

熱忱·積極·完美

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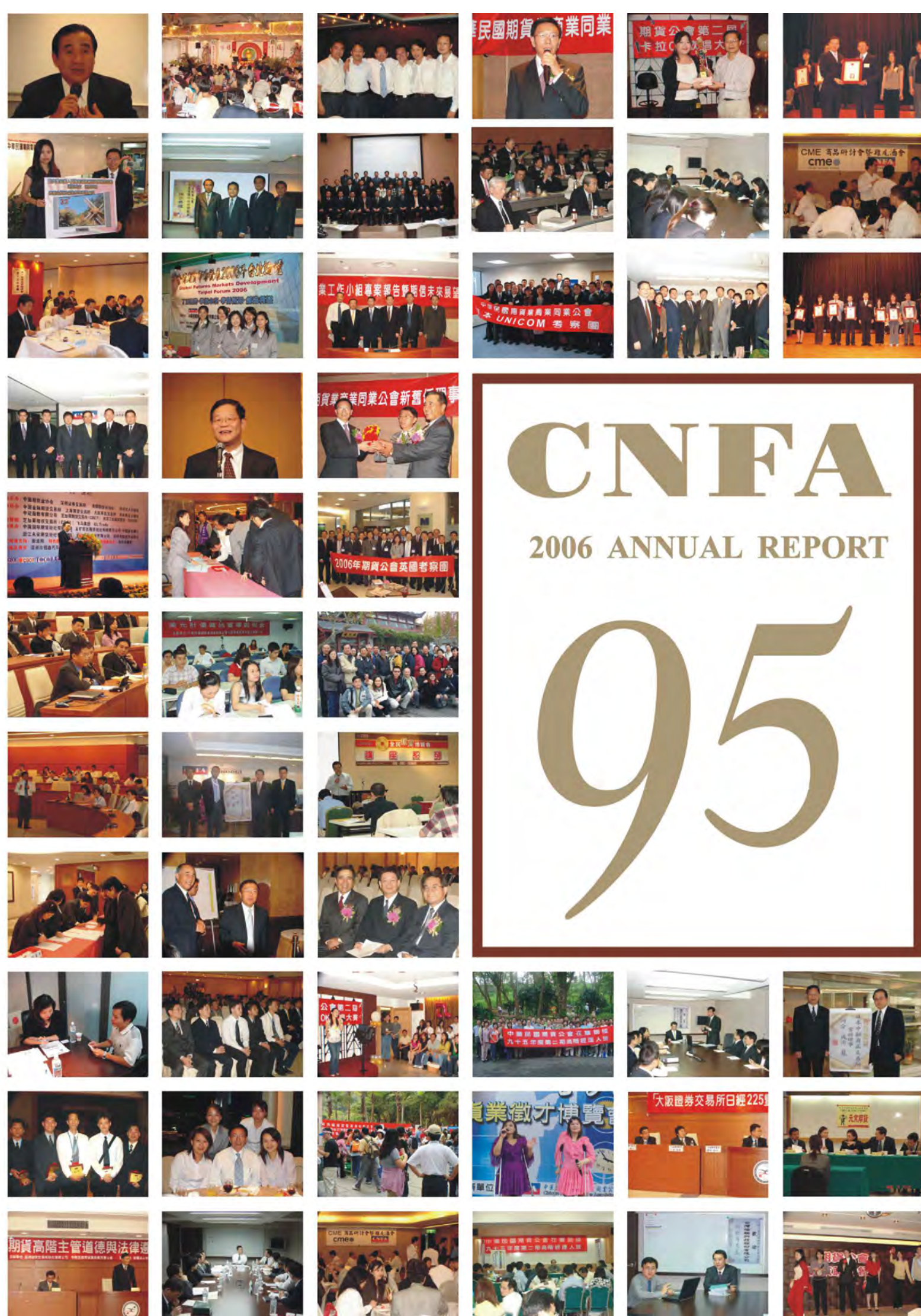
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中華民國期貨業商業同業公會九十五年度年報

中華民國期貨業商業同業公會 編印



# CNFA

2006 ANNUAL REPORT

# 95

# 九十五年年度年報

2006 ANNUAL REPORT

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# 壹、序言



95年度，期貨公會在會務工作上，除了例行為會員服務的場勘、證照、在職訓練等工作外，也有許多新猶，就績效而言，仍是豐碩的一年。

今年的期貨市場也有許多新舉，三月間期交所推出了「美元計價商品」，為協助市場推廣，公會分別於三月及七月，在北、中、南、東各地舉辦宣導說明會，讓從業人員及交易人儘速了解新商品的相關資訊。為協助業者打開各個不同層次的客源，公會配合金管會推動的「金融知識普及計畫－深入社區」

之活動，在全省各地做期貨知識及交易技巧的專題演講及推廣；同時也與中華財經台合作，運用媒體的力量，就期貨的專業知識、法令、風險管理、交易策略等不同的主題做宣導，希冀藉由各種不同管道，打開社會大眾對期貨了解的每一扇門。

對於期貨市場的人才培育，是今年著墨最深之處。為了替業界樽節招募及培訓的成本，擴大期貨業的人才來源，公會與期交所、證基會共同合作，舉辦「期貨業務人才養成班」，負責課程規劃、招募及結訓後的選聘作業，全年度為業界培育了近百位業務人員。同時也推動成立了「期貨業務人才聯誼會」、「臺灣期貨專業交易員聯誼會」等周邊組織，希望藉由這樣的組織，凝聚各個不同層面之期貨從業人員的共識與力量，讓同業間資訊交流、心得交換，促成良性競爭，達到提升專業素質的效果。

就期貨市場現況，公會結合各界之力，積極研議有利於期貨市場發展的業務與方案。對於期貨市場的未來，公會更是不遺餘力的構想，如何建制更完善的市場機制與健全的發展方向。2006年年底，就未來全球期貨市場發展的趨勢，邀請全球期貨先進市場，如歐洲交易所、美國CME、新加坡SGX及日本日商聯貿集團的高階主管，來台舉辦了一場「全球期貨市場發展2006年台北論壇」，為台灣期貨市場打開國際視野，掌握全球期貨市場未來趨勢，做為2007年的發展參考。

在擴大經營及建構完整期貨事業的版圖上，公會受主管機關的重託，協助規劃期信事業，特地組成了「期信工作小組」，蒐集國內外各項相關資料，並召集業者積極研議，在毫無前例可循的狀況下，整整半年的時間，針對未來的期信事業，做出了整合業界共識、草擬期信事業藍圖的成效，著力之深、用功之切，獲得業者及主管機關的一致好評。相信期信事業推出後，能夠為期貨市場帶來另一個春天。

在此，除了要感謝全體會務同仁一年來的努力外，更要感謝主管機關及所有會員公司的鼎力配合與協助。未來，公會仍將在精益求精、更上層樓的原則下，秉持熱忱、積極、完美的宗旨，持續做好會務工作，創造最高品質的服務績效。

中華民國期貨業商業同業公會

理事長

鄧育鵬

# 貳、公會簡介



本會榮獲內政部94年度工商團體評鑑甲等，並由鄧理事長(右二)代表領獎。

## 一、公會沿革

本會前身為創立於民國84年4月17日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分佈區域之擴大，遂於93年6月21日升格改制為全國性單一公會，並於6月30日獲頒立案證書。

本會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

## 二、設立宗旨

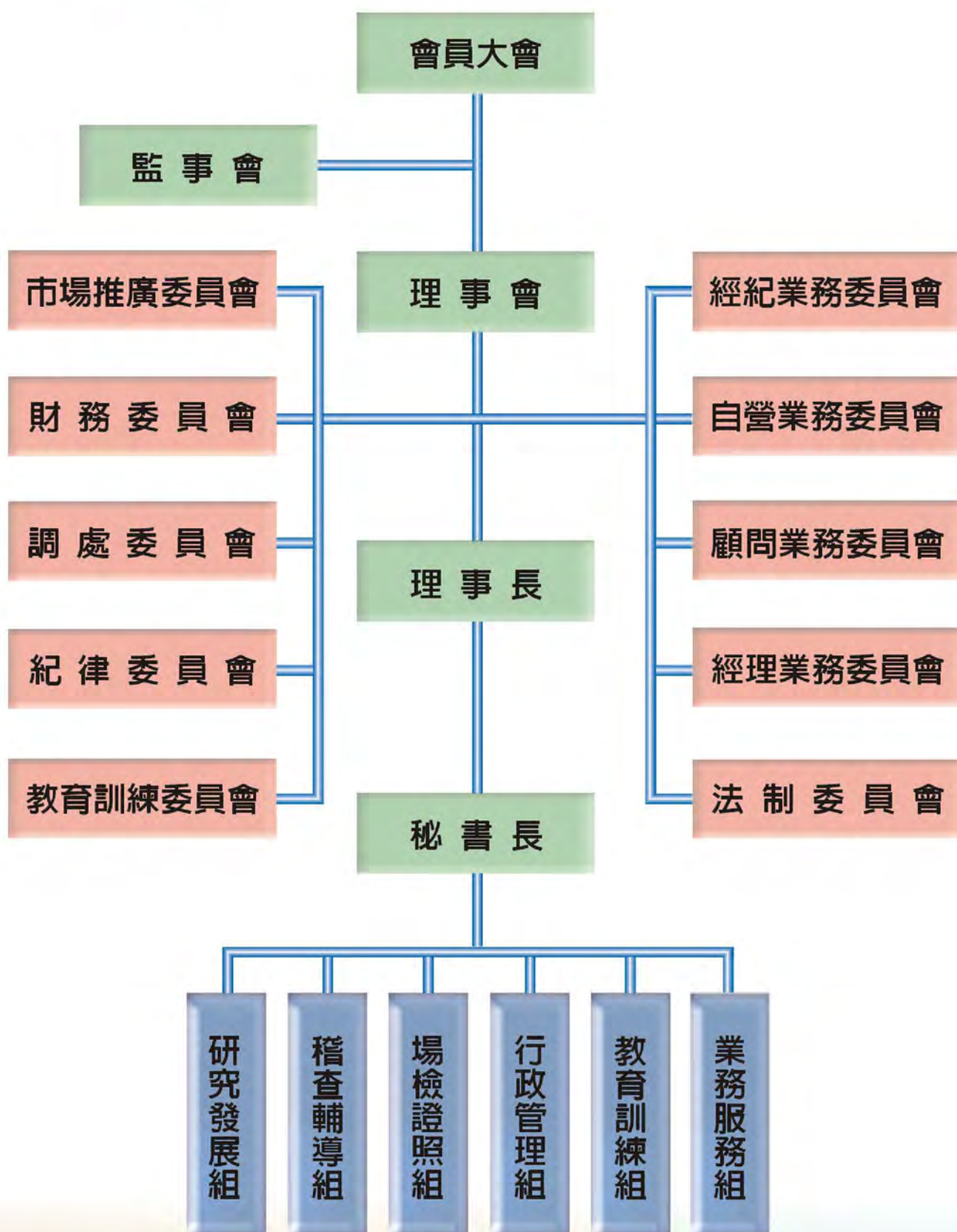
本會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

## 三、本會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

# 參、組織系統

## 一、組織系統圖



## 二、組織與執掌

(一) 會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，目前會員代表共計397位。

■ 成員：由會員代表組成。至95年12月31日止，本會會員公司計有189家：

1. 專營期貨商23家
2. 兼營期貨商19家
3. 期貨交易輔助人66家
4. 國外複委託商4家
5. 期貨自營商38家
6. 期貨經理事業13家
7. 期貨顧問事業26家

■ 職掌：為本會最高決策機關。

■ 職權：

1. 選舉、罷免理事、監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。



95.6.28 第一屆第三次會員代表大會

(二) 理事會

■ 成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■ 職掌：理事會為常設機構，亦是本會決策最高執行單位。並由理事就常務理事中選舉1人為理事長，對外代表本會。

■ 職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本會相關規章之制訂及修正案。

8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分未依規定繳納會費之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

### (三) 監事會

- 成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互選其中1人為監事會召集人。
- 職掌：為本會最高監察單位。
- 職權：
  1. 選舉及罷免常務監事。
  2. 監察理事會執行會員大會之決議案。
  3. 監察理事會會務執行狀況。
  4. 審核理事會各種會計報告書類。
  5. 稽核理事會之經費收支狀況。
  6. 向會員大會提出年度監察報告。
  7. 議決常務監事及監事之自行辭任。
  8. 其他依職責應辦之事項。



95.7.24 本會前理事長王中愷先生(右一)榮任臺灣期貨交易所總經理，並由鄧序鵬先生(左一)當選新任理事長

### (四) 委員會：設有所屬期貨經紀業務、自營業務、顧問業務、經理業務、法制、市場推廣、紀律、教育訓練、財務、調處等10個委員會。

- 成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。
- 職掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。



### 三、理、監事及顧問名錄

#### (一) 理事名錄

序號	公會職稱	姓名	會員名稱	職稱
1	理事長	鄧序鵬	康和期貨股份有限公司	董事
2	常務理事	任俊行	大華期貨股份有限公司	總經理
3	常務理事	孫天山	群益期貨股份有限公司	董事長
4	常務理事	許瑞立	安泰證券股份有限公司	總經理
5	常務理事	賀鳴珩	寶來曼氏期貨股份有限公司	董事長
6	常務理事	黃正雄	日盛期貨經理股份有限公司	總經理
7	常務理事	黃武臣	元大京華期貨股份有限公司	董事長
8	常務理事	楊金源	兆豐期貨股份有限公司	董事長 特別助理
9	常務理事	詹益青	國泰期貨股份有限公司	副總經理
10	常務理事	糜以雍	中信證券股份有限公司	董事
11	常務理事	謝志忠	日商聯貿期貨經紀股份有限公司台北分公司	副總經理
12	理事	方旭郎	群益期貨股份有限公司	總經理
13	理事	王冲青	美商愛德盟期貨經紀股份有限公司台灣分公司	總經理
14	理事	吳必泰	華南期貨經紀股份有限公司	董事長
15	理事	林詩仁	永豐期貨經理股份有限公司	總經理
16	理事	高政煌	大眾綜合證券股份有限公司	協理
17	理事	張雅斐	台証期貨股份有限公司	總經理
18	理事	張禮賢	國票期貨經紀股份有限公司	總經理
19	理事	許人祥	華南期貨經紀股份有限公司	顧問
20	理事	許瑞茂	台灣工銀證券股份有限公司	總經理
21	理事	陳立	元富期貨股份有限公司	董事
22	理事	陳添富	永全證券股份有限公司	總經理
23	理事	黃重文	永豐期貨股份有限公司	顧問
24	理事	滕青華	兆豐期貨股份有限公司	總經理
25	理事	蔡銘源	華南永昌綜合證券股份有限公司	副總經理
26	理事	鄭質榮	新加坡商華大期貨經紀有限公司台灣分公司	總經理
27	理事	羅成望	新壽綜合證券股份有限公司	協理

註：以上資料以95.12.31為準，依姓氏筆劃排序。

## (二) 監事名錄

序號	公會職稱	姓名	會員名稱	職稱
1	監事會召集人	盧立正	永豐期貨股份有限公司	總經理
2	常務監事	吳建華	台証期貨經理股份有限公司	總經理
3	常務監事	柳健昌	元富期貨經理股份有限公司	顧問
4	監事	王淑慧	元大京華期貨股份有限公司	總經理
5	監事	林明輝	日盛期貨股份有限公司	總經理
6	監事	曹元生	國票綜合證券股份有限公司	協理
7	監事	黃奕銘	統一期貨股份有限公司	總經理
8	監事	葉一豐	新加坡商曼氏期貨股份有限公司台灣分公司	總經理
9	監事	劉懋楠	金鼎綜合證券股份有限公司-自營	副總經理

註：以上資料以95.12.31為準，依姓氏筆劃排序。

## (三) 顧問名錄

序號	公會職稱	姓名	服務單位	職稱
1	顧問	丁克華	財團法人中華民國證券暨期貨市場發展基金會	董事長
2	顧問	白文正	寶來金融集團	總裁
3	顧問	李存修	臺灣大學	教授
4	顧問	姒元忠	復華期貨股份有限公司	董事長
5	顧問	林蒼祥	淡江大學	教授
6	顧問	徐抗宗	復華證券股份有限公司	顧問
7	顧問	簡鴻文	中華民國證券商業同業公會	理事長

註：以上資料以95.12.31日為準，依姓氏筆劃排序。



## 一、關於期貨交易法令之協助推行、研議事項

1. 研議開放證券商從事黃金期貨對專營期貨商之定位及期貨業未來發展之衝擊。
2. 建請開放期貨商閒置不動產出租予第三人。
3. 討論兼營期貨業務證券商擔任一般結算會員之業務範圍。
4. 以期交所「期貨交易作業程序控管機制方案」為藍圖，研議關於期貨商客戶保證金虛擬帳戶入金作業修正細部事項。
5. 討論期貨商負責人、業務員、其他從業人員或其配偶限在所屬期貨商開戶之例外情形及其控管程序。
6. 擬訂僑外資從事我國期貨交易之換匯授權書格式。
7. 建請期交所針對選擇權交易重新訂定「鉅額錯帳」之認定標準。
8. 研議外國期貨交易所，以招募遠距會員(remote membership)方式拓展市場對我國期貨市場之影響。
9. 研議修訂本會期貨商開戶徵信作業管理自律規則。
10. 建請開放期貨商接受特定法人授權委託(CD單)從事期貨交易。
11. 委託康德國際法律事務所擬訂期貨商綜合帳戶受託契約及境外華僑、外國人受託契約中英文範本。
12. 研議使用電話語音提領保證金申請書範本。
13. 研議訂定僑外資之結匯授權書中英文範本。
14. 研擬指定入金帳戶約定書範本。
15. 委託康德國際法律事務所英譯本會防止洗錢注意事項範本。
16. 研議修訂期貨商防制洗錢注意事項範本。
17. 研擬期貨經理事業停業、終止營業及解散處理程序。
18. 研擬增設委任人申請匯出累積投資收益會計科目及分錄。
19. 討論期貨經理事業接受共同委任相關業務規範草案。
20. 因應開放期貨經理事業代客操作外幣保證金交易，修訂期貨經理事業經營全權委託期貨交易業務操作辦法。
21. 建議放寬期貨經理事業運用委任資金操作國外期貨交易標的之比例限制。
22. 修訂本會期貨經理事業內部控制制度標準規範。
23. 建請開放「證券商兼營期貨自營商」比照「專營期貨商」得從事主管機關依期貨交易法第5條規定公告之國外期貨市場商品交易。
24. 研擬期貨顧問事業於傳播媒體提供期貨交易研究分析問答集。
25. 研議期貨顧問事業透過傳播媒體提供分析建議管理自律規則。
26. 建議修正「期貨顧問事業管理規則」有關於傳播媒體從事期貨分析之人員資格條件。

## 二、業務之研究暨發展事項

### 1. 專題委外研究案

研究主題	CA制度對期貨網路及專屬線路DMA下單之高階決策分析
研究案主持人	國立台灣大學 游張松教授
研究目的	針對CA網路交易之技術及法令規定作一適合高階主管所需的模組化拆解、分析、闡釋，比較CA與非CA做法，分析其公平性與國際化接軌之難題，同時討論其加值措施——電子帳單的可行性及其成本效益。
研究主題	一般化期貨與選擇權之交易策略研究
研究案主持人	國立中正大學 薛立言教授 賴靖宜助理教授
研究目的	針對在國內期貨市場上市交易的商品，依照避險、套利與投機等不同之操作目標，透過數理方法找尋出較為一般化且具體可行之投資 / 避險策略，提供投資大眾及專業經理人參考，俾能有效刺激投資人參與期貨市場的意願，提昇國內期貨市場整體之活絡性。
研究主題	開放期貨經理事業辦理集合管理運用帳戶業務之可行性
研究案主持人	環球高蓋茨法律事務所
研究目的	為使我國資產管理市場之商品及服務項目更趨完整，提供全方位之資產管理服務，提昇期貨專業投資服務之水準，並使機構投資人以外之一般投資人亦可參與期貨市場之投資，爰就期貨經理事業辦理集合管理運用帳戶業務之可行性進行研究。本研究主要著重於辦理此項業務之法律上之可行性，同時徵詢業者實務運作可能模式，並提出運作問題，以利未來建置相關規範時參考。

