

Taipei Futures Association

台北市期貨商業同業公會

九十年年度年報

2001 ANNUAL REPORT

The logo for the Taipei Futures Association (TFA) is located in the bottom right corner. It consists of the letters 'TFA' in a bold, sans-serif font. The 'T' is blue, the 'F' is red, and the 'A' is black.

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壹、序言



民國八十三年合法期貨商陸續成立迄今，九十年是最令業界鼓舞的一年。台灣期貨交易所在本年相繼推出小台指期貨合約及台指選擇權契約的新商品，促使成交量突破歷史紀錄達435萬口，更提昇台灣衍生性金融市場邁入了新的領域。在我國加入WTO後，金融服務業面臨了國際化的考驗，相信這是極具正面意義的發展。未來期貨相關法令將更臻完備，期貨商因應環境變化勢必強化經營體質，期交所將陸續推出新商品上市，假以時日，相信我國期貨市場將達到國際級的水準。

自去年六月接任理事長一職後，即稟承上屆理事會的理念，積極展開提昇服務會員公司的工作。對會務品質重新檢討並訂定目標，做為未來三年努力的方針。在業務方面，公會調降了業務費用及工作證照的手續費，希望降低業者的經營成本。教育訓練方面，為提高在職訓練的品質，重新規劃所有課程內容，並自產、官、學界廣徵師資，嚴格篩選所有訓練場地，希望使參加在職訓練的從業同仁，在方便及舒適的環境中提昇學習興趣。市場推廣方面，因應整個經營環境需求，陸續舉辦不同的專題講座。配合期交所新商品上市，公會也與國外機構合作辦理相關的研討會，甚至組織考察團親赴國外實地考察，希望藉此汲取先進國家之寶貴經驗，提昇業界國際化的視野。證照方面，縮短申請及換証之工作天數，使從業人員迅速取得證照，以利業務之執行。服務品質方面，安排會務同仁參加專業課程，以提昇工作人員之服務品質。並要求所有同仁通過期貨業務員資格考照，藉以提昇專業素養。並嚴格要求所有會務人員抱持熱忱、積極及完美的態度服務會員公司。

感謝過去半年多來，全體理、監事和委員會委員們及主管機關對我們的努力給予高度的肯定及支持。未來我們將更積極的朝專業化、效率化的目標努力，務必為業界提供更多、更好的服務。

台北市期貨商業同業公會
理事長 王中愷

I .Preface

Since 1994, the futures brokerage houses started forming legally, in Taiwan. 2001 was the most encouraging year for Taiwan's futures industry. During this year, the Taiwan Futures Exchange (TAIFEX) successfully launched new products such as The Mini Taiex Futures and The Taiex Options. The consequences of TAIFEX's efforts had stimulated the trading volume to a historical high of 4,350,000 contracts and also upgraded Taiwan's derivatives market to a new level. After Taiwan's accession in the World Trade Organization (WTO), we face new challenges from the international players. I think this meaningful development will have a positive effect on our market. There will be demands for our regulator to revise the futures law and the regulations; futures commission merchants will increase their competitiveness and strengthen operation strategies to cope with the changing environment. In addition, the TAIFEX will continue to launch new products. I believe that Taiwan's futures market will eventually be recognized worldwide.

I was elected to assume the Association's chairmanship last June. Since then, I have been committed to serving all its members based on the principles and ideals established by the former Association's board. However, I will re-examine the Association's structure and operations to set forth new guidelines for the Association's development over the next three years. The Association has lowered the business fees and the A.E. license fees to reduce operational costs of our member firms. We have also restructured the on-job training programs to insure that all the participants will be satisfied with the instructors selected, the classroom chosen, as well as with all the training materials. For marketing and promoting business, the Association has conducted a variety of activities and seminars to meet the industry's needs. We have also worked with foreign organizations in co-sponsoring several conferences on new market trends and futures-related products. In addition, the Association has organized several trips to visit some advanced foreign markets to learn from their experiences and broaden our own viewpoint. For licensing services, the Association has significantly simplified the application procedure so the qualified professionals can get or renew their licenses more efficiently. In order to provide quality services to our members, I request all the Association's staff to attend several training programs, and to also pass the futures examination. I will motivate our Association's staff and make sure they are professional, with an enthusiastic attitude, in providing quality services to all the members.

I greatly appreciate all the support and recognition of the directors, supervisors, committee members, and government regulators over the past six months. I promise you that the Association will be more aggressive, efficient, and professional for the purpose to serve the futures industry.

Steve Wang, Chairman
Taipei Futures Association

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貳、台北市期貨商業同業公會簡介

一、公會沿革

本會成立於民國八十四年四月十七日，並由國內經營期貨業務而依法取得證照之國內外民營期貨公司所組成，定名為「台北市期貨商業同業公會」，第一屆理事長由李洪鰲先生擔任並聘韓開明先生為總幹事，民國八十七年六月六日第二屆第一次會員大會改選第二屆理事長由張永京先生擔任，並續聘韓開明先生擔任總幹事，民國九十年六月五日第三屆第一次會員大會改選第三屆理事長由王中愷先生擔任，並聘謝夢龍先生擔任總幹事。現會址設於台北市忠孝東路四段一六九號十一樓。

二、設立宗旨

本會以保障投資大眾，發展國民經濟，協調同業關係，增進共同利益為宗旨。

三、本會任務

- * 關於配合國家經濟建設，促進期貨市場發揮功能事項。
- * 會員業務之調查、統計及研究、發展事項。
- * 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- * 督促會員自律，共謀業務上之改進、聯繫及協調事項。
- * 會員糾紛之調處事項。
- * 同業員工職能訓練及業務講習之舉辦事項。
- * 會員業務之廣告、展覽及證明事項。
- * 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- * 會員委任證照之申請變更、換領及其他服務事項。
- * 會員或社會公益事業之舉辦事項。
- * 會員合法權益之維護事項。
- * 接受政府機關、團體之委託服務事項。
- * 社會運動之參加事項。
- * 依其他法令規定或會員建議應辦理之事項。

II . Association introduction

I .TFA:A brief history

The Taiwan Futures Association (TFA) was established on April 17, 1995, organized by legal futures companies with licenses to trade both domestic and foreign futures. Its first Chairman was H.A. Lee, and Kai-ming Han was appointed as the first secretary general of the Association. On June 6, 1998, the Association members elected Eugene Y. Chang as the second chairman of the Association. Han stayed on to serve as the Association's secretary general. On June 5, 2001, Steve Wang as elected as the third chairman and he appointed Meng-long Hsieh as the new secretary general. The current address of the Association is: 11F, 169 Chunghsiao E. Road, Sec. 4, Taipei

II .TFA:Purpose of establishment

The association was founded to protect investor interests, support national economic development, help resolve conflicts between member companies and promote benefits of both member futures companies and investors.

III .Responsibilities of TFA

- * To enhance the operations of the futures market to cope with the national economic development.
- * To conduct surveys on the business and operations of member companies as well as other relevant research projects.
- * To do research on current futures trading laws and related government policies, help facilitate the abiding of current laws and policies, and, if necessary, make recommendations to the government for revisions of relevant laws and policies.
- * To encourage self-discipline in member companies and coordinate between them in order to bring about closer member company relations and help improve the Association's operations.
- * To help mediate disputes among members.
- * To provide on-job training and seminars for employees at member companies.
- * To help arrange advertisements, exhibitions, and licensing for member companies.
- * To keep and update basic information on member companies, staff workers, and representatives to the Association.
- * To help arrange licensing, license changes and other relevant services for member companies.
- * To sponsor social activities for member companies and the public.
- * To protect the legal rights and interests of member companies.
- * To offer services entrusted to it by government agencies and civil organizations.
- * To participate in various social activities.
- * To provide other services and implement recommendations of member companies in accordance with relevant laws and regulations.

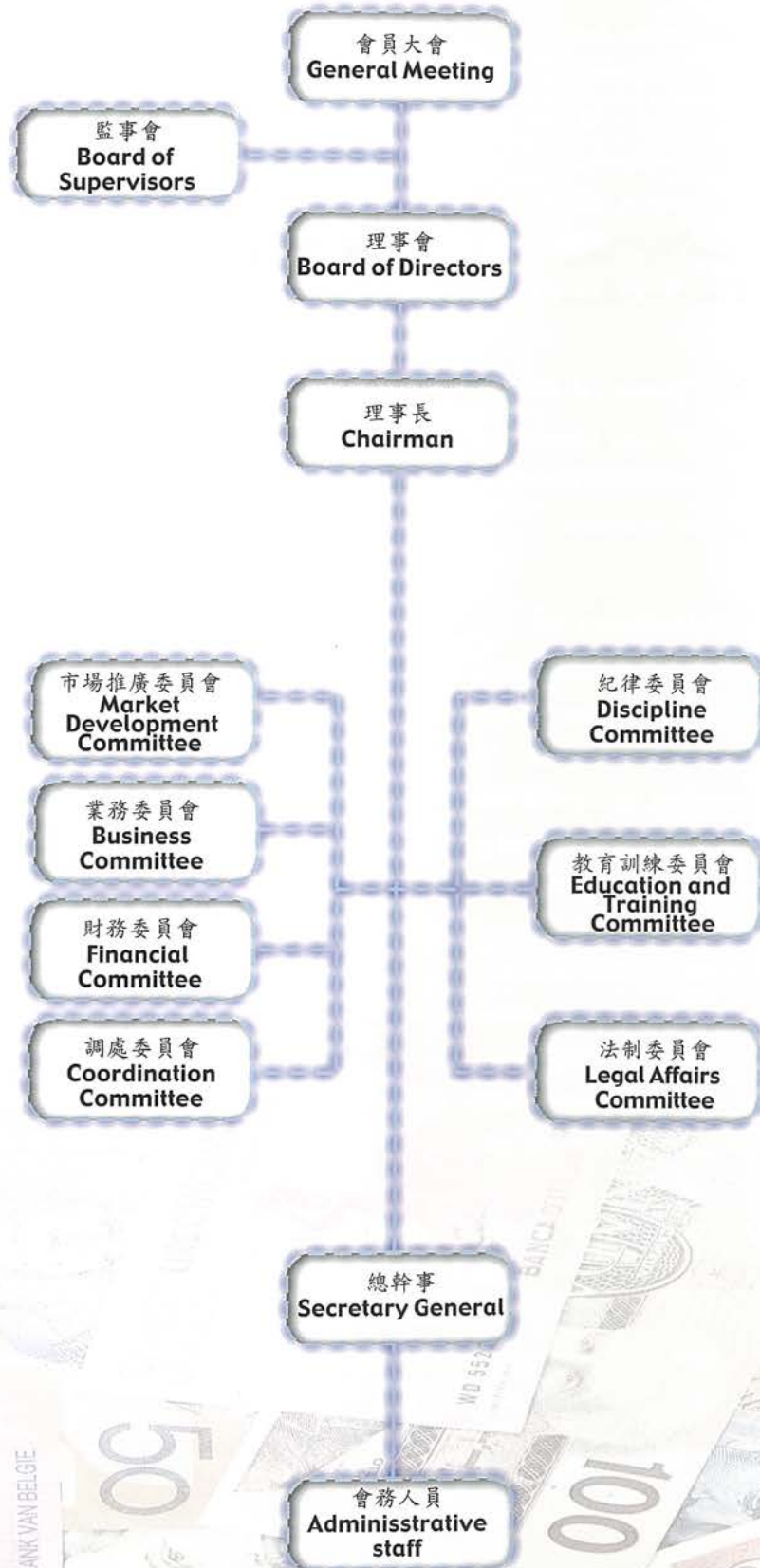


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參、公會組織系統 ORGANIZATION OF THE TFA

一、組織系統圖 ORGANIZATION CHART



二、理、監事及顧問名錄 DIRECTORS、SUPERVISORS AND CONSULTANTS

理事名錄 Directors



王中愷 理事長
大華期貨 總經理
*Steve Wang President
Grand Cathay Futures
Corp.*



糜以雍 理事
中信證券 顧問
*Falco, I-Yung Mi
Consultant
KGI Securities Co., Ltd.*



姒元忠 理事
群益期貨 總經理
*Michael Hsih President
Capital Futures Corp.*



楊金源 理事
台育期貨 董事長
*Charles Yang Chairman
Tai Yu Futures Corp.*



許瑞立 理事
安泰證券 總經理
*Jui Li Hsu President
Antay Securities Co., Ltd.*



黃重文 理事
建弘期貨 董事長
*Chung-Wen Huang
Chairman
National Futures Corp.*



滕青華 理事
太平洋證券 協理
*Ching-Hua Teng
Asst Vice President
Pacific Securities Co., Ltd.*



李敬明 理事
金鼎期貨 總經理
*Jimmy Lee President
Taiwan Internation Futures
Corp.*



章月桂 理事
聯邦期貨 總經理
*Jennifer Wei President
Union Bank Futures Corp.*

理事名錄 Directors

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謝世義 理事
國際期貨 執行董事
Henry Hsieh
Executive Director
International Futures Co.



江淵舟 理事
寶來期貨 董事長
Yuan Chou Chiang
Chairman
Polaris Futures Co., Ltd



稽成嘉 理事
鼎康期貨 總經理
Edward Chi President
Compass Futures Co., Ltd.



黃正雄 理事
日盛期貨 總經理
Thomas Huang President
Jib Sun Futures Co., Ltd.



鄭質榮 理事
新加坡商華大期貨
總經理
Vincent Cheng President
UOB Bullion & Futures
Ltd., Taiwan Branch



林孟璋 理事
協和證券 執行董事
Edward Lin
Executive Director
Concourse Securities Co.,
Ltd.



蔡銘源 理事
永昌綜合證券 主任秘書
Ming Yuan Tsai C.A.O.
Entrust Securities Co., Ltd.



劉裕仁 理事
三陽期貨 董事長
Yu-Jen Liu Chairman
Sun Futures Co., Ltd



鄧序鵬 理事
康和期貨 董事長
Paul Teng Chairman
Concord Futures Corp.

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理事名錄 Directors



楊惠倫 理事
太祥綜合證券 協理
Hui Lun Yang
Asst Vice President
Taisec Securities Inc.



林茂昌 理事
台証期貨 總經理
Richard Lin President
Taiwan Futures Co., Ltd



黃睿 理事
新竹期貨 總經理
Ray R. Huang President
Hsinchu Futures Corp.



許瑞茂 理事
台灣工銀證券 總經理
Morris J. Hsu President
IBT Securities Co., Ltd.



陳明地 理事
中農證券 董事長
Te Ming Chen Chairman
Chung Noun Securities
Co., Ltd.



王棟樑 理事
菁英證券 副總經理
Tung-Liang Wang
Vice President
First Taiwan Securities Inc.



鄭文憲 理事
大和國泰證券 協理
Wen-Hsien Cheng
Asst Vice President
Daiwa Securities SMBC-
Cathay Co., Ltd.



