Ltd.	106 台北市敦化南路2段65號12樓 12F, 65, Sec.2, Tunhwa S.Rd., Taipei 106, Taiwan	02-27080101	02-27845959	建置中 Under Construction
T007	富邦證券投資信託股份有限公司-信託 Fubon Asset Management Co., Ltd.	106 台北市敦化南路1段108號8樓 8F, 108, Sec.1, Tunhwa S.Rd., Taipei 106, Taiwan	02-87716688	02-66000130	<a href="http://www.fubon.com/financial/home/index.htm">http://www.fubon.com/financial/home/index.htm</a>
T008	新光證券投資信託股份有限公司-信託 Shin Kong Investment Trust Co., Ltd.	104 台北市南京東路2段123號12樓 12F, 123, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25071123	02-25077600	<a href="http://www.skit.com.tw/">http://www.skit.com.tw/</a>
T009	摩根富林明證券投資信託股份有限公司-信託 JPMorgan Asset Management (Taiwan) Limited	106 台北市敦化南路2段65號17樓 17F, 65, Sec. 2, Tunhwa S.Rd., Taipei 106, Taiwan	02-27558686	02-27050933	<a href="https://www.jpmmrich.com.tw/wps/portal/">https://www.jpmmrich.com.tw/wps/portal/</a>
T010	統一證券投資信託股份有限公司-信託 Uni-President Assets Management Corp.	105 台北市東興路8號8樓 8,8 Dongsing Rd., Taipei 105, Taiwan	02-27478388	02-27478129	<a href="http://www.ez.money.com.tw/">http://www.ez.money.com.tw/</a>
T011	永豐證券投資信託股份有限公司-信託 Copyright by SinoPac Securities Investment Trust CO., LTD	100 台北市博愛路17號9樓、13樓及14樓 13F, No.17, Bo'ai Rd., Zhongzheng Dist., Taipei City 100, Taiwan	02-23618110	02-23617069	<a href="http://sitc.sinopac.com">http://sitc.sinopac.com</a>

註：以上資料截止日為100.12.31