### 2. 研討會 / 座談會

#### 黃金期貨量價分析及汽燃油期貨交易策略說明會

- 為了促進商品期貨市場之熱絡交易，並看好黃金、石油後市，本會與日商聯貿共同舉辦日本黃金、汽油、燃油期貨交易說明會，以加深國內交易人對商品期貨的認識（2.9）。



#### 美元計價商品上市宣導說明會



- 配合美元計價商品上市，藉由深入淺出的講解，讓期貨交易人對於黃金期貨、摩根台指期貨及選擇權合約規格、交易流程、保證金存入與換匯等相關事項，加以宣導。（3.14、20、21，7.26、28，8.2、3、4）

## 芝加哥商業交易所 (CME) 商品研討會暨雞尾酒會

- 介紹如何利用CME各種商品進行避險及價差交易，如新推出的亞洲匯率商品及股市商品等；另外並介紹CME的能源、外匯市場及Globex等其他業務，讓我國交易人對於CME所提供的交易服務有更進一步之認識。(9.29)



## 芝加哥期貨交易所 (CBOT) 商品研討會



- 為針對亞洲市場積極推廣貴金屬及農產品期貨商品，芝加哥期貨交易所業務發展總監 Richard Jelinek 特地來台，詳細分析全球貴金屬及農產品市場未來發展趨勢，並由 Trade the Markets 期貨投資顧問公司總裁 John Carter 講解相關交易策略，以提高交易人的進場意願。(10.13)

## 全球期貨市場發展2006年台北論壇

- 為了解世界期貨市場發展潮流與趨勢，為我國期貨市場未來發展建構國際化的視野，使業者汲取國際最新期貨管理知識與技巧，本會與期交所於台北國際會議中心特地攜手共同舉辦『全球期貨市場發展2006年台北論壇』。(11.16-11.17)



## 「大阪證券交易所日經225暨選擇權交易策略」說明會



- 為協助推廣日本期貨商品，本會與日商聯貿共同就大阪證券交易所日經225相關商品舉辦交易策略之說明會，吸引近百位投資人參加。(11.22)

## 2006期貨論壇系列（一）「一般化期貨與選擇權之交易策略研究」

- 為有效降低投資組合之報酬風險，並存在提高報酬水準的潛力，本會特委由國立中正大學財務金融學系薛立言教授與賴靖宜助理教授研究「一般化交易策略」與「組合保險策略」等2項容易理解與執行之期貨交易策略。(12.19)



## 2006期貨論壇系列（二）「開放期貨經理事業辦理集合管理運用帳戶業務之可行性研究」



- 為爭取期貨經理事業之發展空間，本會特委由環球普蓋茨法律事務所針對期貨經理事業辦理集合管理運用帳戶業務之可行性進行研究並於12月下旬發表，主要著重於辦理此項業務在法律架構方面之可行性，以作為主管機關未來訂定相關規範時之參考。(12.20)

## 期貨信託事業工作小組專案報告暨期信未來展望研討會

- 由期貨信託事業工作小組就為時2個月之會議所取得共識進行專案報告，勾勒期信事業的初步輪廓，同時針對期信事業未來可能面臨之情況與議題進行探討。(12.27)



### 三、督促會員自律、共謀業務上改進事項

#### 1. 期貨顧問事業查察輔導事項

本會95年度針對期貨顧問事業之業務及內部稽核作業實施查察輔導工作，例行訪查期貨顧問事業共計22家，選案查核期貨顧問事業2家。其中違反本會「會員自律公約」，發函會員公司要求改善者2件；警告者1件；罰違約金者1件。

#### 2. 其他違規事項

會員公司95年度違反本會「會員自律公約」，經本會發函會員公司要求改善者3件；警告者5件；罰違約金者6件；敦請會員公司自行處分者3件。

### 四、教育訓練之辦理

■ 從業人員在職訓練：本會受行政院金融管理委員會證期局指定，為期貨業務員、期貨顧問事業及期貨經理事業業務員在職訓練之主辦單位。依法令規定上述三項業務員，自登錄後每兩年應參加在職訓練乙次。95年度三類在職訓練於全省各地共計173班次，受訓人次達11,319人。

#### 1. 期貨業務員

期貨業務員在職訓練，依登錄年資區分為初階班（登錄後第一次參訓者）、進階一（第二次參訓者）、進階二（第三次參訓者）及進階三（第四次參訓者）四類課程；依職能別另有內部稽核班及高階經理班，共計六類課程。

95年度共計辦理168班次，初階35班-2,358人、進階(一)36班-2,423人、進階(二)50班-3,382人、進階(三)41班-2,640人，高階經理4班-191人、內部稽核2班-54人。

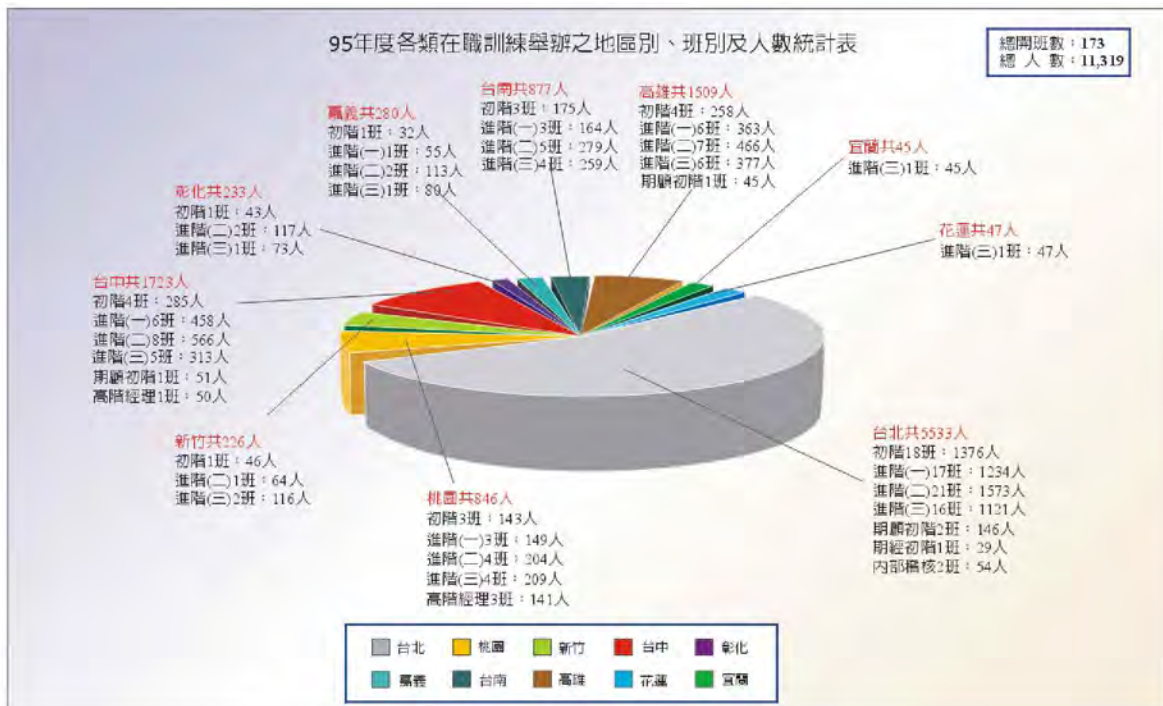


#### 2. 期貨顧問事業業務員

期貨顧問事業業務員在職訓練，依登錄年資區分為初階班（登錄後第一次參訓者），95年度共計辦理4班次，共計242人參訓。

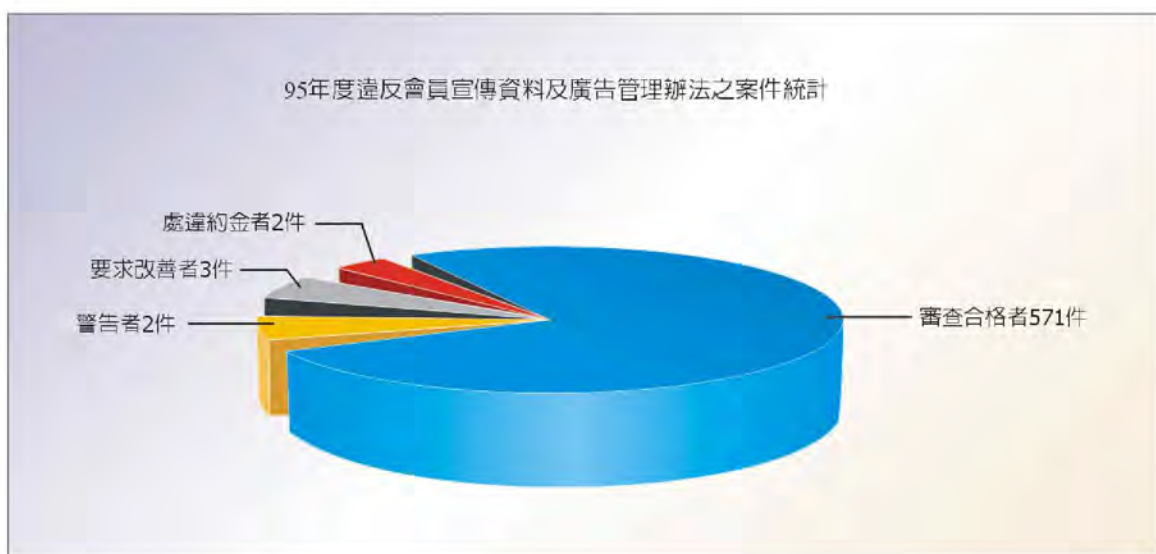
#### 3. 期貨經理事業業務員

期貨經理事業業務員自95年度起辦理第一次在職訓練，在台北地區舉辦1班，共計29人參訓。



## 五、關於會員業務之廣告、展覽及證明事項

為維護合法業者之專業形象，並保障期貨交易者權益，規範本會所屬會員於對外進行宣傳廣告行為前，須向本會報備。95年度宣傳資料及廣告物等審查合計578件，其中違反本會會員宣傳資料及廣告管理辦法，經本會發函要求改善者3件；警告者2件；處違約金者2件。



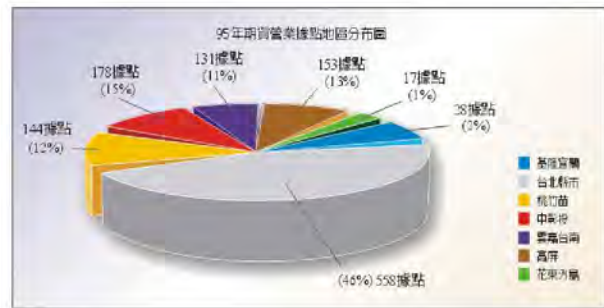
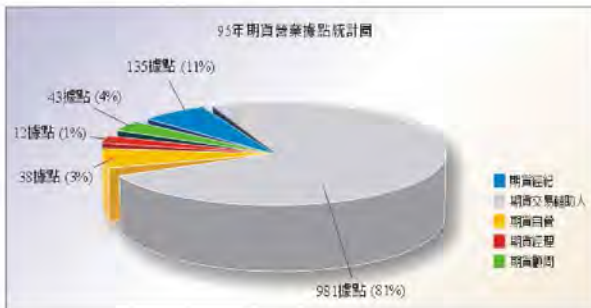
## 六、會員及業務員登記資料及證照申請相關事項

### 1. 關於會員及業務員基本資料之建立及登記事項

- 會員家數：共計189家，其中經營期貨經紀業務46家（24%）；期貨業務輔助人66家（35%）；期貨自營業務38家（20%）；期貨經理業務13家（7%）；期貨顧問業務26家（14%）。



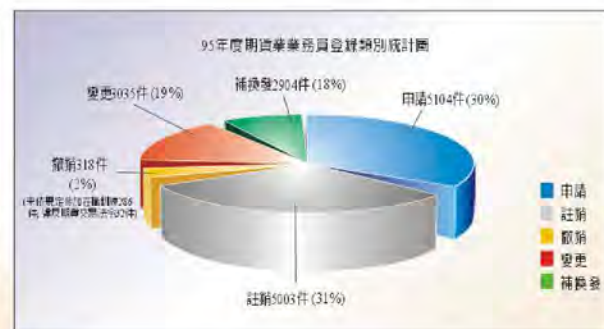
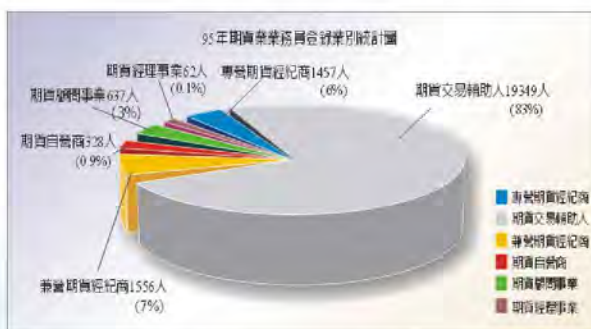
- 會員總分公司分支機構數：共計1,209家，其中經營期貨經紀業務為135個營業據點（11%）；期貨業務輔助人981個營業據點（81%）；期貨自營業務38個營業據點（3%）；期貨經理業務者12個營業據點（1%）；期貨顧問業務者43個營業據點（4%）。



### 2. 會員委託證照之申請變更、換領及其他服務事項

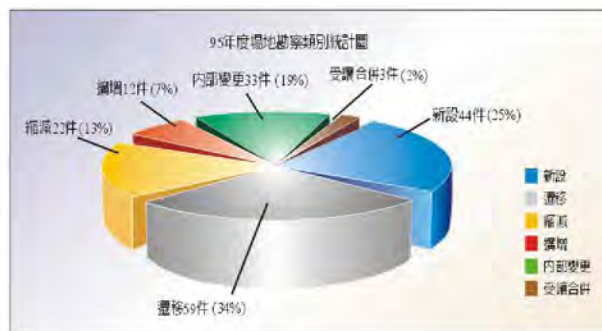
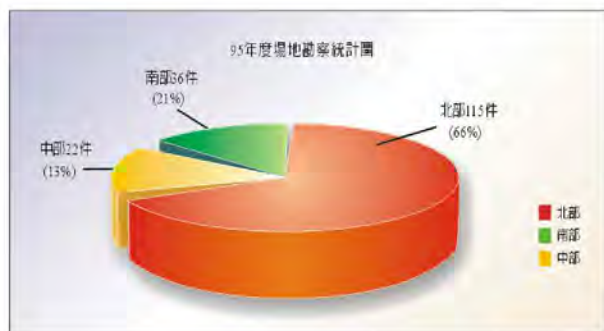
- 截至95年年底，共計23,389位期貨業業務員於本會登錄，登錄業別細分如下：

- 95年度共計受理16,364件會員業務員之登錄案件。



### 3.會員場地勘察

95年度共計173件場勘案(北部地區115件,中部地區22件,南部地區36件),就其場勘案件分析,遷移營業據點59件(34%)、新設營業據點44件(25%)、營業據點內部變更33件(19%)、縮減營業據點22件(13%)、擴增營業據點12件(7%)、受讓合併3件(2%)。



## 七、本會出版品

### 會訊

- 每月出刊的公會會訊，報導最新會務動態、提供最新政令宣導、傳達最新市場消息，為業界了解公會、政令、業界動態之捷徑。



### 期貨人季刊



- 秉持創刊使命，承續內容生動活潑的精神，分別依當季最新之議題進行報導之專業刊物，以提供業界一個專業知識與心得交流之專業刊物，同時為期貨市場發展留下歷史的見證與紀錄。

### 台灣期貨研究叢書

■ 係本會委外研究案及業界同儕撰寫之專題研究報告，提供予所有對期貨市場相關議題之探討有興趣者閱讀，以促進期貨市場之進步與提升業界素質。



### 認識期貨經理事業



■ 為使期貨經理事業蓬勃發展，提供交易者瞭解期貨經理事業，並進而選擇合法期貨代操業者從事期貨交易，本會邀請期貨實務專家共同編撰手冊，提供給從業人員作為推廣業務之參考。

### 期貨業從業人員職業道德手冊

■ 有感於近年來本土金融業界層出不窮的違規事件，並順應95年8月1日起，13種金融從業人員證照考試都將加考「職業道德」科目。本會特別編輯「期貨業從業人員職業道德手冊」以幫助全體從業人員了解個人在執行業務上的職責、避免業務執行不當，並於95年6月寄送發放予全省2萬2千多名從業人員人手一冊。



### 台灣期貨與衍生性商品學刊



■ 係本會針對期貨市場法令規章、管理制度之相關研究與探討、新金融商品之研究、分析或操作策略、期貨相關時事議題等相關專文集結而成之專業刊物，提供給所有對期貨市場有興趣者閱讀，分享期貨專業知識，以達到知識分享的目的。

## 八、交易人保護事項

- 宣導影片：本會企畫製作期貨宣導短片「期貨三千萬，安全有保障」，告知社會大眾期貨安全交易之重要性，宣傳保證金的入金流程，並打擊地下期貨以導正投機歪風。



- 期貨贏家系列：為了強化投資大眾對期貨的正確交易觀念、落實本會對期貨交易大眾教育宣導的宗旨，本會持續投入期貨市場的教育宣導，與臺灣期貨交易所共同出資，委託中華財經電視台製作全年52集「期貨贏家系列」期貨教育宣導節目。



- 諮詢專線：為保障交易人權益，本會增設諮詢專線0800-457-588，提供交易人對期貨交易法令及相關制度進行諮詢。

■ 宣傳海報：本會為保護合法經營者及投資人權益，每月均製作精美海報並寄發給所有會員總分公司廣為張貼，以達宣導效果。



■ 平面媒體廣告宣導：期貨教育宣導工作必須持續進行，方能收到風行草偃之效，本會為了使投資人對期貨重要事項有更深刻認知，委託平面媒體刊登「期海領航會談」專欄，暢談期貨業最HOT的問題，另外刊登「期貨交易面面觀系列」宣導廣告，提醒投資人期貨交易應該注意的事項。

■ 宣導折頁：為保障期貨交易者存入保證金之安全性，並便利期貨商辨識資金來源，本會與期交所共同策劃「期貨交易者辦理『約定入金帳號』應注意事項」，避免交易者因期貨帳號填寫錯誤或遭不肖人員詐騙，將保證金誤存他人帳戶遭盜領之情事發生。

(二)「客戶開戶」第14【約定】保證金。

(請參閱三)

1. 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。

(1) 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理期貨開戶及保證金存入等事宜。

(2) 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理期貨開戶及保證金存入等事宜。

2. 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理期貨開戶及保證金存入等事宜。

3. 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理期貨開戶及保證金存入等事宜。

4. 交易者應親自臨櫃辦理期貨開戶及保證金存入等事宜。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理期貨開戶及保證金存入等事宜。

**【重要事項】「約定入金帳號」應注意事項**

一、目的

二、適用範圍

三、辦理程序

四、注意事項

五、其他事項

**一、交易人應親自臨櫃存入保證金之重要性**

「存入保證金」關係重大，交易人應親自臨櫃辦理，以確保資金安全。如有委託他人辦理者，應由委託人簽名並蓋章，委託人應親自臨櫃辦理。

二、交易人應親自臨櫃存入保證金之重要性

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**「約定入金帳號」應注意事項**

一、目的

二、適用範圍

三、辦理程序

四、注意事項

五、其他事項

**一、交易人應親自臨櫃存入保證金之重要性**

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## 九、活動報導

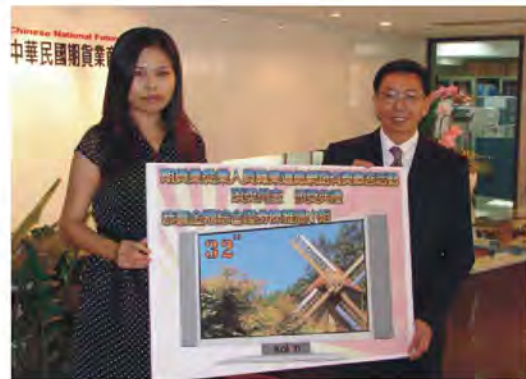
### 2006期貨業徵才博覽會暨慈善園遊會



- 為了關懷弱勢團體，並結合期貨業徵才，假台北市府廣場舉辦舉辦慈善園遊會，當天公益所得全數歸屬參展之慈善團體，以增進弱勢團體之經費收入。(5.21)