陳錦峰 理事
亞洲證券 總經理
Chin-Feng Chen President
Asia Securities Inc.



陳秀駒 理事
復華期貨 總經理
Hsiu-Chu Chen President
Fuh-Hwa Futures Co., Ltd.

以上資料為91.3.31止，依當選票數排列。

Note: The information above is as of March 31, 2002. The directors are in the order of votes.



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監事名錄 Supervisors



賀鳴珩 監事召集人
瑞富羅盛豐期貨董事長
*Ted Ho Chairman
REFCO Taiwan Co., Ltd.*



王洪正 監事
世華期貨總經理
*Justin Wang President
Seaward Futures Corp.*



卓必靖 監事
經理
大慶證券 經理
*Allen Cho Manager
Ta Ching Securities Co.,
Ltd.*



江雲松 監事
國票聯合證券 協理
*Yun Shung Chiang
Asst Vice President
IBU, Securities CO., Ltd.*



謝志忠 監事
日商聯貿期貨 副總經理
*Chih-Chung Hsieh
Vice President
Nihon Unicom Corp.
Taipei Branch*



王鐘靖 監事
美商愛德盟期貨 總經理
*Philip K.C. Wang
President
A.D.M. Investor Services,
Inc., Taiwan Branch*



葉一豐 監事
美商曼氏期貨 總經理
*Eric Yeh President
Man Financial Inc.
Taiwan Branch*



陳添富 監事
永全證券 經理
*Tien Fu Chen Manager
Yung Chuan Securities Co.,
Ltd.*



蔡鎮村 監事
群益期貨 副總經理
*James Tsai Vice President
Capital Futures Corp.*

以上資料為91.3.31止，依當選票數排列。
Note: The information above is as of March 31, 2002.

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顧問名錄 Consultants



朱士廷 顧問
 中信期貨 董事長
 Stanley Chu Chairman
 KGI Futures Co., LTD.



李文興 顧問
 元大京華期貨 總經理
 Wen-Shing Lee President
 Yuanfa Core Pacific Futures
 Services Co., LTD.



張永京 顧問
 德華投資顧問管理
 總經理
 Eugene Y. Cheng President
 CHINA IAM Corporation

以上資料為91.1.30止。

Note: The information above is as of January 30, 2002.



三、組織與執掌

(一)、會員大會

由本會會員公司指派代表出席本會（專營期貨經紀或自營業務之期貨公司三席，非專營期貨經紀或自營業務之公司一席），組成會員大會，為本會最高權利機關。

本會截至2002.03.31止計有專營期貨商三十家，兼營期貨商二十三家，期貨交易輔助人九十七家，會員公司共計一百五十家（不含停業清算中會員），會員代表共計二百一十位。

其職權如下：

1. 選舉、罷免理事、監事。
2. 章程之訂定與修改。
3. 核定年度工作計劃綱要、經費預算、決算及事業計劃。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他依職責應辦之事項。

(二)、理事會

本會置理事廿七人，組織理事會為常設機構，亦是本會最高執行機關。其中一人為理事長，對外代表本會。

理事會之職責如下：

1. 召開會員代表大會並執行其決議。
2. 選舉、罷免理事長。
3. 通過會員入會、退會及註銷會員代表，會員會籍。
4. 編擬年度工作計畫綱要、經費預決算及事業計畫。
5. 本會會務工作人員及顧問，研究員之遴聘、解聘及升遷、獎懲。
6. 辦理監事移送執行案件。
7. 議決理事、理事長之辭職。
8. 執行不繳納會費之處分。
9. 執行法令及章程所規定事項。
10. 其他依職責應辦之事項。



III. Organization and responsibilities

(a) General meeting

The general meeting is the highest policy-making body of the Association, participated in by representatives of member companies. A futures company, specialized in either futures brokerage or futures trading, can appoint three representatives, and any securities firm with a futures division can appoint one representative to the general meeting.

Of the 150 total member companies as of March 31, 2002, 30 were futures companies, 23 were securities firms with a futures division, and 97 were intermediary brokers. The member companies excluded the ones which have suspended operations or are liquidating their assets for ceasing operations. The 150 member companies together send a total of 210 representatives to the meeting.

Major duties of the meeting:

1. Elect or dismiss directors and supervisors.
2. Set and revise the Association charter.
3. Approve the Association's working schedule, budget plan, and other major projects.
4. Review decisions proposed by the board of directors, supervisors, and member companies.
5. Discipline member companies and their representatives.
6. Dispose of the properties of the Association.
7. Make decisions on liquidation of member company's properties and appoint members to take charge of the procedures.
8. Approve proposals for resignations of certain directors or supervisors.
9. Approve membership, annual and business fees of the members as well as other donations to the Association.
10. Carry out other duties falling within its charter.

(b) Board of directors

The board of directors, composed of 27 directors, is a high-profile body with the highest executive power of the Association. The chairman, elected from the 27 directors, is the representative of the Association.

The duties of the board are :

1. To call for general meetings of the Association's members and execute the decisions reached during those meetings.
2. To elect or dismiss the chairman.
3. To approve applications for new membership, membership withdrawal, revocation of membership and member representatives.
4. To work out the annual working schedule, budget plan and other major projects.
5. To appoint or dismiss staff workers, advisors and researchers of the Association, and approve proposals for their promotion or penalties.
6. To implement decisions made by the supervisors.
7. To approve resignations from the chairman or directors.
8. To levy penalties on members failing to pay annual fees.
9. To oversee compliance with futures-related regulations and the Association's charter.
10. To perform other duties within its charter.

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(三)、監事會

本會置監事九人，設置監事召集人一人，組織監事會，為本會之監察機關。

其職責如下：

1. 監察理事會執行會員大會決議案。
2. 監察理事會會務業務執行情形。
3. 監察理事會財務收支情形。
4. 審核年度預決算，向理事會提出書面審核意見，提報大會通過或追認。
5. 向會員大會提出年度監察報告。
6. 議決監事、常務監事之辭職。
7. 監督會員履行義務。
8. 其他依職責應辦之事項。

(四)、委員會

本會設有業務委員會、法制委員會、市場推廣委員會、紀律委員會、教育訓練委員會、財務委員會、調處委員會等七個委員會，分別推動各項工作。

* 業務委員會

- * 職 掌：(1)有關期貨業務之發展、聯繫、協調、改進事項。
(2)有關期貨投資人服務事項。
(3)有關編擬年度工作計畫事項。
(4)其他有關期貨業務之處理事項。

* 法制委員會

- * 職 掌：(1)有關各項業務之相關法令、規章之蒐集與整理事項。
(2)有關新種業務之相關法令、規章之研究與分析事項。
(3)有關會員及投資人相關規章、契約之諮詢與協助事項。
(4)有關國內外相關行業之法令、規章、契約之蒐集與比較事項。
(5)協助其他各委員會有關法制事宜與專案事項之研究。

* 市場推廣委員會

- * 職 掌：(1)有關市場推廣方案之研議、擬訂及執行。
(2)有關國內外活動聯繫、協調及交流事項。
(3)其他有關市場推廣及公共關係之處理事項。

* 紀律委員會

- * 職 掌：(1)有關自律公約之擬訂、執行與督促會員自律事項。
(2)有關會員職業道德之規範事項。
(3)會員間或會員與投資人間紛爭事項之調處。
(4)其他有關會員紀律之處理事項。

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(c) Board of supervisors

The board of supervisors, composed of nine supervisors, is the supervisory body of the Association. Its convener is elected from the nine supervisors.

The duties of the board of supervisors are :

1. To ensure the board of directors implements the decisions made during the general meeting.
2. To ensure the board of directors adheres to Association policies.
3. To oversee compilation of the Association's financial report
4. To examine the Association's annual budget and forward its opinions to the board of directors as well as submit a revision proposal to the general meeting for approval.
5. To deliver an annual report about its operations at the general meeting.
6. To approve the resignation of supervisors and standby supervisors.
7. To ensure member companies fulfill their obligations and duties.
8. To carry out other duties within its charter.

(d) Committees

The Association is comprised of seven committees that take charge of the Association's business, legal, market development, disciplinary, education & training, financial, and coordination affairs.

The duties of each committee are :

*** Business Committee**

- Duties:
1. Develop, coordinate and improve futures-related businesses.
 2. Provide relevant services to futures investors.
 3. Define the committee's annual working schedule.
 4. Deal with other futures-related issues.

*** Legal Affairs Committee**

- Duties:
1. Gather and organize futures-related regulations and policies.
 2. Do research and analysis on futures-related regulations and policies.
 3. Provide consulting and other assistance to help member companies and investors solve their legal or contract problems.
 4. Gather relevant information about domestic and foreign futures markets and make comparison of contract specifications and legal systems of different countries.
 5. Assist other committees in researching legal affairs and special projects.

*** Market Development Committee**

- Duties:
1. Create and implement market development proposals.
 2. Arrange promotional activities, coordinating the efforts of relevant domestic and international institutions.
 3. Deal with other market development and public affairs issues.

*** Discipline Committee**

- Duties:
1. Set self-discipline principles for member companies and monitor member companies to make sure the principles are not violated.
 2. Define work ethics and morals for member companies.
 3. Mediate conflicts between member companies or between member companies and their customers.
 4. Deal with other discipline-related issues.

教育訓練委員會

- * 職 掌：(1)辦理期貨從業人員職前及在職訓練事項。
- (2)有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
- (3)有關出版刊物之編輯事項。
- (4)有關期貨投資宣導事項。
- (5)其他有關教育訓練、考試及核發證照之處理事項。

財務委員會

- * 職 掌：(1)有關會費、事業費、及經費籌措、管理與運用之研議事項。
- (2)有關財務之稽核事項。
- (3)有關預算、決算之編擬事項。
- (4)其他有關財務事宜之處理事項。

調處委員會

- * 職 掌：(1)調處會員間因期貨交易所生之爭議。
- (2)調處會員與投資人間因期貨交易所生之爭議。
- (3)調處會員與期貨交易所與期貨結算機構因期貨交易或結算所生之爭議

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*** Education & Training Committee**

- Duties:
1. Provide on-job training for employees at futures companies.
 2. Arrange speeches, lectures, visits, entertainment and sporting activities.
 3. Edit and publish futures-related documents.
 4. Arrange futures trading promotional campaigns.
 5. Provide other educational, training and related services to help people pass examinations and gain licenses.

*** Financial Committee**

- Duties:
1. Draft proposals about annual and business fee collection as well as other Association budget appropriation and management issues.
 2. Audit the Association's various income and expense reports.
 3. Create budgets for various projects and activities.
 4. Deal with other financial issues.

*** Coordination Committee**

- Duties:
1. Mediate conflicts between member companies and the Taiwan Futures Exchange (TAIFEX).
 2. Mediate conflicts between member companies and customers.
 3. Mediate clearing disputes between member companies, the TAIFEX and futures clearing institutions.



肆、會業務報告

一、會務概況

(一) 定期召開理事、監事會議及會員大會如下：

1. 九十年一月十八日於本會會議室召開第二屆理監事第廿一次聯席會議。
2. 九十年四月十一日於本會會議室召開第二屆理監事第廿二次聯席會議。
3. 九十年五月十五日於本會會議室召開第二屆理監事第廿三次聯席會議。
4. 九十年六月五日假來來飯店金龍廳召開第三屆第一次會員大會並選舉第三屆理事、監事及召開第三屆理監事第一次聯席會議，票選大華期貨經紀(股)公司王總經理中愷為本會第三屆理事長，瑞富羅盛豐期貨(股)公司賀董事長鳴珩為本會第三屆監事召集人，並舉行新、舊任理事長交接典禮。
5. 九十年六月廿一日假永福樓餐廳召開第三屆理監事第二次聯席會議並舉行新、舊任理事、監事交接典禮。
6. 九十年八月廿一日於本會議室第三屆理監事第三次聯席會議。
7. 九十年十月九日於本會議室第三屆理監事第四次聯席會議。
8. 九十年十一月十五日於本會議室第三屆理監事第五次聯席會議。
9. 九十年十二月廿八日於本會議室第三屆理監事第六次聯席會議。

(二) 研議各項期貨業務與法規如下：

1. 商議台灣期貨交易所研擬「有價證券抵繳保證金作業要點(草案)」。
本會於九十年二月十四日(九〇)期商字第〇〇四三號函致期交所建請卓參。
2. 建請證期會開放期貨自營商之自有資金得進行證期會已公告開放之國外期貨交易。本會於九十年三月二十一日(九〇)期商字第〇〇七七號函致證期會建請卓參。
3. 有關期交所「期貨商、結算會員申請復業、恢復結算交割業務處理程序(草案)」。本會於九十年五月二十三日(九〇)期商字第〇一五六號函致期交敬表同意。



IV. Association operations

a. Outline of operations in 2001:

(1) Hold joint meetings of directors and supervisors regularly as follows:

1. On January 18, the Association's second board of directors and the second board of supervisors held their 21st joint meeting in the Association's conference room.
2. On April 11, the second board of directors and the second board of supervisors held their 22nd joint meeting in the Association's conference room.
3. On May 15, the second board of directors and the second board of supervisors held their 23rd joint meeting in the Association's conference room.
4. On June 5, the representatives of the Association's member companies for the third term held their first general meeting to select the directors and supervisors. Steve Wang, president of Grand Cathay Futures Corp., was elected as chairman of the third board of directors and Ted Ho, President of REFCO Taiwan Co., was elected convener of the third board of supervisors. Both Wang and Ho assumed the new posts during the first joint meeting of the third board of directors and supervisors, held following the general meeting.
5. On June 21, the third board of directors and the third board of supervisors held their second joint meeting during which the new directors and supervisors assumed their new posts.
6. On August 21, the third board of directors and the third board of supervisors held their third joint meeting.
7. On October 9, the third board of directors and the third board of supervisors held their fourth joint meeting.
8. On November 15, the third board of directors and the third board of supervisors held their fifth joint meeting.
9. On December 28, the third board of directors and the third board of supervisors held their sixth joint meeting.

