

# 2025

# ANNUAL REPORT

## 114年度年報



中華民國期貨業商業同業公會  
Chinese National Futures Association

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中華民國期貨業商業同業公會理事長

陳正果



# 序言 PREFACE

## MESSAGE FROM CNFA CHAIRMAN

## 穩健、前瞻，與時俱進

### 壹、前言

2025年臺灣期貨市場在國際金融情勢及交易量結構變動下，整體稅前獲利仍維持百億元水準，專營期貨商稅前獲利98.26億元，年增4.8%；整體交易量達3.82億口，夜盤占整體近35%，預期隨美股交易持續熱絡，未來成長動能值得期待。保證金規模亦創高，年增841億元，顯示交易人仍積極參與期貨市場，整體市場槓桿與風險管理機制維持穩定。

面對國際金融環境快速變化與數位科技浪潮，期貨市場必須同步強化制度韌性與創新動能，才能在保障交易人權益的同時，持續提升整體競爭力。期貨公會透過政策建言、制度優化、業務拓展與資訊治理等多元作為，協助期貨產業在合規穩健的基礎上推動升級轉型，以下說明本年度主要推動成果。

### 貳、主要推動成果

#### 一、接軌永續金融 積極參與金融政策建言

在政策面上，期貨公會配合主管機關推動永續金融與淨零轉型政策，並持續參與各項政策研議平台，確保期貨產業的實務需求能夠被納入政策形成過程。本年度期貨公會與證券商公會、投信投顧公會三公會合作，共同完成「投資組合財務碳排放(範疇三)」實務作業手冊，針對期貨業務特性，提供具體且可操作的計算與揭露指引，協助業者因應未來永續資訊揭露要求。

期貨公會亦透過金融建言白皮書與金融行動創新法規調適平台，提出多項政策建議，包括開放複委託海外虛擬貨幣期貨，並建請期交所研議發行虛擬通貨相關期貨商品，以滿足期貨交易人需求並降低民眾受詐騙的風險。

此外，為提供交易人得透過不同到期日之短天期週選擇權，依據自身需求規劃適當的風險管理措施，建議推出每週五到期之週選擇權，期交所並於114年6月27日掛牌上市。

#### 二、與時俱進檢討規範 提升制度彈性與合理性

在制度面，期貨公會持續盤點與檢討長期沿用的法規與自律規範，以「兼顧風險管理與實務運作」為原則，提出具體修正建議，並與主管機關、期交所及相關單位密切溝通。

其中，針對期貨商最為關切的「調整後淨資本額(ANC)」制度，期貨公會配合期交所籌組專案小組，針對ANC計算方式、風險折算及管理機制進行通盤檢討，希望在確保市場穩定的同時，提升期貨商資本使用效率。

## Steady and Forward-Looking, Keeping Pace with the Times

### I. Preface

In 2025, Taiwan's futures market maintained pre-tax profits at the level of NT\$10 billion despite changes in the international financial environment and the structure of trading volume. Pre-tax profits of dedicated futures firms reached NT\$9.826 billion, an annual increase of 4.8%. Total trading volume reached 382 million contracts, with night trading accounting for nearly 35% of the total. As trading in U.S. equities remains active, future growth momentum is expected to be promising. The scale of margin balances also reached a new high, increasing by NT\$84.1 billion year-on-year, indicating that traders remain actively engaged in the futures market, while the overall market leverage and risk management mechanisms remain stable.

In the face of rapid changes in the international financial environment and the wave of digital technology, the futures market must simultaneously strengthen institutional resilience and innovation momentum in order to continue enhancing overall competitiveness while safeguarding the rights and interests of traders. Through diverse initiatives such as policy recommendations, institutional optimization, business expansion, and information governance, the CNFA assists the futures industry in promoting upgrading and transformation on the basis of compliance and sound operations. The main achievements promoted during the year are described below.

### II. Major Achievements

#### 1. Aligning with sustainable finance and actively participating in financial policy recommendations

On the policy front, the CNFA cooperates with the competent authority in promoting sustainable finance and net-zero transition policies, and continues to participate in various policy discussion platforms to ensure that the practical needs of the futures industry are incorporated into the policy formulation process. During the year, the CNFA cooperated with the Taiwan Securities Association and the Securities Investment Trust and Consulting Association to jointly complete the "Practical Operations Handbook for Portfolio Financed Emissions (Scope 3)." Taking into account the characteristics of futures business operations, the handbook provides specific and operable calculation and disclosure guidance to assist industry participants in responding to future sustainable information disclosure requirements.

The CNFA also proposed a number of policy recommendations through the Financial Policy White Paper and the Financial Innovation Regulatory Adaptation Platform, including opening up the ability to place orders for overseas virtual currency futures through sub-brokerage and requesting the Taiwan Futures Exchange to study the issuance of futures products related to virtual currencies, in order to meet the needs of futures traders and reduce the risk of the public falling victim to fraud.

In addition, to enable traders to plan appropriate risk management measures according to their own needs through short-term weekly options with different expiration dates, it was proposed to introduce weekly options expiring on Fridays, and the Taiwan Futures Exchange listed them on June 27, 2025.

#### 2. Reviewing regulations in line with the times to enhance institutional flexibility and rationality

On the institutional front, the CNFA continues to review and examine regulations and self-regulatory rules that have long been in place. Based on the principle of "balancing risk management and practical operations," it has proposed specific revision suggestions and maintained close communication with the competent authority, the Taiwan Futures Exchange, and relevant institutions.

Among these efforts, with respect to the "Adjusted Net Capital (ANC)" system, which is of greatest concern to futures firms, the CNFA cooperated with the Taiwan Futures Exchange in forming a task force to conduct a comprehensive review of the ANC calculation method, risk deductions, and management mechanisms, with the aim of improving the efficiency of capital utilization by futures firms while ensuring market stability.

在人力制度方面，期貨公會推動期貨業務員職前訓練制度調整，將原本須於執業前完成訓練的規定，改為到職後半年內完成。此一調整可大幅提升業者在人力招募與調度上的彈性，有助期貨產業吸引新血、培育專業人才，對產業長期發展具有實質助益。另為提升期貨業內部稽核專業職能之多元性與適任性，建議具備一定工作經驗之會計師事務所審計人員，在符合一定條件下，可擔任期貨業的內部稽核人員，主管機關已參採並進行修正相關法規。

在國際交流方面，期貨公會持續參與國際期貨年會及與海外交易所交流，協助會員掌握國際市場趨勢。

此外，針對期貨信託基金保證金比率計算、非當面作業文件簡化、對帳單寄送期限放寬等制度議題，期貨公會亦持續與主管機關及相關單位溝通，相關建議多已獲得採納，逐步改善業者作業環境。

### 三、強化資安治理 支持數位轉型發展

面對金融科技快速發展與數位化服務普及，資訊安全與科技治理已成為期貨產業穩健發展的關鍵基礎。期貨公會配合主管機關政策，完成多項資訊安全與科技相關自律規範的訂定與修正，內容涵蓋供應鏈風險管理、網路安全防護、資訊委外資安控管，以及雲端服務與人工智慧治理等重點，提供業者明確作業參考，協助降低資安事件與合規風險，以減少不必要的行政負擔。

同時，為協助期貨商提升資安防護能量，期貨公會亦促成期交所提供資通安全健診獎勵措施，減輕業者負擔。

### 四、推動打詐防範及弱勢關懷作為 落實社會責任

為協助期貨業者共同阻詐、響應政府打詐政策，本公會於會員服務系統建置「反詐騙資料共享專區」外，並與刑事警察局共同合作拍攝二部防詐宣導影片，藉由媒體的宣傳力量，共同防制詐騙行為。

為保障身心障礙者權益，本公會委託愛盲基金會製作「期貨開戶說明手冊易讀版：買期貨、賣期貨，開戶看這裡」，以落實身心障礙者參與期貨交易的權益保障。

## 參、展望未來

期貨市場的健全發展，不僅攸關金融體系穩定，更是實體經濟與資本市場風險管理的重要工具。未來，公會將持續與全體會員及相關單位攜手合作、作好主管機關與產業之間的溝通橋樑，透過政策參與、制度精進、業務拓展及資訊治理等多元面向，協助期貨產業在合規穩健的基礎上持續創新，強化我國期貨市場的國際競爭力與永續發展能力，為我國金融市場打造更具韌性、效率與信任的期貨環境。

With regard to personnel systems, the CNFA promoted adjustments to the pre-employment training system for futures business personnel, changing the requirement that training must be completed before practice to completion within six months after employment. This adjustment can significantly enhance the flexibility of industry participants in recruitment and personnel deployment, helping the futures industry attract new talent and cultivate professional expertise, and providing substantive benefits for the long-term development of the industry. In addition, in order to enhance the diversity and suitability of professional capabilities in internal auditing within the futures industry, it was proposed that audit personnel from accounting firms with a certain level of work experience may serve as internal auditors in the futures industry under specified conditions. The competent authority has adopted this proposal and revised the relevant regulations.

With regard to international exchanges, the CNFA continues to participate in international futures conferences and exchanges with overseas exchanges, assisting members in keeping abreast of international market trends.

In addition, with respect to institutional issues such as the calculation of margin ratios for futures trust funds, simplification of documentation for non-face-to-face operations, and the extension of the deadline for sending account statements, the CNFA has continued to communicate with the competent authority and relevant institutions. Many of the related recommendations have already been adopted, gradually improving the operational environment for industry participants.

### **3. Strengthening information security governance to support digital transformation**

In response to the rapid development of financial technology and the widespread adoption of digital services, information security and technology governance have become key foundations for the sound development of the futures industry. In line with the policies of the competent authority, CNFA has completed the establishment and revision of multiple self-regulatory rules related to information security and technology. The content covers key areas including supply chain risk management, cybersecurity protection, information outsourcing security control, as well as governance of cloud services and artificial intelligence. These rules provide clear operational references for industry participants and help reduce information security incidents and compliance risks, thereby reducing unnecessary administrative burdens.

At the same time, in order to assist futures firms in enhancing their information security protection capabilities, CNFA has also facilitated the Taiwan Futures Exchange in providing information and communication security assessment incentive measures to reduce the burden on industry participants.

### **4. Promoting anti-fraud prevention and support for disadvantaged groups to fulfill social responsibility**

To assist futures firms in jointly preventing fraud and in responding to the government's anti-fraud policy, the Association has established an "Anti-Fraud Information Sharing Section" within the member service system. In addition, it has collaborated with the Criminal Investigation Bureau to jointly produce two anti-fraud promotional videos. Through the promotional power of the media, efforts are made to jointly prevent fraudulent activities.

To safeguard the rights and interests of persons with disabilities, the Association commissioned the Tzu Chi Foundation for the Blind to produce "An Easy-to-Read Guide to Futures Account Opening: Buying Futures, Selling Futures—Look Here When Opening an Account," in order to ensure the protection of the rights of persons with disabilities to participate in futures trading.

## **III. Looking Ahead**

The sound development of the futures market is not only related to the stability of the financial system, but is also an important tool for risk management in the real economy and capital markets. In the future, the Association will continue to work together with all members and relevant institutions, serving as a communication bridge between the competent authority and the industry. Through multiple dimensions including policy participation, institutional improvement, business expansion, and information governance, it will assist the futures industry in continuing to innovate on the basis of compliance and sound operations, strengthen the international competitiveness and sustainable development capacity of Taiwan's futures market, and create a futures environment for Taiwan's financial market that is more resilient, efficient, and trustworthy.

貳

# 公會簡介

**CNFA Introduction**

## 貳、公會簡介

### 一、公會沿革

- 本公會前身為創立於民國84年4月17日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於93年6月21日升格改制為全國性單一公會，並於6月30日獲頒立案證書。
- 公會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

### 二、設立宗旨

- 以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

### 三、本會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

# CNFA Introduction

## A. CNFA History

- The Chinese National Futures Association (hereinafter referred to as the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association first founded on April 17, 1995, as a national organization on June 21, 2004 and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.
- As a national self-disciplined organization, the Association handled members' license registrations, site equipment inspections, personnel training and other functions. Moreover, it assumes the responsibility as a self-regulatory body. For healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely reviews of industry personnel qualifications, site equipment inspections, member check-ups, as well as education and training for futures workers. It will actively coordinate the industry's unity and cooperation, promoting communication and interaction between the industry and the competent authorities, and under circumstances of sincere and positive, immaculate policies, lay a more solid foundation for the futures industry.

## B. Goals

- The Association was established to protect the investing public, develop the national economy, coordinate intra-industry relations, and promote common benefits of the society.

## C. Objectives

- To promote the full functioning of the futures market, working in step with the national economic development.
- To make survey, compile statistics, and conduct R&D projects about the operations of member companies.
- To make research and suggestions about government's policies and propose revisions to futures-related regulations.
- To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement.
- To arbitrate disputes among members.
- To arrange career training courses and lectures for employees of member companies.
- To supervise advertisements, exhibitions, and other promotional activities of member companies.
- To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies.
- To revise or renew licenses of member companies and handle related issues.
- To organize or participate social welfare activities.
- To protect legitimate rights and interest of member companies.
- To take disciplinary actions against wrongful or illegal behaviors of member companies.
- To offer the services on request of government agencies or non-profit organizations.
- To take part in social activities.
- To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders.
- To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities which would mislead futures traders, clients, or other persons associated with futures trading.
- To offer other related services on member company's requests in accordance with the government's policies and regulations.