### 職業道德網路有獎徵答活動

- 為喚起從業人員對職業道德的重視，並落實從業人員職業道德之遵循，本會特別針對業界舉辦網路有獎徵答活動，活動對象本為在會登錄有期貨從業人員資格，期望能藉由網路有獎徵答方式，以寓教於樂之方式達到教育宣導之目的。(7.1-8.31)



### 第二屆期貨盃卡拉OK歌唱大賽



- 邀集期貨界從業人員，舉辦卡拉OK歌唱大賽，帶動業界正當娛樂並促進情感交流。95年「第二屆期貨盃卡拉OK歌唱大賽」參賽者共計四十餘人，表演曲風多樣，在親友團的熱情助陣、參賽者的勇於表現下，現場洋溢一片青春歡樂。(7.15)

### 三大公會卡拉OK歌唱大賽

- 以「豐富生活，充實人生，拉近你我的距離」為主軸辦理的三大公會（證券、期貨及投信投顧）卡拉OK歌唱大賽假台北市青少年娛樂中心舞蹈館開唱，整個活動宛如置身在「快樂星期天」的擂台賽中，在大家依依不捨，相約明年見下圓滿落幕。(8.12)



## 2006全民理財博覽會

- 為了讓期貨知識普及到投資大眾，並且教導如何防範詐騙，本會在2006全民理財博覽會設攤宣導，並舉辦「聰明理財不受騙期貨交易須知」講座，當場就投資人疑問，予以解說澄清。(8.25-8.28)



## 三大公會登山健行活動



- 藉由發起「登山健行活動」以促進期貨、投信投顧及票券等三大公會各會員互動交流，從業人員及眷屬共約 700人參與此次戶外踏青，登山路線由木柵動物園至指南宮，在一路享受芬多精及親子時間下圓滿落幕。(10.15)

## 期貨業務人才養成班

- 由期交所主辦，證基會教育訓練，公會輔導進入業界就業；學員必須接受120小時的專業課程訓練及通過期末測驗，並且具備期貨業務員資格方能參加由公會舉辦的人才徵選活動；95年度養成班共有74位學員結訓，其中通過證照測驗有52位，經公會輔導進入業界服務有43位。



## 十、國際交流



- 本會應日本中部商品交易所之邀，透過日商聯貿期貨精心規劃與安排，由理監事組團，前往日本名古屋及大阪兩地，拜訪中部商品交易所及日商聯貿大阪分公司。(3.16-3.19)

- 大阪證券交易所總公司米田社長 / 東京分公司樋出社長來台拜訪，推廣小日經指數在台上市，並就該項商品的未來發展及推廣與本會進行交流。(4.14)



- 日本最大商品交易所—東京工業商品交易所松永部長來台取經，希望取得我國台指選擇權成功之關鍵要素，作為該所日後推廣期貨選擇權的重要參考。(8.9)

- 由鄧理事長序鵬率團至英國曼氏期貨集團 Man Investment 之AHL期貨基金部門考察，該公司所掌管之期貨基金規模為全球最大，藉此對期貨基金成功的要素與操作架構加以交流。(9.15-9.22)



## 十一、理監事聯誼活動

- 為加強業界互動，促進業界共識，本年度共計舉辦一次理監事聯誼活動。此次共計有團員三十人，景點安排了杭州的西湖、靈隱寺、雷峰塔，以及著名電影“十面埋伏”及“臥虎藏龍”取景處的烏鎮，古色古香，加上眷屬隨行，讓聯誼活動更添美意。(11.29-12.2)



## 中華民國期貨業商業同業公會 會計師查核報告

中華民國期貨業商業同業公會民國九十五年十二月三十一日及民國九十四年十二月三十一日之資產負債表及財產目錄，暨民國九十五年一月一日至十二月三十一日及民國九十四年一月一日至十二月三十一日之收支決算表、現金出納表及基金收支表，業經本會計師查核竣事。上開會計報告之編製係管理階層之責任，本會計師之責任則為根據查核結果對上開會計報告表示意見。

本會計師係依照一般公認審計準則規劃並執行查核工作，以合理確信會計報告有無重大不實表達。此項查核工作包括以抽查方式獲取會計報告所列金額及所揭露事項之查核證據、評估管理階層編製會計報告所採用之會計原則及所作之重大會計估計，暨評估會計報告整體之表達。本會計師相信此項查核工作可對所表示之意見提供合理之依據。

依本會計師之意見，第一段所述會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」及一般公認會計原則編製，足以允當表達中華民國期貨業商業同業公會民國九十五年十二月三十一日及民國九十四年十二月三十一日之資產負債狀況，暨民國九十五年一月一日至十二月三十一日及民國九十四年一月一日至十二月三十一日之收支情形。

此 致

中華民國期貨業商業同業公會 公鑒

致遠會計師事務所

會計師：

李 明 昱  


民國九十六年一月十日

中華民國期貨商業同業公會  
收支決算表  
民國九十五年一月一日至十二月三十一日  
及民國九十四年一月一日至十二月三十一日

單位：新台幣元

科目	九十五年度				九十四年度				說明
	決算數	預算數	決算數與預算數比較數		決算數	預算數	決算數與預算數比較數		
			增加	減少			增加	減少	
經營收入									
入會費收入	512,000	\$12,000	\$-	\$-	567,200	\$129,600	\$-	\$62,400	
常年會費收入	2,122,400	2,188,800	-	66,400	2,148,000	2,332,000	-	204,000	
零當費收入	80,479,495	83,340,988	-	2,861,493	108,149,815	91,650,556	16,519,259	-	註
利息收入	6,216,179	1,571,832	4,644,347	-	3,179,676	882,000	2,297,676	-	
專案計畫收入	647,618	500,000	147,618	-	93,331	1,000,000	-	906,669	
委託收入	15,594,701	14,674,747	919,954	-	14,021,881	15,573,500	-	1,551,619	
其他收入	2,054,134	1,210,000	844,134	-	4,041,215	1,516,000	2,725,215	-	
收入合計	107,126,527	103,498,367	6,556,053	2,927,893	131,701,118	112,883,656	21,542,150	2,724,688	
經營支出									
人事費	22,401,972	23,226,000	-	824,028	20,571,459	26,247,703	-	5,676,244	
辦公費	7,487,146	8,024,850	-	537,704	7,975,915	8,997,600	-	1,021,685	
業務費	36,522,123	40,384,700	-	3,862,577	35,930,247	38,342,050	-	2,411,803	
購置費	455,460	450,000	5,460	-	385,505	630,000	-	244,495	
繳納團體會費	1,070,000	1,070,000	-	-	1,071,000	70,000	1,001,000	-	
專案計劃支出	562,178	400,000	162,178	-	36,900	800,000	-	763,100	
什項支出	147,925	142,817	5,108	-	131,662	402,339	-	270,677	
預備金	-	1,000,000	-	1,000,000	-	4,100,000	-	4,100,000	
基金提存	31,561,046	28,800,000	2,761,046	-	53,616,543	33,293,964	322,579	-	
支出合計	100,207,850	103,498,367	2,933,792	6,224,309	99,719,231	112,883,656	1,323,579	14,488,004	
本期餘絀(稅前)	6,918,677	-	3,622,261	(3,296,416)	31,981,887	-	20,218,571	(11,763,316)	
所得稅費用	-	-	-	-	-	-	-	-	
本期餘絀(稅後)	\$6,918,677	\$-	\$3,622,261	\$ (3,296,416)	\$31,981,887	\$-	\$20,218,571	\$ (11,763,316)	

註：95年度專業費收入較預算數減少，係本期調降專業費收入費率所致。  
94年度專業費收入較預算數增加，主係本期期貨市場交易熱絡，交易量增加，調降專業費收入費率所致。

中華民國期貨商業同業公會  
資產負債表  
民國九十五年十二月三十一日  
及民國九十四年十二月三十一日

單位：新台幣元

會計科目	九十五年十二月三十一日		九十四年十二月三十一日		負債、基金及餘絀	九十五年十二月三十一日		九十四年十二月三十一日	
	金額	%	金額	%		金額	%	金額	%
流動資產					流動負債				
現金及銀行存款	\$8,049,868	2.01	\$7,526,930	2.05	應付票據	\$1,112,778	0.28	\$1,913,735	0.52
有價證券	97,200,000	24.28	86,000,000	23.45	應付款項	7,872,928	1.97	6,186,888	1.59
應收票據	-	-	65,693	0.02	其他流動負債	297,406	0.07	329,344	0.09
應收帳款	11,213,773	2.80	18,797,845	5.12	流動負債合計	9,283,112	2.32	8,479,967	2.30
其他應收款	1,604,065	0.40	1,259,996	0.34					
預付款項	158,034	0.04	175,485	0.05	其他負債				
其他流動資產	-	-	99,686	0.03	存入保證金 自修基金	75,960,000	18.97	81,180,000	22.13
流動資產合計	118,225,740	29.53	113,924,735	31.06	負債合計	85,243,112	21.29	89,609,967	24.43
基金					基金及餘絀				
台灣發展準備基金 專戶存款	43,628,706	10.90	32,579,114	8.88	基金				
台灣發展準備基金 專戶存款	-	-	1,183,540	0.32	專修基金	49,749,245	12.43	42,973,815	11.73
退休準備基金 專戶存款	11,496,690	2.87	8,953,037	2.44	退休準備基金	14,059,688	3.51	11,321,829	5.09
職工福利準備基金 專戶存款	136,763,227	34.16	114,927,220	31.54	自修基金	13,614,240	3.40	13,354,180	3.64
基金合計	191,888,623	47.93	157,645,311	42.98	台灣發展準備基金	-	-	1,488,007	0.32
固定資產					職工福利準備基金	157,474,276	39.33	135,027,063	36.81
房屋及建構	2,503,993	0.63	2,503,993	0.68	基金合計	234,897,440	58.67	203,864,894	55.59
事務機械設備	64,638	0.02	64,638	0.02					
器具設備	9,214,044	2.30	9,093,665	2.48	其他	73,288,665	18.31	41,306,778	11.26
運輸設備	652,381	0.16	652,381	0.18	本期餘絀	6,918,677	1.73	31,981,887	8.72
雜項設備	1,179,184	0.29	1,039,503	0.28	盈餘合計	80,207,342	20.04	73,288,665	19.98
固定資產合計	13,614,240	3.40	13,354,180	3.64	基金及餘絀合計	315,104,791	78.71	277,153,559	75.57
其他資產									
自修保證金	659,300	0.17	659,300	0.18					
自修基金 專戶存款	75,960,000	18.97	81,180,000	22.14					
其他資產合計	76,619,300	19.14	81,839,300	22.32					
資產總計	5400,347,903	100.00	\$366,763,526	100.00	負債、基金及餘絀總計	5400,347,903	100.00	\$366,763,526	100.00

# 陸、大事紀要



日期	活動名稱
1/11	2005歲末聯歡晚宴
1/14-1/15	假西湖渡假村舉辦「強化期貨商作業控管實務研討會」
1/20	專營期貨商座談會
1/26	日本中部交易所來訪
2/09	假台北政大公企舉辦「黃金期貨量價分析及汽燃油期貨交易策略」說明會
3/03	台灣檢驗科技公司 (SGS) 派員進行ISO品質系統例行稽核
3/06	日本中部交易所常務理事河合成治來訪
3/06	期貨高階主管道德與法律遵循研討會
3/09	澳帝華集團期貨大洋區負責人Mr.Robert Keldoulis來訪
3/10	第1屆第11次理監事聯席會
3/15	協助證基會辦理「期貨暨選擇權專業人才養成班」聯合選秀徵才活動
3/14、3/20、3/21	分別於台北、台中及高雄舉辦「美元計價商品上市宣導說明會」
3/16-3/19	理監事參訪日本中部商品交易所及日商聯貿大阪分公司
3/24-3/25	假桃園悅華大酒店舉辦第一季高階經理班
3/31-5/20	「臺灣期貨市場發展之我見」徵文活動
4/14	日本大阪證券交易所社長米田道生及東京支社長樋出幹雄來訪
4/21	第1屆第6次常務理監事聯席會
5/04	第1屆第12次理監事聯席會
5/05-5/06	假苗栗西湖渡假村舉辦第二季高階經理班
5/21	「2006期貨業徵才博覽會暨慈善園遊會」
6/05	第1屆第7次常務理監事聯席會
6/09	第1屆第13次理監事聯席會
6/19	「臺灣期貨市場發展之我見」徵文活動評審會議
6/20	期貨業從業人員職業道德手冊出版
6/20	召開推動期貨信託事業工作小組第一次會議

日期	活動名稱
6/22	「兩岸金融人才國際競爭力」協辦
6/26	「期貨業務人才養成班」第二期開訓
6/28	第一屆第三次會員大會
6/28	「臺灣期貨市場發展之我見」徵文活動頒獎
7/01	「認識期貨經理事業」手冊出版
7/01-8/31	期貨業從業人員職業道德網路有獎徵答活動
7/07	日本大阪證券交易所東京支社長樋出幹雄來訪
7/15	舉辦「第二屆期貨盃卡拉OK歌唱大賽」
7/24	第1屆第14次理監事聯席會，鄧常務理事序鵬當選新任理事長
7/24	「期貨業務人才養成班」第二期結業
7/26-8/04	分別於台北、宜蘭、台中及高雄舉辦美元計價商品宣導說明會共五場次
8/09	東京工業品交易所會員部松永仁一部長及企劃部安田毅史課長來訪
8/12	與證券商及投信投顧同業公會合辦第一屆三大公會卡拉OK聯誼賽
8/15	第1屆第8次常務理監事聯席會
8/16	第1屆第15次理監事聯席會
8/25-8/28	參加2006全民理財博覽會
9/05	「期貨業務人才聯誼會」第一次籌備會議
9/11	「台灣期貨專業交易員聯誼會」第一次籌備會議
9/15	「期貨業從業人員職業道德網路有獎徵答」抽獎典禮
9/15-9/22	理監事前往英國曼氏期貨集團考察
9/25	本會榮獲內政部頒發94年度全國性及台灣區工商暨自由職業團體工作績效評鑑優良成績甲等
9/26	台灣檢驗科技公司（SGS）派員進行ISO品質系統例行稽核
9/29	假台北喜來登飯店與芝加哥商業交易所合辦「芝加哥商業交易所商品研討會」暨雞尾酒會
9/29-9/30	假桃園悅華大酒店舉辦第三季高階經理班

日期	活動名稱
10/13	假六福皇宮飯店協助芝加哥期貨交易所舉辦「芝加哥期貨交易所商品研討會」
10/13	「期貨業務人才養成班」第三期開訓
10/14、10/28	協助行政院金管會推動「金融知識普及計畫-深入社區」，分別於新竹、高雄宣導期貨交易知識
10/15	與投信投顧及票券公會共同舉辦登山健行暨摸彩活動
10/15-10/16	假桃園悅華大酒店舉辦第四季高階經理班
10/17	第1屆第9次常務理監事聯席會
10/19-12/05	推動期貨信託事業工作小組與專案研討會
10/24	「期貨業務人才養成班聯誼會」成立
10/24	「台灣期貨專業交易員聯誼會」成立
11/11	「期貨業務人才養成班」第四期開訓
11/13	假犇亞國際會議中心舉辦「外國期貨交易所以招募遠距會員方式拓展市場對我國期貨市場之影響」研討會
11/14	第1屆第16次理監事聯席會
11/16-11/17	與期交所共同舉辦「全球期貨市場發展2006年台北論壇」
11/22	與日商聯貿期貨公司合辦「大阪證券交易所日經225暨選擇權交易策略」說明會
11/29-12/02	理監事聯誼-杭州尋幽精緻之旅
12/01	假犇亞國際會議中心舉辦「臺灣期貨專業交易員聯誼會」
12/02-12/04	參加CIDF第二屆中國(深圳)國際期貨大會
12/11	「期貨業務人才養成班」第五期開訓
12/15-12/16	假桃園悅華大酒店舉辦第四季高階經理班
12/19	假犇亞國際會議中心舉辦2006期貨論壇(一)「一般化期貨與選擇權之交易策略研究」
12/20	假犇亞國際會議中心舉辦2006期貨論壇(二)「開放期貨經理事業辦理集合管理運用帳戶業務之可行性研究」
12/23	「期貨業務人才養成班」第三期結業
12/27	假政大公企中心舉辦「期貨信託事業工作小組專案報告暨期信未來展望研討會」

# I. Preface



For the Chinese National Futures Association (CNFA), the 2006 has been a fruitful year with its splendid performance. Beyond the regular operations covering business location inspection, license registration, training courses, and member services, the Association has made numerous steps in the development of the futures market.

While US dollar-denominated futures contracts were originally launched by Taiwan Futures Exchange (TFE) in March 2006, the Association held several promotional seminars throughout the island to assist futures associated persons and margin traders in learning comprehensively the new products at their earliest time. In order to help FCMS expand their business to diversified groups of investors, the Association joined the Financial Supervisory Commission (FSC) to arrange a series of lectures on futures learning and trading strategies under FSC's project of "Program for disseminating financial knowledge to communities". Meanwhile, the Association cooperated with CS TV in producing a series of programs on various topics such as futures introduction, market regulations, risk control, trading strategies, and many others, in a hope to disseminate futures knowledge to the public through multiple channels.

Talent's training was the area, which took the Association's most effort in 2006. In order to help member companies reduce recruiting and training costs, the Association teamed up with the Taiwan Futures Exchange (TFE) and the Securities & Futures Institute (SFI) to co-organize the "training course for futures associated persons". In this training project, the Association carried out a complete procedure for curriculum design, participant enrollment, and recruitment arrangement for qualified persons. The courses have held for five sessions and trained nearly 100 futures associated persons in 2006. Moreover, the Association initiated the founding of two peripheral clubs -- the "Union of Futures Associated Persons" and the "Union of Exclusive Brokerage Futures Dealers" which will help bring in closer interactions within the futures profession, consolidate industrial consensus and expedite the quality and capability of futures associated persons through active information exchange and benign competition among counterparts.

As for market development, the Association integrates opinions and strengths from the member companies into several practicable projects to boost Taiwan's futures market. It is primary duty for the Association to push for a highly efficient market mechanism and regulatory system to fulfill a brilliant prospect of futures market. In this effort, the Association hosted the 2006 Taipei Forum series on "Development of Global Futures Market" in November, honored by international experts and high-ranking executives with the Euronext of Europe, the Chicago Merchandise Exchange (CME) of the US, the Singapore Exchange (SGX), and the Nihon Unicom of Japan. The esteemed guests contributed lectures on global futures trends and international practices and experiences at the forum that brought the audiences a much broader global view and made a valuable reference to the development of Taiwan's futures market.

Regarding how to expand market scale and advance the domestic market to cover all kinds of futures services, the regulatory authorities entrusted the Association to undertake the great mission for sketching a blueprint of Taiwan's futures trust enterprises. The Association therefore formed a futures trust taskforce engaged on collecting related information and gathering opinions from relevant professionals and institutions. Though without precedents to follow, the taskforce finally consolidated industrial consensus on this matter and completed a draft of the blueprint within six months. Its efforts and achievements were highly recognized by the regulatory authorities. We believe that it will soon bring in another prosperous season to the futures market in Taiwan.