(2) Proposals for new business and regulation revisions in 2001:

1. On February 14, the Association filed a recommendation letter to the Taiwan Futures Exchange (TAIFEX) for revision of regulations on the use of securities in lieu of cash deposits. (File No. 0043)
2. On March 21, the Association filed a recommendation letter to the Securities and Futures Commission (SFC), asking for permission for specialized futures trading companies to trade SFC-approved foreign futures with their paid-in capital. (File No. 0077)
3. On May 23, the Association filed a letter to the TAIFEX to express its support for a TAIFEX-drafted proposal for the resumption of futures brokerage and transaction settlement activities by futures companies and clearing companies. (File No. 0156)

期



4. 研議期交所「股票選擇權選股標準及契約規格（草案）」。
彙總本會各專營、兼營期貨商之意見，本會於九十年八月二十八日（九〇）期商字第〇二九一之一號函致期交所卓參。
5. 研議期貨商停業或終止營業時，若該期貨商有從事國外期貨交易業務部分之應處理程序。本會於九十年八月二十八日（九〇）期商字第〇二九一號函致證期會卓參。
6. 討論本會與證交所及期交所建立一通報系統，由證交所及期交所將市場違約戶之相關基本資料交由本公會彙整建檔後，以電子郵件之方式，通報本會所屬會員公司。
本會於九十年十月九日第三屆理監事第四次聯席會議決議通過。
7. 建議修正部分「金融控股公司及其子公司自律規範（草案）」。
本會於九十年十一月二十六日（九〇）期商字第〇四四四號致函建議中華民國銀行商業同業公會全國聯合會。財政部於九十年十月三十日台財（一）字第〇九〇〇〇〇七五二四號函九十年十一月一日生效實施。
8. 研擬本會會員廣告辦法乙案。
經本會第三屆理監事第四次聯席會通過，並於九十年十月十七日（九〇）期商字第〇三六八號函致證期會核備後實施。
9. 研擬全權委託投資買賣期貨開戶暨受託契約範本草案供會員公司參考。
本會於九十年十一月二十六日（九〇）期商字第〇四二四號函行文本會各會員公司及中華民國證券投信投顧商業同業公會卓參。
10. 修正「防制洗錢注意事項範本，增列疑似洗錢之態樣」。
本會於九十年十一月二十六日（九〇）期商字第〇四四五號函報財政部核備。

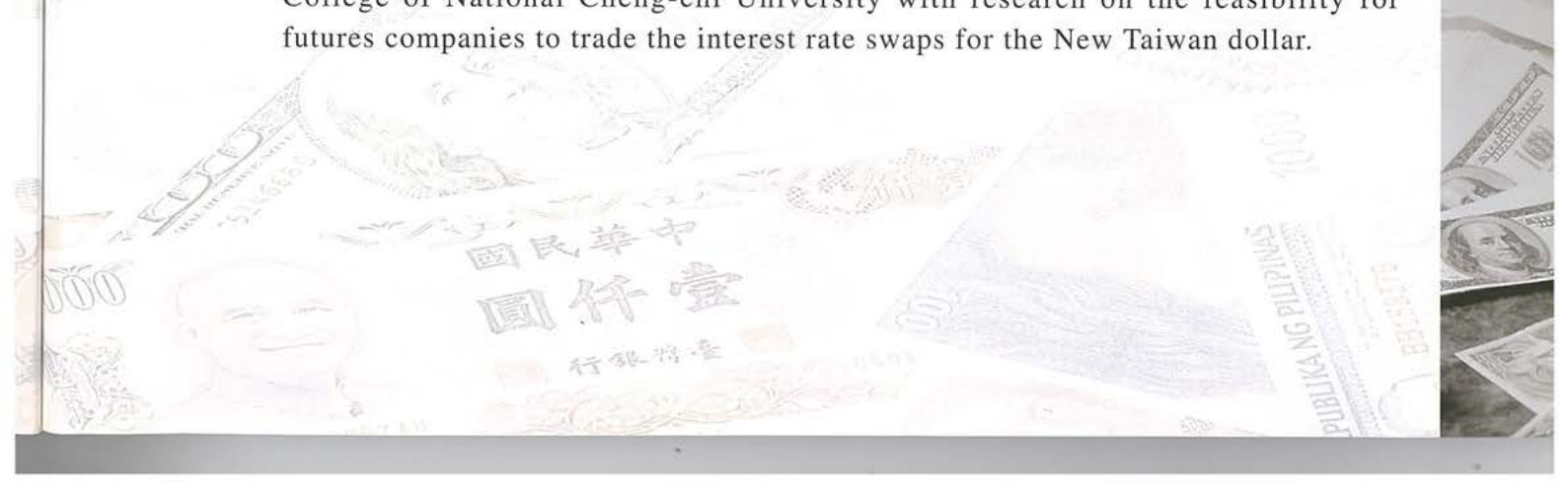
（三）期貨業務研究發展事項

1. 為穩健發展本土期貨，建請調降期交稅乙案。於八月三十一日與期交所委請學者專家吳壽山教授提出研究報告，作為支持調降期交稅之依據。
2. 「期貨商經營新台幣利率交換業務之可行性分析」乙案，委託國立政治大學管理學系研究。（經十一月十五日本會第三屆理監事第五次聯席會通過辦理）。

4. On August 28, the Association filed a letter to the TAIFEX to express member companies' opinions about TAIFEX-drafted contract specifications and share-selecting criteria for the proposed stock options. (File No. 0291-1)
5. On August 28, the Association filed a letter to the SFC regarding procedures for dealing with the foreign futures investments of a futures company ceasing or suspending operations. (File No. 0291)
6. On October 9, the fourth joint meeting of the third board of directors and the third board of supervisors passed a proposal for forging a connection between the information systems of the Association, the Taiwan Stock Exchange (TSE) and the TAIFEX. With the connected systems, the Association would have easy access to past transaction default records of the investors and the TSE and TAIFEX could e-mail the latest information about any new transaction default cases to the Association's member companies at the earliest time.
7. On November 26, the Association filed a letter to the Bankers Association of the Republic of China, recommending a revision to the self-discipline regulations for financial holding companies and their subsidiaries. (File No. 0444) The Ministry of Finance (MOF) promulgated the regulations on October 30, which became effective on November 1, 2001. (Refer to the MOF's official letter, No. 0900007524)
8. On October 17, the Association notified the SFC of its advertising regulations for member companies, approved during the fourth joint meeting of the third board of directors and the third board of supervisors. (File No. 0368)
9. On November 26, the Association notified each member company and the Securities Investment Trust & Consulting Association of the standard contract pattern it proposed for discretionary account managers to open futures trading accounts at futures companies. (File No. 0424)
10. On November 26, the Association filed a letter to the Ministry of Finance (MOF), recommending that several additional practices suspected of being used for money laundering be further regulated. (File No. 0445)

(3) R&D projects for 2001

1. On August 31, professor Shou-shan Wu completed a research report on the feasibility of boosting futures transactions by cutting the futures transaction tax. Wu was entrusted by the Association and the TAIFEX to do the research. The Association will use the report as reference for recommending the government to cut futures transaction tax.
2. On November 15, the fifth joint meeting of the third board of directors and the third board of supervisors passed a proposal to entrust the Business Management College of National Cheng-chi University with research on the feasibility for futures companies to trade the interest rate swaps for the New Taiwan dollar.



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(四) 修改本會章程及相關規章如下：

1. 修改本會章程第二十五條：有關本會監事會置監事召集人一人。經九十年六月五日第三屆第一次會員大會通過修訂。
2. 修改本會組織規則第二條第二款：「各委員會設委員五至十人及秘書一人，由各召集人就會員之幹部中遴選，並提報理事會備查。」修改為：「各委員會設委員五至十人及秘書一人，由各召集人就會員遴選，並提報理事會備查。」及第三款增設調處委員會。本會於九十年八月二十八日（九〇）期商字第〇二九三號函報社政主管機關核備實施。

(五) 宣傳期貨業務

製作「求職新陷阱及期貨金光黨」，針對邇來民眾檢舉市場上有公司行號假借招募員工為名，詐騙求職民眾涉嫌違法經營期貨交易業務，致使民眾不僅失業，又發生鉅額損失。為加強宣導民眾從事期貨交易或求職時切勿受騙，製作宣傳海報廣為宣導。

本會於九十年九月二十日起發送本會各會員公司進行張貼。

(六) 期貨商稽核人員聯誼會之發起

本會於九十年十月三十一日邀請各期貨商稽核人員召開期貨商稽核人員聯誼會籌備會，會中決定由日盛、中信、元富、元大京華、統一、寶來等七家期貨公司之稽核人員擔任發起人，組織期貨商稽核人員聯誼會。

(七) 本會榮獲「八十九年度工商暨自由職業團體評鑑為甲等」

台北市政府社會局公開表揚本會八十九年度會務運作良好，專業運作積極，評鑑結果列為甲等。（依八十九年十二月六日北市社一字第八九二八五八五三〇一號函）。

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注意
保障您的權益
請找合法期貨商為您服務

期貨金光黨

非法期貨公司以「高佣金」的方式招募員工，誘以隱晦詐騙，代客從事外匯交易，造成客戶重大損失，甚至血本無歸，一旦發現非法期貨業者，可向本會或相關檢調單位檢舉

合法期貨商資料：請至本會網站 www.afc.gov.tw
或電 (02)87737303 台北市期貨商業同業公會查詢

國外法人機構
運用指數期貨與選擇權避險實務研討會

主辦：台北期貨商業同業公會
協辦：中華民國期貨商業同業公會、中華民國證券商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會

主講：Mr. Chi Wen Hsu (信託專業師)
Mr. John Pennington (信託專業師)
Mr. John Pennington (信託專業師)
Mr. John Pennington (信託專業師)

日期：89年12月17日(週五)
時間：09:00-17:00(共8小時)
地點：信託商業同業公會會議室(104)
費用：每人2500元(含稅、午餐、茶點)

主辦：台北期貨商業同業公會
協辦：中華民國期貨商業同業公會、中華民國證券商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會、中華民國信託商業同業公會

日期：89年12月17日(週五)
時間：09:00-17:00(共8小時)
地點：信託商業同業公會會議室(104)
費用：每人2500元(含稅、午餐、茶點)

(4) Revisions to the Association Charter and relevant regulations in 2001:

1. A revision to Chapter 25 of the Association's Charter for setting a convener for the board of supervisors. The revision was passed during the first general meeting of the third-term representatives of member companies on June 5.
2. A revision to the second item of Article 2 of the Association's organizational regulations. The old article read: "Each committee is composed of five to ten members and one secretary. The head of each committee names the members for his or her committee from the members who hold certain positions at the Association, and submits a copy of the member list to the board of directors." The revision reads, "Each committee is composed of five to ten members and one secretary. The head of each committee names the members for his or her committee from the Association's members and submits a copy of the member list to the board of directors." A revision to Article 3 of the Association Charter for setting the Coordination Committee. The Association filed a report about the revisions to the Ministry of the Interior on August 28. (File No. 0293)

(5) Promotion of the futures business

Regarding an increasing number of complaints that some companies misled the public by publishing unrepresentative help-wanted advertisements, actually asking the newly-recruited employees to do illegal futures trading. When the deceived persons finally found that they did not take the jobs as advertised, they had already suffered serious losses from illegal futures trading. To prevent the public from being cheated and to advocate correct futures trading practices, the Association created a poster to urge the public to be aware of the traps. On September 20, it distributed copies of the poster to member companies for public posting in their offices.

(6) Establishment of an association of auditing staff at futures companies

The Association invited auditing staff workers at member companies to attend a preparatory meeting for founding an association of the auditors at futures companies. During the meeting, the auditors of Jih Sun Futures, CS Futures, MasterLink Futures, Yuanta Core Pacific Futures, President Futures, and Polaris Futures were named as the initial founders of the association.

(7) Honored as one of the best professional associations

The Association was honored by the Civil Affairs Department of the Ministry of the Interior as one of the best professional associations for 2000 due to its well-organized operations and enthusiasm in promoting futures-related businesses. (Refer to Civil Affairs Department letter, No. 8928585301, December 6, 2000)



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二、合辦或協辦各項業務推廣活動

為活絡期貨市場及新期貨商品推出，進而凝聚同業交流與推廣，本會於九十年度舉辦之活動如下：

(一) 台股指數套利交易研習班

為因應期貨及證券從業人員之業務需要，於四月二十三、二十五日假本會大會議室舉行，敬邀金融市場專家尚保羅博士講授，有關套利交易介紹及如何進行套利交易等課程。

(二) 兼營期貨商業務座談會

針對兼營期貨商是否可承作期貨選擇權及個別結算會員是否需信用平等，於七月十九日假本會大會議室舉行，邀請各兼營期貨商負責人共同研商。

(三) 舉辦美國芝加哥(CBOE)選擇權交易策略與實務研討會

由本會與美國芝加哥選擇權交易所(CBOE)及美商曼氏期貨股份有限公司台灣分公司聯合舉辦，於八月二日及三日分別於新竹科學園區科技生活館、台北遠東國際大飯店邀請投信投顧業、銀行壽險業、期貨業、證券業、科技半導體業參加，講授選擇權與個股股票期貨介紹、選擇權交易策略與操作實務。

(四) 召開活絡期貨市場座談會

為活絡、健全期貨市場，立法委員賴士葆與本會特邀請財政部官員及業者於九月十一日假立法院請願接待室召開活絡期貨市場座談會，針對期交稅是否影響期貨市場之發展、國際競爭優勢，以及為健全期貨市場，期貨服務事業是否儘速開放...等相關議題進行座談。

(五) 舉辦加入WTO後期貨業影響-從金融控股公司法談起專題講座

為提升我國金融業加入WTO後，能持續具有優勢競爭能力，本會於九月十二日假台北世貿展覽館舉辦「加入WTO後期貨業影響-從金融控股公司法談起」專題講座，邀請理律法律事務所劉紹樑及張宏賓律師主講，其內容包括：因應加入WTO後我國金融市場之改革、入會後對我國證券業及期貨業之影響、金融控股公司法及金融機構併合法對證券、期貨業之影響等，希望能藉此次講座為同業引進新的觀念，因應未來發展趨勢。



b. Activities for futures business promotion in 2001

The Association arranged or sponsored a variety of seminars and other activities to help vitalize the futures market, boost transactions in new futures-related products as well as promote interaction among member companies. The following are the major activities for 2001:

(1) Training program for making profits from Taiex index futures trading

The program, composed of two courses on April 23 and 25, was arranged to satisfy increasing demand from employees at futures companies to learn the skills for hedging risks and arbitraging profits from index futures transactions. Dr. Paul Shang was the lecturer of the two-day course, held in the conference room of the Association.

(2) Seminar on the futures business of securities firms

The seminar, held in the Association's conference room on July 19, was arranged to discuss the qualifications of a securities firm's futures division for providing the brokerage services for options trading, and whether credit-ratings are necessary for a futures settlement institution. The seminar was participated by the chief executive officers of the securities firms operating futures businesses.

(3) Conference on trading CBOE options

The conference was co-sponsored by the Association, the U.S. Chicago Board Options Exchange (CBOE), and ED & F International Inc. Taiwan Branch, to introduce futures, options and relevant trading strategies. The conference was held in two sessions, in Hsinchu Science-based Industrial Park on August 2 and in Taipei on August 3. The Conference was joined by 433 participants from securities investment trust companies, securities investment consulting firms, banks, insurance companies, futures companies, securities firms, and high-tech companies.