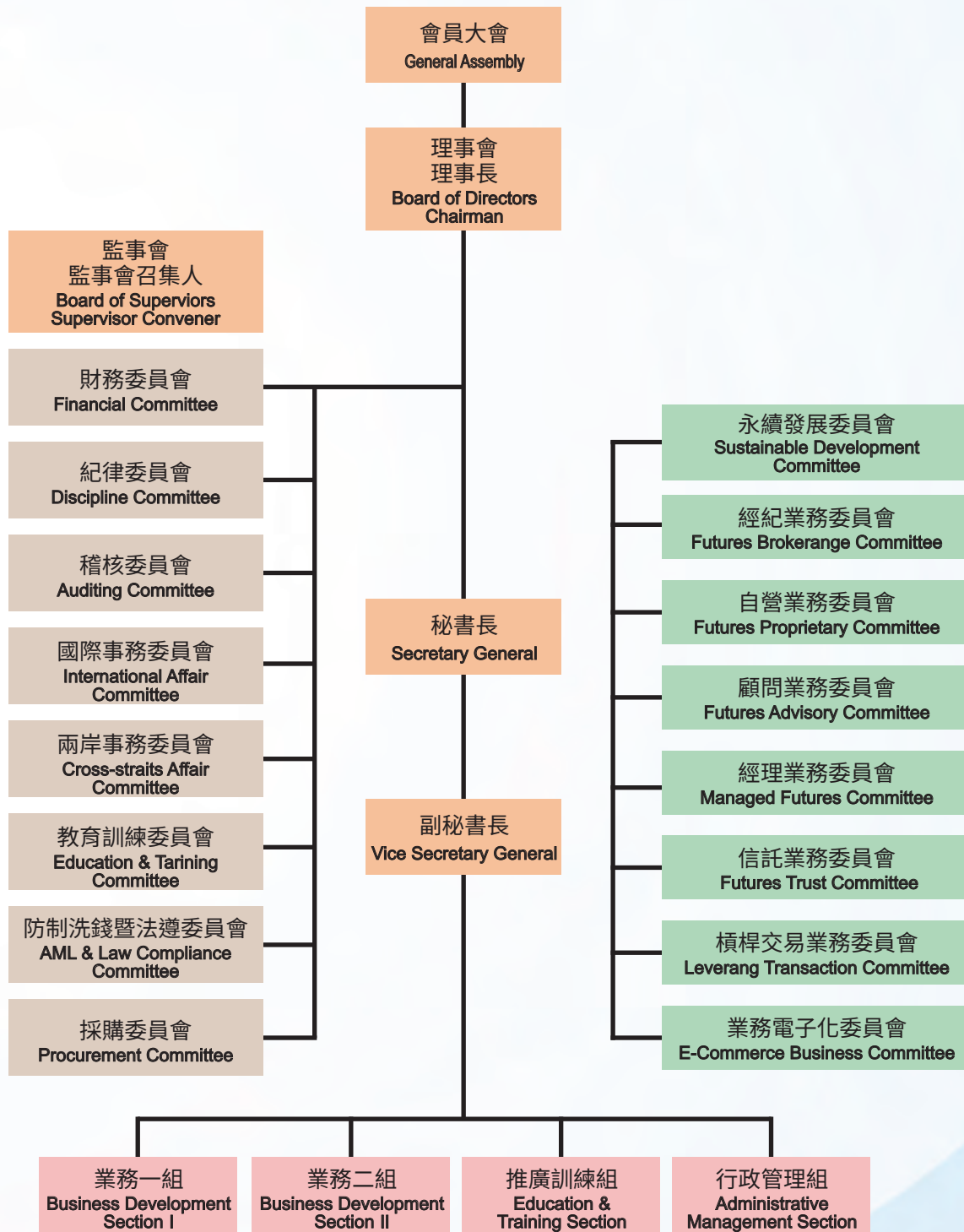
# 公會組織系統

Association Structure

# 參、公會組織系統

## 一、組織系統圖

### A. Organizational Chart



## 二、組織與職掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計261位。

■ 成員：由會員代表組成。至114年12月31日止，本公會會員公司計有150家：

1. 專營期貨商14家
2. 兼營期貨商11家
3. 期貨交易輔助人42家
4. 國外複委託商1家
5. 期貨自營業務29家
6. 槓桿交易商5家
7. 期貨顧問業務35家
8. 期貨經理業務5家
9. 期貨信託業務8家

■ 執掌：為本會最高決策機關。

■ 職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員(會員代表)提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會：

■ 成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■ 執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。

## B. Structure and Responsibilities

### 1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2025, a total of 261 member representatives were registered with the Association.

- Member companies: the Association was composed of 150 member companies at the end of 2025:
  1. Specialized futures brokerage companies: 14
  2. Concurrent futures brokerage companies: 11
  3. Futures introducing brokers (IB): 42
  4. Foreign re-engaging brokers: 1
  5. Proprietary futures commission merchants: 29
  6. Leverage Transaction Merchant: 5
  7. Futures advisory enterprises: 35
  8. Managed futures enterprises: 5
  9. Futures trust enterprises: 8
- Authority: the General Assembly is the supreme policy-making body of the Association.
- Responsibilities:
  1. To elect or recall board members or supervisors.
  2. To make and revise CNFA Charter.
  3. To approve annual working plans, budget, auditing reports, and R&D projects.
  4. To review proposals approved at the meetings of board members, supervisors, or General Assembly.
  5. To take disciplinary actions against misbehaved member companies or member representatives.
  6. To trade properties of the Association.
  7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues.
  8. To approve dismissing of any board member or supervisor.
  9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies.
  10. To approve other issues associated with member's rights or obligations.

### 2. Board of Directors

- Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.
- Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.

■ 職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分未依規定繳納會費之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會：

- 成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互選其中一人為監事會召集人。
- 執掌：為本會最高監察單位。
- 職權：
  1. 選舉及罷免常務監事。
  2. 監察理事會執行會員大會之決議案。
  3. 監察理事會會務執行狀況。
  4. 審核理事會各種會計報告書類。
  5. 稽核理事會之經費收支狀況。
  6. 向會員大會提出年度監察報告。
  7. 議決常務監事及監事之自行辭任。
  8. 其他依職責應辦之事項。

■ Responsibilities:

1. To convene the General Assembly and execute its decisions.
2. To elect or recall chairman or executive directors.
3. To approve resignation of chairman or board members.
4. To approve registration, withdrawal, or nullification of membership or member representative.
5. To make annual working plans, budget, auditing report, and R&D projects.
6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers.
7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations.
8. To deal with the projects approved at meetings of supervisors.
9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
10. To take disciplinary actions against the members who fail to pay membership fee.
11. To handle issues in accordance with laws, CNFA Charter, or related regulations.
12. To handle other related issues.

### 3. Supervisors

- Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.
- Authority: it's the highest supervising body of the Association.
- Responsibilities:
  1. To elect or recall executive supervisors.
  2. To supervise the Board of Directors' execution of the projects approved by the General Assembly.
  3. To supervise the Board of Directors' execution of the Association's working plans.
  4. To review the accounting reports which have been approved by the Board of Directors.
  5. To review the financial reports which have been approved by the Board of Directors.
  6. To make annual supervisory report to the General Assembly.
  7. To approve resignation tendered by any executive supervisor or supervisor.
  8. To handle other related issues.

(四)、委員會：設有永續發展、經紀業務、自營業務、顧問業務、經理業務、信託業務、槓桿交易業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核、防制洗錢暨法遵、業務電子化、採購等16個委員會。

- 成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。
- 執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

• 永續發展委員會

- (1) 有關期貨業永續發展之溝通協調及政策建議事項。
- (2) 有關永續發展之規劃宣導、舉辦研討會、座談會，延請專家及金融業分享等事項。
- (3) 有關本公會永續發展相關所訂自律規範、範例或指引之討論與建議事項。
- (4) 有關編擬年度工作計畫事項。
- (5) 其他有關永續發展事宜之處理事項。

• 經紀業務委員會

- (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
- (2) 有關期貨交易人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨經紀業務之處理事項。

• 自營業務委員會

- (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關期貨自營業務之處理事項。

• 顧問業務委員會

- (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
- (2) 有關委任人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨顧問業務之處理事項。

• 經理業務委員會

- (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
- (2) 有關委任人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨經理業務之處理事項。

**4. Committees: The Association set up 16 committees, namely Sustainable Development Committee, Futures Brokerage Committee, Future Proprietary Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Leveraged-trading Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, Auditing Committee, AML & Law Compliance Committee, E-Commerce Business Committee, and Procurement Committee.**

- Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.
- Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

**Committees and their responsibilities:**

- Sustainable Development Committee
  - (1) To coordinate and propose suggestions about sustainable development of futures industry.
  - (2) To make promotional plans, holding seminars/conferences, and invite experts to share their professional experience.
  - (3) To propose the self-discipline rules, exemplar cases, guidelines, topics for discussions, and suggestions about sustainable development issues.
  - (4) To make annual work plans for the committee.
  - (5) To handle other relevant issues.
- Futures Brokerage Committee
  - (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers.
  - (2) To offer services to futures traders.
  - (3) To make annual work plan for the committee.
  - (4) To handle other related issues.
- Futures Proprietary Committee
  - (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them.
  - (2) To make annual work plan for the committee.
  - (3) To handle other related issues.
- Futures Advisory Committee
  - (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business.
  - (2) To offer services to clients of futures advisory enterprises.
  - (3) To make annual work plan for the committee.
  - (4) To handle other related issues.
- Managed Futures Committee
  - (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business.
  - (2) To offer services to clients of managed futures enterprises.
  - (3) To make annual work plan for the committee.
  - (4) To handle other related issues.

- 信託業務委員會
  - (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
  - (2) 有關受益人服務事項。
  - (3) 有關編擬年度工作計畫事項。
  - (4) 其他有關期貨信託業務之處理事項。
  
- 槓桿交易業務委員會
  - (1) 有關槓桿交易業務之發展、聯繫、協調、改進事項。
  - (2) 有關交易人服務事項。
  - (3) 有關編擬年度工作計畫事項。
  - (4) 其他有關槓桿交易業務之處理事項。
  
- 紀律委員會
  - (1) 有關自律公約之擬訂、執行與督促會員自律事項。
  - (2) 有關會員職業道德之規範事項。
  - (3) 其他有關會員自律之處理事項。
  
- 教育訓練委員會
  - (1) 辦理期貨從業人員職前訓練及在職訓練事項。
  - (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
  - (3) 有關出版刊物之編輯事項。
  - (4) 有關期貨投資宣傳事項。
  - (5) 其他有關教育訓練、考試及核發證書之處理事項。
  
- 財務委員會
  - (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
  - (2) 有關財務之稽核事項。
  - (3) 有關預算、決算之編擬事項。
  - (4) 其他有關財務事宜之處理事項。
  
- 國際事務委員會
  - (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關期貨國際業務之處理事項。

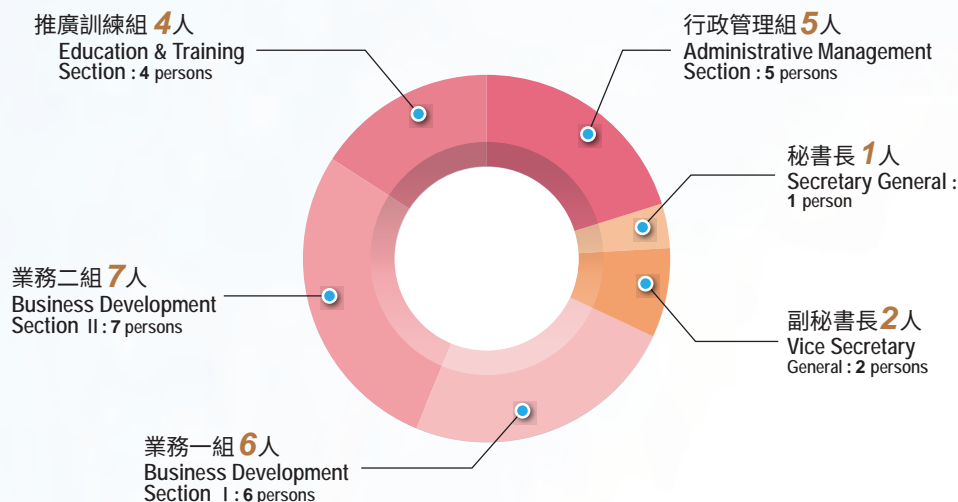
- Futures Trust Committee
  - (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises.
  - (2) To offer services to beneficiaries of futures trust fund.
  - (3) To make annual work plan for the committee.
  - (4) To handle other related issues
- Leverage Transaction Committee
  - (1) To improve and promote leveraged-trading business as well as handle interaction and coordination among futures companies and traders.
  - (2) To offer services to futures traders.
  - (3) To make annual work plan for the committee.
  - (4) To handle other related issues.
- Discipline Committee
  - (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies.
  - (2) To help member companies comply with working ethics.
  - (3) To handle other related issues.
- Education & Training Committee
  - (1) To arrange pre-job and on-job training for futures workers
  - (2) To arrange visit, speech, lecture, leisure activity, sports, among others.
  - (3) To handle publishing and editing matters.
  - (4) To make advertise and promotional matters.
  - (5) To handle training, examination, license issuance, and other education-related issues.
- Financial Committee
  - (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues.
  - (2) To handle auditing and related issues.
  - (3) To make budget plan and deal with settlement issues.
  - (4) To handle other related issues.
- International Affair Committee
  - (1) To promote and coordinate issues about international affairs of the futures industry.
  - (2) To make annual work plan for the committee.
  - (3) To arrange other related issues.

- 兩岸事務委員會
  - (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關期貨兩岸業務之處理事項。
  
- 稽核委員會
  - (1) 有關期貨業內部控制制度之研討與建議事項。
  - (2) 有關期貨業內部稽核業務之研討與建議事項。
  - (3) 協商並解決期貨業共通性之稽核業務事項。
  - (4) 有關編擬年度工作計畫綱要事項。
  - (5) 其他有關稽核業務事宜之處理事項。
  
- 防制洗錢暨法遵委員會
  - (1) 有關期貨業法令遵循事務之研討與建議事項。
  - (2) 有關期貨業防制洗錢及打擊資恐之研討與建議事項。
  - (3) 協商並解決期貨業共通性之法令遵循事項。
  - (4) 協商並解決期貨業共通性之防制洗錢及打擊資恐事項。
  - (5) 有關編擬年度工作計畫事項。
  - (6) 其他有關防制洗錢及打擊資恐暨法令遵循事宜之處理事項。
  
- 業務電子化委員會
  - (1) 有關業務電子化業務之發展、聯繫、協調、改進事項。
  - (2) 有關業務電子化業務相關法規研討及建議事項。
  - (3) 有關交易人服務事項。
  - (4) 有關期貨業資通安全事項。
  - (5) 有關編擬年度工作計畫事項。
  - (6) 其他有關業務電子化及資通安全事宜之處理事項。
  
- 採購委員會
  - (1) 辦理本公會購置重大財產或消耗品之採購。
  - (2) 有關編擬年度工作計畫事項。
  - (3) 其他有關採購事宜之處理事項。

- Cross-straits Affair Committee
  - (1) To promote and coordinate cross-straits issues about the futures industry.
  - (2) To make annual work plan for the committee.
  - (3) To arrange other related issues.
- Auditing Committee
  - (1) To make research on internal control system of futures companies and propose suggestions for improvement.
  - (2) To make research on internal auditing system of futures companies and propose suggestions for improvement.
  - (3) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems.
  - (4) To make annual work plan for the committee.
- AML & Law Compliance Committee
  - (1) To make research on law compliance-related issues and propose recommendations for improvement.
  - (2) To make research on AML/CTF-related issues and propose recommendations for improvement.
  - (3) To coordinate law-compliance issues and make solutions as standard guidelines for futures companies.
- E-Commerce Business Committee
  - (1) To handle promotion, communication, coordination and improvement issues about digitalization.
  - (2) To handle digitalization-related law research and proposed issues.
  - (3) To handle issues about digitalized services for traders.
  - (4) To handle futures-related information security issues.
  - (5) To compile annual work plan for this committee.
- Procurement Committee
  - (1) To handle procurement of the Association's major equipment and consumables.
  - (2) To make annual work plans for the committee.
  - (3) To handle other procurement-related issues.