I would like to take the opportunity to express my sincere appreciation to the efforts of all our working staffs over the past year. Meanwhile, I'm especially graceful to the regulatory authorities and all member companies for their supports and cooperation. The Association, under the principles of enthusiasm, activism, and perfection, will go on to advance its operations to offer best quality services.

Chinese National Futures Association  
Chairman

A handwritten signature in black ink, appearing to read "J. H. Chen", written over a light-colored background.

# II. Introduction



## A. Background

The Chinese National Futures Association (CNFA) was transformed as a national organization from its predecessor Taipei Futures Association (TFA, established on April 17, 1995) on June 21, 2004, a move for the Association to cope with the opening of Taiwan's futures market and the operational expansions of member companies in terms of both business diversity and geographical distribution. The CNFA received the registration certificate from the Ministry of the Interior on June 30, 2004.

The CNFA is a national self-regulatory organization. In addition to the primary services such as license registration, business location inspection, and employee training for member companies, it's authorized by the regulatory agency with self-governing duties. For the healthy development of Taiwan's futures market, the CNFA will fully implement its four major duties of examining the qualifications of futures employees, inspecting business locations, and providing employee training programs for member companies, as well as monitoring their operations and business activities. Meanwhile, it will continue to coordinate for better cooperation among member companies and activate the channels for communications between regulatory agencies and member companies. The CNFA, under its principles of enthusiasm, positivity, and perfection, makes all these endeavors to pave a sound ground for the development of Taiwan's futures market.

## B. Mission

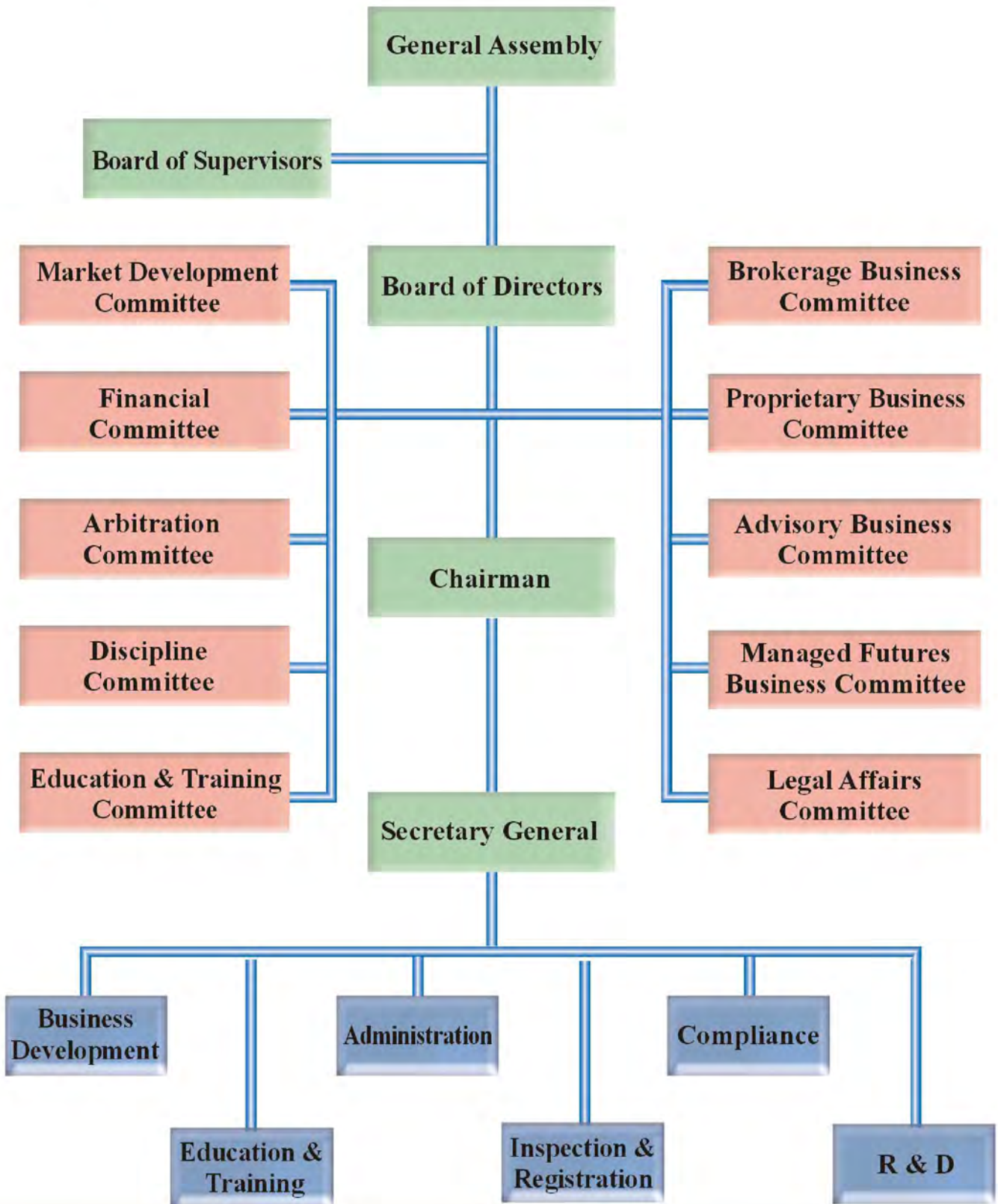
CNFA was established with the objectives of protecting the interests of general investors, flourishing the domestic economy, coordinating relationships among members, and enhancing the mutual benefits between members and investors.

## C. Duties

- To fully utilize the futures market in achieving the goal of a prosperous economy;
- To conduct research, investigation, and survey on the business operations of members;
- To assist in the research and implementation of economic policies and futures trading laws, if necessary, make recommendations for revising existing laws and policies;
- To encourage the practice of self-discipline in members, and maintain contacts and coordination among members for improved business operations;
- To help resolve disputes among members;
- To provide job training courses and business related seminars for employees of members;
- To provide advertising, exhibition and authentication for business activities of members;
- To create basic profile for members, its representatives and employees, and make updates and investigation upon any changes to these profiles;
- To process applications for change or re-issuance of work permit for members;
- To participate in public welfare-related events;
- To protect the legal rights and interests of members;
- To discipline members for breach of relevant futures trading laws or regulations;
- To perform duties delegated from civil organizations/groups;
- To participate in social activities;
- To maintain order and fairness in futures trading, and protect the interests of futures customers/consignors;
- To prevent manipulation, fraud, deceit or intended non-disclosure in the process of futures trading, or any other forms of illegal behaviors that are misleading for futures customers, consignors or a third person; and
- To perform other tasks as required by laws or proposed by members.

# III. Organizational Structure

## A. Organizational Chart



## **B. Organization & Duties**

### **(a) General Assembly**

- Comprises 397 delegates from 189 member firms as of December 31, 2006, where member firms can be further categorized into the following 7 groups :
  1. Specialized futures commission merchants - 23
  2. Concurrent futures commission merchants - 19
  3. Futures introducing brokers (IB) - 66
  4. Foreign re-consigned futures commission merchants - 4
  5. Proprietary futures commission merchants - 38
  6. Managed futures enterprise - 13
  7. Futures advisory enterprise - 26
- Holds the highest policy-making power.
- Duties :
  1. To elect or dismiss directors and supervisors.
  2. To prescribe or amend the Charter of CNFA.
  3. To approve the annual work schedule, budget of expenditures, actual expenditures, and other major business plans.
  4. To review issues proposed by member firms, Board of Directors and Supervisors.
  5. To discipline member firms and delegates of member firms.
  6. To dispose of CNFA's properties.
  7. To decide on the liquidation of CNFA's properties, the appointment of liquidators, and other liquidation related issues.
  8. To review and approve the dismissal of directors and supervisors.
  9. To review and approve the amount of membership fee, annual fee, business fee, and donations collected from member firms.
  10. To review other issues concerning the rights and interests of member firms.

### **(b) Board of Directors**

- Consists of 33 directors, which are elected from delegates of the General Assembly. Of the 33 directors, 11 are selected as standing directors, where 1 of them is chosen as the Chairman, who represents CNFA to external parties.
- Holds the highest executive power.
- Duties :
  1. To call for the General Assembly and execute resolutions passed on the General Assembly.
  2. To elect or dismiss the standing directors and Chairman.

3. To review resignations from standing directors, directors and the Chairman.
4. To approve the application and cancellation for membership by member firms, and the discharge of delegates at member firms.
5. To define the annual work schedule, budget of expenditures, actual expenditures, and other major business plans.
6. To appoint or relieve administrative staff, consultants or researchers.
7. To approve the prescription or amendments made to CNFA's regulations.
8. To perform tasks assigned from the Board of Supervisors.
9. To attend to and provide solutions to major events of emergencies which arise prior to the General Assembly, and report later on the General Assembly.
10. To apply penalties where member companies fail to submit member fees as required.
11. To carry out other tasks as specified by laws and the Charter of CNFA.
12. To perform other duties or obligations as required/assigned.

**(c) Board of Supervisors**

- Consists of 11 supervisors, which are elected from delegates of the General Assembly. Of the 11 supervisors, 3 are selected as standing supervisors where 1 of them is chosen as the convener.
- Holds the highest supervising power.
- Duties :
  1. To elect or dismiss the standing supervisors.
  2. To ensure that the Board of Directors executes resolutions passed on the General Assembly.
  3. To ensure that the Board of Directors performs administrative tasks adequately.
  4. To examine financial/accounting reports presented by the Board of Directors.
  5. To oversee the financial situation of the Board of Directors.
  6. To present the annual supervisory report on the General Assembly.
  7. To review and approve resignations from standing supervisors and supervisors.
  8. To perform other obligations/tasks as required/assigned.

**(d) Committees :**

- There are currently 10 committees being established under CNFA, covering futures brokerage, futures proprietary, futures advisory, managed futures, legal affairs, market development, discipline, education & training, financial, and arbitration.

The convener for each of the 10 committee is appointed by the Chairman, where depending on the actual needs the committee, members may be chosen from the industry, regulatory or academic fields.

The committees are established to meet the particular needs of a corresponding segment of the futures market, where each committee shall fully fulfill its role and actively advocate business activities that are critical for the development of the futures market.

## C. List of Directors, Supervisors & Consultants

### (a) Directors

No	Position	Name	Company	Title
1	Chairman	Paul Teng	Concord Futures Co., Ltd.	Director
2	Standing Director	Eric Jen	Grand Cathay Futures Corp.	President
3	Standing Director	Tim Sun	Capital Futures Corp.	Chairman
4	Standing Director	Jerry Hsu	Antay Securities Co., Ltd.	President
5	Standing Director	Ted M.H. Ho	Polaris Man Financial Futures Co., Ltd.	Chairman
6	Standing Director	Thomas Huang	Jih Sun Managed Futures Co., Ltd.	President
7	Standing Director	Huu-Cheng Huang	Yuanta Core Pacific Futures Co., Ltd.	Chairman
8	Standing Director	Charles Yang	Mega Futures Co., Ltd.	Special Assistant
9	Standing Director	Ian Chan	Cathay Futures Corp.	Vice President
10	Standing Director	Falco Mi	KGI Securities Corp.	Director
11	Standing Director	Ricky Hsieh	Nihon Unicom Corp. Taipei Branch	Vice President
12	Director	Alan Fang	Capital Futures Corp.	President
13	Director	Philip Wang	ADM Investor Service, Inc., Taiwan Branch	President
14	Director	Pi-Tai Wu	Huanan Futures Co., Ltd.	Chairman
15	Director	Leo Lin	SinoPac Managed Futures Corp.	President
16	Director	Eric Kao	Ta Chong Securities Co., Ltd.	Director
17	Director	Phoebe Chang	Taiwan Futures Co., Ltd.	President
18	Director	Li-Hsien Chang	Waterland Futures Co., Ltd.	President
19	Director	Mark Hsu	Huanan Futures Co., Ltd.	Consultant
20	Director	Morris J. Hsu	IBT Securities Co., Ltd.	President
21	Director	Chen Li	Master Link Futures Corp.	Director
22	Director	Tien-Fu Chen	Yung Chuan Securities Co., Ltd.	President
23	Director	Chung-Wen Huang	SinoPac Futures Corp.	Consultant
24	Director	Vincent Teng	Mega Futures Co., Ltd.	President
25	Director	Ming-Yuan Tsai	HuaNan Securities Co., Ltd.	Vice President
26	Director	Vincent Cheng	UOB Bullion & Futures Ltd., Taiwan Branch	President
27	Director	Chen-Wang Lo	Shinkong Securities Co., Ltd.	Director

The above information is as of December 31, 2006.

**(b) Supervisors**

No	Position	Name	Company	Title
1	Convener	Maurice Lu	SinoPac Futures Corp.	President
2	Standing Supervisor	Aleck Wu	Taiwan Managed Futures Corp.	President
3	Standing Supervisor	Chien-Chang Liu	MasterLink Managed Futures Corp.	Consultant
4	Supervisor	Jecica Wang	Yuanta Core Pacific Futures Co., Ltd.	President
5	Supervisor	Michael Lin	Jih Sun Futures Co., Ltd.	President
6	Supervisor	Ja-Cent Tso	Waterland Securities Co., Ltd.	Director
7	Supervisor	Yiming Huang	President Futures Corp.	President
8	Supervisor	Eric Yeh	Man Financial(s) Pte., Taiwan Branch	President
9	Supervisor	Alex Liu	Taiwan International Securities Corp.	Vice President

The above information is as of December 31, 2006.

**(c) Consultants**

No	Position	Name	Company	Title
1	Consultant	Ke-Wha Ding	Securities & Futures Institute	Chairman
2	Consultant	Wayne Pai	Polaris Financial Group	Chairman
3	Consultant	Tsun-Siou Lee	National Taiwan University	Professor
4	Consultant	Michael Y.C. Hsih	Fuhwa Futures Co., Ltd.	Chairman
5	Consultant	William T.Lin	Tamkang University	Professor
6	Consultant	Kang-Tsung Hsu	Fuhwa Securities Co., Ltd.	Consultant
7	Consultant	Hung-Wen Chien	Taiwan Securities Association	Chairman

The above information is as of December 31, 2006.

# IV. 2006 Highlights



## A. Execution and Review of the Futures Trading Law

1. Conducted an R&D to analyze the impact of the opening of gold futures brokerage business to securities firms upon the prospect of the FCMs operated exclusively futures business and the overall futures industry.
2. Requested the regulatory authority to allow FCMs to rent idle real estate properties to the third party.
3. Discussed the business scope of securities firms with concurrent futures brokerage business while acting as clearing committees.
4. Proposed the revision details of operating rules governing the deposit into the margin-trade virtual accounts of traders, grounded on the principle of TAIEX's "Procedure Control Mechanism for Futures Transaction",
5. Discussed exclusive terms and related control systems applied to the case when a futures company owner, any other employee or whose spouse, or associated person opens a futures-trading account with his or her futures company.
6. Protocoled the format of the foreign exchange swap contract applied to foreign futures traders.
7. Requested the TAIEX to redefine the criteria of the "acute evaluation error" of options transactions.
8. Conducted an R&D to analyze the impact on Taiwan's futures market caused by the expansion of foreign futures exchanges through their move of recruiting remote membership.
9. Discussed revisions of self-discipline rules overseeing FCMs' operating control over credit investigation into new-open accounts.
10. Authorized Certificate of Deposit accounts traded futures.
11. Commissioned the Alliance International Law Office to scheme out a model of the consignment contract to FCMs omnibus account and non-resident margin client agreement (both in Chinese and English versions).
12. Proposed the sample of application form for processing margins funding through automatic answering system.
13. Proposed the sample of foreign exchange settlement authorization agreement applied to foreign futures traders (in both Chinese and English versions).
14. Proposed the sample of a written agreement on specified cash-inflows account for futures transactions.
15. Commissioned the Alliance International Law Office to carry out the English version of the Guidelines for FCMs to prevent money-laundry.
16. Proposed revisions of the Guidelines for FCMs to prevent money-laundry.
17. Proposed a standard operating procedure over the suspension, cease, or dissolve from managed futures enterprises.
18. Proposed a new accounting item and entry for the principal's remittance of accumulated investment return.
19. Reviewed the draft regulations governing the joint-account service offered by managed futures enterprises.

20. Revised the regulations governing the operation of discretionary account services offered by the managed futures enterprises, in reaction to the opening of discretionary account for foreign-currency margin trade.
21. Requested to ease the percentage limit on foreign futures trade offered by managed futures enterprises.
22. Revised the "Guideline for internal control of managed futures enterprises"
23. Request to allow the futures proprietary division of securities firms to trade foreign futures contracts coincides with the FCMs operated exclusively futures business, pursuant to Article 5 of the Futures Trading Law.
24. Proposed the rules governing the Q&A series propagated by futures advisory on mass media.
25. Proposed the self-discipline rules governing futures advisory in providing futures market analysis and recommendations to mass media
26. Proposed a revision of the "rules governing futures advisory" concerning the qualifications for a futures analyst making market analysis on mass media.

## B. Research and Development

### (a) Outsourced research projects

<b>Topic</b>	How the CA system will influence a futures company's policies on futures trading through online or DMA accounts.
<b>Project Coordinator</b>	Prof. Chang-sung Yu, National Taiwan University
<b>Purpose</b>	To give a module disassemble, analysis, and interpretation complied with CA techniques system and related regulations, which administers to high-ranking executives' need, to compare the differences between the CA and non-CA futures trading systems, to analyze the dilemma between the equity and internalization, and to discuss the feasibility and cost efficiency of making electronic bills as one of the value-added services of a CA futures trading system.
<b>Topic</b>	Analysis on futures and options trading strategies
<b>Project Coordinator</b>	Prof. Li-yen Hsieh and Associate Prof. Chin-yi Lai, National Chengchung University.
<b>Purpose</b>	For activating the futures market, to work out feasible investment/hedge strategies on the Taiwan' s domestic futures contracts through mathematics approach which aims at efficient operations of hedge, arbitrage, and speculation in a hope to make valuable references to both general investors and professional managers, and to encourage investors to trade actively.

<b>Topic</b>	The feasibility for managed futures enterprises to offer omnibus trading account services
<b>Project Coordinator</b>	Kirkpatrick & Lockhart Preston Gates Ellis LLP
<b>Purpose</b>	To analyze the feasibility of the opening of omnibus trading account served by managed futures companies for assisting assets managers in providing omni bearing services to clients as well as promoting their overall services quality, and giving a new access to general investors (except institutional investors) approaching the futures market. The project invited practiced dealers to offer a feasible model as policy-making reference to the regulatory authorities.