(4) Seminars on vitalizing the futures market

The Association held a seminar, with the support of Legislator Shyh-bao Lai, in a meeting room of the Legislative Yuan on September 11, inviting officials from the Ministry of Finance (MOF) to talk about the government's policies for boosting futures market transactions. They also discussed with participants about how a cut in futures trading taxes would influence market performance, how to strengthen the international competitiveness of Taiwan's futures market, how to advance market operations, and other relevant topics.

(5) Lectures on the impact of Taiwan's WTO accession

To help enhance the international competitiveness of Taiwan's financial market after Taiwan joined the WTO, the association invited Shao-liang Liu and Hung-ping Chang, two senior lawyers with Lee & Li Attorneys-at-Law, to speak on the impact of Taiwan's WTO accession on the domestic financial market. They spoke on several sub-topics such as how to reform the financial market after Taiwan joins the WTO, the impact of WTO accession on Taiwan's securities and futures industries and the influence of a financial holding company law and corporate merger law on securities and futures companies. The lectures provided member companies with the latest market information and helped them catch up with market trends.

(六) 辦理「國外法人機構運用指數期貨與選擇權避險實務研討會」

為期使國內法人機構交易期貨空間擴大，特邀請瑞銀華寶證券具有實務經驗之國外專家，講授法人機構如何運用指數期貨與選擇權避險；本會於十二月四日及五日假外貿協會台北國際會議中心舉辦「國外法人機構運用指數期貨與選擇權避險實務研討會」，邀請期貨及證券自營商、投資信託公司、銀行、壽險業、基金經理人、投顧分析人員及社會大眾參加。

三、辦理教育訓練

本會依期貨商負責人及業務管理規則第十一條規定，辦理在職訓練課程如下：

(一) 在職訓練高階經理人班：

1. 第一季：九十年二月二日及三日假陽明山中國麗緻大飯店舉行，會員公司高階主管二十二名報名參加。
2. 第二季：九十年五月四日及五日假陽明山中國麗緻大飯店舉行，會員公司高階主管二十二名報名參加。
3. 第三季：九十年十月十六日至二十日舉辦韓國KSE選擇權市場考察團，會員公司高階主管二十三名報名參加。
4. 第四季：九十年十一月二十四日及二十五日假烏來鄉那魯灣溫泉渡假飯店舉行，會員公司高階主管三十六名報名參加。



(二) 在職訓練業務人員、交易結算、稽核人員班：

為配合會員公司本會分別於北、中、南各地區舉辦班次如下：

1. 台北地區：九十年度開班共計四十班，業務人員參訓人數為二九八一人，合格人數為二九六〇人。
2. 桃園地區：九十年度開班共計四班，業務人員參訓人數為二七一人，合格人數為二七〇人。
3. 新竹地區：九十年度開班共計三班，業務人員參訓人數為二四八人，合格人數為二四八人。
4. 台中地區：九十年度開班共計十四班，業務人員參訓人數為八九三人，合格人數為八九三人。
5. 彰化地區：九十年度開班共計二班，業務人員參訓人數為一八三人，合格人數為一八三人。
6. 梧棲地區：九十年度開班共計一班，業務人員參訓人數為七十二人，合格人數為七十二人。
7. 嘉義地區：九十年度開班共計三班，業務人員參訓人數為二八三人，合格人數為二八三人。
8. 台南地區：九十年度開班共計七班，業務人員參訓人數為五〇五人，合格人數為五〇五人。
9. 高雄地區：九十年度開班共計十四班，業務人員參訓人數為九一九人，合格人數為九一五人。
10. 宜蘭地區：九十年度開班共計一班，業務人員參訓人數為六十六人，合格人數為六十六人。

(6) Conference on risk-hedging through trading of stock index options and futures

The conference, held at the Taipei International Conference Center on December 4-5, was specifically arranged for institutional investors with an interest in hedging risks associated with their securities investments by trading stock index futures and options. Lecturers at the conference were experienced investors at UBS Securities. Participants were mostly from futures companies, securities firms, securities investment trust companies, banks, insurance companies, and securities consulting firms.

c. Education and training

The Association provided several training programs for employees at futures companies in accordance with Article 11 of the futures business management act. The following are the major programs that were provided in 2001:

(1) Courses for high-ranking executives:

1. In the first quarter, courses were held at the Hotel of Landis China at Yangmingshan on February 2-3, and drew 22 participants.
2. In the second quarter, courses were held at the Hotel of Landis China at Yangmingshan on May 4-5, and drew 22 participants.
3. In the third quarter, the Association organized a delegation to visit the Korean Securities Exchange and options exchange in Korea, drawing 23 participants.
4. In the fourth quarter, courses were held at the Naluwan Resort Hotel in Wulai of the suburban Taipei area, and drew 36 participants.

(2) Courses for brokers, settlement staff and auditors:

The Association arranged separate training courses for employees of the futures industry in northern, central and southern Taiwan.

1. Taipei area: 40 courses for 2001, participated in by 2,981 persons, of whom 2,960 earned certificates by the end of courses.
2. Taoyuan area: 4 courses for 2001, participated in by 271 persons, of whom 270 earned certificates by the end of courses.
3. Hsinchu area: 3 courses for 2001, participated in by 248 persons, of whom all earned certificates by the end of courses.
4. Taichung area: 14 courses for 2001, participated in by 893 persons, of whom all earned certificates by the end of courses.
5. Changhwa area: 2 courses for 2001, participated in by 183 persons, of whom all earned certificates by the end of courses.
6. Wuchi area: 1 course for 2001, participated in by 72 persons, of whom all earned certificates by the end of course.
7. Chiayi area: 3 courses for 2001, participated in by 283 persons, of whom all earned certificates by the end of courses.
8. Tainan area: 7 courses for 2001, participated in by 505 persons, of whom all earned certificates by the end of courses.
9. Kaohsiung area: 14 courses for 2001, participated in by 919 persons, of whom 915 persons earned certificates by the end of courses.
10. Ilan area: 1 course for 2001, participated in by 66 persons, of whom all earned certificates by the end of the course.

四、本會會務人員聯誼、成長活動

- (一) 定期舉行會務人員慶生會。
- (二) 九十一年一月三十日假六福皇宮舉行九十年歲末聯歡餐會。
- (三) 中華民國消防安全協會派講師於七月十二日至本會協助辦理消防安全教育宣導。
- (四) 會務人員參加商業總會於十月三日舉辦之「商業團體會務工作研討會暨觀摩活動」
- (五) 會務人員分別參加各項教育訓練課程：

日期	課程名稱	辦理單位或公司
七月廿六、廿七日	檔案管理與辦公室禮儀	專家企業管理顧問公司
八月八日、九日	人際關係與提高辦公室效率	專家企業管理顧問公司
八月廿八日	知識管理	專家企業管理顧問公司
九月七日	策略性人力資源管理	經濟部中小企業處
九月十三日	經營管理系統視窗版-薪資扣繳系統	文中資訊公司
九月十四日	經營管理系統視窗版-財務系統	文中資訊公司
九月十四日	中小企業如何建構電子化系統-客戶管理	經濟部中小企業處
九月廿一日	企業導入知識管理的成功關鍵	經濟部中小企業處
九月廿一日	企業如何有效規劃教育訓練	經濟部中小企業處
十月四日	高績效人力資源運用培訓班	經濟部中小企業處
十月五日	數位化的人力資源管理	經濟部中小企業處
十月十二日	數位行銷的分析與實務探討	經濟部中小企業處
十月十八日	職位評價與薪價設計連動關係講座	經濟部中小企業處



d. Social activities

- (1) The Association regularly held birthday celebration parties for its staff workers.
- (2) The Association held a year-end dinner party at The Westin Taipei on January 30, 2002 in celebration of the arrival of year 2002 on the Chinese lunar calendar.
- (3) Several representatives from the Fire Control Association came to the Association to speak on fire control measures.
- (4) The Association dispatched several administrative staff workers to participate in an activity held by the General Chamber of Commerce of ROC on October 3. The activity constituted a seminar on association administration and tours to several professional associations.
- (5) The administrative staff of the Association participated in several training programs in 2001 as follows:

Date	Course	Organizer
July 26-27	Document management and office manners	Expert Management Consulting Corp.
Aug.8-9	Office harmony and management efficiency	Expert Management Consulting Corp.
Aug.28	Knowledge management	Expert Management Consulting Corp.
Sept.7	Strategic management for human resources	*SMEA
Sept.13	Employee income tax management systems	Winton System Co.
Sept.14	Corporate financial management systems	Winton System Co.
Sept.14	Clientele management systems	SMEA
Sept.21	Key points in knowledge management	SMEA
Sept.21	Efficient planning of employee training	SMEA
Oct.4	Efficient management of human resources	SMEA
Oct.5	Digitized human resource management systems	SMEA
Oct.12	Internet marketing: theory and practice	SMEA
Oct.18	Work evaluation and salary determination guidelines for employees	SMEA

Note: * SEMA is the Small & Medium Enterprise Administration under the Ministry of Economic Affairs

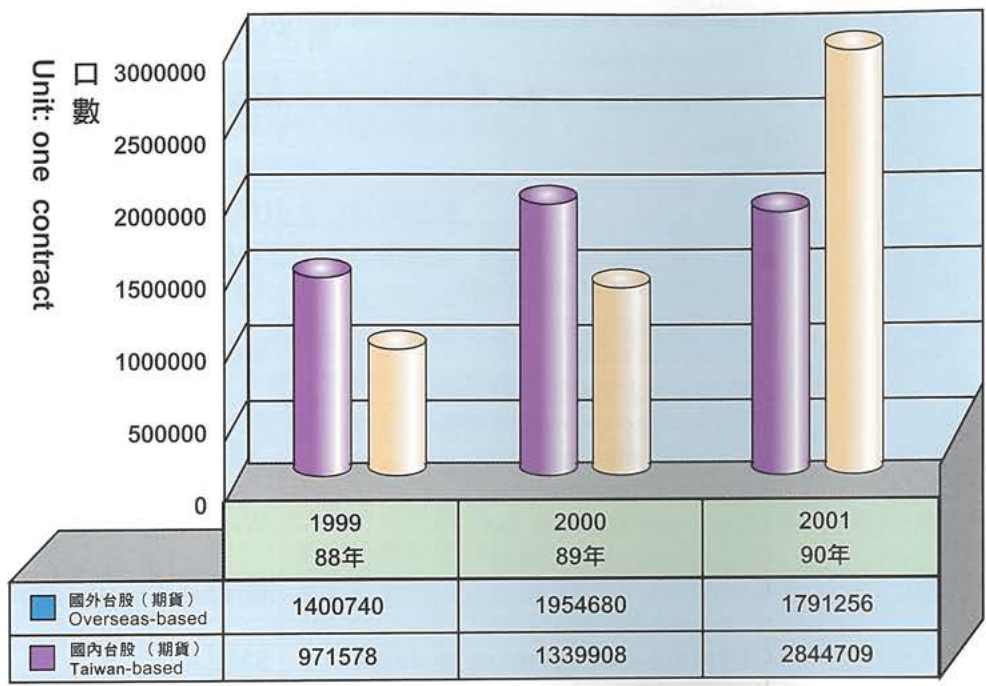
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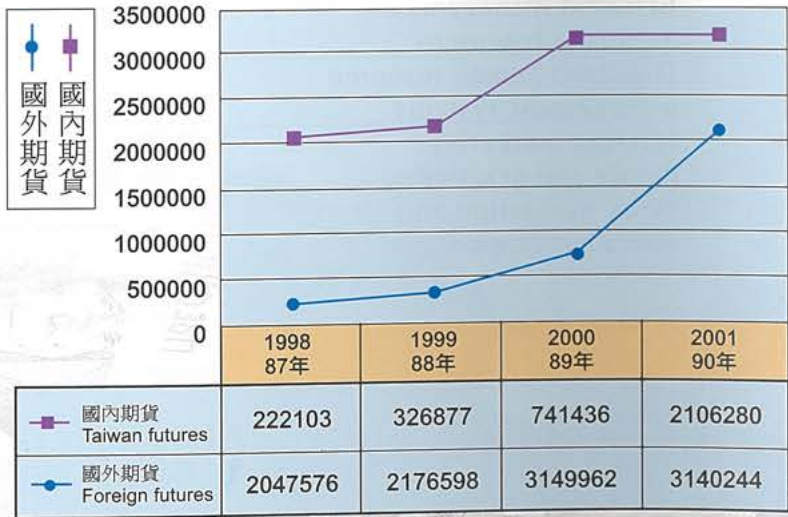
伍、台灣期貨市場概況 V、Taiwan futures market in 2001