(五)、會務人員：分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

114年會務人員統計表  
CNFA Administrative Staff in 2025



• 業務一組

辦理期貨商、期貨(證券)交易輔助人及海峽兩岸期貨業務相關之下列工作：

- (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。
- (4) 檢討期貨法令並提出改進建議。
- (5) 提供會員公司期貨業務法規諮詢服務。
- (6) 自律規定之研議。
- (7) 新種業務之可行性研究與分析。
- (8) 期貨相關專題之研究。
- (9) 聯繫、跟催委外專案研究之進度。
- (10) 蒐集及分析兩岸期貨業務之相關資訊。
- (11) 兩岸期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

• 業務二組

辦理期貨服務事業(不含期貨交易輔助人)、槓桿交易商及國際期貨業務相關之下列工作：

- (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。

**5. Administrative staff: the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:**

• Business Development Section I:

To handle the business of futures companies, futures (securities) introducing brokers, and cross-straits issues:

- (1) To arrange meetings of the Futures Brokerage Committee, Futures Proprietary Committee, Cross-straits Affair Committee, and Discipline Committee.
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies.
- (3) To gather information about market trend and industrial development.
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement.
- (5) To provide legal consulting services to member companies.
- (6) To propose or make revisions to self-discipline rules.
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential.
- (8) To make research on futures-related topics.
- (9) To arrange out-sourcing research projects.
- (10) To gather and analyze the information about cross-straits issues.
- (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits.
- (12) To review the advertisement and promotional materials of member companies.
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement.
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them.
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies.
- (16) To make inspection on the business locations and facilities of member companies.

• Business Development Section II:

To handle issues regarding futures-related business (excluding those of futures introducing brokers), leveraged trading, and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee.
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies.
- (3) To gather information about market trend and industrial development.

- (4) 檢討期貨法令並提出改進建議。
  - (5) 提供會員公司期貨業務法規諮詢服務。
  - (6) 自律規定之研議。
  - (7) 新種業務之可行性研究與分析。
  - (8) 期貨相關專題之研究。
  - (9) 聯繫、跟催委外專案研究之進度。
  - (10) 蒐集及分析國際期貨業務之相關資訊。
  - (11) 國際期貨組織之聯繫及交流事宜。
  - (12) 會員公司宣傳資料與廣告物之審查。
  - (13) 會員公司業務及財務之查察與輔導。
  - (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
  - (15) 執行自律規定及主管機關交辦事項之稽查作業。
  - (16) 各會員公司營業據點場地、設備查驗。
- 推廣訓練組
    - (1) 從業人員在職與相關訓練。
    - (2) 期貨業務講座、說明會等教育宣傳活動。
    - (3) 專題研究、公聽會及研討會之發表。
    - (4) 配合時事，研擬專案性之文宣活動。
    - (5) 刊物之編輯與發行事宜。
    - (6) 會員之會籍、負責人與業務員登錄及管理。
    - (7) 業務員工作證換發作業。
    - (8) 教育訓練委員會開會事宜。
  - 行政管理組
    - (1) 採購驗收、財產保管。
    - (2) 資訊系統規劃建置及維護。
    - (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
    - (4) 檔案管理、收發文。
    - (5) 各種活動支援。
    - (6) 人事、總務、行政、文書等作業。
    - (7) 會員公司及從業人員之聯誼或康樂活動。
    - (8) 會員大會、理監事會開會事宜。
    - (9) 財務開會事宜。
    - (10) 期貨交易糾紛調處事項

- (4) To review futures-related laws and, when necessary, propose suggestion for improvement.
  - (5) To provide legal consulting services to member companies.
  - (6) To propose or make revisions to self-discipline rules.
  - (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential.
  - (8) To make research on futures-related topics.
  - (9) To arrange out-sourcing research projects.
  - (10) To gather and analyze the information about international futures issues.
  - (11) To arrange the interaction between the Association and international futures associations.
  - (12) To review the advertisement and promotional materials of member companies.
  - (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement.
  - (14) To review and forward the documents of member companies to the regulatory agency and keep records of them.
  - (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies.
  - (16) To make inspection on the business locations and facilities of member companies.
- Education & Training Section
    - (1) To arrange pre-job and on-job training courses for futures workers.
    - (2) To arrange futures lecturers, seminars, and other promotional activities.
    - (3) To publish the reports of research projects, public hearing, or seminars.
    - (4) To arrange activities for advocating special news or research topic.
    - (5) To compile and publish publications.
    - (6) To handle the registration of new member companies, member representatives, and business representatives of member companies.
    - (7) To arrange renewal of job certificates of Association's staff workers.
    - (8) To arrange meetings of the Education & Training Committee.
  - Administrative Management Section
    - (1) To handle procurement issues and safeguard Association's properties.
    - (2) To arrange IT equipment procurement, maintenance, and management.
    - (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues.
    - (4) To handle mails and keep documents.
    - (5) To provide financial support to Association-held activities.
    - (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents.
    - (7) To arrange social activities for employees of member companies and the Association's working staff.
    - (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors.
    - (9) To arrange meetings of the Financial Committee.
    - (10) To mediate disputes about futures trading.

## (六) 理監事名錄

### 6. Board Members and Supervisors

#### 理監事名錄

#### Board Members and Supervisors

#### 理事名錄 Directors

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	理事長 Chairman	詹正恩 Cheng-En Chan	光和證券股份有限公司 Kuanzho Securities Corp.
2	副理事長 Vice Chairman	陳品呈 Steve Wang	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
3	副理事長 Vice Chairman	賈中道 Chung-Tao Chia	群益期貨股份有限公司 Capital Futures Corp.
4	副理事長 Vice Chairman	黃正雄 Thomas Huang	元富期貨股份有限公司 MasterLink Futures Corp.
5	常務理事 Executive Director	王中愷 Steve Wang	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.
6	常務理事 Executive Director	李全才 Mario Lee	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
7	常務理事 Executive Director	林家進 Roger Lin	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
8	常務理事 Executive Director	孫天山 Tim Sun	康和期貨股份有限公司 Concord Futures Corp.
9	常務理事 Executive Director	陳瑞珪 Jui-Chueh Chen	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
10	常務理事 Executive Director	黃奕銘 Yiming Huang	統一期貨股份有限公司 President Futures Corp.
11	常務理事 Executive Director	糜以雍 Falco Mi	凱基期貨股份有限公司 KGI Futures Co., Ltd.
12	理事 Director	毛振華 Edward Mao	群益期貨股份有限公司 Capital Futures Corp.
13	理事 Director	王文浩 Wen-Hao Wang	康和期貨股份有限公司 Mega Securities Co., Ltd.
14	理事 Director	王敦仁 Yih-yuan Lee	凱基期貨股份有限公司 KGI Futures Co., Ltd.
15	理事 Director	吳明宗 Ken Jiang	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
16	理事 Director	李美芳 Mei-Fang Lee	第一金證券股份有限公司 First Securities Inc.
17	理事 Director	李英偉 Ying-Wei Lee	台新期貨股份有限公司 Taishin Futures Co., Ltd.
18	理事 Director	李綱 Douglas Lee	美林證券股份有限公司 Merrill Lynch Securities Taiwan Ltd.
19	理事 Director	林佳興 Chia-Hsing Lin	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.
20	理事 Director	林添富 Tien-Fu Lin	元大期貨股份有限公司 Yuanta Futures Co., Ltd.

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
21	理事 Director	張清發 Fred Chang	元富證券股份有限公司 MasterLink Securities Corporation
22	理事 Director	張雅斐 Phoebe Chang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
23	理事 Director	陳其緯 Chi Wei Chen	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.
24	理事 Director	陳清德 Ching-Te Chen	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
25	理事 Director	曾幼敏 Yu-Ming Tseng	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch
26	理事 Director	曾祥煥 Hsiang-Huan Tseng	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
27	理事 Director	黃俊仁 Jun-Jen Huang	統一綜合證券股份有限公司 President Securities Corp.
28	理事 Director	黃凱琳 Karen Huang	國泰期貨股份有限公司 Cathay Futures Corp.
29	理事 Director	黃榕瑄 Mandy Huang	台灣摩根士丹利證券股份有限公司 Morgan Stanley Taiwan Limited
30	理事 Director	楊永章 Stanley Yang	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.
31	理事 Director	熊怡婷 Kate Hsiung	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.
32	理事 Director	劉朝枝 Chao-Chih Liu	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
33	理事 Director	潘啟慶 Chii-Ching Pan	大昌證券股份有限公司 Dah Chang Securities CO., LTD.
34	候補理事 Alternate Director	吳皇旗 Huang-Chi Wu	統一期貨股份有限公司 President Futures Corp.
35	候補理事 Alternate Director	李文柱 Wen-Chu Lee	群益金鼎證券股份有限公司 Capital Securities Corp.
36	候補理事 Alternate Director	侯青志 Chris Ho	凱基期貨股份有限公司 KGI Futures Co., Ltd.
37	候補理事 Alternate Director	高毅瑞 Yih-Ruey Kao	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
38	候補理事 Alternate Director	許人祥 Mark Hsu	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.
39	候補理事 Alternate Director	許介偉 Charly Hsu	國票期貨股份有限公司 Waterland Futures Co., Ltd.
40	候補理事 Alternate Director	陳弼堃 Pi-Kun Chen	富邦證券股份有限公司 Fubon Securities Co., Ltd.
41	候補理事 Alternate Director	賈景陽 Cheng-Yang Chia	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
42	候補理事 Alternate Director	廖恩慶 Michael Liao	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.
43	候補理事 Alternate Director	劉安懷 An Huai Liu	兆豐證券股份有限公司 Mega Securities Co., Ltd.
44	候補理事 Alternate Director	謝定杰 Ting-Chieh Hsieh	康和期貨股份有限公司 Concord Futures Corp.

註：以上資料以114.12.31為準，依公會職稱、姓氏筆劃排序。

Note: the list is in order of professional title and stroke number of Chinese surname, as of the end of 2025.

監事名錄 Supervisor

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	監事會召集人 Supervisor Convener	周武華 Alex Chou	國票期貨股份有限公司 Waterland Futures Co., Ltd.
2	常務監事 Executive Supervisor	金志倫 Alan Kin	國泰期貨股份有限公司 Cathay Futures Corp.
3	常務監事 Executive Supervisor	陳立國 Likuo Chen	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.
4	監事 Supervisor	何家瑜 Chia-Yu Ho	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.
5	監事 Supervisor	初殿豪 Tien Hao Chu	亞東證券股份有限公司 Oriental Securities Co.,Ltd.
6	監事 Supervisor	姜克勤 Ken Jiang	宏遠證券股份有限公司 Horizon Securities Co., Ltd.
7	監事 Supervisor	許瑞立 Jurry Hsu	安泰證券股份有限公司 Antay Securities Co., Ltd.
8	監事 Supervisor	陳佩君 Pei-Chun Chen	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
9	監事 Supervisor	陳昱辰 Yu-Chen Chen	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant Co., Ltd.
10	監事 Supervisor	陳柏榮 Bo-Jung Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.
11	監事 Supervisor	萬富良 Fuh-Liang Wahn	美好證券股份有限公司 Good Finance Securities Co., Ltd.
12	候補監事 Alternate Supervisor	李木山 Mu-Shan Li	陽信證券股份有限公司 Sunny Securities Co., Ltd.
13	候補監事 Alternate Supervisor	耿世鈞 Shih-Chun Geng	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
14	候補監事 Alternate Supervisor	張禮賢 Li-Hsien Chang	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.

註：以上資料以114.12.31為準，依公會職稱、姓氏筆劃排序。

Note: the list is in order of professional title and stroke number of Chinese surname, as of the end of 2025.

肆

# 會務報導

Association Affairs

## 肆、會務報導

### 一、關於期貨交易法令協助推行、研議事項

#### A. Implementation of futures-related regulations

##### 增修定範本、規則、申報書表

##### Formulating contract templates, guidelines, and report formats

- 1 修正本公會「期貨業業務員登錄作業須知」，有關期貨商、期顧、期經、期信、槓桿交易商及期貨交易輔助人業務員到職後半年內完成職前訓練、期貨業業務員未參加職前訓練或在職訓練，或參加訓練成績不合格，於一年內再行補訓一次，成績仍不合格者，應撤銷業務員登記之規定。

Amendments were made to the Association's "Guidelines for Registration Operations of Futures Industry Salespersons," including provisions requiring salespersons of FCMs, futures advisory enterprises, futures management enterprises, futures trust enterprises, leveraged trading merchants, and futures introducing brokers to complete pre-employment training within six months after assuming their positions. If a futures industry salesperson does not participate in pre-employment training or on-the-job training, or fails the training assessment, they may undertake supplementary training once within one year. If they still fail, their salesperson registration shall be revoked.

- 2 為避免期貨業者透過網紅不當招攬民眾從事期貨交易及維護交易人權益，修正本公會「會員暨期貨信託基金銷售機構從事廣告業務招攬及營業促銷活動管理辦法」、「期貨信託基金宣傳資料及廣告管理辦法」增訂業者與網紅合作業務招攬之相關管理措施。

To prevent futures industry participants from improperly soliciting the public to engage in futures trading through influencers and to protect the rights and interests of traders, amendments were made to the "Association's Regulations Governing the Management of Advertising, Business Solicitation, and Promotional Activities Conducted by Members and Futures Trust Fund Sales Institutions" and the "Regulations Governing the Management of Promotional Materials and Advertisements for Futures Trust Funds," adding relevant management measures for business solicitation conducted in cooperation with influencers.

- 3 為打造金融友善環境，為金融消費者提供公平且適當服務。刪除期貨經理事業「經營全權委託期貨交易業務操作辦法」、「內部控制制度標準規範」有關70歲以上委任人委託時應具備一定資格條件規定，以落實普惠金融。

To create a financially friendly environment and provide financial consumers with fair and appropriate services. The provisions in the "Operational Regulations for Discretionary Futures Trading Business of Futures Management Enterprises" and the "Standard Regulations for Internal Control Systems" requiring clients aged 70 or above to meet certain qualification requirements when entrusting discretionary futures trading were deleted in order to implement inclusive finance.

- 4 為落實公平待客原則，避免造成年齡標籤化疑慮，減少對中高齡族群之刻限制，構築落實中高齡客戶友善金融環境，修正本公會「期貨信託事業內部控制制度標準規範」，刪除不主動對年齡為65歲以上之客戶介紹高風險基金商品、以及不得主動對70歲以上客戶推介期信基金等規範。

To implement the principle of fair treatment of customers, avoid concerns about age labeling, reduce stereotypical restrictions on middle-aged and elderly groups, and establish a financial environment that is friendly to middle-aged and elderly customers, amendments were made to the Association's "Standard Regulations for Internal Control Systems of Futures Trust Enterprises" to delete provisions prohibiting the proactive introduction of high-risk fund products to customers aged 65 or above, as well as provisions prohibiting the proactive recommendation of futures trust funds to customers aged 70 or above.