**(b) Seminar/Conference**

**Seminar on “gold futures, gasoline and fuel futures”**

- At optimism prospect of gold, gasoline, and fuel futures markets, the Association and Japan's Nihon Unicom co-organized a seminar to introduce Japan-launched gold, gasoline, and fuel futures to strengthen local investors' understanding of these commodities and activate the futures market. (Feb. 9)



**Seminar on “Introduction of US dollar-denominated products”**



- In a move to support the launch of the US dollar-denominated futures and options, the Association arranged several lectures to make in-depth analysis and perceivable illustrations on the contract specifications of gold futures and MSCI Taiwan Index Futures and Options, as well as their transaction procedures, deposit requirement, exchange rate swap, and other related detailed issues. (Mar. 14, 20 and 21, Jul. 26 and 28, Aug. 2, 3 and 4)

### Seminar on “CME futures and cocktail reception”

- To help local investors obtain further information about the futures and services of the Chicago Merchandise Exchange (CME), the Association organized a seminar to introduce CME-launched futures such as those on Asian currencies, equities, energy, and foreign exchange rates as well as its Globex flash quotes service as reference to local investors regarding how to hedge risk or make profits from price differentials. (Sep. 29)



### Seminar on “CBOT commodities”



- In order to promote transactions on the futures of precious metals and agricultural products, Richard Jelinek, business development chief officer of Chicago Board of Trade (CBOT) came to Taiwan to give a lecture to talk about the development of global precious metals and agricultural commodities markets. He was accompanied by John Carter, CEO of Trade the Markets investment advisory firm, whose detailed explanation about the trading strategies on these commodities made the seminar even more attractive to investors. (Oct. 13)

### 2006 Taipei Forum on “Development of Global Futures Market”

- The Association and the Taiwan Futures Exchange co-sponsored a forum to highlight global futures market trends and development in an attempt to bring in global view as reference regarding how to define the direction for the development of Taiwan's futures market. It also provided opportunities for local futures companies to absorb new international management knowledge and skills for futures companies. (Nov.16-17)



### Seminar on “trading strategies for Nikkei 225 futures and options”

- In order to promote transactions on Japanese futures, the CNFA and Japan's Nihon Unicom co-organized a seminar to introduce the trading strategies for the Nikkei 225 futures and options launched by the Osaka Securities Exchange (OSE). Nearly 100 participants attended the seminar. (Nov. 22)

### 2006 Futures Forum (I) on “General trading strategies on futures and options”

- In order to help investors hedge risks and pursue higher returns, the Association commissioned Professor Li-yen Hsieh and Associate Professor Chin-yi Lai of National Chungcheng University to conduct a research project on "general trading strategies" and "risk-hedging strategies for different combinations of investments" to work out perceivable and practical futures trading strategies. (Dec. 19)

### 2006 Futures Forum (II) on “Feasibility for managed futures operators to offer omnibus trading account services”

- In a bid to provide managed futures enterprises with greater opportunities for business expansion, the CNFA commissioned the Kirkpatrick & Lockhart Preston Gates Ellis LLP to conduct a research project on the "Feasibility for managed futures operators to offer omnibus trading account services" and published the research report in late December. The research project, which was focused on the legal aspect of this issue, made a valuable reference to the regulatory agency regarding how to adjust policies and regulations governing Taiwan's futures market. (Dec. 20)

### Workshop for futures trust group to make working report and discuss outlook of Taiwan's futures trust market

- The seminar was held two months after the establishment of the futures trust group for it to review the proceeding of its work plans as well as discuss how Taiwan's futures trust market will develop in its initial stage and other related issues. (Dec. 27)



## C. Association Affairs and Self-discipline Rules

### (a) Inspection and counseling services for futures advisory enterprises

For its inspection and counseling services about the operations and internal auditing of futures advisory enterprises in 2006, the Association made routine inspection for 22 member companies and investigated two selected cases for two member companies. Among those in violation against the Association's self-discipline rules, the Association issued correction request letters to two companies, issued a warning letter to one company, and fined another company for default.

### (b) Other rule-violation cases

Among those members in violation against the Association's self-discipline rules in 2006, the Association issued correction request letters to three companies, issued warning letters to five companies, fined six companies for default, and requested three companies to take disciplinary actions by themselves.

## D. Education and Training

- **On-job training:** The Association is one of the institutions designated by the FSC (Financial Supervisory Commission) to offer training courses for employees of futures companies, futures advisory enterprises, and managed futures enterprises. Pursuant to the related regulations, associated persons of the three categories of companies should take on-job training courses every two years since they are employed and formally registered with the Association. In 2006, the Association offered a total of 173 on-job training courses, participated by 11,319 persons.

### (a) Associated persons of FCMs

The on-job training courses for the Associated persons of FCMs are offered in six categories: the basic class for first-time participants, advanced (I) class for second-time participants, advanced (II) class for third-time participants, advanced (III) class for fourth-time participants, and two other courses for internal auditors and senior managers.

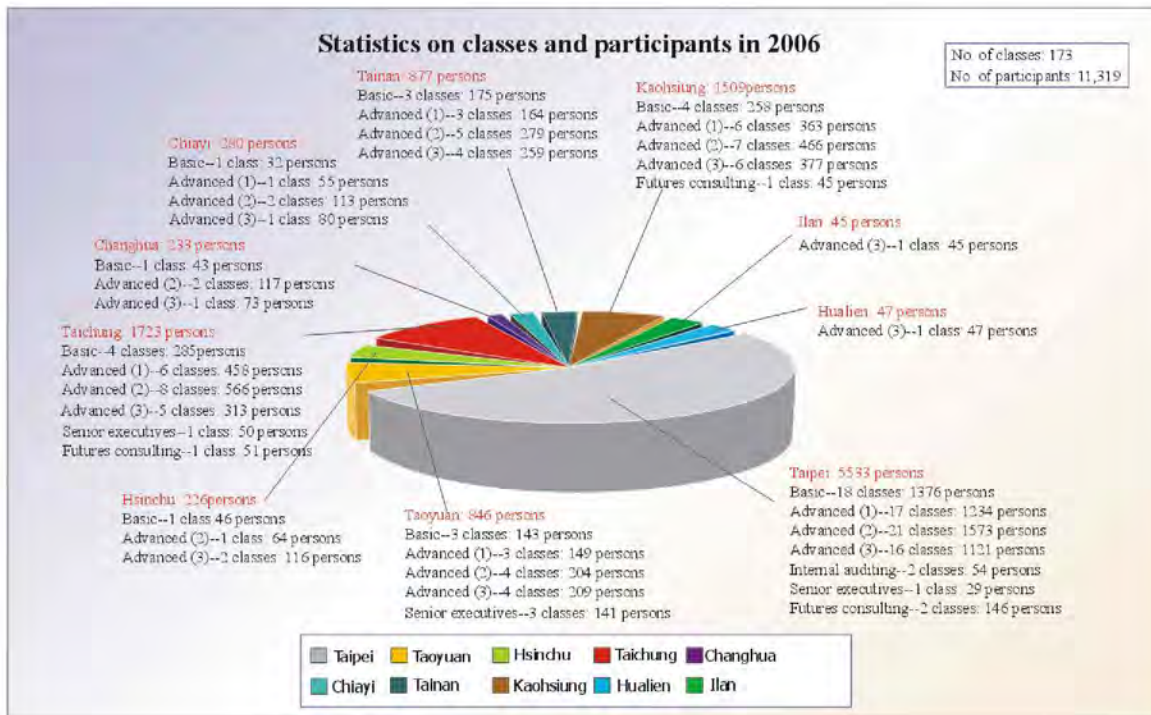
The 168 classes offered in 2006 included 35 basic classes (2,358 participants), 36 advanced (I) classes (2,423 participants), 50 advanced (II) classes (3,382 participants), 41 advanced (III) classes (2,640 participants), 4 classes for senior managers (191 participants), and 2 classes on internal auditing (54 participants).

### (b) Associated persons of futures advisory enterprises

Based on the working years of the participants, the Association offered four basic on-job training classes (for the first-time participants) for the associated persons of futures advisory enterprises in 2006, participated by 242 persons.

**(c) Associated persons of managed futures enterprises**

The CNFA for the first time arranged on-job training courses for the associated persons of managed futures enterprises in 2006. One class was offered in Taipei, participated by 29 persons.



**E. Advertisement, Exhibitions, and Other Publicity Affairs**

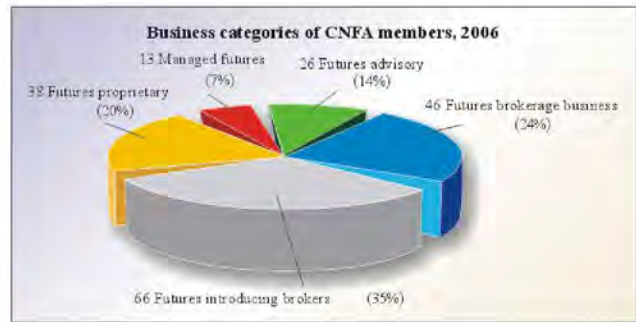
To protect the interest of futures traders and image of legal market players, the Association requests members to report to it first before publishing any advertisement on mass media. Among all the cases they reported to the Association in 2006, 578 cases were qualified, three were required to make improvement, two were warned of the possible penalty, and two were fined.



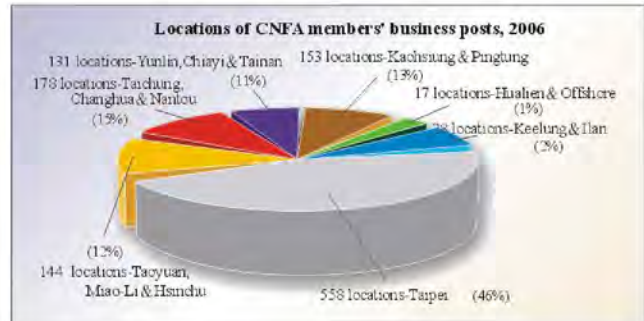
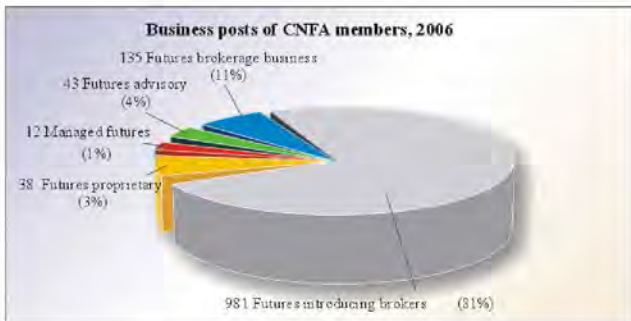
## F. Membership and License Registration

### (a) Registration of members and their employees

- Number of member companies: The number of members reached 189 at the end of 2006, among which 46 (24%) are engaged on futures brokerage business, 66 (35%) on futures introducing brokerage, 38 (20%) on futures proprietary business, 13 (7%) on managed futures enterprises, and the rest 26 (14%) on futures advisory enterprises.



- Number of headquarters and branches of members: The business posts of members totaled 1,209 at the end of 2006, among which 135 (11%) are run as brokerage houses, 981 (81%) are managed as futures introducing brokers, 38 (3%) are engaged on futures proprietary business, 12 (1%) offer the service of managed futures enterprises, and the rest 43 (4%) provide futures advisory service.



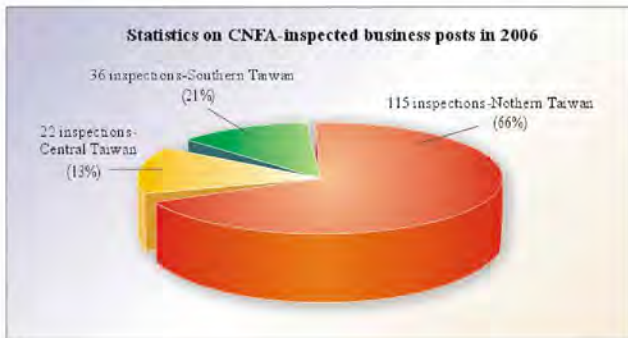
### (b) License replacement, renewal, and other membership services

- In 2006, the association handled a total of 16,364 cases for the registration of associated persons.
- As of the end of 2006, member companies registered a total of 23,389 associated persons with the Association under the following business categories:



### (c) Inspection of business locations

- In 2006, the Association inspected a total of 173 business locations of member companies (115 in northern Taiwan, 22 in central Taiwan, and 36 in southern Taiwan). Among them, 59 (34%) were inspected for the relocation, 44 (25%) for new opening, 33(19%) for internal remodeling, 22 (13%) for downsizing, 12 (17%) for business expansion, and 3 (2%) for business transfer or acquisition.



### G. Publications

- The Association Newsletter:** The newsletter reports Association monthly activities, government's new policies, and any changes in the market. It provides an easy access to get the latest market information.
- Futures Journal:** The quarterly, compiled in a pleasing editing style, provides in-depth analysis of the current market issues and serves as a platform for members to exchange points of view on certain topics. The journal also helps the industry record footprints of the development of Taiwan futures market.
- Taiwan Futures Reports:** The Association periodically publishes the research reports of its outsourced R&D projects and the articles contributed by members as references to those people interested in the development of Taiwan futures market. It will help raise the overall quality of the futures market players in Taiwan.
- Journals of Taiwan Futures and Financial Derivatives:** The professional publications collect articles in a wide range of the topics concerning government's policies, regulations, corporate governance, financial derivatives, market analysis, trading strategies, and other futures-related issues to share professional knowledge with interested readers.



- Handbook of Futures Working Ethics:** To against the growing the number of law-violation cases in the financial market and in reaction to the "working ethics" as a new subject to the certification tests for futures associated persons since August 1, 2006, the Association complied the "Handbook of Futures Working Ethics" to help all the FCMs associated persons keep aware of their responsibilities and help them prevent violating laws or regulations. The Association has sent out 22,000 copies of the handbook to members and their employees since June 2006.



- Handbook of Managed Futures Enterprises:** With an attempt to promote the development of managed futures business in Taiwan and to help investors strengthen their understanding of managed futures services for selecting legal and proper managed futures enterprises, the Association invited experienced experts to help compile the handbook as reference to those who involved with this business.



## H. Protection of Futures Traders

- Educational film:** The Association produced a short film entitled "NT\$30 million to secure protection of futures traders" with an attempt to help the public keep aware of the essential of secured futures trading, illustrate the proper procedure of cash-inflows to trading accounts of investors, as well as curb underground futures operations and improper market speculation.



- Policy advocacy posters:** In order to protect the interests of legal futures operators and traders, the Association makes fine-quality posters and distributes them to member companies for posting at their headquarters and branch offices for policy advocacy.



- Advertisement on printed mass media:** Aware of the necessity to continue futures education and policy advocacy campaigns to help the public gradually increase their knowledge about futures trading, the Association created a column of "Navigation for Futures Voyage" to discuss hot issues about futures market and placed a series of advertisements dubbed "Looking at Futures from All Facets" on printed media to remind futures traders of noticeable points while making futures transactions.
- Consulting hot line:** To protect the interests of futures traders, the Association sets up a direct line: 0800-457-588 to offer consulting services regarding futures trading and related regulations.

- Promotional leaflet:** To assure the safety procedure for the deposit to futures-trade account, as well as to help futures dealers identify fund resources, the Association worked with the Taiwan Futures Exchange (TFE) to draft the "Guidance for designating bank account for futures trading" to prevent futures traders from remitting cash into wrong bank accounts due to the deceptive information given by falsifiers.



- In order to help the public develop proper futures trading attitude and to fulfill its mission for promoting futures education, the Association continued its efforts in this regard. In 2006, it joined the Taiwan Futures Exchange in co-sponsoring a series of promotional TV program called "Futures Winners" which was composed of 52 episodes to be broadcasted on the CS TV.



## I. Fellowship Activities

- **2006 futures talents recruitment expo and charity activities:** In order to extend its care to underprivileged groups, the Association held a garden party to combine the 2006 futures talents recruitment expo and charity activities at the square in front of the Taipei City Hall. All the money raised through the charity activities was donated to charity organizations. (May 21)



- **Prize quiz:** To encourage futures associated persons to place increasing emphasis on working ethics and to fully comply with the working ethics, the Association launched an online prize quiz campaign targeted at the CNFA-registered employees of member companies in a hope to strengthen their awareness of working ethics through entertainment activities. (Jul. 1-Aug. 31)



- **Karaoke singing contest:** The Association held karaoke singing contest for FCMs associated persons to help strengthen their friendship through proper leisure activities. The second annual karaoke singing contest in 2006 was participated by over 40 contestants who presented a great diversity of performances amid the casual and joyful atmosphere cheered up by the zealous audience of their relatives and friends, making the activity full of vigor and happiness. (Jul. 15)



- **Karaoke singing contest of three Associations :** with the Taiwan Securities Association and the Securities Investment Trust & Consulting Association at the Taipei Youth Recreational Center. Under the theme of "Music enriches your life and draws our relationship closer," everybody enjoyed a "Happy Sunday" and expected to meet again next year. (Aug. 12)

- **Participated in 2006 Wealth Management Expo:** In order to help the public absorb futures knowledge and to keep them from being deceived, the Association ran a booth at the 2006 Wealth Management Expo and arranged lectures on "Tips for smart futures traders" to talk about how to avoid being deceived and answered questions of the audience about this issue. (Aug. 25-28)



- Mountain-hiking activity:** The Association invited two other associations of the Securities Investment Trust and Consulting Association of the ROC and ROC Bills Finance Association to join its mountain-hiking activity in a bid to promote interactions and friendship among member companies of the three associations. The activity was joined by around 700 employees of member companies and their family members, relatives, and friends who enjoyed the happy hours, fresh air, and pythocidere all the way on the route from Taipei Zoo in Mucha Zoo to Chihnan Temple. (Oct. 15)



- Training course for futures associated persons:** The Association joined the Taiwan Futures Exchange and the Securities and Futures Institute (SFI) for co-sponsoring a training course for futures associated persons. For the course, the SFI is responsible for arranging the classes and the Association helps the trained students find jobs at member companies. The course requires each participant to take 120 hours of classes and pass the final examination. Besides, the participants have to pass the certification test to become qualified associated persons for taking part in the CNFA-arranged futures talents recruitment campaign. In 2006, a total of 74 persons finished the course and passed the final exam. Of them, 52 passed the certification test and 43 have got futures-related jobs through recommendation of the Association.



## J. International Affairs

- The Association, at the invitation of the Central Japan Commodity Exchange of Japan, organized a trip for board members and supervisors to visit Nagoya and Osaka in Japan. Thanks to the deliberate arrangement of the Nihon Unicom, they enjoyed a fruitful trip and the visit to the Chubu Commodity Exchange and Osaka branch of Nihon Unicom in central Japan. (Mar. 16-19)
- YONEDA Michio, president of Osaka Securities Exchange (OSE), and HINOIDE Mikio, president of OSE Tokyo branch, came to Taiwan to call on the Association for discussing how to launch Nikkei 225 futures and options in Taiwan and other related promotional issues. (Apr. 14)



- Japan's largest commodities exchange, the Tokyo Commodities Exchange, assigned MATSUNAGA Jinichi, director of membership department, to visit Taiwan in a hope to learn Taiwan's successful experience in Taiwan index futures as its reference regarding how to promote similar futures and options in Japan. (Aug. 9)



- The Association Chairman Paul Teng led a delegation to visit the futures fund division of Man Group plc in U.K. in a hope to have further learning about the operations and structure of the world's largest futures fund management company. (Sep. 15-22)



## K.Social Activity for Board Members and Supervisors

- The Association arranges social activities for board members and supervisors every year to strengthen their friendship and consolidate industrial consensus. The social activity for 2006 was the trip to Hangzhou, China to visit famous tourist spots there such as the West Lake, Lingyin Shrine, Leifeng pagoda as well as Wuzhen, a small town featured with Chinese ancient culture, which served as venue for shooting the famous movies of “House of Flying Dagger” and “Crouching Tiger, Hidden Dragon.” The trip was participated by around 30 directors, supervisors, and their family members. (Nov. 29-Dec. 2)



# V. Financial Reports



## Independent Auditor's Report

To : Chinese National Futures Association,

We have audited the balance sheets and list of properties of Chinese National Futures Association (the "Association") as of December 31, 2006 and 2005 and the statement of income, cash flows, and funds flow for the year then ended. The Association's management is responsible for producing the financial statements listed above, whereas our responsibility is to express an opinion regarding these financial statements based on our audit.

We conducted our audits in accordance with generally accepted auditing standards in the Republic of China, which require that we plan and perform the audit to obtain reasonable assurance about whether these financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statement. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material aspects, the financial position of the Association as of December 31, 2006 and 2005, and the results of its operations and cash flows for the year then ended in conformity with the "Rules Governing the Financial Management of Industrial and Commercial Organizations" and generally accepted accounting principles in the Republic of China.