一、國內外期貨交易量分析 I. Annual transactions

88~90年國內、國外台股指數期貨交易統計
TaieX index futures transactions, 1999-2001



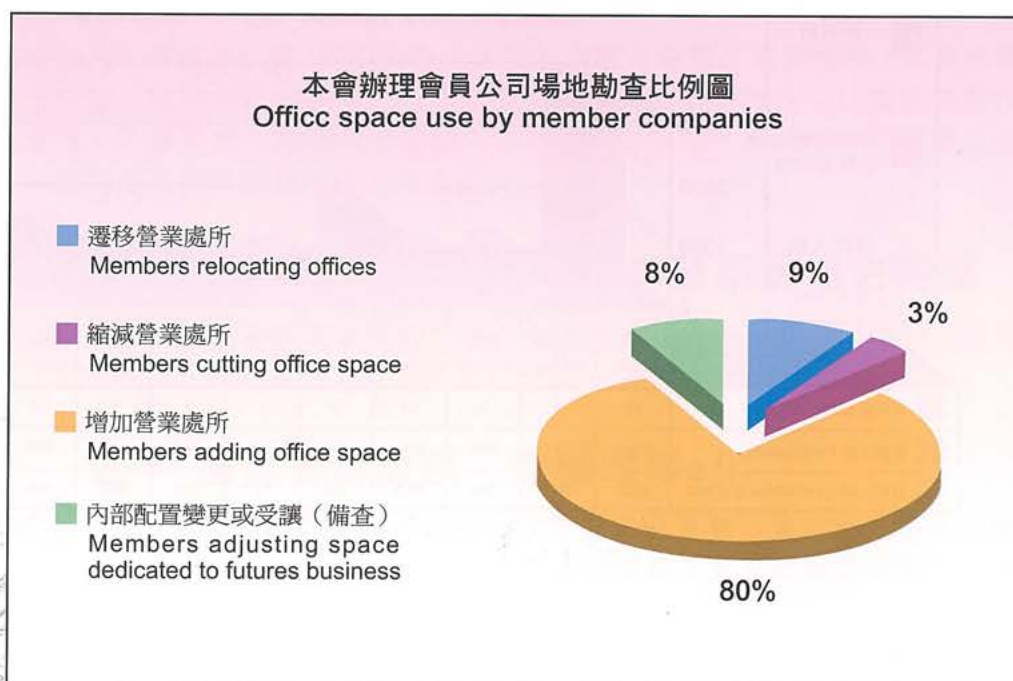
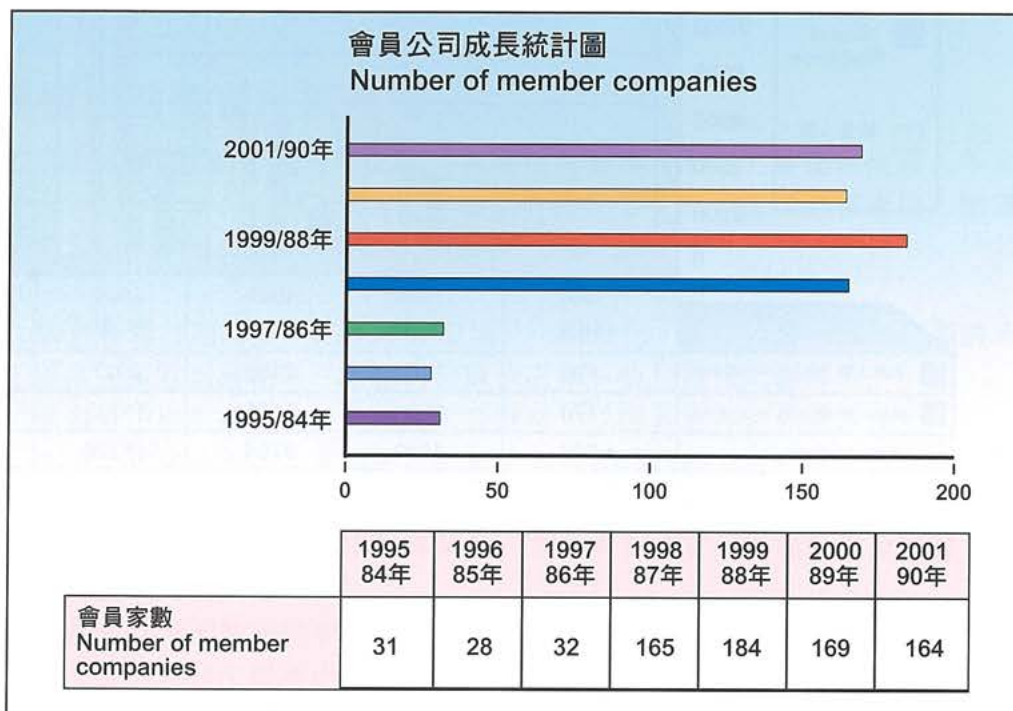
87~90年國內、國外期貨交易量統計
Market transactions, 1998-2001



附註：台灣期貨交易所於八十七年六月開業
 Note 1: Taiwan Futures Exchange (TAIFEX) was inaugurated in June 1998.
 Note 2: Taiwan futures refer to the futures launched in Taiwan, and foreign futures refer to futures launched overseas.

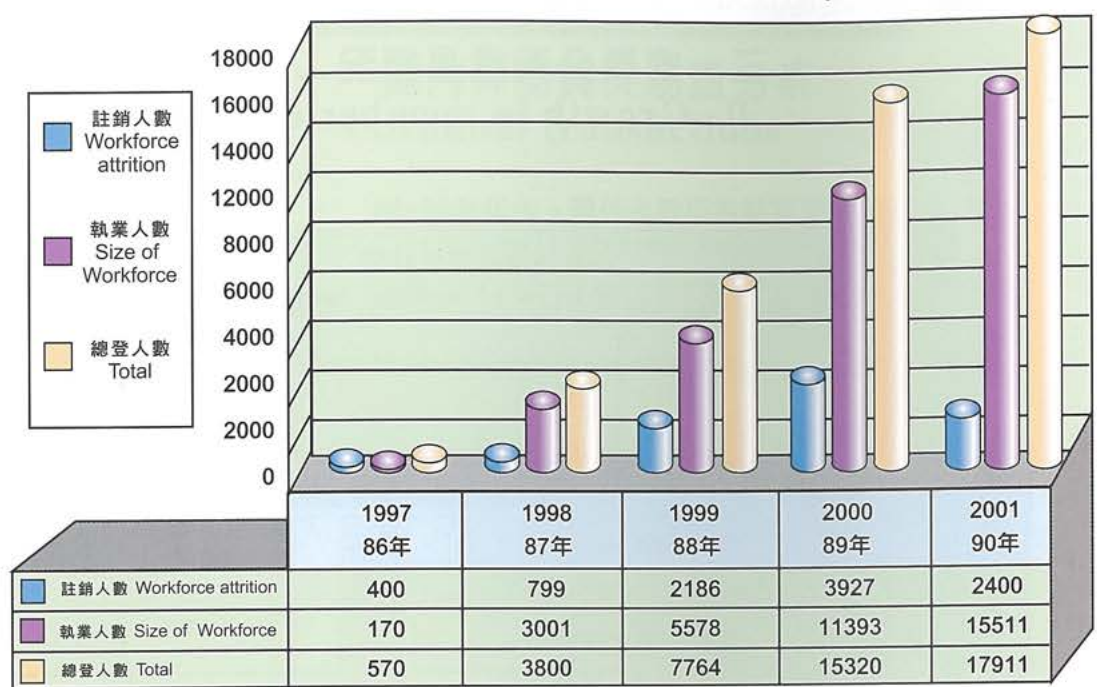
二、會員公司成長概況

II. Growth in member companies



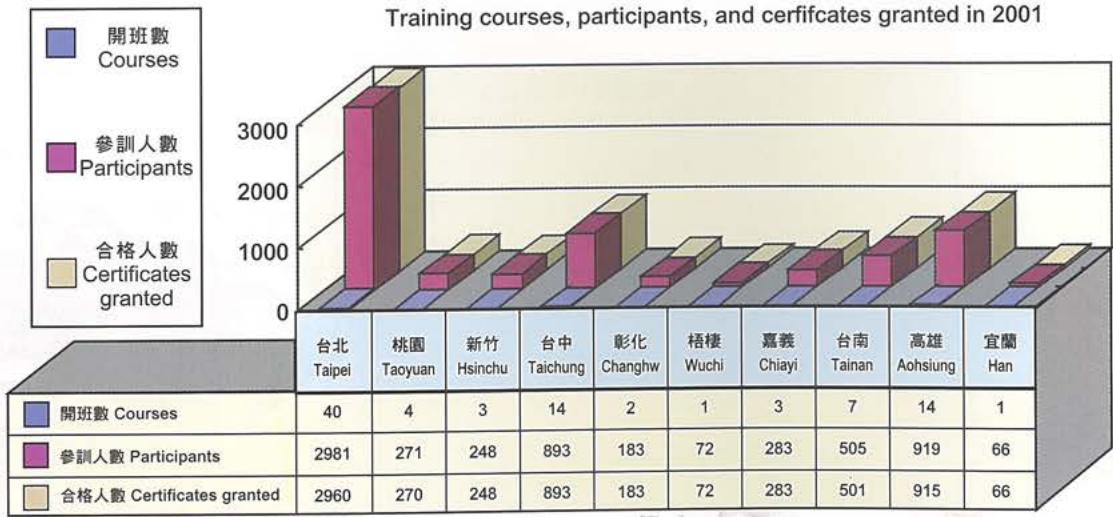
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86~90年期貨從業人員登錄統計表 (負責人及業務員)
Workforce of Taiwan's futures industry, 1997-2001



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90年度本會辦理教育訓練 (開班、參訓、合格人數) 統計圖
Training courses, participants, and certificates granted in 2001



陸、未來展望

期

在台灣加入世界貿易組織（WTO）及國內相繼通過有關金融改革的相關法令後，整個金融業界的經營環境及生態勢必產生劇烈變化。期貨業是整體金融環境中的新生代，在市場漸趨穩定成熟的過程中，未來本會的工作要點，除了擴大公會的服務功能，加強服務品質外，同時配合主管機關的政策，並積極協助業者開拓市場，以提昇期貨業界之專業形象，成為金融服務業之主流。未來一年，本會的工作要項如下：

一、積極協助業者推廣市場

由於國內衍生性的金融商品尚屬起步階段，為擴大市場，並提供投資人多元化的投資管道，必須以各種不同的方式進行宣導使社會大眾了解、接受並積極投入期貨市場。本會特擬訂下列方向，並積極展開相關作業。

1. 辦理各種專題講座：因應經營環境及市場變化，舉辦各種不同的專題講座，除了協助從業人員掌握趨勢外，並提供相關資訊給一般投資大眾參考。
2. 舉辦各項專業課程：提供從業人員進修，以提昇業界之專業素養。
3. 新商品的研究計劃：與業界共同研究新商品的開發及可行性，提供主管機關諮詢及參考，以擴大市場的利基。
4. 專業刊物的出版：定期出版專業的刊物，做為期貨發展的見證及紀錄，並可供社會大眾及從業人員參考。
5. 舉辦各類學術性研討會：因應經營環境及金融潮流的變化，不定期邀請國、內外專業人士及機構合作辦理研討會，引進新的經驗及觀念，藉以提昇業界之專業性及國際化的視野。

二、加強海峽兩岸期貨同業交流，協助業者蒐集大陸市場資訊

兩岸加入世界貿易組織(WTO)後，大陸的金融市場勢必更加開放，本會將積極了解、蒐集並研究大陸期貨市場相關之規章制度及未來發展的可行性，以提供業界作為日後發展之參考。本會將與大陸期貨市場建立良好的互動關係，協助兩岸期貨業之經驗及市場交流，爾後成為兩岸期貨市場之溝通窗口。

三、加強國外商品期貨之推展，掌握專業期貨商之利基

積極推展國外商品期貨成為國內大型企業及法人之避險管道，並成為一般投資大眾熟悉及了解的商品，確保專業期貨商經營之利基。

四、加強會員服務，會務電腦e化

本會將加強電腦相關軟、硬體設備之更新，未來所有教育訓練、證照核發及會籍登錄等資料庫，均將以更專業、更具效率之電腦化管理為之。並加強本會網站功能，所有相關訊息均將以刊載於網站上，方便業者及社會大眾查詢。



中華民國
壹仟圓
臺灣銀行



五、提昇會員之自律精神及功能

藉由教育訓練及不定期舉辦之各類活動及講座，廣為宣導期貨業應有之職業道德及工作倫理，提昇所有會員之自律精神，期以誠信原則為投資大眾服務，並秉持公平競爭之理念為業界之良性互動，樹立期貨業自律及專業之良好形象。

期貨業前景可期，如何持續不斷的精益求精為業界提供最佳服務，共謀國內期貨市場的榮景與進步，將是本會不可懈怠的努力目標。

VI. Prospects for 2002

Taiwan's accession to the World Trade Organization (WTO) and several new financial laws promulgated in 2001 will lead to dramatic changes in the domestic financial market as well as the operations of various financial institutions. People working in the futures sector are generally younger than the average financial industry worker in Taiwan. During the course of market development, they will cope with government policies for boosting the futures market in Taiwan. A major goal of the Association is to enhance its services to member companies and help them expand their business. Meanwhile, the Association is aimed at promoting a professional image of the futures industry and developing futures as one of the major sectors of Taiwan's financial market. Major projects for the Association over the coming year are as follows:

a. To help member companies expand their business

Most financial derivatives have just been introduced to Taiwan. To help boost market transactions and encourage investors to diversify their investments into these modern derivatives, the Association should use a variety of means to advocate new concepts of investment diversification to the public. The following are the major strategies for the Association in promoting financial derivatives in Taiwan:

1. To arrange relevant seminars: In reaction to the changing market and business environment, the Association will hold a variety of seminars to help the futures industry workforce to catch up with market trends as well as provide the latest investment information to the public.
2. To offer training programs: The Association will provide various training programs to the employees of futures companies to help enhance their expertise.
3. To conduct research on new financial products: The Association will cooperate with relevant academic institutions and companies in research projects on new financial products. The research reports will be sent to government officials for their reference..

4. To publish professional journals: The Association will regularly publish professional journals to track the development of Taiwan's futures market. These publications will be definitive references for both futures professionals and the public
5. To sponsor international conferences: The Association will cooperate with relevant domestic and international institutions in co-sponsoring international conferences to introduce foreign experiences, new investment concepts and professional knowledge to Taiwan.

b. To strengthen cross-strait interactions

The Beijing authority will accelerate the opening of mainland financial markets following its WTO accession which took place just weeks previous to Taiwan's. The Association will be more active in gathering information on mainland financial markets. The information will be good reference for Taiwan futures companies seeking to develop cross-strait business. The Association will develop a good relationship with its counterpart on the mainland so as to help promote closer interaction between futures companies on both sides. The Association is aimed at becoming a primary window for communications between the futures markets on either side of the strait.

c. To promote the trading of foreign futures

To secure foreign futures as a profitable niche in Taiwan's futures market, the Association will be active in introducing foreign futures as risk-hedging instruments to large enterprises and institutional investors, and gradually promote the instruments to common investors.

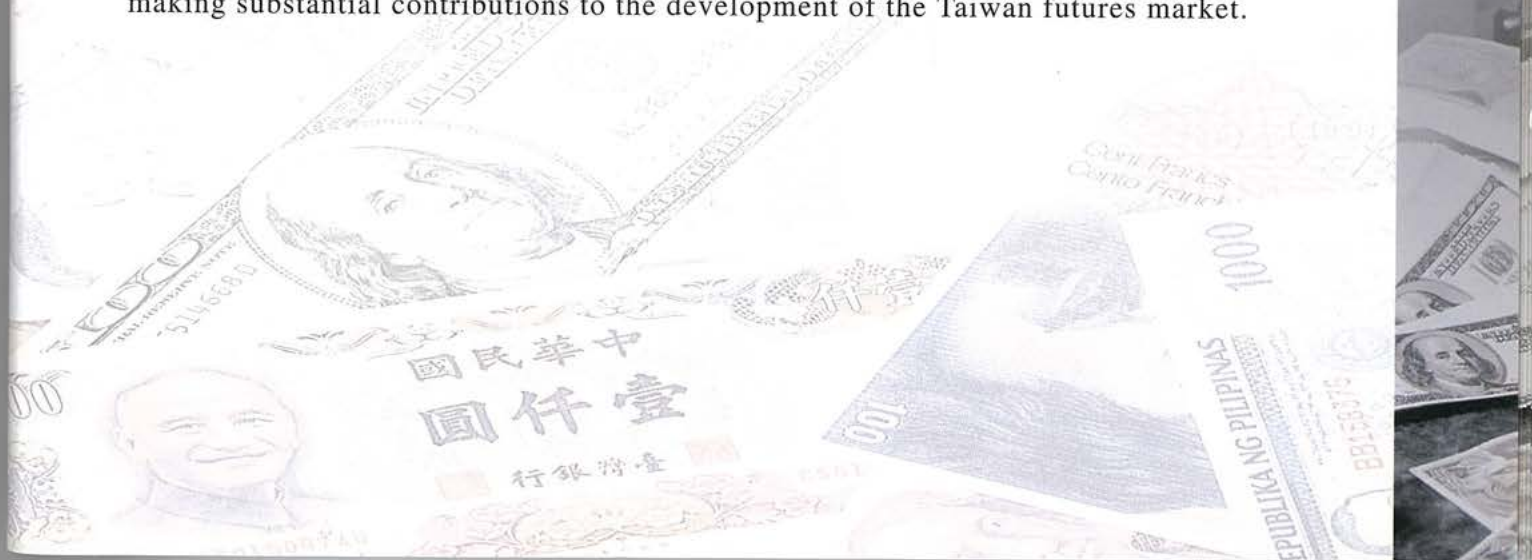
d. To streamline computerized operations

To enhance its administrative efficiency, the Association will continue updating both the hardware and software of its computerized systems for managing data on employee training programs, licensing services, and registration of member companies. Meanwhile, the Association will regularly publish news of its services and operations on its Web site.

e. To enhance self-discipline among member companies

To Association will host a variety of seminars, speeches, and other activities to advocate working ethics so as to enhance a spirit of self-discipline in member companies. Futures companies should be honest to the public, develop businesses in benign competition with each other, and build well-disciplined and professional reputations.