- 5 因應主管機關調整交易期信基金所收取與支付之保證金及權利金比率計算方式及文號，修正本公會「期貨信託基金月報檢查表」及「期貨信託事業內部控制制度標準規範」。

In response to adjustments by the competent authority to the calculation method and reference numbers for the margin and premium ratios charged and paid in trading futures trust funds, amendments were made to the Association's "Monthly Report Checklist for Futures Trust Funds" and the "Standard Regulations for Internal Control Systems of Futures Trust Enterprises."

- 6 為協助期貨業者安全有效的管理及應用新興科技，訂定「雲端服務運作安全自律規範」規範業者使用雲端服務，要求業者需風險評估、建立供應商遴選與查核機制、制定安全控管措施(如加密、金鑰管理、資料備份)等管理機制，以確保在雲端環境下資料機密性、完整性與可用性。

To assist futures industry participants in managing and applying emerging technologies safely and effectively, the “Self-Regulatory Rules for the Secure Operation of Cloud Services” were established to regulate the use of cloud services by industry participants. The rules require risk assessments, the establishment of vendor selection and audit mechanisms, and the formulation of security control measures (such as encryption, key management, and data backup), in order to ensure the confidentiality, integrity, and availability of data in cloud environments.

- 7 配合臺灣證券交易所公告修正「證券暨期貨市場相關公告新興科技資通安全管控指引」，修正雲端服務運作安全、行動裝置安全控管、電子式交易相關控管等規範，及增訂人工智慧安全控管規定，修正本公會「新興科技資通安全自律規範」

In coordination with the Taiwan Stock Exchange’s announcement of amendments to the “Information and Communication Security Control Guidelines for Emerging Technologies in the Securities and Futures Markets,” revisions were made to provisions concerning the secure operation of cloud services, mobile device security control, and controls related to electronic trading, and provisions on artificial intelligence security control were added. Accordingly, the Association’s “Self-Regulatory Rules on Information and Communication Security for Emerging Technologies” were revised.

- 8 為強化期貨業網路安全修正「網路安全防護自律規範」，新增每半年檢視DMZ區之防火牆、每年定期檢視並維護防火牆存取控管設定及內部無線網路之管理、使用者及申請使用方式等相關規範。

To strengthen cybersecurity in the futures industry, amendments were made to the “Self-Regulatory Rules for Cybersecurity Protection,” adding provisions requiring semiannual review of firewalls in the DMZ zone, annual review and maintenance of firewall access control settings, and management of internal wireless networks, users, and application procedures for use.

- 9 修正「供應鏈風險管理自律規範」為「期貨業資通系統與服務供應鏈風險管理自律規範」有關定期提交服務水準報告、資通系統與服務之回復計畫及增訂附件「期貨業資訊委外之資安應注意事項檢查表」；另新增壓力測試及建立資通安全稽核程序並明定執行資通安全稽核之方式與頻率等相關規定。

The “Self-Regulatory Rules for Supply Chain Risk Management” were revised and renamed as the “Self-Regulatory Rules for Risk Management of Information and Communication Systems and Service Supply Chains in the Futures Industry.” Amendments include provisions on the periodic submission of service level reports, recovery plans for information and communication systems and services, and the addition of an appendix, “Checklist of Information Security Considerations for Information Outsourcing in the Futures Industry.” In addition, provisions were added regarding stress testing, the establishment of information security audit procedures, and the specification of methods and frequency for conducting information security audits.

- 10 修正「資訊作業韌性自律規範」，增訂事前準備、演練實施及演練後檢討之各階段檢核作業，及備份備援機制、核心系統委外之管理等項，並新增附件「核心系統備援演練參考程序」。

The “Self-Regulatory Rules for Information Operations Resilience” were revised to add inspection procedures for each stage of pre-event preparation, drill implementation, and post-drill review, as well as provisions on backup and redundancy mechanisms and the management of outsourced core systems. An appendix titled “Reference Procedures for Core System Backup and Redundancy Drills” was also added.

- 11 為精進資安防護能力，修正「分散式阻斷服務防禦與應變作業程序」增訂事前準備、事中應變、事後處理相關機制，及事前準備階段查核清單、系統及網管人員/廠商聯繫資訊表、事中應變階段查核清單、頻寬消耗型攻擊及資源消耗型攻擊建議做法、事後處置階段查核清單等附件。

To enhance information security protection capabilities, the “Operational Procedures for Distributed Denial-of-Service Defense and Response” were revised to add mechanisms for pre-event preparation, response during incidents, and post-incident handling, as well as appendices including a checklist for the pre-event preparation stage, a contact information table for system and network management personnel/vendors, a checklist for the response stage, recommended practices for bandwidth exhaustion attacks and resource exhaustion attacks, and a checklist for the post-incident handling stage.

- 12 修正「新興科技資通安全自律規範」之附錄「檢測實驗室App檢測報告自我檢核表參考範例」，有關25項 L1檢測項、31項L2檢測項、39項L3檢測項及9項F檢測項。另增訂行動應用程式檢測由資安專責單位或資安專責人員確認。

The appendix “Reference Example of Self-Inspection Checklist for App Testing Reports by Testing Laboratories” under the “Self-Regulatory Rules on Information and Communication Security for Emerging Technologies” was revised with respect to 25 L1 testing items, 31 L2 testing items, 39 L3 testing items, and 9 F testing items. In addition, a provision was added requiring mobile application testing to be confirmed by the information security responsible unit or information security responsible personnel.

- 13 為落實身心障礙者權益保障，本公會委託愛盲基金會製作「期貨開戶說明手冊易讀版：買期貨、賣期貨，開戶看這裡」，以保障身心障礙者參與期貨交易之權益。

To implement the protection of the rights and interests of persons with disabilities, the Association commissioned the Taiwan Foundation for the Blind to produce “An Easy-to-Read Guide to Futures Account Opening: Buying Futures, Selling Futures—Look Here When Opening an Account,” in order to safeguard the rights of persons with disabilities to participate in futures trading.

- 14 因應行政院公告新版電子簽章法，本公會偕同證券商公會委由臺灣網路認證公司研擬「證券暨期貨業者線上服務身分驗證實務作業參考委託專案報告」，供主管機關及周邊業者參考。

In response to the Executive Yuan’s announcement of the revised Electronic Signatures Act, the Association, together with the Taiwan Securities Association, commissioned TAIWAN-CA Inc. to develop the “Project Report on Reference Practices for Identity Verification in Online Services for Securities and Futures Industry Participants,” for reference by the competent authority and related industry participants.

- 15 為協助證券期貨業者安全有效的管理及應用新興科技，本公會偕同證券商公會及投信投顧公會共同委託勤業眾信聯合會計師事務所針對新興科技風險議題研擬「證券暨期貨業者使用雲端服務及人工智慧技術實務作業參考」、「雲端管理政策範本」、「雲端服務風險基礎方法範本」、「雲端服務提供者盡職調查範本」、「人工智慧治理政策範本」、「人工智慧風險評估方法範本」及「人工智慧盤點方法範本」等，提供業者參考。

To assist securities and futures industry participants in managing and applying emerging technologies safely and effectively, the Association, together with the Taiwan Securities Association and the Securities Investment Trust and Consulting Association, jointly commissioned Deloitte & Touche to develop reference materials addressing emerging technology risks, including “Reference Practices for the Use of Cloud Services and Artificial Intelligence Technologies by Securities and Futures Industry Participants,” “Cloud Management Policy Template,” “Risk-Based Approach Template for Cloud Services, Cloud Service Provider Due Diligence Template,” “Artificial Intelligence Governance Policy Template,” “Artificial Intelligence Risk Assessment Method Template,” and “Artificial Intelligence Inventory Method Template,” for reference by industry participants.

- 16 隨著期貨市場蓬勃發展，各業務委員會面臨議題亦日漸多元龐雜，為有效推動各項業務，並擴大會員參與度，爰修正本公會委員會組織規則第二條規定，各委員會得設副召集人一至三人，並授權理事長得視實際需要增加委員人數。

With the vigorous development of the futures market, the issues faced by various business committees have become increasingly diverse and complex. To effectively promote various operations and expand member participation, Article 2 of the Association’s Committee Organizational Rules was amended to provide that each committee may establish one to three deputy conveners, and the chairman is authorized to increase the number of committee members as necessary.

## 研議、建議事項

### Deliberation and Recommendations

- 1 為提升期貨商資本使用效率，本公會透過各種溝通平台，建請主管機關調整ANC計算內容，其中短期支應辦法，期交所於114年1月公告放寬，另建議調整槓桿交易商不得新增交易及停止或終止經營槓桿保證金契約交易業務之適用ANC比率，櫃買中心於4月公告調整，其餘建議事項則透過期交所委託資誠進行研究及組成專案小組討論，期交所並於10月將研議結果報局。

To enhance the efficiency of capital utilization by FCMs, the Association has, through various communication platforms, requested the competent authority to adjust the content of ANC calculations. Among these, regarding the short-term financing provisions, the Taiwan Futures Exchange announced a relaxation in January 2025. In addition, adjustments were proposed to the ANC ratio applicable to leveraged trading merchants that are prohibited from adding new transactions or that suspend or terminate the operation of leveraged margin contract trading business. The Taipei Exchange announced the adjustment in April. The remaining proposals were studied through research commissioned by the Taiwan Futures Exchange to PwC and through discussions by a task force, and the Taiwan Futures Exchange reported the research results to the Bureau in October.

- 2 研議有關會員公司持有期交所、證交所、集保股票公允市價之評價方式，本案研議結果，採一致性評價之作法尚有困難，爰另彙整各專營期貨商持有期交所股票之評價方式（包括選用之評價方法、類比公司之選取、價值乘數之計算方式、流動性折扣率），以去識別化方式提供期貨商參酌。

The valuation method for the fair market value of shares of the Taiwan Futures Exchange, the Taiwan Stock Exchange, and the Taiwan Depository & Clearing Corporation held by member companies was studied. As the adoption of a consistent valuation approach remains difficult, the valuation methods used by dedicated FCMs holding shares of the Taiwan Futures Exchange—including the valuation methods selected, the selection of comparable companies, the calculation methods for valuation multiples, and liquidity discount rates—were compiled and provided to FCMs for reference in a de-identified manner.

- 3 建請主管機關通知銀行於接獲相關單位通報期貨商客戶保證金專戶之某一個虛擬帳號有疑似不法款項時，應向期貨商進行確認，以避免發生超額圈存，致影響該專戶中其他交易人之權益。本案經銀行局於114年11月21日召開研商會議，決議銀行可依與期貨商之契約約定，提供聯防通報單內資訊與期貨商查證回復，俾進行聯防圈存作業。

The competent authority was requested to notify banks that when they receive a report from relevant authorities indicating that a specific virtual account under an FCM's client margin special account involves suspected illicit funds, the bank should confirm the matter with the FCM in order to avoid excessive fund freezing that may affect the rights and interests of other traders using the same account. On November 21, 2025, the Banking Bureau convened a consultation meeting on this matter and resolved that banks may, in accordance with their contractual agreements with FCMs, provide the information contained in the joint prevention notification form to FCMs for verification and response, in order to carry out joint prevention fund freezing operations.

- 4 為提供期貨商計算範疇三投資組合財務碳排放計算之參考，與券商公會及投信投顧公會共同委託資誠永續發展服務股份有限公司，研訂「本國期貨商投資組合財務碳排放（範疇三）實務作業手冊」，本案於113年7月啟動，114年1月完成並發函業者，另於114年3月26日辦理宣導說明會。

To support FCMs in calculating financial carbon emissions (Scope 3) related to their investment portfolios, a collaborative research project was commissioned to PwC Sustainability Services Ltd., in partnership with Taiwan Securities Association and the Securities Investment Trust & Consulting Association (SITCA). The outcome is the development of the Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs. This project was launched in July 2024, completed in January 2025 with a notification sent to industry participants, and a promotion briefing was held on March 26, 2025.

- 5 為簡化金融控股公司永續報告書編製作業及推動期貨商接軌IFRSs永續揭露準則，期交所修訂「期貨商編製與申報永續報告書作業辦法」並洽本公會意見後公告。

To simplify the preparation of sustainability reports by financial holding companies and to promote the alignment of FCMs with the IFRS Sustainability Disclosure Standards, the Taiwan Futures Exchange revised the "Regulations Governing the Preparation and Filing of Sustainability Reports by FCMs" and announced them after consulting the Association.

- 6 為持續提升氣候變遷情境分析之品質，研議精進期貨商氣候變遷情境分析之一致性相關情境、評估範圍及參數設定做法，以利推動辦理氣候變遷情境分析並持續精進情境分析模組。

To continuously improve the quality of climate change scenario analysis, discussions were conducted on enhancing the consistency of scenarios, assessment scope, and parameter-setting methods for climate change scenario analysis for FCMs, in order to facilitate the implementation of climate change scenario analysis and the continuous improvement of the scenario analysis model.

- 7 建請主管機關在接獲交易人申訴案件時，可以先通知期貨商並要求期貨商先行與交易人進行溝通協商，以避免交易人藉與糾紛案無涉之主管機關行政處分函擴大與期貨商間交易糾紛態樣，徒增主管機關與期貨商負擔，俾利糾紛處理效率。

It is proposed that when the competent authority receives a complaint from a trader, it may first notify the FCM and require the FCM to communicate and negotiate with the trader in advance, in order to prevent the trader from expanding the nature of the trading dispute with the FCM by relying on administrative sanction letters issued by the competent authority that are unrelated to the dispute case, thereby unnecessarily increasing the burden on both the competent authority and the FCM, and facilitating the efficiency of dispute resolution.

- 8 建議期交所除週三到期之週選擇權之外，再推出每週五及每週一到期，存續期間一週之短天期週選擇權，以提供交易人透過不同到期日之短天期週選擇權，依據自身需求挑選固定短天到期日契約進行交易，規劃適當的風險管理措施。

It is proposed that, in addition to weekly options expiring on Wednesdays, the Taiwan Futures Exchange introduce short-term weekly options with a one-week duration expiring on Fridays and Mondays, so that traders can select contracts with fixed short-term expiration dates through weekly options with different expiration dates according to their own needs and plan appropriate risk management measures.

- 9 建請主管機關同意放寬期貨商執行代為沖銷作業時，當遇市場行情出現盤中漲(跌)停成交價時，不分商品，均可使用漲跌停ROD委託單，以利期貨商執行代為沖銷作業，修正本公會「期貨商開戶徵信作業管理及提供高齡客戶金融服務自律規則」。

It is proposed that the competent authority allow FCMs, when conducting forced liquidation operations, to use limit-up or limit-down ROD orders when the market reaches a limit-up or limit-down transaction price during intraday trading, regardless of the product, in order to facilitate the execution of forced liquidation operations by FCMs. Accordingly, amendments were made to the Association's "Self-Regulatory Rules for the Management of Account Opening Due Diligence Operations of FCMs and the Provision of Financial Services to Elderly Customers."