DIWAN · ERNST & YOUNG  
Taipei, Taiwan  
R.O.C.  
January 10, 2007

**Chinese National Futures Association  
Income Statement  
For the year ended 2006 & 2005**

( Expressed in New Taiwan Dollars )

Item	2006				2005			
	Actual Amount	Estimated Amount	Difference between actual & estimated amount		Actual Amount	Estimated Amount	Difference between actual & estimated amount	
			Increase	Decrease			Increase	Decrease
<b>Revenues</b>								
Membership fees	\$ 12,000	\$ 12,000	\$ —	\$ —	\$ 67,200	\$ 129,600	\$ —	\$ 62,400
Annual fees	2,122,400	2,188,800	—	66,400	2,148,000	2,352,000	—	204,000
Business fees	80,479,495	83,340,988	—	2,861,493	108,149,815	91,630,556	16,519,259	—
Interest	6,216,179	1,571,832	4,644,347	—	3,179,676	882,000	2,297,676	—
Income from special projects	647,618	500,000	147,618	—	93,331	1,000,000	—	906,669
Income from trust projects	15,594,701	14,674,747	919,954	—	14,021,881	15,573,500	—	1,551,619
Others	2,054,134	1,210,000	844,134	—	4,041,215	1,316,000	2,725,215	—
<b>Total revenues</b>	<b>107,126,527</b>	<b>103,498,367</b>	<b>6,556,053</b>	<b>2,927,893</b>	<b>131,701,118</b>	<b>112,883,656</b>	<b>21,542,150</b>	<b>2,724,688</b>
<b>Expenses</b>								
Wages	22,401,972	23,226,000	—	824,028	20,571,459	26,247,703	—	5,676,244
Administration	7,487,146	8,024,850	—	537,704	7,975,915	8,997,600	—	1,021,685
Business	36,522,123	40,384,700	—	3,862,577	35,930,247	38,342,050	—	2,411,803
Procurement	455,460	450,000	5,460	—	385,505	630,000	—	244,495
Group membership fees	1,070,000	1,070,000	—	—	1,071,000	70,000	1,001,000	—
Out-contracted research projects	562,178	400,000	162,178	—	36,900	800,000	—	763,100
Miscellaneous	147,925	142,817	5,108	—	131,662	402,339	—	270,677
Advance cash	—	1,000,000	—	1,000,000	—	4,100,000	—	4,100,000
Fund withdrawals	31,561,046	28,800,000	2,761,046	—	33,616,543	33,293,964	322,579	—
<b>Total expenses</b>	<b>100,207,850</b>	<b>103,498,367</b>	<b>2,933,792</b>	<b>6,224,309</b>	<b>99,719,231</b>	<b>112,883,656</b>	<b>1,323,579</b>	<b>14,488,004</b>
Pre-tax Net Surplus	6,918,677	—	3,622,261	(3,296,416)	31,981,887	—	20,218,571	(11,763,316)
Income tax	—	—	—	—	—	—	—	—
After-tax Surplus	\$ 6,918,677	\$ —	\$ 3,622,261	\$ (3,296,416)	\$ 31,981,887	\$ —	\$ 20,218,571	\$ (11,763,316)

**Chinese National Futures Association  
Balance Sheet  
December 31, 2006 & 2005**

( Expressed in New Taiwan Dollars )

Assets	Dec. 31, 2006		Dec. 31, 2005		Liabilities, Funds, and Surplus	Dec. 31, 2006		Dec. 31, 2005	
	Amount	%	Amount	%		Amount	%	Amount	%
<b>Current Assets</b>					<b>Current Liabilities</b>				
Cash & bank deposit	\$ 8,049,868	2.01	\$ 7,526,930	2.05	Checks payables	\$ 1,112,778	0.28	\$ 1,913,735	0.52
Securities	97,200,000	24.28	86,000,000	23.45	Payables	7,872,928	1.97	6,186,888	1.69
Checks receivables	—	—	65,693	0.02	Other Current Liabilities	297,406	0.07	329,344	0.09
Receivables	11,213,773	2.80	18,797,845	5.12	<b>Total current liabilities</b>	<b>9,283,112</b>	<b>2.32</b>	<b>8,429,967</b>	<b>2.30</b>
Other receivables	1,604,065	0.40	1,259,096	0.34	<b>Other Liabilities</b>				
Prepayments	158,034	0.04	175,485	0.05	Refundable withdrawals—				
Other Current Assets	—	—	99,686	0.03	Disciplinary fund	75,960,000	18.97	81,180,000	22.13
<b>Total current assets</b>	<b>118,225,740</b>	<b>29.53</b>	<b>113,924,735</b>	<b>31.06</b>	<b>Total liabilities</b>	<b>85,243,112</b>	<b>21.29</b>	<b>89,609,967</b>	<b>24.43</b>
<b>Funds</b>					<b>Funds &amp; Surplus</b>				
Development fund deposited at bank	43,628,706	10.90	32,579,114	8.88	<b>Funds</b>				
Office computerization fund	—	—	1,183,940	0.32	Business development fund	49,749,245	12.43	42,973,815	11.73
Pension fund deposited at bank	11,496,690	2.87	8,955,037	2.44	Pension fund	14,059,688	3.51	11,321,829	3.09
Office acquisition fund deposited at bank	136,763,227	34.16	114,927,220	31.34	Asset fund	13,614,240	3.40	13,354,180	3.64
<b>Total funds</b>	<b>191,888,623</b>	<b>47.93</b>	<b>157,645,311</b>	<b>42.98</b>	Office computerization fund	—	—	1,188,007	0.32
<b>Fixed Assets</b>					Office acquisition reserve	157,474,276	39.33	135,027,053	36.81
Office & buildings	2,503,993	0.63	2,503,993	0.68	<b>Total funds</b>	<b>234,897,449</b>	<b>58.67</b>	<b>203,863,894</b>	<b>55.59</b>
Machinery & equipment	64,638	0.02	64,638	0.02	<b>Surplus</b>				
Precision equipment	9,214,044	2.30	9,093,665	2.48	Accumulated surplus	73,288,665	18.31	41,306,778	11.26
Transportation equipment	652,381	0.16	652,381	0.18	Surplus	6,918,677	1.73	31,981,887	8.72
Miscellaneous	1,179,184	0.29	1,039,503	0.28	<b>Total Surplus</b>	<b>80,207,342</b>	<b>20.04</b>	<b>73,288,665</b>	<b>19.98</b>
<b>Total fixed assets</b>	<b>13,614,240</b>	<b>3.40</b>	<b>13,354,180</b>	<b>3.64</b>	<b>Total funds &amp; surplus</b>	<b>315,104,791</b>	<b>78.71</b>	<b>277,153,559</b>	<b>75.57</b>
<b>Other Assets</b>					<b>Total Liabilities, Funds, and Surplus</b>	<b>\$ 400,347,903</b>	<b>100.00</b>	<b>\$ 366,763,526</b>	<b>100.00</b>
Refundable deposits	659,300	0.17	659,300	0.18					
Disciplinary fund deposited at bank	75,960,000	18.97	81,180,000	22.14					
<b>Total other assets</b>	<b>76,619,300</b>	<b>19.14</b>	<b>81,839,300</b>	<b>22.32</b>					
<b>Total Assets</b>	<b>\$ 400,347,903</b>	<b>100.00</b>	<b>\$ 366,763,526</b>	<b>100.00</b>					

# VI. Chronicle



Dates	Events
Jan. 11	Hosted year-end celebration party for 2005 .
Jan. 14-15	Held a seminar on “How to strengthen FCMs internal control in practice” in West Lake Resort, Taoyuan .
Jan. 20	Held a symposium for FCMs operated exclusively futures business .
Jan. 26	The delegation of the Central Japan Commodity Exchange visited the Association .
Feb. 9	Held a Seminar on “Volume/price analysis on gold futures and trading strategies on gasoline and fuel futures” in the Center for Public and Business Administration Education, National Chengchi University, Taipei .
Mar. 3	The SGS, a technical inspection institute, dispatched experts to have routine inspections on the ISO quality management systems of the Association .
Mar. 6	KAWAI Seiji, standing director of the Central Japan Commodity Exchange visited the Association .
Mar. 6	Held a Seminar on the theme of "Ethics and legal compliance for management level in futures coporations" .
Mar. 9	Robert Keldoulis, Ocean Area CEO of Optiver Derivatives Trading Group visited the Association .
Mar. 10	The 11 <sup>th</sup> joint meeting of directors and supervisors .
Mar. 15	Co-sponsored with the Securities & Futures Institute in arranging the “Futures and options talents training courses” and talents recruiting activity .
Mar. 14, 20&21	Held seminars to promote the newly-launched “US dollar-denominated Futures Contracts” in Taipei, Taichung, and Kaohsiung .
Mar. 16-19	The Directors and supervisors visited the Central Japan Commodity Exchange and Osaka branch of Nihon Unicom in Japan .
Mar. 24-25	Held the Senior Manager Course for the first quarter of 2006 in Yaward Hotel, Taoyuan .
Mar. 31-May. 20	Held an article contest on the topic of “My viewpoints on the development of futures market in Taiwan” .
Apr. 14	YONEDA Michio, president of Osaka Securities Exchange (OSE), and HINOIDE Mikio, president of OSE Tokyo branch visited the Association.
Apr. 21	The 6 <sup>th</sup> joint meeting of standing directors and supervisors .
May. 4	The 12 <sup>th</sup> joint meeting of directors and supervisors .
May. 5-6	Held the Senior Manager Course for the second quarter of 2006 in West Lake Resortopia, Miaoli County .
May. 21	Held a golden party for 2006 Futures Talents Recruitment Expo joint with charity activity .
Jun. 5	The 7 <sup>th</sup> joint meeting of standing directors and supervisors .
Jun. 9	The 13 <sup>th</sup> joint meeting of directors and supervisors .

Dates	Events
Jun. 19	Held an article evaluation meeting for the article contest on the topic of “My viewpoints on the development of futures market in Taiwan” .
Jun. 20	Publication of the handbook "Ethics for Futures Associated Persons" .
Jun. 20	The panel working on the formulation of futures trust enterprises held the first meeting.
Jun. 22	Co-sponsored a seminar on the topic of “International competitiveness of financial talents in Great China” .
Jun. 26	Commencement of the second session of FCM Associated Person Training Course .
Jun. 28	Held the third general meeting of the member companies .
Jun. 28	Held prize-awarding ceremony for the article contest .
Jul. 1	Publication of the handbook “Comprehension of Managed Futures Enterprises”.
Jul. 1-Aug. 31	Held a Prize Quiz campaign on the issue of “Ethics for FCMs associated persons” .
Jul. 7	HINOIDE Mikio, president of Tokyo branch, Osaka Securities Exchange visited the association .
Jul. 15	Hosted the 2 <sup>nd</sup> annual karaoke singing contest for member companies .
Jul. 24	The 14 <sup>th</sup> joint meeting of directors and supervisors while standing director Paul Teng was elected as new chairman .
Jul. 24	Closed the second session of “FCM Associated Person Training Course” .
Jul. 26-Aug. 4	Held five seminars to promote “US dollar-denominated Futures Contracts” in Taipei, Ilan, Taichung, and Kaohsiung .
Aug. 9	MATSUNAGA Jinichi, director of membership department, and YSUDA Takeshi, section chief of business planning section, the Tokyo Commodity Exchange visited the association.
Aug. 12	Jointly held a karaoke singing contest with the Taiwan Securities Association, and the Securities Investment Trust & Consulting Association of the R.O.C .
Aug. 15	The 8 <sup>th</sup> joint meeting of standing directors and supervisors .
Aug. 16	The 15 <sup>th</sup> joint meeting of directors and supervisors .
Aug. 25-28	Attended the 2006 Wealth Management Expo .
Sep. 5	Held the first meeting to form the Union of FCMs Associated Persons .
Sep. 11	Held the first meeting to form the Union of Specialized Futures Dealers .
Sep. 15	Held prize-drawing ceremony for the Prize Quiz campaign .
Sep. 15-22	Arranged a business trip for directors and supervisors to visit Man Group plc in U.K. .
Sep. 25	Honored by the Ministry of Interior with a A-grade nationwide ranking on the overall performance of the Association in 2005 among the sectors of commercial and industry, as well as vocational associations .
Sep. 26	The SGS, a technical inspection institute, dispatched experts to have routine inspections on the ISO quality management systems of the Association .
Sep. 29	Co-sponsored the “Seminar on CME futures” and cocktail reception with Chicago Mercantile Exchange (CME)in Sheraton Taipei .

Dates	Events
Sep. 29-30	Held the Senior Manager Course for the third quarter of 2006 in Yaward Hotel, Taoyuan .
Oct. 13	Co-sponsored the “Seminar on CBOT commodities” with the Chicago Board of Trade (CBOT) in Westin Taipei .
Oct. 13	Commencement of the third session of FCM Associated Person Training Course .
Oct.14, 28	Co-sponsored with the Financial Supervisory Commission (FSC) in holding workshops to introduce futures trading strategies in Hsinchu and Kaohsiung under the "Program for disseminating financial knowledge to communities".
Oct. 15	Jointly held the activity of hiking and lucky draw with the Securities Investment Trust & Consulting Association of the R.O.C and ROC Bills Finance Association .
Oct.15-16	Held the Senior Manager Course for the fourth quarter of 2006 in Yaward Hotel, Taoyuan .
Oct. 17	The 9 <sup>th</sup> joint meeting of standing directors and supervisors .
Oct.19-Dec. 5	The panel held a workshop working on the planning for the futures trust enterprises .
Oct. 24	Inauguration of the Union of Futures specialists .
Oct. 24	Inauguration of the Union of Specialized Futures Dealers .
Nov. 11	Commencement of the forth session of FCM Associated Person Training Course .
Nov. 13	Held a Seminar on the theme of “Impact upon Taiwan's futures market caused by the expansion of foreign futures exchanges through their move of recruiting remote membership" in Primasia International Convention Center .
Nov. 14	The 16 <sup>th</sup> joint meeting of directors and supervisors .
Nov.16-17	Co-sponsored the “2006 Taipei Forum on Development of Global Futures Market” with the Taiwan Futures Exchange .
Nov. 22	Co-organized a seminar to introduce the “Trading strategies on Nikkei 225 futures and options launched by Osaka Securities Exchange” with Nihon Unicom of Japan .
Nov.29 -Dec. 2	Arranged 4-day trip for directors and supervisors to Hangzhou, China .
Dec. 1	Held a fellowship activity for the Union of Specialized Futures Dealers in Primasia International Convention Center .
Dec. 2-4	Participating the 2nd China International Derivatives Form in Shengzhen, China .
Dec. 11	Commencement of the fifth session of “FCM Associated Person Training course” .
Dec. 15-16	Held a advanced management program for the fourth quarter of 2006 in Yaward Hotel, Taoyuan .
Dec. 19	Held a seminar on the “General trading strategies on futures and options” in Primaisia International Convention Center, the first session of the “Futures Forum Series” .
Dec. 20	Held a seminar on the “Feasibility for the deregulation over omnibus trading account services offered by managed futures enterprises” in Primaisia International Convention Center, the second session of the “Futures Forum Series” .
Dec. 23	Closed the fifth session of FCM Associated Person Training Course .
Dec. 27	Held a seminar on the theme of “Assessment report on the formulation of futures trust presented by the panel” and “the outlook of futures trust market in Taiwan” in the Center for Public and Business Administration Education, National Chengchi University .

# 柒、會員名錄 VII. Directory of Members

代號 (Code)	公司名稱 (Company)	公司地址 (Address)	電話(Tel)	傳真(Fax)	網址(Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市仁愛路四段296號17樓 17F, 296 Ren-ai Rd., SEC.4, Taipei 106, Taiwan	02-23269899	02-27087967	<a href="http://www.onrich.com.tw">http://www.onrich.com.tw</a>
F002	永豐期貨股份有限公司 SinoPac Futures Corp.	100 台北市重慶南路一段2號8樓 8F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
F004	中信期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市博愛路35號8樓 8F, 35, Boai Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="http://www.KGI.futures.com.tw">http://www.KGI.futures.com.tw</a>
F005	國票期貨經紀股份有限公司 Waterland Futures Co., Ltd.	106 台北市忠孝東路四段231號3樓 3F, 231 Sec. 4., Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27786060	02-27715522	<a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>
F006	元大京華期貨股份有限公司 Yuanta Core Pacific Futures Co., Ltd.	104 台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="http://www.ycpf.com.tw">http://www.ycpf.com.tw</a>
F007	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104 台北市南京東路二段111號4樓 4F, 111 Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>
F008	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路8號地下1樓 B1, 8, Dongxing Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
F010	金鼎期貨經紀股份有限公司 Taiwan International Futures Corp.	105 台北市敦化南路二段97號地下一樓 B1, 97 Sec. 2, Tun Hwa S. Rd., Taipei 105, Taiwan	02-27084567	02-27065289	<a href="http://www.tisc.com.tw">http://www.tisc.com.tw</a>
F013	大華期貨股份有限公司 Grand Cathay Futures Corp.	100 台北市忠孝西路一段100號13樓 13F, 100 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcf.com.tw">http://www.gcf.com.tw</a>
F014	華南期貨經紀股份有限公司 Huanan Futures Co., Ltd.	105 台北市民生東路四段54號3樓 3F, 54 Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
F015	新竹期貨經紀股份有限公司 Hsinchu Futures Corp.	300 新竹市四維路130號3樓 3F, 130, Szu Wei Rd., Hsinchu 300, Taiwan	03-5240060	03-5243060	<a href="http://www.hsinchu.com.tw">http://www.hsinchu.com.tw</a>
F018	元富期貨股份有限公司 MasterLink Futures Corp.	106 台北市復興南路一段209號4樓 4F, 209 Sec.1, Fushung S., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
F020	群益期貨股份有限公司 Capital Futures Corp.	110 台北市信義區松仁路97號4樓 4F, 97 Sung Jen Rd., Taipei 110, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
F021	寶來曼氏期貨股份有限公司 Polaris Man Financial Futures Co., Ltd.	106 台北市敦化南路二段69號10樓 10F, 69 Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://futures.polaris.com.tw/">http://futures.polaris.com.tw/</a>
F023	台証期貨股份有限公司 Taiwan Futures Co., Ltd.	104 台北市建國北路一段96號11樓 11F, 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-25169009	02-25078794	<a href="http://www.twfc.com.tw">http://www.twfc.com.tw</a>
F024	福邦期貨股份有限公司 Grade Fortune Futures Co., Ltd.	104 台北市南京東路一段52號3樓 3F, 52 Sec.1, Nanking E. Rd., Taipei 104, Taiwan	02-25638999	02-33225222	<a href="http://www.sunfutures.com.tw">http://www.sunfutures.com.tw</a>
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號2樓 2F, 9 Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	<a href="http://www.fbf.com.tw/">http://www.fbf.com.tw/</a>
F027	復華期貨股份有限公司 Fuhwa Futures Co., Ltd.	100 台北市忠孝西路一段45號4樓 4F, 4 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23802180	02-23757739	<a href="http://www.fuhwafutures.com.tw/">http://www.fuhwafutures.com.tw/</a>
F029	康和期貨股份有限公司 Concord Futures Co., Ltd.	105 台北市復興北路143號5樓 5F, 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	106 台北市忠孝東路四段563號4樓 4F, 563 Sec 4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87878080	02-27642513	<a href="http://www.mega.futures.com.tw/">http://www.mega.futures.com.tw/</a>
F033	第一期期貨股份有限公司 First Futures INC	106 台北市安和路一段29號4樓 4F, 29 Anho Rd., Sec.1, Taipei 106, Taiwan	02-27748861	02-27316232	<a href="http://www.ffutures.com.tw">http://www.ffutures.com.tw</a>
F035	香港商飛馬期貨股份有限公司臺灣分公司 Fimat Hong Kong Limited, Taiwan Branch	110 台北市信義路4段460號7樓 7F, 460 Sec.4, Hsin-Yi Rd., Taipei 110, Taiwan	02-87231800	02-87231850	<a href="http://www.fimat.com">http://www.fimat.com</a>
F036	美林亞洲期貨股份有限公司 Merrill Lynch Futures Asia Ltd.	106 台北市敦化南路二段207號17樓 17F, 207 Sec. 2, Tun Hwa S. Rd., Taipei 106, Taiwan	02-23763586	02-23763574	<a href="http://www.ml.com">http://www.ml.com</a>
R201	美商愛德福期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105 台北市南京東路四段161號3樓 3F, 161 Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	<a href="http://www.admis.com">http://www.admis.com</a>
R208	新加坡商華大期貨經紀有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	105 台北市民生東路三段109號16樓 16F, 109 Sec.3, Min Sheng E. Rd., Taipei 105, Taiwan	02-25456163	02-27199435	<a href="http://www.uob.com.sg">http://www.uob.com.sg</a>
R217	日商聯興期貨經紀股份有限公司台北分公司 Nihon Unicom Corp. Taipei Branch	105 台北市民生西路三巷9號7樓 7F, 9, Lane 3, Min Sheng W. Rd., Taipei 105, Taiwan	02-25255777	02-25255476	<a href="http://www.unicom.co.jp">http://www.unicom.co.jp</a>
R219	新加坡商曼氏期貨股份有限公司台灣分公司 Man Financial (s) Pte., Taiwan Branch	105 台北市敦化南路一段1號6樓 6F.1 Sec.1, Tun Hwa S. Rd., Taipei 105, Taiwan	02-25798118	02-25704868	<a href="http://www.manfinancial.com">http://www.manfinancial.com</a>