The prospects for Taiwan's futures market are promising. The Association will continue to help its member companies improve their services and operations, at the same time making substantial contributions to the development of the Taiwan futures market.



柒、大事紀

日期	事件
90/01/18	召開本會第二屆理監事第廿一次聯席會議。
90/02/02	假陽明山中國麗緻飯店舉辦第一季高階經理人班教育訓練(2/2~2/3)。
90/02/22	商議「期貨商承作選擇權契約、期貨商端電腦整體安裝報價」相關事宜。
90/02/28	召開本會第二屆第一次臨時理監事聯席會議。
90/04/11	召開本會第二屆理監事第廿二次聯席會議。
90/04/16	討論凌群選擇權報價系統之相關事宜。
90/04/23	假本會大會議室舉辦台股指數套利交易研習班(4/23、4/25)。
90/05/04	假陽明山中國麗緻飯店舉辦第二季高階經理人班教育訓練(5/4~5/5)。
90/05/15	召開本會第二屆理監事第廿三次聯席會議。
90/06/05	召開本會第三屆第一次會員大會，修改章程第廿五條及選舉第三屆理監事。
90/06/05	召開本會第三屆理監事第一次聯席會議。
90/06/21	召開本會第三屆理監事第二次聯席會議並舉行新、舊任理事、監事交接典禮。
90/07/12	中華民國消防安全協會派遣講師至本會協助辦理消防安全教育宣導。
90/07/19	召開兼營期貨商業務座談會。
90/08/02	假新竹科學園區科技生活館舉辦美國芝加哥(CBOE)選擇權交易策略與實務研討會。
90/08/03	假台北遠企飯店舉辦美國芝加哥(CBOE)選擇權交易策略與實務研討會。
90/08/21	召開本會第三屆理監事第三次聯席會議。
90/09/05	召集會員公司商議期貨商承作選擇權契約期貨商端電腦整體安裝報價(精奇)等相關事宜。
90/09/06	召集會員公司商議期貨商承作選擇權契約期貨商端電腦整體安裝報價(中嘉)等相關事宜。
90/09/11	立法委員賴士葆與本會特邀請財政部官員及業者假立法院請願接待室召開活絡期貨市場座談會。
90/09/12	舉辦「加入WTO後期貨業影響-從金融控股公司法談起」座談會。
90/10/03	會務人員參加商業總會所舉辦之「商業團體會務工作研討會暨觀摩活動」。
90/10/09	召開本會第三屆理監事第四次聯席會議。
90/10/16	第三季高階經理人班教育訓練(10/16~10/20)赴韓國KSE考察選擇權市場。
90/10/31	邀請各期貨商稽核人員召開期貨商稽核人員聯誼會籌備會。
90/11/15	召開本會第三屆理監事第五次聯席會議。
90/11/24	假烏來鄉那魯灣溫泉飯店舉辦第四季高階經理人班教育訓練(11/24~11/25)。
90/12/04	舉辦國外法人機構運用指數期貨與選擇權避險實務研討會(12/4~12/5)。
90/12/10	本會網站建置完成。
90/12/28	召開本會第三屆理監事第六次聯席會議。

VII. Major events in 2001

Date	Event
Jan. 18	The Association's second board of directors and second board of supervisors held their 21st joint meeting.
Feb. 2	First-quarter training courses for high-ranking executives at member companies were held at the Hotel of Landis China at Yangmingshan.
Feb. 22	The Association held a meeting to discuss the qualifications of futures companies to trade options and the costs for installing terminals at futures companies.
Feb. 28	The second board of directors and second board of supervisors held their first extra joint meeting.
April 11	The second board of directors and second board of supervisors held their 22nd joint meeting.
April 16	The Association convened a meeting to discuss a bidding proposal by Syscom Corp. for installing an options quoting system connected to terminals at futures companies.
April 23	The Association held a seminar on how to arbitrage profits through Taiex index futures trading.
May 4	Second-quarter training courses for high-ranking executives at member companies were held at the Hotel of Landis China at Yangmingshan.
May 15	The second board of directors and second board of supervisors held their 23rd joint meeting.
June 5	The third representatives of member companies held their first general meeting to elect new directors and supervisors, and passed a revision to Chapter 25 of the Association Charter.
June 5	The third board of directors and third board of supervisors held their first joint meeting.
June 21	The third board of directors and third board of supervisors held their second joint meeting and formally assumed their new posts.
July 12	The Fire Control Association dispatched several lecturers to speak on fire control measures to the Association's staff workers.
July 19	The Association held a seminar on the futures businesses operated by securities firms.
Aug. 2	The Association and the Chicago Board of Options Exchange (CBOE) co-sponsored a conference on options trading strategies and practices at Hsinchu Science-based Industrial Park.
Aug. 3	The Association and the Chicago Board of Options Exchange (CBOE) co-sponsored a conference on options trading strategies and practices at Far Eastern Plaza Hotel in Taipei.
Aug. 21	The third board of directors and third board of supervisors held their third joint meeting.
Sept. 5	The Association convened a meeting to discuss a bidding proposal by iWin Corp. for installing an options quoting system connected to terminals at futures companies.
Sept. 6	The Association convened a meeting to discuss a bidding proposal by (EDS Taiwan) for installing an options quoting system connected to terminals at futures companies.
Sept. 11	The Association and Legislator Shyh-bao Lai hosted a seminar at the Legislative Yuan, inviting officials from the Ministry of Finance (MOF) and representatives of the futures industry to discuss how to promote the Taiwan futures market.
Sept. 12	The Association held a conference on the impact of Taiwan's WTO accession and the financial holding company law.
Oct. 3	Administrative staff of the Association participated in an activity held by the General Chamber of Commerce of ROC, including a seminar on association administration and tours to several industrial associations.
Oct. 9	The third board of directors and third board of supervisors held their fourth joint meeting.
Oct. 16-20	The Association organized a delegation to visit the securities and options markets in South Korea as part of the third-quarter training program for high-ranking executives at member companies.
Oct. 31	The Association invited auditors of member companies to attend a preparatory meeting for organizing an association of auditors from futures companies.
Nov. 15	The third board of directors and third board of supervisors held their fifth joint meeting.
Nov. 24	A fourth-quarter training program for high-ranking executives at member companies was held at Naluwan Resort Hotel in Wulai of suburban Taipei.
Dec. 4	The Association held a conference on risk-hedging through trading Taiex index options and futures for foreign institutional investors.
Dec. 10	The Association's Web site was inaugurated.
Dec. 28	The third board of directors and third board of supervisors held their sixth joint meeting

中華民國
圓仔壹
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捌、財務報告 VIII. Financial report

台北市期貨商業同業公會 Taipei Futures Association

資產負債表 Balance sheet

中華民國九十年十二月三十一日 As of Dec. 31, 2001

單位：新台幣元 (Unit:NT\$)

資產 Assets	金額 (Amount)		%	負債及餘絀 (Liabilities and balance)	金額 (Amount)		%
	小計 (Amount of individual item)	合計 (Total)			小計 (Amount of individual item)	合計 (Total)	
流動資產 (Current assets)		89,049,205	87.75%	流動負債 (Current liability)		5,787,432	5.70%
現金 (Cash)	20,000			短期借款 (Short-term loans)	-		
銀行存款 (Bank deposits)	10,294,830			應付票據 (Checks payables)	2,812,117		
有價證券 (Securities)	76,000,000			應付款項 (Payables)	2,793,948		
應收票據 (Checks receivable)	5,000			代收款項 (Collections)	76,641		
應收款項 (Receivables)	1,478,495			暫收款 (Advanced collections)	48,190		
預付款項 (Pre-paid expenses)	1,250,880			應納稅額 (Tax liabilities)	56,536		
留抵稅額 (Reserved tax)	-						
基金 (Fund)		8,342,669	8.22%	其他負債 (Other liabilities)		68,400,000	67.40%
會務發展準備基金-專戶存款 (Development fund—deposited at bank)	5,889,504			存入保證金 (Deposits payable)	68,400,000		
退撫準備基金-專戶存款 (Pension fund-deposited at bank)	2,453,165			銷項稅額 (Tax payables)	-		
固定資產 (Fixed assets)		3,414,342	3.36%	負債總額 (Total liabilities)		74,187,432	73.10%
土地 (Land)	-			基金及餘絀 (Total of fund and surplus)		16,770,532	16.53%
房屋及建築 (Buildings)	-			基金 (fund)			
事務器械設備 (Machinery & equipment)	6,600			會務發展準備基金 (Development fund)	9,257,079		
儀器設備 (Precision instruments)	1,776,724			退撫準備基金 (Pension fund)	4,099,111		
辦公設備 (Office equipment)	848,388			資產基金 (Assets fund)	3,414,342		
雜項設備 (Miscellaneous)	176,953			餘絀 (Surplus)		10,524,952	10.37%
租賃物改良設備 (Leasing improvement)	605,677			累計餘絀 (Accumulated surplus)	2,536,588		
其他資產 (Other assets)		676,700	0.67%	本期餘絀 (Surplus for 2001)	7,988,364		
存出保證金 (Deposits receivable)	676,700			基金及餘絀總額 (Total of fund and surplus)		27,295,484	26.90%
暫付款 (Advanced payments)	-			負債基金及餘絀總額 (Total of liability, fund and surplus)		101,482,916	1
進項稅額 (Tax receivable)	-						
資產總額 (Total)		101,482,916	1				

附註 (note): 1. 銀行存款 世華銀行活存 (savings account at United World Chinese Commercial Bank) #0004023 NT\$5,529,049
 世華銀行活存 (savings account at United World Chinese Commercial Bank) #53010009220 NT\$4,755,781
 世華銀行支存 (checking account at United World Chinese Commercial Bank) #0002253 NT\$10,000
 2. 有價證券 (securities) 定期存款 (certificate of deposits) NT\$76,000,000
 3. 應收款項 (cash receivables) 利息 (interest) NT\$907,955
 業務費 (business fees) NT\$0
 在職訓練 (on-job training fees) NT\$570,540

台北市期貨商業同業公會 Taipei Futures Association

收支決算表 Income/expense statement

中華民國九十年一月一日至十二月三十一日 Jan. 1-Dec. 31, 2001

單位：新台幣元 (Unit:NT\$)

科目 (Item)	決算數 (Actual amount)	預算數 (Estimated amount)	決算與預算比較數 (Gap between actual amount and estimated amount)		備註 (Note)
			增加 (Increase)	減少 (Decrease)	
經費收入 (Income)	\$45,034,351	\$32,920,100	12,114,251	-	
入會費收入 (Membership fee)	48,000	-	48,000	-	
常年會費收入 (Annual fee)	1,747,998	1,680,000	67,998	-	
業務費收入 (Business fee)	22,582,079	20,235,600	2,346,479	-	
利息收入 (Interest)	3,536,804	3,244,500	292,304	-	包括會員自律基金孳息 (including the interest of self-discipline funds)
捐助收入 (Donations)	550,000	800,000	-	250,000	
委託收入 (Income of trust projects)	13,709,791	3,960,000	9,749,791	-	辦理在職訓練收入 (The income generated through providing various on-job training programs)。
專案計劃收入 (Income of special projects)	655,250	1,200,000	-	544,750	辦理研討會收入 (The income generated by arranging various conferences and seminars)。
雜項收入 (Miscellaneous)	2,204,429	1,800,000	404,429	-	辦理工作證、會員公司場地勘查等項收入 (The income of the service fees charged for work certificates and inspecting the offices of member companies)。
經費支出 (Expense)	37,045,987	32,920,100	4,125,887	-	
薪資 (Salary)	11,351,866	11,400,000	-	48,134	
保險費 (Insurance)	748,295	746,400	1,895	-	
工作獎金 (Work awards)	600,000	未編列	600,000	-	
文具用品 (Stationery)	107,446	108,000	-	554	
書報雜誌費 (Newspapers & magazines)	37,837	36,000	1,837	-	
印刷費 (Printing)	430,392	600,000	-	169,608	
水電燃料費 (Utilities)	111,063	144,000	-	32,937	
旅運費 (Travel)	242,177	240,000	2,177	-	
郵電費 (Mail and express delivery)	647,043	888,000	-	240,957	
大樓管理費 (Building management)	198,139	204,000	-	5,861	
加班費 (Overtime pay)	71,615	108,000	-	36,385	
租金 (Rentals)	2,653,850	2,649,600	4,250	-	
修繕維護費 (Maintenance)	52,646	100,000	-	47,354	
公共關係費 (Expenses for public relations)	603,163	600,000	3,163	-	
會議費 (Conference expenses)	1,666,325	1,600,000	66,325	-	
聯誼活動費 (Expenses for activities)	428,600	400,000	28,600	-	
業務推廣費 (Expenses for business promotion)	456,989	600,000	-	143,011	
研究發展費 (R&D expenses)	350,000	750,000	-	400,000	
接受委託業務費 (Expenses for trusted projects)	8,424,270	3,750,000	4,674,270	-	辦理在職訓練支出 (The expenses for arranging on-job training courses)。
會刊編印費 (Expenses for Association's journals)	185,715	500,000	-	314,285	
購置費 (Procurement)	1,013,294	1,300,000	-	286,706	
繳納團體會費 (Membership fees at other organizations)	50,100	50,100	-	-	
專案計劃支出 (Expenses for special projects)	1,053,312	1,800,000	-	746,688	研討會支出 (expenses for arranging conferences and seminars)。
雜項支出 (Miscellaneous)	105,370	200,000	-	94,630	
利息支出 (Interest costs)	-	145,000	-	145,000	
職工福利金 (Employee welfare)	350,000	350,000	-	-	
預備金 (Advance cash)	200,000	335,794	-	135,794	
會務發展準備基金 (Reserve for development fund)	3,292,010	2,175,206	1,116,804	-	依據工商團體財務處理辦法第二十一條規定提列10% (The association appropriates 10% of its income for its employee pension fund in accordance with clause 21 of the financial management act for commercial and industrial associations.)
退撫準備基金 (Reserve for pension fund)	1,614,470	1,140,000	474,470	-	依據工商團體財務處理辦法第二十一條規定提列二個月。(The Association sets up a fund equivalent to two-month salaries of employees as the pension fund in accordance with clause 21 of the financial management act for commercial and industrial associations.)
本期結餘 (Total)	\$7,988,364	\$ -			