- 10 配合金管會發布「金融機構合作推廣他業商品或提供相關服務規範」令，於本公會「會員營業處所場地及設備標準」增訂期貨商於營業處所內進行合作推廣時及期貨商於他業營業場所內設置合作推廣辦公處相關規範。

In coordination with the Financial Supervisory Commission's issuance of the "Regulations Governing the Cooperative Promotion of Other Industries' Products or the Provision of Related Services by Financial Institutions," relevant provisions were added to the Association's "Standards for Business Premises, Venues, and Equipment of Members" concerning cooperative promotion conducted by FCMs within their business premises and the establishment of cooperative promotion offices by FCMs within the business premises of other industries.

- 11 為強化期貨商及期貨交易輔助人透過自律機制，自行控管所屬內部人員之配偶至他家期貨商或期貨交易輔助人開戶委託交易情形，以防範利益衝突之行為，建議得由期貨商內部人員以出具聲明書，且無須每年簽署之方式為之辦理。

To strengthen the ability of FCMs and futures introducing brokers to manage, through self-regulatory mechanisms, the situations in which the spouses of their internal personnel open accounts and place trading orders with other FCMs or futures introducing brokers, so as to prevent conflicts of interest, it is proposed that internal personnel of FCMs may provide a written declaration and that such declaration need not be signed annually.

- 12 目前期交所上市國外股價指數類、匯率類及商品型期貨，均設立三階段漲跌幅度限制與觸及每階段限制後之交易時間控管，建議期交所參考國際市場做法，將股票期貨其標的證券無漲跌幅限定者，比照目前台灣期貨交易所海外指數及商品期貨漲跌幅規範，設定三階段漲跌幅度限制。

Currently, foreign stock index futures, foreign exchange futures, and commodity futures listed by the Taiwan Futures Exchange all have three-stage price limit mechanisms and trading time controls after each stage limit is reached. It is proposed that the Taiwan Futures Exchange refer to international market practices and apply three-stage price limit mechanisms to stock futures whose underlying securities are not subject to price limits, in accordance with the existing price limit rules for overseas index and commodity futures of the Taiwan Futures Exchange.

- 13 建請主管機關同意具備一定工作經驗之會計師事務所審計人員，取得期貨交易分析人員或期貨業務員資格，並參加相關訓練課程，可擔任期貨業的內部稽核人員，提升期貨業內部稽核專業職能之多元性與適任性，更能鼓勵具專業背景之跨領域人才投入期貨市場。

It is proposed that the competent authority allow audit personnel from accounting firms with a certain level of work experience, who have obtained qualifications as futures trading analysts or futures salespersons and have completed relevant training courses, to serve as internal auditors in the futures industry, in order to enhance the diversity and suitability of professional capabilities in internal auditing within the futures industry and to encourage cross-disciplinary professionals with relevant expertise to participate in the futures market.

- 14 依現行規定，股價達1,000元以上最小升降單位為5元，自去年第3季受AI題材帶動，多檔個股破千元後，升降單位放大，除影響原始保證金需求及未沖銷部位損益波動外，亦降低價格發現精準度，與可能造成指數大幅波動及結算價有人為操作之虞，爰建議期交所參考國外交易所做法，調整上市股票期貨交易價格超過1,000之最小升降單位。

Under the current regulations, when a stock price reaches NT\$1,000 or above, the minimum tick size is NT\$5. Since the third quarter of last year, driven by AI-related themes, several stocks have exceeded NT\$1,000, resulting in a larger tick size. This not only affects initial margin requirements and fluctuations in profit and loss for open positions but also reduces the precision of price discovery and may cause significant index fluctuations and concerns about potential manipulation of settlement prices. Therefore, it is proposed that the Taiwan Futures Exchange refer to practices of overseas exchanges and adjust the minimum tick size for listed stock futures when the trading price exceeds NT\$1,000.

- 15 為協助提供網際網路下單服務之第四級期貨商於115年底前完成資通安全健診作業，建議期交所提供期貨商辦理資通安全健診獎勵措施。期交所業於115年1月6日公告，自115年至119年底，為期5年，合計提供3次資通安全健診費用之獎勵，每家單次獎勵金上限8.8萬元。

To assist Level 4 FCMs that provide internet order placement services in completing information security health check operations before the end of 2026, it is proposed that the Taiwan Futures Exchange provide incentive measures for FCMs to conduct information security health checks. On January 6, 2026, the Taiwan Futures Exchange announced that from 2026 to the end of 2030, for a period of five years, incentives will be provided for a total of three information security health check fees, with a maximum incentive of NT\$88,000 per firm for each instance.

- 16 建請主管機關開放同一身分編號之交易人，在同一期貨商國外期貨交易帳戶間賸餘保證金、權利金之撥轉，得依交易人指示撥至其所指定約定期貨交易帳戶，無須先出金至交易人約定銀行帳戶後再由交易人自行轉入其他交易帳號，以提升交易人從事國外期貨交易之資金使用效率，經主管機關同意由業者先行試辦，並於114年11月同意開放。

It is proposed that the competent authority allow traders with the same identification number to transfer surplus margin and premiums between overseas futures trading accounts held with the same FCM, according to the trader's instructions, to another designated futures trading account, without first withdrawing funds to the trader's designated bank account and then transferring them to another trading account. This measure is intended to improve the efficiency of capital utilization for traders engaging in overseas futures trading. The competent authority agreed to allow industry participants to conduct a pilot implementation and approved the opening of this practice in November 2025.

- 17 為促進期貨經理事業業務發展，擴大期貨經理事業之保管機構範圍，建請主管機關核准擔任境外華僑及外國人投資國內證券保管機構之證券商得擔任期貨經理事業之保管機構。

To promote the development of futures management enterprises, it is proposed that the competent authority expand the scope of custodial institutions for futures management enterprises and approve securities firms that serve as custodians for overseas Chinese and foreign investors investing in domestic securities to act as custodial institutions for futures management enterprises.

- 18 為因應期貨經理事業實際業務需要，修正本公會期貨經理事業「內部控制制度標準規範」，業務員應於執行業務前半年內參加職前訓練規定。

To meet the practical operational needs of futures management enterprises, amendments were made to the Association's "Standard Regulations for Internal Control Systems of Futures Management Enterprises," including the requirement that salespersons must complete pre-employment training within six months before performing their duties.

- 19 為促進我國期貨信託事業產品多元化，滿足國內投資人資產配置之需求，並強化我國作為亞洲資產管理中心之定位，本公會於「金融行動創新法規調適平台」提案，建請主管機關開放期貨信託事業得募集主動式交易所交易期貨信託基金。

To promote the diversification of products offered by futures trust enterprises, meet the asset allocation needs of domestic investors, and strengthen Taiwan's positioning as an Asian asset management center, the Association submitted a proposal on the Financial Innovation Regulatory Adaptation Platform, requesting the competent authority to allow futures trust enterprises to launch actively managed exchange-traded futures trust funds.

- 20 為強化槓桿保證金契約客製化與創新等特性，建請櫃買中心開放槓桿交易商向屬自然人一般客戶提供外幣保證金「組合」、連結國外個股或國外ETF「組合」之差價契約。

To strengthen the customized and innovative characteristics of leveraged margin contracts, it is proposed that the Taipei Exchange allow leveraged trading merchants to provide contracts for difference structured as "combinations" of foreign currency margins and "combinations" linked to overseas individual stocks or overseas ETFs to general natural person customers.

- 21 為滿足客戶多元交易需求，建請櫃買中心擴增槓桿交易商向屬自然人一般客戶提供商品類與國外股價指數類差價契約範圍。

To meet customers' diverse trading needs, it is proposed that the Taipei Exchange expand the scope of contracts for difference on commodity and foreign stock index categories that leveraged trading merchants may provide to general natural person customers.

- 22 為滿足客戶多元交易需求，建請櫃買中心開放槓桿交易商向屬自然人一般客戶提供不保本結構型商品之連結標的，擴增包含外國股票、外國股價指數或國內外指數股票型基金。

To meet customers' diverse trading needs, it is proposed that the Taipei Exchange allow leveraged trading merchants to provide underlyings for non-principal-protected structured products to general natural person customers, expanding the scope to include foreign stocks, foreign stock indices, or domestic and foreign exchange-traded funds.

## 二、業務發展事項

### B. Association activities

#### 一、市場推廣 Market promotion activities

##### • 2025台北國際期貨論壇(10/17)

配合金管會打造「亞洲資產管理中心」，透過「臺灣週 (Taiwan Weeks)」活動，以「期貨產業國際發展趨勢與展望」為主題，邀請國內外知名交易所及業者代表，進行經驗分享及問題回答，共吸引131位業界人士參與。

##### 2025 Taipei International Futures Forum

In support of the Financial Supervisory Commission's initiative to develop Taiwan into an "Asian Asset Management Center," the "Taiwan Weeks" event was held under the theme "International Development Trends and Prospects of the Futures Industry." Well-known domestic and international exchanges and industry representatives were invited to share their experiences and answer questions, attracting a total of 131 industry participants.



##### • 2025 LME 實務研討會 (11/18)

為達成2050年淨零碳排目標，今年延續減碳主軸，邀請專家分享港埠低碳共榮發展願景及港區LME業務現況，金屬產業脈動與再生銅發展趨勢，以及LME推動市場永續發展之措施，並由金屬業者、期貨商及期信業者針對「期貨業如何在金屬減碳趨勢下服務實體經濟」進行綜合座談，共吸引120位業界人士參與。

##### 2025 LME Practical Seminar

To achieve the 2050 net-zero carbon emissions target, this year's seminar continued the carbon reduction theme by inviting experts to share the vision of low-carbon co-prosperous development for port areas and the current status of LME business in port zones, developments in the metal industry and trends in recycled copper, as well as the measures taken by the LME to promote sustainable market development. In addition, metal industry participants, FCMs, and futures trust enterprises participated in a panel discussion on "How the futures industry can serve the real economy under the trend of metal decarbonization," attracting a total of 120 industry participants.



### • 參與「第50屆美國期貨業協會 (FIA) 年會」(03/10-03/12)

本公會參加美國期貨業協會 (FIA) 於美國波卡雷登舉行之第50屆年會，今年會議主軸包括：全球監管趨勢、數位資產與代幣化、人工智慧於金融市場之應用、市場流動性管理與全天候交易等多項議題。

#### Participation in the “50th Futures Industry Association (FIA) Annual Conference”

The Association participated in the 50th annual conference of the Futures Industry Association (FIA), held in Boca Raton, United States. The key themes of this year's conference included global regulatory trends, digital assets and tokenization, the application of artificial intelligence in financial markets, market liquidity management, and 24/7 trading.



### • 參與「2025 FIA ASIA年會」(12/02-12/05)

美國期貨業協會 (FIA) 假新加坡舉行 FIA ASIA年會，今年會議主軸包括：上市衍生性商品市場前景、各國交易所的發展與競爭、地緣政治對大宗商品供應鏈的影響、雲端、人工智慧、代幣化資產和永續合約和營運彈性等。

#### Participation in the “2025 FIA Asia Conference”

The Futures Industry Association (FIA) held the FIA Asia Conference in Singapore. The key themes of this year's conference included the outlook for listed derivatives markets, the development and competition among exchanges in various countries, the impact of geopolitics on commodity supply chains, and cloud computing, artificial intelligence, tokenized assets, sustainable contracts, and operational resilience.



### • 參加元大國際(新加坡)開幕典禮(12/04)

副理事長黃正雄代表本公會出席元大國際(新加坡)開幕典禮，典禮嘉賓雲集，包括臺灣期貨交易所、新加坡交易所 (SGX) 及芝加哥商品交易所 (CME Group) 等國際期貨人士共同到場祝賀。

#### Attendance at the Yuanta Global (Singapore) Opening Ceremony

Vice Chairman Huang Cheng-Hsiung represented the Association at the opening ceremony of Yuanta Global (Singapore). The ceremony brought together numerous distinguished guests, including representatives from the Taiwan Futures Exchange (Taifex), the Singapore Exchange (SGX), and the Chicago Mercantile Exchange (CME Group), who attended to extend their congratulations.



• **2025期貨業未來發展與就業機會」校園巡迴講座(3/1-5/31)**

為協助大專院校同學正確認識期貨行業，並了解實務工作內容及所需具備之職能，做好進入期貨業就業的準備，期貨公會攜手期貨商辦理「期貨業未來發展與就業機會校園巡迴講座」。今年共辦理25場校園巡迴講座、近1,700名同學參與，共12家期貨商，深入校園與同學面對面協助瞭解從事期貨行業所需具備之資格條件與能力。

**2025 Campus Tour Lecture Series:  
"The Future of the Futures Industry and Employment Opportunities"**

To help university students gain a proper understanding of the futures industry, practical job functions, and required skills, the Futures Association partnered with futures firms to organize a campus tour lecture series. This year, 25 sessions were held, with nearly 1,700 students participating. 12 futures firms visited campuses to provide face-to-face career guidance, explaining the qualifications and skills necessary for a career in futures trading.



• **2025 ETF投資博覽會(10/17-10/19)**

為宣導投資期信ETF正確觀念與防範金融詐騙，本公會與證券商公會、投信投顧公會共同設攤，以實際行動宣導交易期貨信託基金ETF正確觀念，多元提供投資人認識商品管道，並宣導交易期信基金請找合法業者，保障交易人自身權利。

**2025 ETF Investment Expo**

To promote correct concepts of investing in futures trust ETFs and to prevent financial fraud, the Association jointly set up a booth with the Taiwan Securities Association and the Securities Investment Trust and Consulting Association. Through practical actions, they promoted the correct concepts of trading futures trust fund ETFs, provided investors with multiple channels to learn about such products, and promoted the message that futures trust funds should be traded through legitimate institutions in order to protect investors' own rights.



### • 校園金融講堂及期貨校園徵才(12/9)

為吸引人才投入，以蓄積產業發展能量，期交所、經濟日報與本公會共同辦理「校園金融講堂及期貨校園徵才活動」。今年度前進中國文化大學，14家期貨商一起共襄盛舉，計超過800人次洽訪期貨商攤位了解人才需求、資格條件、培訓發展等事項。

### Campus Financial Lecture and Futures Recruitment Fair

To attract talent and strengthen industry development, the Futures Exchange, Economic Daily News, and the association jointly organized the "Campus Financial Lecture and Futures Recruitment Fair." This year, the Association visited Chinese Culture University, where 14 FCMs participated together. More than 800 visits were made to the FCMs' booths to learn about talent demand, qualification requirements, training, and development.