代號 (Code)	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	網址 (Website)
S109	台灣工銀證券股份有限公司 I BT Securities Co., Ltd.	110台北市松仁路91號1樓 1F, 91 Sung Jen Rd., Taipei 110, Taiwan	02-87895558	02-87895556	<a href="http://www.ibts.com.tw/">http://www.ibts.com.tw/</a>
S152	瑞士商瑞士信貸證券股份有限公司台北分公司 Credit Suisse, Taipei Branch	105台北市民生東路三段109號5樓 5F, 109 Sec. 3, Minsheng E. Rd., Taipei 104, Taiwan	02-27156388	02-27188934	<a href="http://www.csfb.com">http://www.csfb.com</a>
S159	美商花旗環球證券股份有限公司台北分公司 Citigroup Global Markets Inc., Taipei Branch	110台北市信義路5段8號3樓 3F, 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-87251888	02-87251858	<a href="http://www.citigroup.com">http://www.citigroup.com</a>
S165	新加坡商瑞銀證券股份有限公司台北分公司 UBS Securities Limited, Taipei Branch	110台北市松仁路7號5樓 5F, Songren Rd., Taipei 110, Taiwan	02-87227200	02-87227230	<a href="http://www.ubs.com">http://www.ubs.com</a>
S218	亞東證券股份有限公司 Oriental Securities Corp.	100台北市重慶南路一段86號2樓 2F, 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
S505	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103台北市承德路一段17號17樓 17F, 17 Sec. 1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	<a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>
S518	太平洋證券股份有限公司 Pacific Securities Co., Ltd.	106台北市忠孝東路四段200號13樓 13F, 200 Sec. 4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27771111	02-27774125	<a href="http://www.nettrade.com.tw/">http://www.nettrade.com.tw/</a>
S526	大慶證券股份有限公司 Ta Ching Securities Co., Ltd.	104台北市民生東路二段174號4樓 4F, 174 Sec. 2, Minsheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	<a href="http://www.tcstock.com.tw/">http://www.tcstock.com.tw/</a>
S601	彝亞證券股份有限公司 Primasia Securities Co., Ltd.	105台北市復興北路99號3樓 3F, 99 Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27193771	<a href="http://www.primasia.com">http://www.primasia.com</a>
S616	中國信託綜合證券股份有限公司 Chinatrust Securities Co., Ltd.	110台北市松壽路3號10樓 10F, 3 Sung Shou Rd., Taipei 110, Taiwan	02-87808867	02-87894626	<a href="http://www.chinatrustsec.com.tw/">http://www.chinatrustsec.com.tw/</a>
S645	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330桃園市縣府路82號1樓 1F, 82 Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	建置中
S646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220台北縣板橋市東門街30號2樓 2F, 30 Tung-men St., Panchiao, Taipei 220, Taiwan	02-29689685	02-29676069	<a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>
S653	大眾綜合證券股份有限公司 Ta Chong Securities Co., Ltd.	807高雄市壽昌路93號2樓 2F, 93 Shou Chang Rd., Kaohsiung 807, Taiwan	07-3871166	07-3854985	<a href="http://www.tesc.com.tw">http://www.tesc.com.tw</a>
S703	致和證券股份有限公司 Concord International Securities Co., Ltd.	704台南市西門路三段10號 10 Shi-Men Rd., Sec. 3, Tainan 704, Taiwan	06-2219777	06-2286324	<a href="http://www.wintan.com.tw/">http://www.wintan.com.tw/</a>
S753	富順證券股份有限公司 Full Sun Securities Co., Ltd.	241台北縣三重市自強路一段73號3樓 3F, 73 Sec. 1, Tzu-Chiang Rd., Shanchung, Taipei 241, Taiwan	02-29818889	02-89810727	<a href="http://www.fullsun.com.tw">http://www.fullsun.com.tw</a>
S838	安泰證券股份有限公司 Antay Securities Co., Ltd.	830高雄縣鳳山市五福二路36號 36 Wu Fu 2 <sup>nd</sup> Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	建置中
S844	摩根大通證券股份有限公司 J.P. Morgan Securities Co., Ltd.	110台北市信義路五段106號3樓 3F, 106 Hsinyi Rd., Sec. 5, Taipei 110, Taiwan	02-87862288	02-27253183	<a href="http://www.jpmorgan.com">http://www.jpmorgan.com</a>
S856	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100台北市重慶南路一段66號5樓 5F, 66 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23118736	<a href="http://www.skis.com.tw/">http://www.skis.com.tw/</a>
S889	大和國泰證券股份有限公司 Daiwa Securities Smbc-cathay Co., Ltd.	110台北市基隆路一段200號13樓 13F, 200 Sec. 1, Keelung Rd., Taipei 110, Taiwan	02-27239698	02-23453640	<a href="http://www.daiwasmbc-cathay.com.tw/">http://www.daiwasmbc-cathay.com.tw/</a>
B093	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路四段54號5樓 5F, 54 Sec. 4, Min-sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25459231	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>
B094	台証綜合證券股份有限公司 Taiwan Securities Co., Ltd.	104台北市南京東路二段137號4樓 4F, 137 Sec. 2, Nanking E. Rd., Taipei 104, Taiwan	02-25075000	02-25066833	<a href="http://www.tsc.com.tw">http://www.tsc.com.tw</a>
B095	復華綜合證券股份有限公司 Fuhwa Securities Co., Ltd.	100台北市南海路1號4樓 4F, 1 Nanhai Rd., Taipei 100, Taiwan	02-23801168	02-23497814	<a href="http://www.fhs.com.tw">http://www.fhs.com.tw</a>
B096	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市敦化南路一段108號B1 B1, 108 Sec. 1, Tunhwa S. Rd., Taipei 106, Taiwan	02-87716888	02-87716795	<a href="http://www.fbs.com.tw">http://www.fbs.com.tw</a>
B097	寶來證券股份有限公司 Polaris Securities Co., Ltd.	106台北市忠孝東路四段270號3樓 3F, 270 Sec. 4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87918899	02-87922644	<a href="http://www.polaris.com.tw">http://www.polaris.com.tw</a>
B098	元大京華證券股份有限公司 Yuanta Core Pacific Securities Co., Ltd.	104台北市南京東路三段225號12樓 12F, 225 Sec. 3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
B101	中央信託局股份有限公司 Central Trust Of China	100台北市博愛路76號2樓 2F, 76, Poai Road Taipei 100, Taiwan	02-23111511	02-23120620	<a href="http://www.ctoc.com.tw">http://www.ctoc.com.tw</a>
B102	合作金庫銀行股份有限公司 Taiwan Cooperative Bank Co., Ltd.	100台北市忠孝東路四段325號2樓 2F, 325 Sec. 4, Chung Hsiao E. Rd., Taipei 100, Taiwan	02-27312798	02-87717193	<a href="http://www.tcb-bank.com.tw">http://www.tcb-bank.com.tw</a>

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B103	台灣土地銀行股份有限公司 Land Bank Of Taiwan Co., Ltd.	100台北市延平南路81號 81 Yen-Ping S. Rd., Taipei 100, Taiwan	02-23483974	02-23891864	<a href="http://www.landbank.com.tw">http://www.landbank.com.tw</a>
B111	台灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103台北市塔城街30號4樓 4F,30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	<a href="http://www.tbb.com.tw">http://www.tbb.com.tw</a>
B116	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路二段111號3、4樓 3、4F, 111 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25071047	<a href="http://www.jhsun.com.tw/">http://www.jhsun.com.tw/</a>
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	100台北市衡陽路68號2樓 2F,68, Hengyang Rd., Taipei 100, Taiwan	02-23619654	02-23113726	<a href="http://www.chb.com.tw">http://www.chb.com.tw</a>
B126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路四段236號3樓 3F, 236 Sec.4 Hsin-Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008867	<a href="http://www.honsec.com.tw/">http://www.honsec.com.tw/</a>
B511	富隆證券股份有限公司 Fullong Securities Co., Ltd.	104台北市南京東路三段287號3樓 3F,287 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27182788	02-27183445	<a href="http://www.fullong.com.tw">http://www.fullong.com.tw</a>
B527	群益證券股份有限公司 Capital Securities Corp.	110台北市松仁路101號4樓 4F,101 Sung Jen Rd., Taipei 110, Taiwan	02-87898888	02-87892942	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320桃園縣中壢市中和路96號 96 Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	<a href="http://www.easytrade.com.tw">http://www.easytrade.com.tw</a>
B538	一銀證券股份有限公司 First Taisec Securities Inc.	106台北市安和路一段29號7樓 7F, 29 Anho Rd., Sec.1, Taipei 106, Taiwan	02-27413434	02-27114112	<a href="http://www.ftsi.com.tw">http://www.ftsi.com.tw</a>
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406台中市北屯區北興街43號1樓 1F, 43, Beising St., Beitun District, Taichung 406, Taiwan	04-22028111	04-22010465	建置中
B550	豐泰綜合證券股份有限公司 Forwin Securities Corp.	105台北市南京東路五段188號14樓 14F, 188 Sec.5, Nanking E. Rd., Taipei 105, Taiwan	02-27482828	02-27481818	<a href="http://www.forwin.com.tw">http://www.forwin.com.tw</a>
B551	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路一段2號2樓 20F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23495123	02-23823540	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100台北市南海路20號2樓 2F, 20 Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	<a href="http://www.yss.com.tw">http://www.yss.com.tw</a>
B565	中信證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路698號3樓 3F,698 Mingshui Rd., Taipei 104 Taiwan	02-21818888	02-85011121	<a href="http://www.kgiworld.com.tw">http://www.kgiworld.com.tw</a>
B566	日進證券股份有限公司 Fortune Securities Co.,	360苗栗市中正路426號4樓 4F, 426 Chung-cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	建置中
B569	豐興證券股份有限公司 Fong Shung Securities Co., Ltd.	420台中縣豐原市中正路220號3樓 3F, 220 Chungcheng Rd., Fengyuan, Taichung 420, Taiwan	04-5277150	04-5261151	<a href="http://www.fstk.com.tw">http://www.fstk.com.tw</a>
B572	大華證券股份有限公司 Grand Cathay Securities Corp.	100台北市許昌街17號3樓 3 F, 17 Hsuehchang ST., Taipei 100, Taiwan	02-23148800	02-23819565	<a href="http://www.gcsc.com.tw">http://www.gcsc.com.tw</a>
B582	金鼎綜合證券股份有限公司 Taiwan International Securities Corp.	106台北市敦化南路二段97號地下一樓 B1, 97 Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-27052888	02-27051513	<a href="http://www.tisc.com.tw">http://www.tisc.com.tw</a>
B585	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號1樓 1F,8 Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	<a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd.	800高雄市新興區七賢二路10號 10 Chihhsien 2 <sup>nd</sup> Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682621	建置中
B587	光隆證券股份有限公司 Kuang Long Securities Co., Ltd.	970花蓮市公園路26號4樓 4F, 26 Kungyuan Rd., Hualian 970, Taiwan	03-8352181	03-8353447	建置中
B592	元富證券股份有限公司 MasterLink Securities Corp.	106台北市復興南路一段209號1樓 1 F, 209 Sec.1, Fuhsing S.RD., Taipei 106, Taiwan	02-23255818	02-23257923	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F, 1020 Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-2353266	049-2357216	<a href="http://www.gmstock.com.tw">http://www.gmstock.com.tw</a>
B615	通當證券股份有限公司 Winner Securities Co., Ltd.	324桃園縣平鎮市忠孝路2號B1 B1, 2 Chung Hsiao Rd., Ping-Cheng, Taoyuan 324, Taiwan	03-4930888	03-4930947	建置中
B620	長城證券股份有限公司 Ever Advance Securities Co., Ltd.	106台北市大安區仁愛路四段85號2樓 2F, 85 Sec.4, Ren-ai RD., Taipei 106, Taiwan	02-27719999	02-87718133	<a href="http://www.cwin.com.tw/">http://www.cwin.com.tw/</a>
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中區中華三路11號B1 B1, 11 Chungghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	建置中
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521彰化縣北斗鎮光復路166號3樓 3F, 166 Kwangfu Rd., Pie-Tou Cheng, Changhua 521, Taiwan	04-8886500	04-8886626	<a href="http://www.kuanzho.com.tw/">http://www.kuanzho.com.tw/</a>

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B648	福邦證券股份有限公司 Grade Fortune Securities Co., Ltd.	104台北市南京東路一段52號2樓 2F, 52 Sec.1, Nanking E. Rd., Taipei 104, Taiwan	02-23966555	02-33225222	<a href="http://www.sunsec.com.tw">http://www.sunsec.com.tw</a>
B662	全泰證券股份有限公司 Chuan Tai Securities Co., Ltd.	330桃園市三民路三段317號4樓 4F, 317 Sec.3, San-ming Rd., Taoyuan 330, Taiwan	03-3353359	03-3345286	建置中
B664	新竹國際商業銀行股份有限公司 Hsinchu International Bank.	330桃園市中正路465號3樓 3 F, 465 Chung-cheng RD., Taoyuan 330, Taiwan	03-5245131	03-5284138	<a href="http://www.hubank.com.tw">http://www.hubank.com.tw</a>
B666	和興證券股份有限公司 Hosin Securities Co., Ltd.	234台北縣永和市中正路455號3樓 3F, 455 Chung Cheng Rd., Yung-ho, Taipei 234, Taiwan	02-29229797	02-29225700	建置中
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路一段50號3樓 3F, 50 Sec.1, Shinsheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	<a href="http://www.rsc.com.tw">http://www.rsc.com.tw</a>
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251台北縣淡水鎮中正路63號5樓 5F, 63 Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	建置中
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106台北市忠孝東路二段95號3樓 3F, 95 Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	<a href="http://www.megasec.com.tw/">http://www.megasec.com.tw/</a>
B702	信富證券股份有限公司 Shin Fan Securities Co., Ltd.	613嘉義縣朴子市山道路43號2樓 2F, 43 San Tung Rd., Pu-Tzu, Chiayi 613, Taiwan	05-3700988	05-3700909	建置中
B707	豐農證券股份有限公司 Feng Long Securities Co., Ltd.	420台中縣豐原市水源路669號 669 Shuiyuan Rd., Fengyuan, Taichung 420, Taiwan	04-25281000	04-25253100	建置中
B712	台安證券股份有限公司 Tai-An Securities Co., Ltd.	403台中市民權路234號 234, Minchuan Rd., Taichung 403, Taiwan	04-22020208	04-22024374	建置中
B736	日隆證券股份有限公司 Sunrise Securities Co., Ltd.	710台南縣永康市中華路144之1號 144-1 Chungkwa Rd., Yungkuang, Tainan 710, Taiwan	06-3115522	06-3131650	建置中
B767	金港證券股份有限公司 Goldport Stock Corp.	802高雄市三多二路93號2樓 2F, 93 Saudo 2 <sup>nd</sup> Rd., Kaohsiung 802, Taiwan	07-7129399	07-7133579	建置中
B769	金興證券股份有限公司 Ching Shing Securities Co., Ltd.	360苗栗市北苗里義民街51號 51 Yimin St. Pei-miao LI, Miaoli 360, Taiwan	037-266666	037-266060	建置中
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234台北縣永和市永和路二段116號3樓 3F, 116 Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29291620	<a href="http://www.peicheng.com.tw">http://www.peicheng.com.tw</a>
B778	富皇證券股份有限公司 Fu Hsing Securities Co., Ltd.	632雲林縣虎尾鎮和平路1號4樓 4F, 1 Ho Ping Rd., Hu Wei Chen, Yunlin 632, Taiwan	05-6334888	05-6334700	建置中
B779	國景綜合證券股份有限公司 Waterland Securities Co., Ltd.	103台北市重慶北路三段199號B1 B1, 199 Sec.3, Chung Ching N. Rd., Taipei 103, Taiwan	02-25288988	02-27647834	<a href="http://www.wls.com.tw">http://www.wls.com.tw</a>
B790	金豐證券股份有限公司 King Fong Securities Co., Ltd.	420台中縣豐原市中山路481號 481, Chung Shan Rd., Feng-Yuan, Taichung 420, Taiwan	04-25282808	04-25282306	建置中
B815	東興證券股份有限公司 Tong Shing Securities Co., Ltd.	800高雄市七賢一路301號2樓 2F, 301 Chihhsien 1 Rd., Kaohsiung 800, Taiwan	07-2366657	07-2385274	建置中
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110台北市基隆路一段176號B1 B1, 176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	<a href="http://www.6016.com">http://www.6016.com</a>
B849	萬泰證券股份有限公司 Wan Tai Securities Co., Ltd.	600嘉義市新榮路193號2樓 2F, 193 Hsin-Jung Rd., Chiayi 600, Taiwan	05-2289911	05-2273459	建置中
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435台中縣梧棲鎮四維中路157巷1號2樓 2F, 1, Lane 157, Swajung Rd., Wuchi Jen, Taichung 435 Taiwan	04-26572801	04-26571974	建置中
B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104台北市南京東路二段137號2樓 2F, 137 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	<a href="http://www.ubot.com.tw">http://www.ubot.com.tw</a>
B866	萬通證券股份有限公司 Vantone Securities Co., Ltd.	104台北市松江路301號2樓 2F, 301 Sung Chiang Rd., Taipei 104, Taiwan	02-25156655	02-25026363	建置中
B869	新壽綜合證券股份有限公司 Shinkong Securities Co., Ltd.	110台北市信義路四段456號5樓 5F, 456 Sec.4, Hsin-Yi Rd., Taipei 110, Taiwan	02-23451668	02-23454449	<a href="http://www.sksc.com.tw/">http://www.sksc.com.tw/</a>
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105台北市民生東路五段165號B2 B2, 165 Sec.5, Min-sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	<a href="http://www.sunnysec.com.tw">http://www.sunnysec.com.tw</a>
B874	昇豐證券股份有限公司 Sun-Fund Securities Co., Ltd.	110台北市忠孝東路五段508號2樓 2F, 508 Sec.5, Chung Hsiao E. Rd., Taipei 110 Taiwan	02-23465858	02-27282684	<a href="http://www.sun-fund.com/">Http://www.sun-fund.com/</a>
B877	大鼎證券股份有限公司 Da-Din Securities Co., Ltd.	265宜蘭縣羅東鎮公正路154號3樓 3F, 154, Gongheng Rd., Luodong, Yilan 265, Taiwan	03-9566999	03-9532669	<a href="http://www.yesc.com.tw">http://www.yesc.com.tw</a>