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台北市期貨商業同業公會 Taipei Futures Association

收支決算表 Income/expense statement

中華民國九十年一月一日至十二月三十一日 Jan. 1-Dec. 31, 2001

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本期結餘 (Total)	\$7,988,364	\$ -			

玖、會員名錄

IX. Directory of members

公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
大華期貨經紀股份有限公司 Grand Cathay Futures Corp.	100 台北市忠孝西路一段100號13樓 13F, 100 Sec.1, Jungshiau W. Rd., Taipei 100, Taiwan	02-23891888	02-23885300
如意貨經紀股份有限公司 Fortune Futures Co., Ltd.	106 台北市信義路四段236號4樓 4F, .236 Sec.4, Shi Yi Rd., Taipei 106, Taiwan	02-27008878	02-27097464
中信期貨經紀股份有限公司 KGI Futures Co., Ltd.	104 台北市中山北路二段96號十樓1002室 Suite 1002, 10F, 96 Sec.2, Chung Shan N. Rd., Taipei 104, Taiwan	02-25239050	02-25238844
元富期貨經紀股份有限公司 Master Link Futures Corp.	106 台北市復興南路一段209號4樓 4F, 209 Sec.1, Fushing S., Taipei 106, Taiwan	02-27213458	02-27314320
日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104 台北市南京東路二段111號4樓 4F, 111 Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288
世華期貨股份有限公司 UWCB Futures Co.	106 台北市敦化南路二段26號1樓 1F, 26 Sec. 2, Tun Hwa S.Rd., Taipei 106, Taiwan	02-27087989	02-27087968
永昌期貨經紀股份有限公司 Yung Chong Futures Co., Ltd.	105 台北市民生東路四段54號3樓之9 3F-9, 54 Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700
元大京華期貨股份有限公司 Yuanta Core Pacific Futures Services Co., Ltd.	104 台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27176000	02-25460497
泛亞期貨經紀股份有限公司 Pan Asia Futures Co., Ltd.	106 台北市敦化北路56號地下一樓 B1, 56, Tun Hwa N. Rd., Taipei 106, Taiwan	02-27786060	02-27715522
金鼎期貨經紀股份有限公司 Taiwan International Futures Corp.	105 台北市敦化南路二段97號地下一樓 B1, 97 Sec. 2, Tun Hwa S. Rd., Taipei 105, Taiwan	02-27084567	02-27064689
建弘期貨經紀股份有限公司 National Futures Corp.	100 台北市重慶南路一段2號8樓 8F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228
鼎康期貨股份有限公司 Compass Futures Co.	104 台北市松江路238號5樓之1 1F, 5, Song Jiang Rd., Taipei 104, Taiwan	02-25628800	02-25620780
統一期貨股份有限公司 President Futures Corp.	100 台北市羅斯福路二段100號8樓 8F, 100 Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-23648338	02-23642339
新竹期貨經紀股份有限公司 Hsinchu Futures Corp.	300 新竹市四維路130號3樓之1 3F-1, 130, Szu Wei Rd., Hsinchu 300, Taiwan	035-240060	035-247905
聯邦期貨股份有限公司 Unionbank Futures Corp.	104 台北市南京東路二段137號3樓之1 3F-1, 137 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25162777	02-25162098
群益期貨經紀股份有限公司 Capital Futures Corp.	110 台北市信義區松仁路97號4樓 4F, 97 Sung Jen Rd., Taipei 110, Taiwan	02-87800099	02-87893782
寶來期貨股份有限公司 Polaris Futures Co., Ltd.	104 台北市復興北路420號2樓 2F, 420 Fushing N., Taipei 104, Taiwan	02-23678686	02-23689819
台育期貨經紀股份有限公司 Tai Yu Futures Corporation	106 台北市復興北路167號4樓 4F, 167 Fushing N., Taipei 106, Taiwan	02-25467666	02-25456601
台証期貨經紀股份有限公司 Taiwan Futures Co., Ltd.	104 台北市南京東路二段123號2樓 2F, 123 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25002980	02-25074434
三陽期貨股份有限公司 Sun Futures Co., Ltd.	104 台北市民生東路一段51號9樓之1 9F-1, 51 Sec.1, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25638999	02-25233326
瑞富羅盛豐期貨股份有限公司 REFCO Taiwan Co., Ltd.	105 台北市敦化南路一段2號5樓 5F, 2 Sec.1, Tun Hwa S. Rd., Taipei 105, Taiwan	02-27728989	02-27313633
富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號2樓 2F, 9 Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701121
復華期貨股份有限公司 Fuh-Hwa Futures Co., Ltd.	100 台北市忠孝西路一段4號4樓 4F, 4 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23318868	02-23757739

公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
國際期貨股份有限公司 International Futures Co., Ltd.	106台北市忠孝東路四段563號B1 B1, 563, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87878080	02-87879191
康和期貨股份有限公司 Concord Futures Co., Ltd.	110台北市基隆路一段176號B1 B1, 176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-27681588	02-27682203
華信期貨股份有限公司 Sinopac Futures Co., Ltd.	104台北市南京東路三段132號5樓 5F, 132 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27779098	02-27310515
美商曼氏期貨股份有限公司台灣分公司 Man Financial Inc. Taiwan Branch	105台北市敦化南路一段1號6樓之A 6F-A, 1 Sec.1, Tun Hwa S. Rd., Taipei 105, Taiwan	02-25798118	02-25796816
美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105台北市南京東路四段161號3樓 3F, 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27176018	02-27137648
新加坡商華大期貨經紀有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	105台北市民生東路三段109號10樓 10F, 109, Sec.3, Min Sheng E. Rd., Taipei 105, Taiwan	02-25456163	02-27199434
日商聯貿期貨經紀股份有限公司台北分公司 Nihon Unicom Corp. Taipei Branch	104台北市中山北路二段96號(嘉新第二大樓N713) N-713 Chia Hsin Bulding II 96 Chung Shan N.Rd., Taipei 104, Taiwan	02-25255777	02-25255766
中信銀綜合證券股份有限公司 Chinatrust Securities Co., Ltd.	110台北市松壽路3號10樓 10F, 3 Sung Shou Rd., Taipei 110, Taiwan	02-87808867	02-27294903
菁英綜合證券股份有限公司 First Taiwan Securities Inc.	110台北市忠孝東四段563號15樓 15F, 563 Sec.4, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-27531155	02-27456947
國票聯合證券股份有限公司 IBU Securities Co., Ltd.	105台北市南京東路五段188號15樓 15F, 188 Sec.5, Nanking E. Rd., Taipei 105, Taiwan	02-25288988	02-27647310
致和證券股份有限公司 Concord International Securities Co., Ltd.	704台南市西門路三段10號 10 Shi-Men Rd., Sec.3, Tainan 704, Taiwan	06-2219777	06-2212920
太祥證券股份有限公司 Taisec Securities Inc.	106台北市安和路一段27號6樓 6F, 27 Anho Rd., Sec.1, Taipei 106, Taiwan	02-27413434	02-27720900
鴻福證券股份有限公司 Hong Fu Securities Co., Ltd.	330桃園市中華路10號2、3樓 2-3F, 10 Chun-hua Rd., Taoyuan 330, Taiwan	03-3367688	03-3367879
大慶證券股份有限公司 Ta Ching Securities Co., Ltd.	104台北市民生東路二段174號4樓 4F, 174 Sec.2, Minsheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545
太平洋證券股份有限公司 Pacific Securities Co., Ltd.	106台北市忠孝東路四段200號13樓 13F, 200 Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27771111	02-27811804
福勝證券股份有限公司 Fushan Securities Co., Ltd.	251台北縣淡水鎮中正路63號3樓 3F, 63 Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705
安泰證券股份有限公司 Antay Securities Co., Ltd.	830高雄縣鳳山市五福二路36號 36 Wu Fu 2nd Rd., Fong Shan, Kaohsiung 830, Taiwan	07-8122789	07-8315419
台灣工銀證券股份有限公司 Industry Bank of Taiwan Securities Co., Ltd.	110台北市松仁路89號16樓之1 16F-1, 89 Sung Jen Rd., Taipei 110, Taiwan	02-87895558	02-87895556
亞洲證券股份有限公司 Asia Securities Inc.	104台北市南京東路三段225號3,4樓 3-4F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27183456	02-27182366
華亞證券股份有限公司 Primasia Securities Co., Ltd.	105台北市復興北路99號3樓 3F, 99 Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27180202
富順證券股份有限公司 Full Sun Securities Co., Ltd.	241台北縣三重市自強路一段73號3樓 3F, 73 Sec.1, Tzu-Cheang Rd., Shanchung, Taipei 241, Taiwan	02-29818889	02-89810727
利豪證券股份有限公司 Leading Securities Co., Ltd.	100台北市和平西路一段3號2樓 2F, 3 Sec.1, Hoping W. Rd., Taipei 100, Taiwan	02-23967228	02-23937943
大和國泰證券股份有限公司 Daiwa Global Securities Co., Ltd.	110台北市基隆路一段200號13樓 13F, 200 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-27239698	02-23453640



公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
仁信證券股份有限公司 Jen Hsin Securities Co., Ltd.	100 台北市館前路8號3樓 3F, 8 Kuanchien Rd., Taipei 100, Taiwan	02-23141141	02-23884970
大興證券股份有限公司 Ta Shing Securities Co., Ltd.	260 宜蘭市中山路45號2樓 2F, 45 Chung Shan Rd., Ilan 260, Taiwan	039-331999	039-325996
亞東證券股份有限公司 Oriental Securities Corp.	100 台北市重慶南路一段86號2樓 2F, 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061
大展證券股份有限公司 Ta Chen Securities Co., Ltd.	103 台北市承德路一段17號17樓 17F, 17 Sec. 1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200
大眾綜合證券股份有限公司 Ta Chong Securities Co., Ltd.	807 高雄市壽昌路93號2樓 2F, 93 Shou Chang Rd., Kaoshiung 807, Taiwan	07-3871166	07-3854985
大亞證券股份有限公司 Taya Securities Co., Ltd.	703 台南市西門路二段398號2樓 2F, 398 Sec.2, Shi-men Rd., Tainan 703, Taiwan	06-2203458	06-2251447
永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330 桃園市縣府路82號1樓 1F, 82 Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711
康和綜合證券股份有限公司 Concord Securities Co., Ltd.	台北市基隆路一段176號B1 B1, 176 Sec.1, Keelung Rd., Taipei, Taiwan	02-87871888	02-27562946
世界證券股份有限公司 Global Securities Co., Ltd.	100 台北市館前路2號6樓 6F, 2 Kwan Chan Rd., Taipei 100, Taiwan	02-23718666	02-23831630
新寶綜合證券股份有限公司 Sampo Securities Co., Ltd.	105 台北市南京東路四段16號1樓 1F, 16 Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-25786666	02-25788097
統一綜合證券股份有限公司 President Securities Corp.	105 台北市東興路8號1樓 8 Tong Shin Rd., Taipei 105, Taiwan	02-27463809	02-27463653
金鼎綜合證券股份有限公司 Taiwan International Securities Corp.	106 台北市敦化南路二段97號33樓 33F, 97 Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-27052888	02-23268778
大華證券股份有限公司 Grand Cathay Securities Corp.	100 台北市重慶南路一段2號14樓 14F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23148800	02-23142206
群益證券股份有限公司 Capital Securities Corp.	110 台北市松仁路101號 101 Sung Jen Rd., Taipei 110, Taiwan	02-87898888	02-87800077
元富證券股份有限公司 Masterlink Securities Corp.	106 台北市敦化南路二段97號22樓 22F, 97 Sec.2, Tunhwa S. Rd., Taipei 106, Taiwan	02-23255818	02-23255313
日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104 台北市南京東路二段111號3,4樓 3-4F, 111 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25071047
永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100 台北市南海路20號2樓 2F, 20 Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516964
中信證券股份有限公司 China Securities Co., Ltd.	110 台北市松壽路3號15樓 15F, 3 Sung Shou Rd., Taipei 110, Taiwan	02-25215001	02-25614482
永昌綜合證券股份有限公司 Entrust Securities Co., Ltd.	105 台北市民生東路四段54號5樓 5F, 54 Sec.4, Min-sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25457679
聯合證券股份有限公司 United Securities Corp.	100 台北市重慶南路一段86號6樓 6F, 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23717922	02-23817668
寶來證券股份有限公司 Polaris Securities Co., Ltd.	114 台北市新湖二路339號3樓 3F, 339 Hsin Hu 2th Rd., Taipei 114, Taiwan	02-87918899	02-87922644
中農證券股份有限公司 Chung Nour Securities Co., Ltd.	433 台中縣沙鹿鎮沙田路131號5至6樓 5-6F, 131 Shatyan Rd., Sha-lu Chen, Taichung 433, Taiwan	04-6623311	04-6624455
東勢證券股份有限公司 Tung Shin Securities Corp.	423 台中縣東勢鎮豐勢路297號4樓 4F, 297 Fung-Shin Rd., Tun-Shin Chen, Taichung 423, Taiwan	04-5877111	04-5877314