### • 114學年度金融服務業教育獎助學金

由金管會指導，金融總會統籌辦理，本次共有35家金融業公會、周邊單位及金融機構共同捐助教育公益基金，以募得新臺幣一億為目標，原則以八千萬元作為教育獎助學金，供發放清寒及弱勢家庭青年學生，其餘供做金融教育課程宣導及愛心公益嘉年華、金融科技實習活動等相關支出，114學年度提供獎助學金予1,412名學生，每名學生五萬元分別於同一學年度兩學期註冊後各發放。為了讓學生不致因為經濟因素而中斷學業，讓學生有公平的受教機會，金融總會透過獎助學金發放，讓學生勇敢向前，成為推動社會前進的力量。

### Academic Year 2025 Financial Services Industry Education Scholarships

Guided by the Financial Supervisory Commission and coordinated by the Taiwan Financial Services Roundtable, a total of 35 financial industry associations, related institutions, and financial organizations jointly donated to an education public welfare fund. The target was to raise NT\$100 million, with NT\$80 million in principle allocated for education scholarships to be granted to students from low-income and disadvantaged families, and the remainder used for financial education promotion, charity carnivals, financial technology internship activities, and other related expenditures. In the 2025 academic year, scholarships were provided to 1,412 students, with each student receiving NT\$50,000, distributed after registration in two installments across the two semesters of the same academic year. In order to ensure that students do not interrupt their studies due to financial difficulties and to provide students with fair educational opportunities, the Taiwan Academy of Banking and Finance distributes scholarships to enable students to move forward with confidence and become a driving force for social progress.



### • 114年度工商自由職業團體績效評鑑

內政部辦理114年度工商自由職業團體績效評鑑，本公會榮獲優等獎之殊榮，此獎項肯定本公會在會務推動、財務管理與會員服務等方面的工作成果，本公會將以更嚴謹的態度為會員提供更專業、更優質的服務。

### 2025 Industrial and Professional Group Assessments

The Ministry of the Interior conducted the 2025 Industrial and Professional Group Assessments. The Association was honored with the Award for Excellence. This award recognizes the Association's achievements in promoting association affairs, financial management, and member services. The Association will continue to provide members with more professional and higher-quality services with an even more rigorous attitude.



### • 建置「反詐騙資料共享專區」(3/25)

本公會會員服務系統建置「反詐騙資料共享專區」並於114年3月25日上線，透過蒐集及同業案例分享以掌握最新期貨詐騙相關手法協助同業共同阻詐，截至12月底已分享18件案例。

### Establishment of the “Anti-Fraud Information Sharing Section”

The Association established the “Anti-Fraud Information Sharing Section” within the member service system, which was launched on March 25, 2025. By collecting and sharing industry case studies, the section helps keep track of the latest futures fraud methods and assists industry participants in jointly preventing fraud. As of the end of December, 18 cases had been shared.

### • 2025 金融服務愛心公益嘉年華(11/8)

為推動普及金融知識，喚起民眾對金融知識的重視及對社會弱勢族群的關懷，本公會積極參加金融總會統籌辦理之嘉義場愛心公益園遊會，從事教育宣導工作，並捐贈款項予弱勢團體，幫助需要關懷人士。

### 2025 Financial Services Charity Carnival

In order to promote the dissemination of financial knowledge, raise public awareness of financial literacy, and show concern for socially disadvantaged groups, the Association actively participated in the Chiayi charity carnival organized by the Taiwan Financial Services Roundtable, engaging in educational promotion activities and donating funds to disadvantaged groups to assist those in need of care.



• 「本國證券/期貨/投信財務碳排放(範疇三)實務作業手冊」宣導說明會(3/26)

為提供證券期貨業者範疇三投資組合財務碳排放計算之參考，本公會與證券商公會、投信投顧公會共同委託資誠永續發展服務股份有限公司，研訂本國證券商/期貨商/投信投資組合財務碳排放(範疇三)分業之實務作業手冊，並辦理宣導說明會，專營期貨商計有38人與會。

“Practical Operations Handbook for Domestic Securities/Futures/Investment Trust Portfolio Financed Emissions (Scope 3)” Promotion Seminar

To provide securities and futures industry participants with a reference for calculating Scope 3 portfolio financed emissions, the Association, together with the Taiwan Securities Association and the Securities Investment Trust and Consulting Association, jointly commissioned PwC Sustainability Services Company Limited to develop the Practical Operations Handbook for Portfolio Financed Emissions (Scope 3) for domestic securities firms, FCMs, and investment trust companies. A promotion seminar was also held, with 38 participants from dedicated FCMs attending.

• 期貨業與網紅合作之行為規範重點說明會(7/2)

為協助會員瞭解「會員暨期貨信託基金銷售機構從事廣告業務招攬及營業促銷活動管理辦法」增訂與網紅合作之行為規範重點，本公會舉辦說明會，由吳桂茂秘書長主持，透過詳盡說明與提問解答，協助業者精確理解新制，降低違規風險，計約50位業者代表共同與會。

Briefing on Key Points of the Code of Conduct for FCMs Collaborating with Influencers

To assist members in understanding the key points of the newly added code of conduct for collaboration with influencers under the “Regulations Governing the Management of Advertising, Business Solicitation, and Promotional Activities Conducted by Members and Futures Trust Fund Sales Institutions,” the Association held a briefing session chaired by Secretary-General Wu Kuei-Mao. Through detailed explanations and a question-and-answer session, industry participants were assisted in accurately understanding the new regulations and reducing the risk of violations. Approximately 50 industry representatives attended.

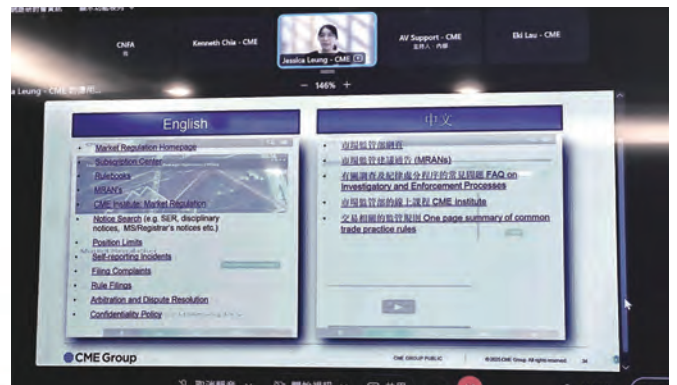


• CME及CFTC最新法規及案例研討分享(7/17)

CME集團針對專營期貨商進行CME及CFTC最新法規及案例分享視訊會議，透過線上交流，增加業者對國外期貨交易規範的認知及熟悉度。14家期貨商均派員出席，計有63人與會，會後CME並提供相關資料供期貨商參考。

Seminar and Sharing on the Latest CME and CFTC Regulations and Case Studies

CME Group held a video conference for dedicated FCMs to share the latest regulations and case studies of the CME and the CFTC. Through online exchange, the session enhanced industry participants' understanding and familiarity with overseas futures trading regulations. All 14 FCMs sent representatives to attend, with a total of 63 participants. After the meeting, CME also provided relevant materials for reference by FCMs.



### • 協辦「證券商暨期貨商辦理電子業務安全控管作業基準」草案說明會(8/4、9/3、11/3、11/28)

因應數位轉型及網路服務開放，為強化辦理數位身分驗證之業務或作業，以達確實維持市場健全，並兼顧電子式事業發展，證交所委託臺灣網路認證公司，參考金管會「金融服務業務辦理數位身分驗證指引」、本公會與證券商公會之「證券商暨期貨業者線上服務身分驗證實務作業參考委託專案」及 ISO/IEC 29115數位身分驗證等級框架，研擬「證券商暨期貨商辦理電子業務安全控管作業基準」草案，並辦理說明會邀請業者與會討論。

#### Co-organizing the Briefing on the Draft “Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and FCMs”

In response to digital transformation and the opening of online services, and in order to strengthen operations involving digital identity verification so as to ensure the soundness of the market while also taking into account the development of electronic business services, the Taiwan Stock Exchange commissioned TAIWAN-CA Inc. With reference to the Financial Supervisory Commission's "Guidelines for Digital Identity Verification in Financial Services," the "Reference Project on Identity Verification Practices for Online Services of Securities and Futures Industry Participants" jointly developed by the Association and the Taiwan Securities Association, and the ISO/IEC 29115 digital identity assurance framework, a draft of the "Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and FCMs" was formulated, and briefing sessions were held to invite industry participants to attend and discuss.

### • 「114年度裁罰案例研習課程」(8/21)

於114年8月21日辦理受金融監督管理委員會裁罰公司從業人員訓練課程，邀請主管機關派員擔任講師，就相關法令及案例進行說明。

#### “2025 Administrative Sanction Case Study Training Course”

On August 21, 2025, a training course was held for personnel of companies that had been sanctioned by the Financial Supervisory Commission. Personnel from the competent authority were invited to serve as lecturers to explain the relevant regulations and case studies.

### • 「疑似洗錢態樣實務交流與分享會」(9/25)

為協助業者落實執行洗錢防制工作，本公會防制洗錢暨法遵委員會邀請法務部調查局洗錢防制處說明疑似洗錢態樣，並以案例就透過期貨交易產生異常資金流動、不法所得透過期貨交易洗錢進行實務交流與分享。

#### Suspicious Money Laundering Patterns Practical Exchange and Sharing Session

To support the industry's effective execution of AML efforts, the association's Anti-Money Laundering and Compliance Committee invited the Ministry of Justice Investigation Bureau's Anti-Money Laundering Division to explain common money laundering patterns. Case studies focused on identifying abnormal capital flows through futures trading and how illicit gains are laundered through futures transactions.

### • 「證券商暨期貨業者雲端服務及人工智慧作業」說明會(11/20、12/4、12/18)

配合證交所「證券商暨期貨市場相關公會新興科技資通安全管控指引」修正案，本公會偕同證券商公會及投信投顧公會委由勤業眾信聯合會計師事務所研擬「雲端管理政策範本」、「雲端服務風險基礎方法範本」、「雲端服務提供者盡職調查範本」、「人工智慧治理政策範本」、「人工智慧風險評估方法範本」及「人工智慧盤點方法範本」，供業者參考。

#### Briefing on “Cloud Services and Artificial Intelligence Operations for Securities and Futures Industry Participants”

In coordination with the amendments to the Taiwan Stock Exchange's "Information and Communication Security Control Guidelines for Emerging Technologies for Securities and Futures Market Associations," the Association, together with the Taiwan Securities Association and the Securities Investment Trust and Consulting Association, commissioned Deloitte & Touche to develop the following reference templates for industry participants: "Cloud Management Policy Template," "Risk-Based Approach Template for Cloud Services," "Cloud Service Provider Due Diligence Template," "Artificial Intelligence Governance Policy Template," "Artificial Intelligence Risk Assessment Method Template," and "Artificial Intelligence Inventory Method Template."

## 二、政令宣導 Policy Advocating

### • 資訊安全案例宣導分享會(2/18)

鑑於資安問題是近期備受國內外政府、企業所重視議題，本公會特邀法務部調查局及臺灣期貨交易所辦理「資訊安全案例宣導分享會」，期藉由調查局情資分享及期交所資通安全宣導，公私協力，共創資安防護最佳效益，計23位專營期貨商主管參與。

### Information Security Case Study Promotion and Sharing Session

In view of the fact that information security issues have recently become a topic of concern for governments and enterprises both domestically and internationally, the Association invited the Investigation Bureau of the Ministry of Justice and the Taiwan Futures Exchange to jointly hold the "Information Security Case Study Promotion and Sharing Session." Through intelligence sharing by the Investigation Bureau and information and communication security promotion by the Taiwan Futures Exchange, public and private sectors worked together to create optimal information security protection effectiveness. A total of 23 supervisors from dedicated FCMs participated.



### • 洗錢防制/打擊資恐法令解析及案例說明會(5/14、5/15、5/21、11/11、11/19)

為協助會員對洗錢防制工作之執行，本公會邀請專家適時提供業者最新資訊，以實務案例說明國際監理趨勢、可疑交易監控、數位科技與防制洗錢之應用，共辦理3場實體、2場線上說明會，超過500人次洗錢防制相關人員與會。

### Anti-Money Laundering and Counter-Terrorism Financing Legal Analysis and Case Study Seminars

To assist members in implementing anti-money laundering measures, the association invited experts to provide the latest industry updates through practical case studies, explaining international regulatory trends, suspicious transaction monitoring, the application of digital technology in AML, and related topics. A total of three in-person seminars and two online seminars were conducted, with over 500 professionals in attendance.



### • 強化資通安全及案例說明會(8/6、8/15、8/22)

為協助業者提升資安風險意識、了解當前威脅趨勢，並建立正確的資安管理與應變策略，本公會於台北、台中及高雄各辦理乙場說明會，特邀金FISAC就零信任介紹及推動現況、證券期貨業現階段資安議題進行說明。超過200位資訊安全與營業單位等一線人員及法令遵循、內部稽核人員與會。

### Cybersecurity Enhancement and Case Study Seminars

To assist industry participants in enhancing awareness of information security risks, understanding current threat trends, and establishing appropriate information security management and response strategies, the Association held one briefing session each in Taipei, Taichung, and Kaohsiung. FISAC was specially invited to introduce zero trust and its current implementation status, as well as to explain current information security issues in the securities and futures industry. Over 200 frontline employees from IT security, operations, compliance, and internal audit departments participated.



### • 「金融消費者保護」線上課程(9/18、9/25)

為具體落實維護金融消費者權益，提昇金融從業人員遵法及公平合理對待客戶之意識及專業知識，本公會辦理二場各3小時視訊課程，從近期重要措施看金融消費者保護發展、從加強評核重點看公平待客原則之發展等二個面向說明。近400位董監、公司治理、法遵、稽核與營業單位等一線人員與會。

### “Financial Consumer Protection” Online Courses

To concretely implement the protection of financial consumers' rights and interests and to enhance the awareness and professional knowledge of financial practitioners regarding compliance with laws and the fair and reasonable treatment of customers, the Association organized two video courses, each lasting three hours. The courses provided explanations from two perspectives: the development of financial consumer protection in light of recent important measures, and the development of the principle of fair customer treatment based on strengthened evaluation priorities. Nearly 400 frontline personnel, including directors and supervisors, corporate governance personnel, legal compliance personnel, internal audit personnel, and business unit personnel, attended.



### 三、聯誼與參訪 Friendship & Visits

#### • 期貨公會30週年慶(4/18)

本公會假台北晶華酒店舉辦成立30週年慶祝晚宴，邀請主管機關、證券期貨周邊單位首長、歷屆理監事、業界先進等約150位貴賓共襄盛舉。現場除播放30週年紀念影片、設置大事紀展覽區，呈現期貨產業30年來的成長軌跡與重要里程碑；並捐助社團法人中華民國腦性麻痺協會新臺幣20萬元，以回饋社會。

#### CNFA 30th Anniversary Celebration

The Association held a banquet to celebrate its 30th anniversary at the Regent Taipei Hotel, inviting approximately 150 distinguished guests, including representatives from the competent authority, leaders of securities and futures-related institutions, former directors and supervisors, and industry representatives. At the event, in addition to the screening of a 30th anniversary commemorative video and the establishment of a milestone exhibition area presenting the growth trajectory and important milestones of the futures industry over the past 30 years, the Association also donated NT\$200,000 to the Cerebral Palsy Association of R.O.C. as a way of giving back to society.