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B880	鼎富證券股份有限公司 Team Pro Securities Co., Ltd	106台北市忠孝東路四段300號7樓 7F, 300 Sec 4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87739666	02-87739899	建置中
B884	玉山綜合證券股份有限公司 E. Sun Securities Corp.	104台北市復興北路178號2樓 2F, 178 Fushing N. Rd., Taipei 104, Taiwan	02-23709913	02-23815689	<a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>
B885	鑫豐證券股份有限公司 Shing Fong Securities Co., Ltd.	103台北市民權西路104號8樓 8F, 104 Mincyuan W. Rd., Taipei 103, Taiwan	02-25538000	02-25548064	<a href="http://www.promoney.com.tw/">http://www.promoney.com.tw/</a>
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市仁愛路四段296號17樓 17F, 296 Ren-ai Rd., SEC. 4, Taipei 106, Taiwan	02-23269888	02-27003670	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P001	永豐期貨股份有限公司-自營 SinoPac Futures Corp.	100台北市重慶南路一段2號8樓 8F, 2 Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
P002	兆豐證券股份有限公司-自營 Mega Securities Co., Ltd.	106台北市忠孝東路二段95號4樓 4F, 95 Sec. 2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-33227073	02-33933052	<a href="http://www.megasec.com.tw/">http://www.megasec.com.tw/</a>
P003	統一期貨股份有限公司-自營 President Futures Corp.	100台北市東興路8號地下1樓 B1, 8, Dongxing Rd., Taipei 110, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
P004	寶來曼氏期貨股份有限公司-自營 Polaris Man Financial Futures Co., Ltd	106台北市敦化南路二段69號10樓 10F, 69 Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27008989	02-27008363	<a href="http://futures.polaris.com.tw/">http://futures.polaris.com.tw/</a>
P005	日盛期貨股份有限公司-自營 Jih Sun Futures Co., Ltd.	104台北市南京東路二段111號4樓 4F, 111 Sec. 2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jihsunfutures.com.tw">http://www.jihsunfutures.com.tw</a>
P006	群益期貨股份有限公司-自營 Capital Futures Corp.	110台北市松仁路97號4樓 4F, 97 Sung Jen Rd., Taipei 110, Taiwan	02-87800099	02-87893782	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
P008	元富證券股份有限公司-自營 MasterLink Securities Corp.	106台北市敦化南路二段97號19樓 19F, 97 Sec. 2, Tun Hwa S. Rd., Taipei 106, Taiwan	02-23255818	02-27000208	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
P009	寶來證券股份有限公司-自營 Polaris Securities Co., Ltd.	114台北市寶慶路69號5樓 5F, 69, Baoqing Rd., Taipei 114, Taiwan	02-87918899	02-87922644	<a href="http://www.polaris.com.tw">http://www.polaris.com.tw</a>
P010	永豐金證券股份有限公司-自營 SinoPac Securities Corp.	105台北市八德路二段308號5樓 5F, 308 Sec. 2, Bade Rd., Taipei 105, Taiwan	02-23823866	02-23823540	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
P012	元大京華期貨股份有限公司-自營 Yuanta Core Pacific Futures Co., Ltd.	104台北市南京東路三段225號12樓 12F, 225 Sec. 3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="http://www.ycpf.com.tw">http://www.ycpf.com.tw</a>
P013	復華期貨股份有限公司-自營 Fuhwa Futures Co., Ltd.	100台北市忠孝西路一段4號4樓 4F, 4 Sec. 1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23802180	02-23757739	<a href="http://www.fuhwafutures.com.tw/">http://www.fuhwafutures.com.tw/</a>
P014	大展證券股份有限公司-自營 Ta Chan Securities Co., Ltd.	103台北市承德路一段17號17樓 17F, 17 Sec. 1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	<a href="http://www.tachan.com.tw/">http://www.tachan.com.tw/</a>
P015	元富期貨股份有限公司-自營 Master Link Futures Corp.	106台北市復興南路一段209號4樓 4F, 209 Sec. 1, Fushing S., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
P016	群益證券股份有限公司-自營 Capital Securities Corp.	110台北市松仁路101號4樓 4F, 101 Sung Jen Rd., Taipei 110, Taiwan	02-87898888	02-87800077	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
P017	台証綜合證券股份有限公司-自營 Taiwan Securities Co., Ltd.	104台北市仁愛路四段118號11樓 11F, 118, Sec. 4, Ren-ai Rd., Taipei 106, Taiwan	02-25070000	02-25047246	<a href="http://www.tsc.com.tw">http://www.tsc.com.tw</a>
P018	金鼎綜合證券股份有限公司-自營 Taiwan International Securities Corp.	106台北市敦化南路二段99號31樓部分 31, 99 Sec. 2, Tunhua S. Rd., Taipei 106, Taiwan	02-27052888	02-23262243	<a href="http://www.tisc.com.tw">http://www.tisc.com.tw</a>
P020	中信證券股份有限公司-自營 KGI Securities Co., Ltd.	104台北市明水路700號9樓 9F, 700 Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011121	<a href="http://www.kgiworld.com.tw/">http://www.kgiworld.com.tw/</a>
P021	中國信託綜合證券股份有限公司-自營 Chinatrust Securities Co., Ltd.	110台北市松壽路3號10樓 10F, 3 Sung Shou Rd., Taipei 110, Taiwan	02-87808867	02-27239928	<a href="http://www.chinatrustsec.com.tw/">http://www.chinatrustsec.com.tw/</a>
P022	富邦綜合證券股份有限公司-自營 Fubon Securities Co., Ltd.	106台北市仁愛路四段169號16樓 16F, 169 Sec. 4, Ren-ai Rd., Taipei 106, Taiwan	02-27767819	02-27210783	<a href="http://www.fbs.com.tw">http://www.fbs.com.tw</a>
P023	大華證券股份有限公司-自營 Grand Cathay Securities Corp.	100台北市重慶南路一段2號3樓 13F, 2 Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23148800	02-23819565	<a href="http://www.gsc.com.tw">http://www.gsc.com.tw</a>
P024	統一綜合證券股份有限公司-自營 President Securities Corp.	105台北市東興路8號3樓 3F, 8 Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	<a href="http://www.pscn.com.tw">http://www.pscn.com.tw</a>
P025	大和國泰證券股份有限公司-自營 Daiwa Securities Smbc-cathay Co., Ltd.	110台北市基隆路一段200號13樓 13F, 200 Sec. 1, Keelung Rd., Taipei 110, Taiwan	02-27239698	02-23453640	<a href="http://www.daiwasmbc-cathay.com.tw/">http://www.daiwasmbc-cathay.com.tw/</a>
P026	華南永昌綜合證券股份有限公司-自營 HuaNan Securities Co., Ltd.	105台北市民生東路四段54號5樓 5F, 54 Sec. 4, Min-sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25454122	<a href="http://www.entrust.com.tw">http://www.entrust.com.tw</a>

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P027	台灣工銀證券股份有限公司-自營 IBT Securities Co., Ltd.	110台北市松仁路91號1樓 1F, 91 Sung Jen Rd., Taipei 110, Taiwan	02-87895558	02-87895556	<a href="http://www.ibts.com.tw/">http://www.ibts.com.tw/</a>
P028	元大京華證券股份有限公司-自營 Yuanta Core Pacific Securities Co., Ltd	104台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
P029	復華綜合證券股份有限公司-自營 Fuhwa Securities Co., Ltd.	100台北市忠孝西路一段4號10樓 10F, 4 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23801168	02-23497814	<a href="http://www.flis.com.tw">http://www.flis.com.tw</a>
P030	日盛證券股份有限公司-自營 Jih Sun Securities Co., Ltd.	104台北市南京東路二段111號3樓 3F, 111 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25048400	<a href="http://www.jhsun.com.tw/">http://www.jhsun.com.tw/</a>
P031	康和綜合證券股份有限公司-自營 Concord Securities Corp.	110台北市基隆路一段176號B1 B1, 176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	<a href="http://www.6016.com">http://www.6016.com</a>
P032	中信期貨股份有限公司-自營 KGI Futures Co., Ltd	104台北市明水路700號 700, Mingshuei Rd., Taipei 104, Taiwan	02-23619889	02-23712867	<a href="http://www.KGI.futures.com.tw">http://www.KGI.futures.com.tw</a>
P033	國泰綜合證券股份有限公司-自營 Cathay Securities Co., Ltd.	106台北市仁愛路四段296號17樓 17F, 296 Ren-ai RD., SEC.4, Taipei 106, Taiwan	02-23269888	02-27003670	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P034	香港商法國興業證券股份有限公司台北分公司-自營 SG Securities (HK) Ltd., Taipei Branch	105台北市民生東路三段109號6樓 6F, 109 Sec.3, Minsheng E. RD., Taipei 105, Taiwan	02-27151486	02-25467376	<a href="http://www.socgen.com">http://www.socgen.com</a>
P035	新壽綜合證券股份有限公司-自營 Shinkong Securities Co., Ltd	104台北市南京東路二段123號2樓 2F, 123 Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25037890	02-66184556	<a href="http://www.sksc.com.tw/">http://www.sksc.com.tw/</a>
P036	大眾綜合證券股份有限公司-自營 Ta Chong Securities Co., Ltd	104台北市中山區復興南路一段2號2樓 2F, 2 Sec.1, Fushing S., Taipei 104, Taiwan	02-27790855	02-27790687	<a href="http://www.tcsc.com.tw">http://www.tcsc.com.tw</a>
P037	亞東證券股份有限公司-自營 Oriental Securities Corp.	100台北市重慶南路一段86號2樓 2F, 86 Sec.1, Chung Chung S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
P038	澳帝華期貨股份有限公司-自營 Optiver Taiwan Co., Ltd	100臺北市杭州南路一段19號12樓 12F, 19 Sec. 1, Hangzhou S. Rd., Taipei 100, Taiwan	02-23570777	02-23578392	<a href="http://www.optiver.com">http://www.optiver.com</a>
P039	一銀證券股份有限公司-自營 First Taisec Securities Inc.	106台北市安和路一段27號6樓 6F, 27 Anho Rd., Sec.1, Taipei 106, Taiwan	02-27413434	02-27316232	<a href="http://www.flsi.com.tw">http://www.flsi.com.tw</a>
P040	兆豐期貨股份有限公司-自營 Mega Futures Co., Ltd	110台北市信義區忠孝東路四段563號4樓 4F, 563 Sec.4, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-87878080	02-27642513	<a href="http://www.megafutures.com.tw/">http://www.megafutures.com.tw/</a>
P041	台証期貨股份有限公司-自營 Taiwan Futures Co., Ltd.	104台北市建國北路一段96號11樓 11F, 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-25169009	02-25078794	<a href="http://www.twfc.com.tw">http://www.twfc.com.tw</a>
A001	永豐期貨股份有限公司-顧問 SinoPac Futures Corp.	100台北市重慶南路一段2號8樓 8F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
A002	統一期貨股份有限公司-顧問 President Futures Corp.	105台北市松山區東興路8號地下1樓 B1.8, Dongxing Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
A003	寶來曼氏期貨股份有限公司-顧問 Polaris Man Financial Futures Co., Ltd	106台北市敦化南路2段69號10樓 10F, 69 Sec. 2, Tun Hwa S.Rd., Taipei 106, Taiwan	02-27008989	02-27008393	<a href="http://futures.polaris.com.tw/">http://futures.polaris.com.tw/</a>
A004	日盛期貨股份有限公司-顧問 Jih Sun Futures Co., Ltd.	104台北市南京東路二段111號4樓 4F, 111 Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	<a href="http://www.jhsunfutures.com.tw">http://www.jhsunfutures.com.tw</a>
A005	群益期貨股份有限公司-顧問 Capital Futures Corp.	110台北市松仁路97號4樓 4F, 97 Sung Jen Rd., Taipei 110, Taiwan	02-87800099	02-27063120	<a href="http://www.capitalfutures.com.tw/">http://www.capitalfutures.com.tw/</a>
A006	國泰期貨股份有限公司-顧問 Cathay Futures Corp.	106台北市仁愛路四段296號17樓 17F, 296 Ren-ai RD., SEC.4, Taipei 106, Taiwan	02-23269899	02-27087967	<a href="http://www.onnch.com.tw">http://www.onnch.com.tw</a>
A008	康和期貨股份有限公司-顧問 Concord Futures Co., Ltd.	105台北市復興北路143號5樓 5F, 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
A009	富邦期貨股份有限公司-顧問 Fubon Futures Co., Ltd.	100台北市襄陽路9號2樓 2F, 9 Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	<a href="http://www.fbf.com.tw/">http://www.fbf.com.tw/</a>
A010	元大京華期貨股份有限公司-顧問 Yuanta Core Pacific Futures Co., Ltd.	104台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="http://www.ycpf.com.tw">http://www.ycpf.com.tw</a>
A011	華南期貨經紀股份有限公司-顧問 Huanan Futures Co., Ltd.	105台北市民生東路四段54號3樓 3F, 54 Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://www.enrust.com.tw/fd2/index1024.asp">http://www.enrust.com.tw/fd2/index1024.asp</a>
A012	復華期貨股份有限公司-顧問 Fuhwa Futures Co., Ltd	100台北市忠孝西路一段4號4樓 4F, 4 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23802180	02-23757739	<a href="http://www.fuhwafutures.com.tw/">http://www.fuhwafutures.com.tw/</a>
A013	元富期貨股份有限公司-顧問 MasterLink Futures Corp.	106台北市復興南路一段209號4樓 4F, 209 Sec.1, Fushing S., Taipei 106, Taiwan	02-27213458	02-87732029	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>

代號 (Code)	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	網址 (Website)
A014	新竹期貨經紀股份有限公司-顧問 Hsinchu Futures Corp.	300新竹市四維路130號4樓 4F, 130, Szu Wei Rd., Hsinchu 300, Taiwan	03-5240060	03-5243060	<a href="http://www.hsinchu.com.tw">http://www.hsinchu.com.tw</a>
A015	福邦期貨股份有限公司-顧問 Grad Fortune Futures Co., Ltd.	104台北市南京東路一段52號3樓 3F, 52 Sec.1, Nanking E. Rd., Taipei 104, Taiwan	02-25638999	02-33225222	<a href="http://www.sunfutures.com.tw">http://www.sunfutures.com.tw</a>
A017	金鼎期貨經紀股份有限公司-顧問 Taiwan International Futures Corp.	105台北市敦化南路二段97號地下1樓 B1, 97, Sec. 2, Tun Hwa S. Rd., Taipei 105, Taiwan	02-27084567	02-27065289	<a href="http://www.tisc.com.tw">http://www.tisc.com.tw</a>
A018	台証期貨股份有限公司-顧問 Taiwan Futures Co., Ltd.	104台北市建國北路1段96號11樓 11F, 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-25169009	02-25078794	<a href="http://www.twfc.com.tw">http://www.twfc.com.tw</a>
A019	國票期貨經紀股份有限公司-顧問 Waterland Futures Co., Ltd.	106台北市忠孝東路四段231號3樓 3F, 231 Sec. 4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27786060	02-27715522	<a href="http://www.wlf.com.tw">http://www.wlf.com.tw</a>
A020	兆豐期貨股份有限公司-顧問 Mega Futures Co., Ltd.	106台北市忠孝東路四段563號4樓 4F, 563 Sec. 4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87878080	02-27642513	<a href="http://www.mega-futures.com.tw/">http://www.mega-futures.com.tw/</a>
A021	中信期貨股份有限公司-顧問 KGI Futures Co., Ltd.	100台北市博愛路35號8樓 8F, 35, Boai Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="http://www.KGI-futures.com.tw">http://www.KGI-futures.com.tw</a>
A022	大華期貨股份有限公司-顧問 Grand Cathay Futures Corp.	100台北市忠孝西路一段100號13樓 13F, 100 Sec. 1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300	<a href="http://www.gcf.com.tw">http://www.gcf.com.tw</a>
A023	第一期貨股份有限公司-顧問 First Futures INC	106台北市安和路一段29號4樓 4F, 29 Anho Rd., Sec.1, Taipei 106, Taiwan	02-27748861	02-27316232	<a href="http://www.ffutures.com.tw">http://www.ffutures.com.tw</a>
A024	國際大通證券投資顧問股份有限公司 International Da-Tong Securities Investment Consultant	104台北市中山北路一段145號10樓 10F, 145 Sec.1, Zhongshan N. Rd., Taipei 104, Taiwan	02-25678502	02-25679794	建置中
A025	永豐金證券股份有限公司-顧問 SinoPac Securities Corp.	100台北市重慶南路一段2號18樓 18F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23123866	02-23165233	<a href="http://www.sinopacsecurities.com">http://www.sinopacsecurities.com</a>
A026	運達證券投資顧問股份有限公司 Yun Ta Investment Consulting Co.,Ltd.	104台北市朱崙街31號1樓 1F, 31, Jhulun, Taipei 104, Taiwan	02-55825305	02-55825187	建置中
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104台北市南京東路2段1號6樓 6F, 1 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25419118	02-66086266	<a href="http://www.marbo.com.tw/">http://www.marbo.com.tw/</a>
A028	啓發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant	100台北市忠孝西路1段100號9樓、102號9樓 9F, 100 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	<a href="http://www.chifar.com.tw">http://www.chifar.com.tw</a>
M001	大華期貨經理事業股份有限公司 Grand Cathay Managed Futures Corp.	100台北市忠孝西路一段100號14樓 14F, 100 Sec.1, Jungshiau W. Rd., Taipei 100, Taiwan	02-23882598	02-23890838	<a href="http://www.gcmf.com.tw">http://www.gcmf.com.tw</a>
M002	日盛期貨經理股份有限公司 Jih Sun Managed Futures Co., Ltd.	104台北市南京東路二段139號4樓 4F, 139 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25061668	02-25064596	<a href="http://www.jsmf.com.tw/">http://www.jsmf.com.tw/</a>
M003	元大京華期貨經理股份有限公司 Yuanta Core Pacific Managed Futures Co., Ltd.	104台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27171108	02-25464628	<a href="http://www.ycpf.com.tw">http://www.ycpf.com.tw</a>
M004	中信期貨經理股份有限公司 KGI Managed Futures Co., Ltd.	100台北市博愛路35號7樓 7F, 35 Boai Rd., Taipei 100, Taiwan	02-23127886	02-66308029	<a href="http://www.kgi-futures.com.tw/content/futures/about/about01.htm">http://www.kgi-futures.com.tw/content/futures/about/about01.htm</a>
M005	元富期貨經理股份有限公司 MasterLink Managed Futures Corp.	106台北市復興南路一段209號6樓 6F, 209 Sec.1, Fushing S., Taipei 106, Taiwan	02-27513898	02-27313916	<a href="http://www.masterlink.com.tw/managed/">http://www.masterlink.com.tw/managed/</a>
M006	寶來期貨經理股份有限公司 Polaris Managed Futures Co., Ltd.	104台北市復興北路420號9樓 9F, 420 Fushing N., Taipei 104, Taiwan	02-25131200	02-25131212	<a href="http://www.polarismf.com.tw">http://www.polarismf.com.tw</a>
M007	永豐期貨經理股份有限公司 SinoPac Managed Futures Corp.	100台北市重慶南路一段2號18樓 18F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-66328316	建置中
M008	康和期貨經理事業股份有限公司 Concord Managed Futures Co., Ltd.	110台北市基隆路一段176號14樓 14F, 176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	<a href="http://www.6016888.com.tw/FM/">http://www.6016888.com.tw/FM/</a>
M009	金鼎期貨經理股份有限公司 Taiwan International Managed Futures Corp.	106台北市敦化南路二段97號B1 B1, 97, Sec. 2, Tun Hwa S. Rd., Taipei 106, Taiwan	02-27088355	02-27008992	<a href="http://www.tisc.com.tw/timanager/">http://www.tisc.com.tw/timanager/</a>
M010	台証期貨經理股份有限公司 Taiwan Managed Futures Corp.	104台北市建國北路1段96號7樓 7F, 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-25168696	02-25046690	<a href="http://www.tbc.com.tw/tmf/about_MF.asp">http://www.tbc.com.tw/tmf/about_MF.asp</a>
M011	群益期貨經理股份有限公司 Capital Managed Futures Corp.	110台北市松仁路97號4樓 4F, 97 Sung Jen Rd., Taipei 110, Taiwan	02-87862000	02-87862233	<a href="http://www.capitalfutures.com.tw/financie/default.asp">http://www.capitalfutures.com.tw/financie/default.asp</a>
M013	華南期貨經理股份有限公司 Huanan Managed Futures Co., Ltd.	105台北市民生東路四段54號6樓 6F, 54 Sec. 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27137899	02-27123003	建置中

註：以上資料截止日為95.12.31