公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234台北縣永和市永和路二段116號 116 Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29282797
台育綜合證券股份有限公司 Tai Yu Securities Co., Ltd.	105台北市復興北路167號3樓 3F, 167 Fu Hsin N. Rd., Taipei 105, Taiwan	02-27180718	02-25469222
台証綜合證券股份有限公司 Taiwan Securities Co., Ltd.	104台北市南京東路二段123號1樓 1F, 123 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25002455	02-25071100
建弘證券股份有限公司 National Securities Corp.	100台北市重慶南路一段2號8樓 8F, 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23495123	02-23823593
豐興證券股份有限公司 Fong Shing Securities Co., Ltd.	420台中縣豐原市中正路220號3樓 3F, 220 Chungcheng Rd., Fengyuan, Taichung 420, Taiwan	04-5277150	04-5261151
信富證券股份有限公司 Shin Fan Securities Co., Ltd.	613嘉義縣朴子市山通路43號2至4樓 2-4F, 43 San Tung Rd., Pu-Tzu, Chiayi 613, Taiwan	05-3700988	05-3700909
金豐證券股份有限公司 King Fong Securities Co., Ltd.	420台中縣豐原市中山路481號 481, Chung Shan Rd., Feng-Yuan, Taichung 420, Taiwan	04-5282808	04-5282306
豐農證券股份有限公司 Feng Long Securities Co., Ltd.	420台中縣豐原市水源路669號 669 Shuiyuan Rd., Fengyuan, Taichung 420, Taiwan	04-5281000	04-5253100
高橋證券股份有限公司 Golden Gate Securities Co., Ltd.	320桃園縣中壢市中和路96號 96 Chung-ho Rd., Chungli, Taoyuan County 320, Taiwan	03-4224243	03-4250074
新竹國際商業銀行 Hsinchu International Bank	330新竹市中央路106號6樓 6F, 106 Chung Yang Rd., Hsinchu 330, Taiwan	03-5253171	03-5269443
新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中華三路11號1樓 1F, 11 Chunghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518
通營證券股份有限公司 Winner Securities Co., Ltd.	324平鎮市忠孝路2號B1樓 B1, 2 Chung Hsiao Rd., Ping-Cheng City, Taoyuan 324, Taiwan	03-4930888	03-4930947
倍利綜合證券股份有限公司 Barits Securities Corp.	100台北市羅斯福路二段100號10樓 10F, 100 Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-23642828	02-23642788
元信證券股份有限公司 Ever Trust Securities Co., Ltd.	235台北縣中和市中和路35號3,4樓 3-4F, 35 Chung-ho Rd., Chung-ho, Taipei 235, Taiwan	02-22493366	02-2485356
日進證券股份有限公司 Fortune Securities Co., Ltd.	360苗栗市中正路426號4樓 4F, 426 Chung-cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228
光隆證券股份有限公司 Kuang Long Securities Co., Ltd.	970花蓮市公園路26號4樓 4F, 26 Kungyuan Rd., Hualian 970, Taiwan	038-352181	038-352112
中興證券股份有限公司 Chung Hsing Securities Corp.	104台北市南京東路二段125號5樓 5F, 125 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25169888	02-25176344
盈溢證券股份有限公司 Winner Securities Co., Ltd.	800高雄市新興區七賢二路10號 10 Chih sien 2nd Rd., Kaohsiung 800, Taiwan	07-2818516	07-2212914
東興證券股份有限公司 Tong Shing Securities Co., Ltd.	800高雄市復興一路80號 80 Fu-Shing 1 Rd., Kaohsiung 800, Taiwan	07-2222747	07-2235400
永利證券股份有限公司 Yung-Li Securities Co., Ltd.	100台北市武昌街一段77號3-5樓 3-5F, 77 Sec.1, Wuchang St., Taipei, Taiwan	02-23111541	02-23319686
世華聯合商業銀行 United World Chinese Commercial Bank	106台北市信義路三段9號1樓 1F, 9 Sec.3 Hsin-Yi Rd., Taipei 106, Taiwan	02-27008088	02-27091520
協和證券股份有限公司 Concourse Securities Co., Ltd.	104台北市松江路127之1號5樓 5F, 127-1 Sung-Chiang Rd., Taipei 104, Taiwan	02-25061688	02-25157266
德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路一段50號3樓 3F, 50 Sec.1, Shinsheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722



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行銀特壹



公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
大東證券股份有限公司 Grand Orient Securities Corp.	104台北市南京東路三段346號2樓 2F, 346 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27760606	02-27520300
吉祥綜合證券股份有限公司 Fortune Securities Co., Ltd.	106台北市信義路四段236號3樓 3F, 236 Sec.4 Hsin-Yi Rd., Taipei 106, Taiwan	02-27008899	02-27001371
第一綜合證券股份有限公司 First Securities Co., Ltd.	106台北市敦化南路二段39號12樓 12F, 39 Sec.2, Tun Hwa S. Rd., Taipei 106, Taiwan	02-27555768	02-27849604
富星證券股份有限公司 Fu Hsing Securities Co., Ltd.	632雲林縣虎尾鎮和平路1號4,5樓 4-5F, 1 Ho Ping Rd., Hu Wei Chen, Yunlin 632, Taiwan	05-6334888	05-6334700
萬通證券股份有限公司 Vantone Securities Co., Ltd.	104台北市松江路301號2樓之1 2F-1, 301 Sung Chiang Rd., Taipei 104, Taiwan	02-25156655	02-25026363
日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F, 1020 Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-353266	049-357216
華碩證券股份有限公司 Hwa Shuo Securities Inc.	106台北市信義路三段153號2樓 2F, 153 Sec.3, Hsin-Yi Rd., Taipei 106, Taiwan	02-23259868	02-25531699
力世證券股份有限公司 Power World Securities Co., Ltd.	106台北市信義路四段456號3樓 3F, 456 Sec.4, Hsin-Yi Rd., Taipei 106, Taiwan	02-23451668	02-23452775
台南區中小企業銀行股份有限公司 Tainan Business Bank	700台南市忠義路二段69號2樓 2F, 69 Sec.2, Chung-I Rd., Tainan, Taiwan	06-2232151	06-2269265
台灣中小企業銀行股份有限公司 Taiwan Business Bank Trust Department	103台北市塔城街30號 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509946
大永證券股份有限公司 Ta Young Securities Co., Ltd.	241台北縣三重市正義南路99號 99 Chengyi S. Rd., San Chung, Taipei 241, Taiwan	02-29734388	02-29724795
網路證券股份有限公司 Net Broker Securities Co., Ltd.	106台北市復興南路一段103號2樓 2F, 103 Sec.1, Fuhsin S. Rd., Taipei 106, Taiwan	02-87736616	02-87737727
和美證券股份有限公司 Ho Mei Securities Co., Ltd.	508彰化縣和美鎮仁安路92號2樓 2F, 92 Jen-an Rd., Ho-mei Chen, Changhua 508, Taiwan	04-7565988	04-7566464
國際綜合證券股份有限公司 International Securities Co., Ltd.	106台北市忠孝東路四段563號B1 B1, 563 Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27479966	02-25503015
日隆證券股份有限公司 Sunrise Securities Co., Ltd.	710台南縣永康市中華路144之1號 144-1 Chunghwa Rd., Yungkuang, Tainan 710, Taiwan	06-3115522	06-3131650
信隆證券股份有限公司 Shinlung Securities Co., Ltd.	201基隆市信一路57號2樓 2F, 57 Shinyi Rd., Keelung 201, Taiwan	02-24236969	02-24248364
金港證券股份有限公司 Goldport Stock Brokerage Corp.	802高雄市三多二路93號2樓 2F, 93 Sando 2st Rd., Kaohsiung 802, Taiwan	07-7253922	07-7566464
富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市敦化南路一段108號B1 B1, 108 Sec.1, Tunhwa S. Rd., Taipei 106, Taiwan	02-87716888	07-87716796
復華綜合證券股份有限公司 Fuh-Hwa Securities Co., Ltd.	100台北市忠孝西路一段4號17樓 17F, 4 Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23826000	02-23719898
大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220台北縣板橋市東門街30之2號9樓之1 9F-1, 30-2 Tung-men St., Panchiao, Taipei 220, Taiwan	02-29689685	02-29676069
三陽證券股份有限公司 Sun Securities Co., Ltd.	104台北市南京東路一段52號2樓 2F, 52 Sec.1, Nanking E. Rd., Taipei 104, Taiwan	02-25217000	02-25235652
金稻埕證券股份有限公司 Kingston Securities Co., Ltd.	103台北市延平北路二段96號4樓 4F, 96 Sec.2, Yenping N. Rd., Taipei 103, Taiwan	02-25553888	02-25597211
金華信銀證券股份有限公司 SinoPac Securities Co., Ltd.	104台北市南京東路三段136號3樓 3F, 136 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27778941	02-27392990

公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
豐銀證券股份有限公司 Forwin Securities Corp.	105台北市南京東路五段188號14樓 14F, 188 Sec.5, Nanking E. Rd., Taipei 105, Taiwan	02-27482828	02-27485958
遠東證券股份有限公司 Far Eastern Securities Co., Ltd.	104台北市南京東路二段71號9樓 9F, 71 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25428131	02-25515016
昇豐證券股份有限公司 Sun-Fund Securities Co., Ltd.	110台北市永吉路302號1樓 1F, 302 Yung-Chi Rd., Taipei, 110 Taiwan	02-27652277	02-27691847
長鴻證券股份有限公司 Ever Advance Securities Co., Ltd.	106台北市忠孝東路四段87號7樓 7F, 87 Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27719999	02-27781101
和興證券股份有限公司 Ho Hsing Securities Co., Ltd.	234台北縣永和市中正路455號3樓 3F, 455 Chung Cheng Rd., Yung-ho, Taipei 234, Taiwan	02-29229797	02-29223158
三鼎證券股份有限公司 Sanchung Securities Co., Ltd.	241台北縣三重市重新路二段1號5樓 5F, 1 Sec.2, Chung Hsin Rd., Sanchung, Taipei 241, Taiwan	02-29811000	02-29835241
瑞豐證券股份有限公司 Ruey Fong Securities Co., Ltd.	802高雄市三多一路174號2樓 2F, 174 Sabduo 1st Rd., Kaohsiung 802, Taiwan	07-7211828	07-7511837
鼎康證券股份有限公司 Ting Kong Securities Co., Ltd.	103台北市鄒州路137號1樓 2F, 139 Cheng Chou Rd., Taipei 103, Taiwan	02-25539066	02-25574303
鼎富證券股份有限公司 Team Pro Securities Co., Ltd.	106台北市忠孝東路四段300號3樓 3F, 300 Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-87739666	02-87739899
大府城證券股份有限公司 Castle Securities Co., Ltd.	700台南市民族路二段153號3樓 3F, 153 Sec.2, Mintsu Rd., Tainan 700, Taiwan	06-2206678	06-2287566
廣明證券股份有限公司 Kwang Ming Securities Co., Ltd.	330桃園市成功路二段1號2樓 2F, 1 Sec.2, Chengkung Rd., Taoyuan 330, Taiwan	03-3366000	03-3366050
寶宏證券股份有限公司 Bao-Hung Securities Co., Ltd.	105台北市南京東路四段130號11樓 11F, 130 Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-25708889	02-25706773
光和證券股份有限公司 Kwanz Ho Securities Co., Ltd.	521彰化縣北斗鎮光復路166號3樓 3F, 166 Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan	04-8886500	04-8886626
中央信託局 Central Trust of China	100台北市博愛路76號2樓 2F, 76 Poai Rd., Taipei 100, Taiwan	02-23111511	02-23812874
頭份證券股份有限公司 Tou Fen Securities Co., Ltd.	351苗栗縣頭份鎮中華路926號1樓 1F, 926 Chung-hwa Rd., Tou-fen Chen, Miaoli 351, Taiwan	037-663888	037-669500
余泰證券股份有限公司 Chuan Tai Securities Co., Ltd.	330桃園市三民路三段317號4樓 4F, 317 Sec.3, San-ming Rd., Taoyuan 330, Taiwan	03-3353359	03-3345286
聯邦商業銀行 Union Bank of Taiwan	104台北市南京東路二段137號2樓 2F, 137 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25161601
公正證券股份有限公司 Kung Cheng Securities Co., Ltd.	500彰化市陳致和路156號5樓 5F, 156 Chenglin Rd., Changhwa 500, Taiwan	047-223700	047227377
元鼎證券股份有限公司 First-Team Securities Co., Ltd.	106台北市忠孝東路四段169號2樓 2F, 169 Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27730899	02-87719626
萬泰證券股份有限公司 Wan Tai Securities Co., Ltd.	600嘉義市新榮路193號 193 Hsin-Jung Rd., Chiayi 600, Taiwan	05-2289911	05-2273459
元大京華證券股份有限公司 Yuanta Core Pacific Securities Co., Ltd.	104台北市南京東路三段225號12樓 12F, 225 Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27136060
和通證券股份有限公司 Hotung Securities International Company	104台北市松江路111號3樓 3F, 111 Sung-Chiang Rd., Taipei 104, Taiwan	02-25180558	02-25180555
台灣土地銀行 Land Bank Of Taiwan	100台北市延平南路81號 81 Yen-Ping S., Taipei 100, Taiwan	02-23483002	02-23891864

公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)
台安證券股份有限公司 Tai-An Securities Co., Ltd.	403 台中市民權路234號 No.234, Minchiuan Rd., Taichung 403, Taiwan	04-22020208	04-22024374
金豪證券股份有限公司 Chinfon Securities Co., Ltd.	106 台北市信義路四段199巷3號B1 B1, 3 Sec.4 Hsin-Yi Rd., Taipei 106, Taiwan	02-27557999	02-23257789
金興證券股份有限公司 Ching Shing Securities Co., Ltd.	360 苗栗市為公路三西巷12號 12, Lone San-Si, We-Kung Rd., Miao Li, 360, Taiwan	037-266666	037-266060
新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100 台北市重慶南路一段66-1號5樓 5F, 66-1 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23118736
宜進證券股份有限公司 Yield Chance Securities Co., Ltd.	103 台北市承德路一段17號19樓 19F, No.17, Sec.1, Chengde Rd., Taipei 103, Taiwan	02-25557788	02-85901663
元益證券股份有限公司 Win Securities Co., Ltd.	106 台北市復興南路一段227號4樓 4F, 227 Sec.1, Fushing S. Rd., Taipei 106 Taiwan	02-87716633	02-87717373
大裕證券股份有限公司 Ta Yu Securities Co., Ltd.	402 台中市南區台中路504-1號 504-1, Taichung Rd., Taichung 402, Taiwan	04-2862688	04-2861890
陽信證券股份有限公司 Sunny Securities Co., Ltd.	105 台北市民生東路五段165號之2 No.165-2 Sec.5, Min-sheng E. Rd., Taipei 105, Taiwan	02-27629288	02-27623398
鑫豐證券股份有限公司 Shing Fong Securities Co., Ltd.	104 台北市南京東路二段125號3樓 3F,125 Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25163939	02-25120338
富隆證券股份有限公司 Full Long Securities Co., Ltd.	104 台北市長安東路一段18號3樓 3F,18 Sec.1, Changan E. Rd., Taipei 104, Taiwan	02-25631168	02-25411559
合作金庫銀行 Taiwan Cooperative Bank	100 台北市延平南路85號5樓 5F, 85 Yen-Ping S. Rd., Taipei 100 Taiwan	02-23118001	02-23752896
玉山綜合證券股份有限公司 E. Sun Securities Corp.	104 台北市復興北路178號2樓 2F, 178 Fushing N. Rd., Taipei 104, Taiwan	02-27131313	02-87120968

註：以上資料為91.03.31截止（不含停業清算中會員公司資料）

Note: As of March, 31, 2001, excluding the information of the member companies which are liquidating assets for ceasing operations.



熱忱 · 積極 · 完美



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