#### • 從業人員聯誼活動-北投永春寮健行聯誼活動(4/26)

為提倡健康自然之休閒活動並提供從業人員聯誼管道，辦理從業人員健行聯誼活動，並同時「捐發票、送愛心」關懷弱勢。本次活動近1,300名從業人員及眷屬參與，共募得近4,000張發票捐與社福團體。

#### Practitioner Networking Activity – Beitou Yongchunliao Hiking Networking Event

To promote health-conscious outdoor activities and facilitate industry networking, the association organized a hiking and social event. Participants also took part in a "Donate Receipts, Spread Love" initiative to support underprivileged communities. Nearly 1,300 practitioners and their family members participated in this event, and nearly 4,000 receipts were collected and donated to social welfare organizations.

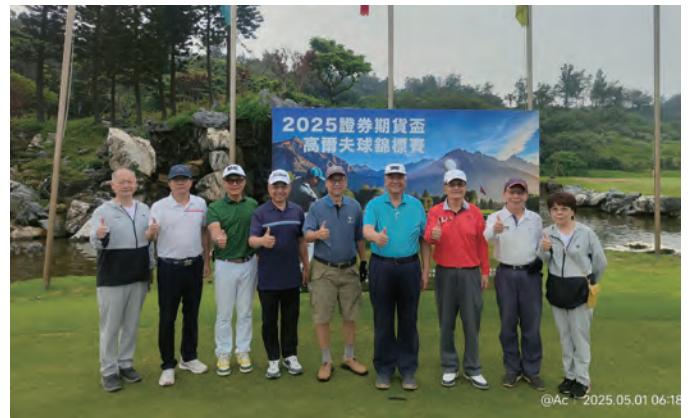


### • 114年度證券期貨盃高爾夫球錦標賽 (5/1)

由證交所、櫃買、期交所、集保、期貨公會、投信投顧公會及證券公會等7個單位共同主辦，證券公會承辦之「114年度證券期貨盃高爾夫球錦標賽」假林口東華高爾夫球場舉行，今年參賽者計有144人報名參加。

#### 2025 Securities and Futures Cup Golf Tournament

The “2025 Securities and Futures Cup Golf Tournament,” jointly organized by seven institutions including the Taiwan Stock Exchange, the Taipei Exchange, the Taiwan Futures Exchange, the Taiwan Depository & Clearing Corporation, CNFA, the Securities Investment Trust and Consulting Association, and the Taiwan Securities Association, and hosted by the Taiwan Securities Association, was held at Linkou Donghua Golf Course. A total of 144 participants registered for this year’s tournament.



### • 114年委員會聯誼活動 (5/3~5/4)

為感謝各委員推動各項業務之辛勞與貢獻，本公會每年均會舉辦委員會聯誼活動，今年舉辦「絕世秘境~武陵農場森情漫漫、全新富野渡假村」及「鰲鼓溼地府城雙遊、福爾摩沙遊艇酒店」兩團2日遊聯誼活動，計78位委員及眷屬參加。

#### 2025 Committee Networking Events

To express appreciation for the efforts and contributions of committee members in promoting various operations, the Association organizes committee networking activities every year. This year, two two-day networking tours were arranged: “A Hidden Paradise ~ Wuling Farm Forest Experience and the New Hoya Resort,” and “Aogu Wetlands and Tainan Double Tour with Formosa Yacht Resort.” A total of 78 committee members and their family members participated.



### • 理監事聯誼活動(4/3~4/7、5/7~5/11、6/12~6/16)

114年度理監事海外聯誼活動於4~6月分三梯次舉辦，地點為越南、日本，今年度共28位理監事及4位周邊單位主管參加，透過此聯誼活動，讓平日業務繁忙的理監事，得與同業及周邊單位互相學習、交流並增進情誼。

#### Directors and Supervisors Networking Event

The 2025 overseas networking activities for directors and supervisors were held in three batches from April to June, with destinations including Vietnam and Japan. This year, a total of 28 directors and supervisors and 4 supervisors from related institutions participated. Through these networking activities, directors and supervisors, who are usually busy with their duties, were able to learn from peers and related institutions, exchange ideas, and strengthen mutual ties.



### • 理監事參訪(11/27~12/6、12/5~12/14、12/9~12/16)

為加強國際交流與瞭解國際金融市場發展趨勢，本公會每年安排理監事赴國外進行參訪活動並邀請周邊單位主管一同參與。

今年度分三梯次進行國外參訪，義大利北義團於11月27出發、義大利南義團於12月5出發、澳洲雪梨團於12月9出發，共計30位理監事及4位周邊單位主管參與。

#### Directors and Supervisors Overseas Study Visits

To strengthen international exchanges and gain a better understanding of international financial market development trends, the Association arranges overseas visits for directors and supervisors each year and invites supervisors from related institutions to participate.

This year, overseas visits were conducted in three batches: the Northern Italy group departed on November 27, the Southern Italy group departed on December 5, and the Sydney, Australia group departed on December 9. A total of 30 directors and supervisors and 4 supervisors from related institutions participated.



### 三、督促會員自律、共謀業務上改進事項

#### C. Self-discipline and operation improvement of member companies

##### 1. 期貨顧問事業查察輔導事項 Inspection of futures advisory enterprises

本公會114年度針對期貨顧問事業之業務及內部稽核作業實施例行查察輔導及專案查核工作，其中違反本公會「會員自律公約」，提報紀律委員會共7案，計10項違規內容，發函要求改善者5件，核處警告2件。

The CNFA made annual inspection on futures advisory enterprises and their practices of internal auditing. Among those breaking the "Selfdiscipline Agreement for CNFA Members, in 2025" there were 7 cases reported to the Discipline Committee, 10 cases found to have violated the Agreement, 5 were notified to make improvement by written letter, and 2 received the CNFA's warning notices.

##### 2. 督促事項 Discipline matters

期貨顧問事業以外之會員公司因違反本公會自律規範，提報紀律委員會共9案，計9項違規內容，發函要求改善者9件。

Among other member companies which violated the "Self-discipline Agreement for CNFA Members"(except futures advisory enterprises), there were 9 cases reported to the Discipline Committee, 9 cases found to have violated the Agreement, and 9 cases which were required to make improvement through written notification.

#### 四、關於會員業務之廣告及宣傳審查事項

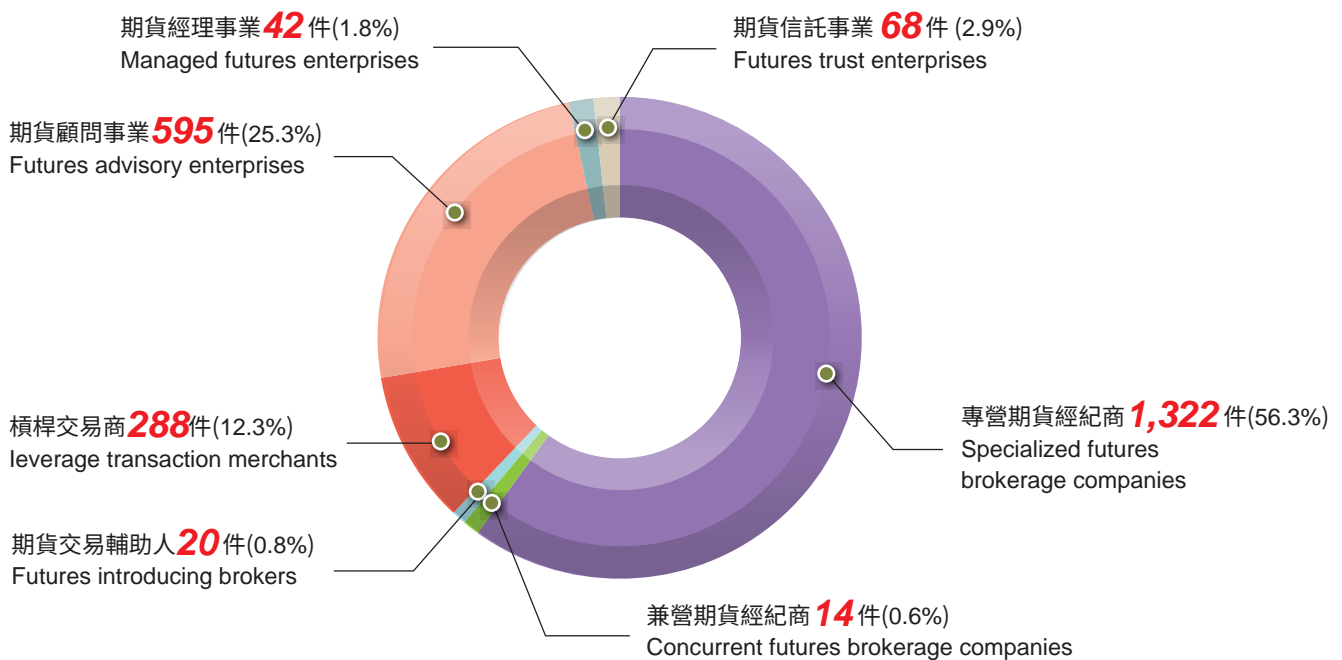
##### D. Inspection of advertisement and promotional materials of member companies

本公會114年度共計審核2,349件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報1,322件、兼營期貨經紀商申報14件、槓桿交易商申報288件、期貨顧問事業申報595件、期貨經理事業申報42件、期貨信託事業申報68件、期貨交易輔助人申報20件。

In 2025, the Association reviewed 2,349 projects of advertisement and promotional materials published by member companies. Among them, 1,322 projects were filed by the specialized futures brokerage companies, 14 by concurrent futures brokerage companies, 288 by leverage transaction merchants, 595 by futures advisory enterprises, 42 by managed futures enterprises, 68 by futures trust enterprises, and 20 by futures introducing brokers.

##### 114年度宣傳資料及廣告申報案件統計

Advertisement and Business Promotion Projects of CNFA Members in 2025



## 五、在職訓練

### E. On-job training

114年度舉辦之地區別·班別及人數詳如下圖：  
CNFA-Arranged Training Courses in 2025

**台北117班6,395人**  
進階-15班956人  
進階(二)-8班380人  
進階(三)-8班293人  
進階(四)-8班301人  
進階(五)-7班248人  
資深班-45班 3,169人  
期經班-2班45人  
期信班-4班232人  
期顧班-8班415人  
槓桿交易-2班55人  
內稽講習-8班246人  
高階班-2班55人

**Taipei: 117 classes, 6,395 persons**  
Intermediate : 15 classes, 956 persons  
Intermediate II: 8 classes, 380 persons  
Intermediate III: 8 classes, 293 persons  
Intermediate IV: 8 classes, 301 persons  
Intermediate V: 7 classes, 248 persons  
Senior: 45 classes, 3,169 persons  
Managed futures: 2 classes, 45 persons  
Futures trust: 4 classes, 232 persons  
Futures advisory: 8 classes, 415 persons  
Leverage Transaction Merchant: 2 classes, 55 persons  
Auditing: 8 classes, 246 persons  
Advanced: 2 classes, 55 persons

**桃園9班465人**  
進階-1班34人  
進階(二)-1班30人  
資深班-7班401人

**Taoyuan: 9 classes, 465 persons**  
Intermediate : 1 class, 34 persons  
Intermediate II: 1 class, 30 persons  
Senior: 7 classes, 401 persons

**新竹6班313人**  
進階-1班29人  
資深班-5班284人

**Hsinchu: 6 classes, 313 persons**  
Intermediate : 1 class, 29 persons  
Senior: 5 classes, 284 persons

**台中25班1,503人**  
進階-6班301人  
進階(二)-2班84人  
進階(三)-1班35人  
進階(四)-1班57人  
進階(五)-1班16人  
資深班-11班816人  
期顧班-1班58人  
內稽講習-2班136人

**Taichung: 25 classes, 1,503 persons**  
Intermediate : 6 classes, 301 persons  
Intermediate II: 2 classes, 84 persons  
Intermediate III: 1 class, 35 persons  
Intermediate IV: 1 class, 57 persons  
Intermediate V: 1 class, 16 persons  
Senior: 11 classes, 816 persons  
Futures advisory: 1 class, 58 persons  
Auditing: 2 classes, 136 persons

**彰化4班203人**  
資深班-4班203人

**Changhua: 4 classes, 203 persons**  
Senior: 4 classes, 203 persons

**嘉義4班224人**  
資深班-4班224人

**Chiayi: 4 classes, 224 persons**  
Senior: 4 classes, 224 persons

**台南6班456人**  
資深班-5班397人  
內稽講習-1班59人

**Tainan: 6 classes, 456 persons**  
Senior: 5 classes, 397 persons  
Auditing: 1 class, 59 persons

**高雄18班1,245人**  
進階-3班223人  
進階(二)-1班55人  
進階(三)-1班25人  
進階(四)-1班48人  
進階(五)-2班43人  
資深班-8班713人  
期顧班-1班43人  
內稽講習-1班95人

**Kaohsiung: 18 classes, 1,245 persons**  
Intermediate: 3 classes, 223 persons  
Intermediate II: 1 class, 55 persons  
Intermediate III: 1 class, 25 persons  
Intermediate IV: 1 class, 48 persons  
Intermediate V: 2 classes, 43 persons  
Senior: 8 classes, 713 persons  
Futures advisory: 1 class, 43 persons  
Auditing: 1 class, 95 persons

**宜蘭3班68人**  
Ilan: 3 classes, 68 persons  
資深班-1班31人  
Senior: 1 class, 31 persons  
高階班-2班37人  
Advanced: 2 classes, 37 persons

**花蓮1班25人**  
Hualian: 1 class, 25 persons  
資深班-1班25人  
Senior: 1 class, 25 persons

**屏東2班55人**  
資深班-2班55人

**Pingtung: 2 classes, 55 persons**  
Senior: 2 classes, 55 persons

**總班數195班，總人數10,952人**  
195 classes, 10,952 persons in total

六、關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項

F. Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives

1. 會員及業務員基本資料之建立及登記事項

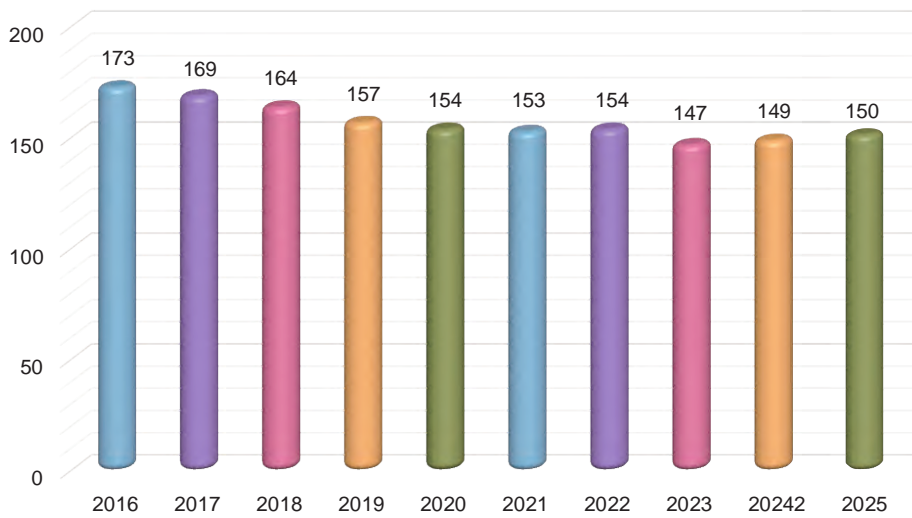
Registration of member companies and their business representatives

會員家數：共計150家，其中經營期貨經紀業務為26家；期貨業務輔助人42家；期貨自營業務29家；期貨顧問業務35家；期貨經理業務5家；期貨信託業務8家；槓桿交易商5家。

There were 150 member companies registered with the CNFA at the end of 2025, 26 futures brokerage companies, 42 futures introducing brokers, 29 futures proprietary commission merchants, 35 futures advisory enterprises, 5 managed futures enterprises, and 8 futures trust enterprises, and 5 leverage transaction merchants.

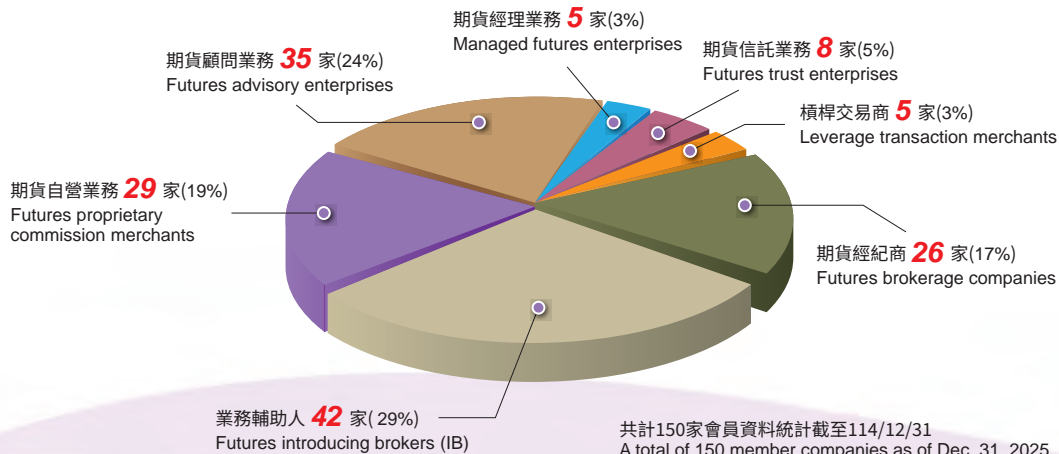
近10年會員家數統計圖

Statistics of CNFA Member Companies over Past 10 Years



114年度會員類別統計圖

Statistics of CNFA Member Companies in 2025, by Business Category

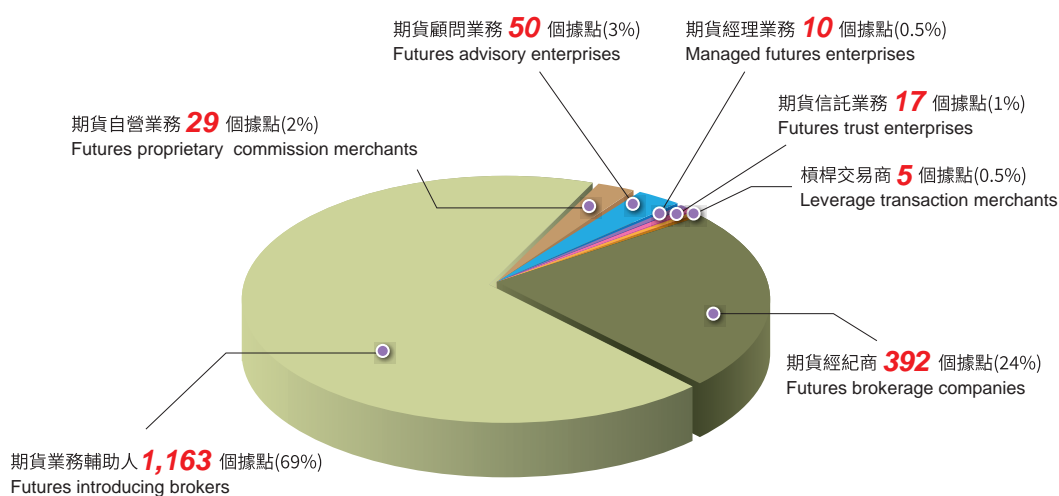


會員總分公司分支機構數：共計1,666家，其中經營期貨經紀業務為392個營業據點；期貨業務輔助人1,163個營業據點；期貨自營業務29個營業據點；期貨顧問業務50個營業據點；期貨經理業務10個營業據點；期貨信託業務17個營業據點；槓桿交易商5個營業據點。

CNFA Member Companies were operated with a total of 1,666 headquarters offices and branch offices as of the end of 2025: 392 used by futures brokers, 1,163 used by introducing brokers, 29 used by futures proprietary commission merchants, 50 used by futures advisory enterprises, 10 used by managed futures enterprises, 17 used by futures trust enterprises, and 5 used by leverage transaction merchant.

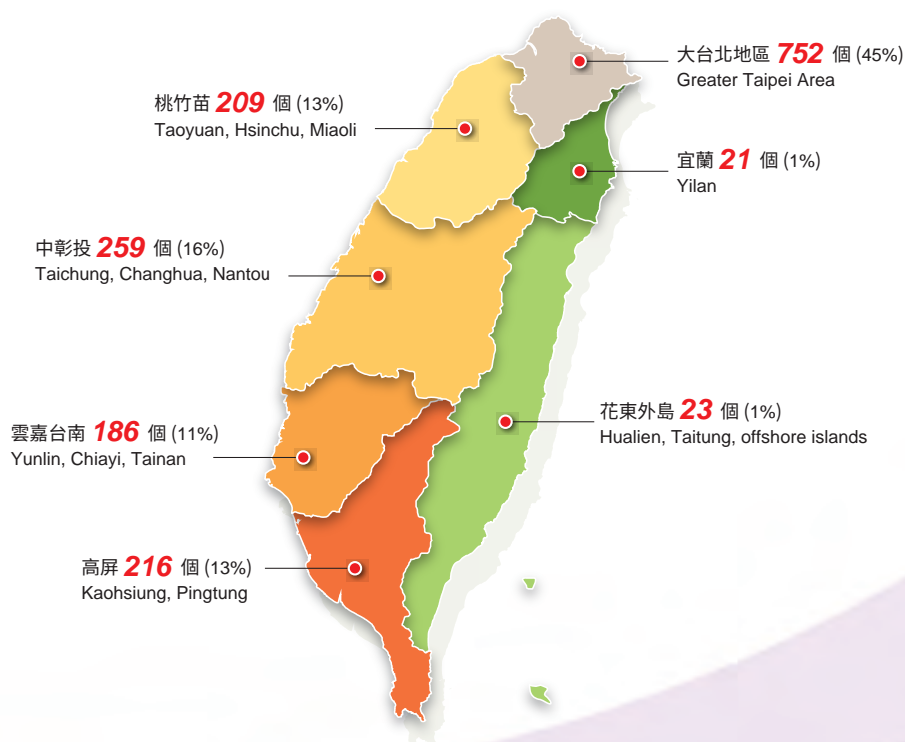
### 114年度會員營業據點統計圖

Business Sites of CNFA Member Companies in 2025



### 114年度會員營業據點區域分布統計圖

Geographical Location of Business Sites of CNFA Member Companies in 2025



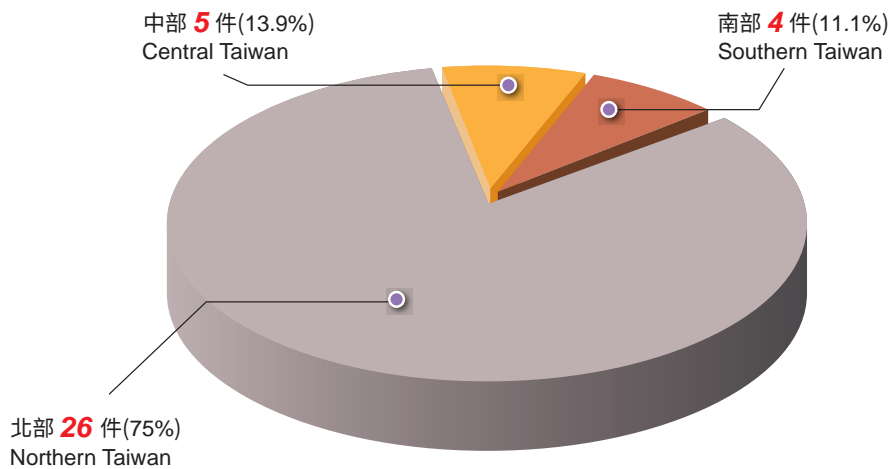
### 會員場地勘察 Business Site Inspection

114年度共計35件實地場勘案(北部地區26件、中部地區5件、南部地區4件)，就其場勘案件分析，新設營業處所8件、遷移營業處所21件、縮減營業處所1件、擴增營業處所2件、營業處所內部配置變動3件。

The Association conducted a total of 35 business site inspection projects in 2025 (26 in northern Taiwan, 5 in central Taiwan, and 4 in southern Taiwan). Among these inspected sites, 8 were newly established, 21 were relocated, 1 were downsized, 2 were expended, and 3 were remodeled.

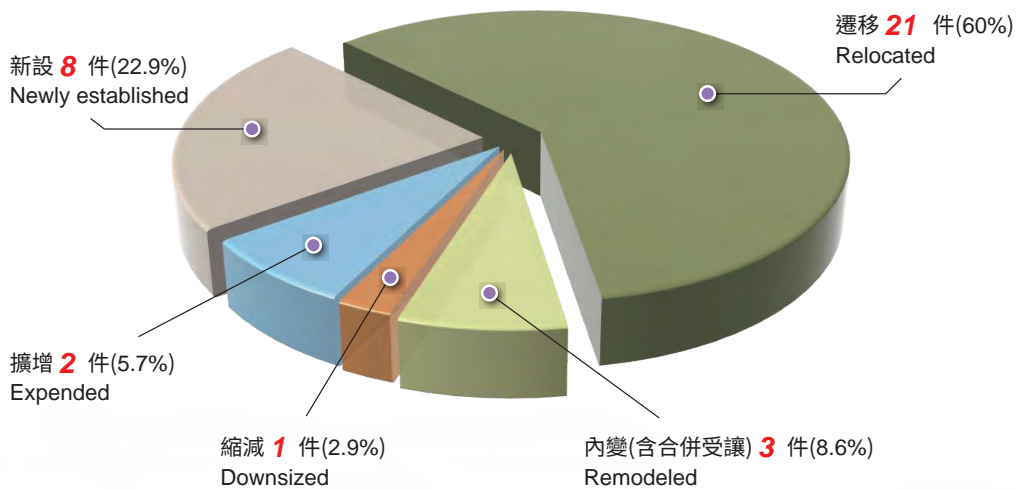
#### 114年度場地勘察區域分布統計圖

Geographical Areas of Inspected Business Sites in 2025



#### 114年度場地勘察類別統計圖

Statistics of Business Site Inspection Projects in 2025



## 2. 會員委託證照之申請變更、換領及其他服務事項

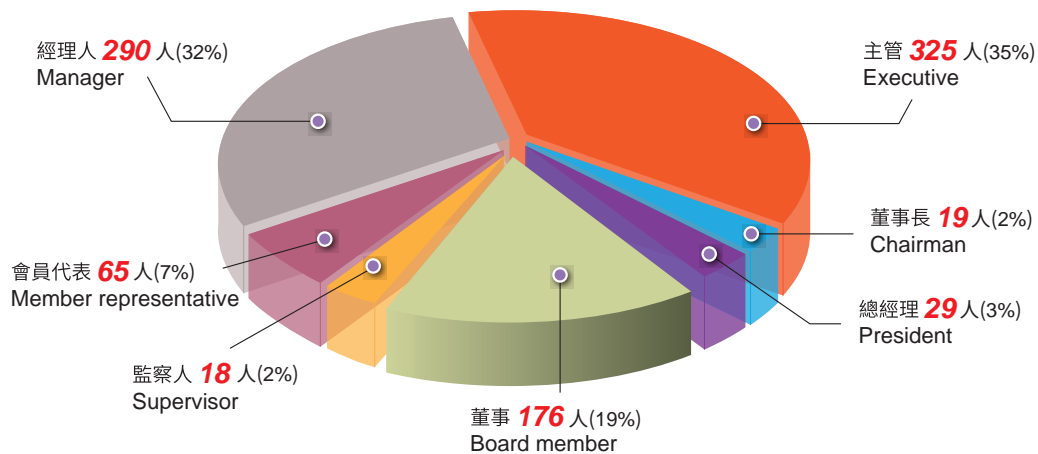
Certificate renewal, change, and other related services

會員代表、會員負責人114年度於本公會異動登錄共計922人次，細分如下：

In 2025, the Association handled a total of 922 certificate renewal and change cases for highranking executives, board members, and supervisors of member companies.

### 114年度身分類異動統計圖

Position Change Statistics for 2025

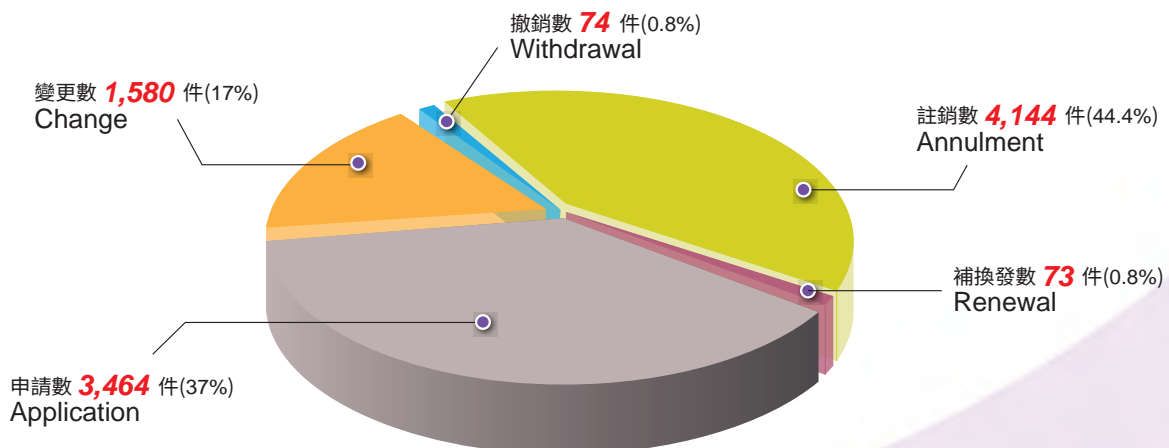


業務員、輸單員、銷售人員114年度於本公會異動登錄共計9,335件，每月平均778件

In 2025, the Association handled a total of 9,335 business representative, key-in worker, and salesperson enrollment cases, with an average of 778 cases per month.

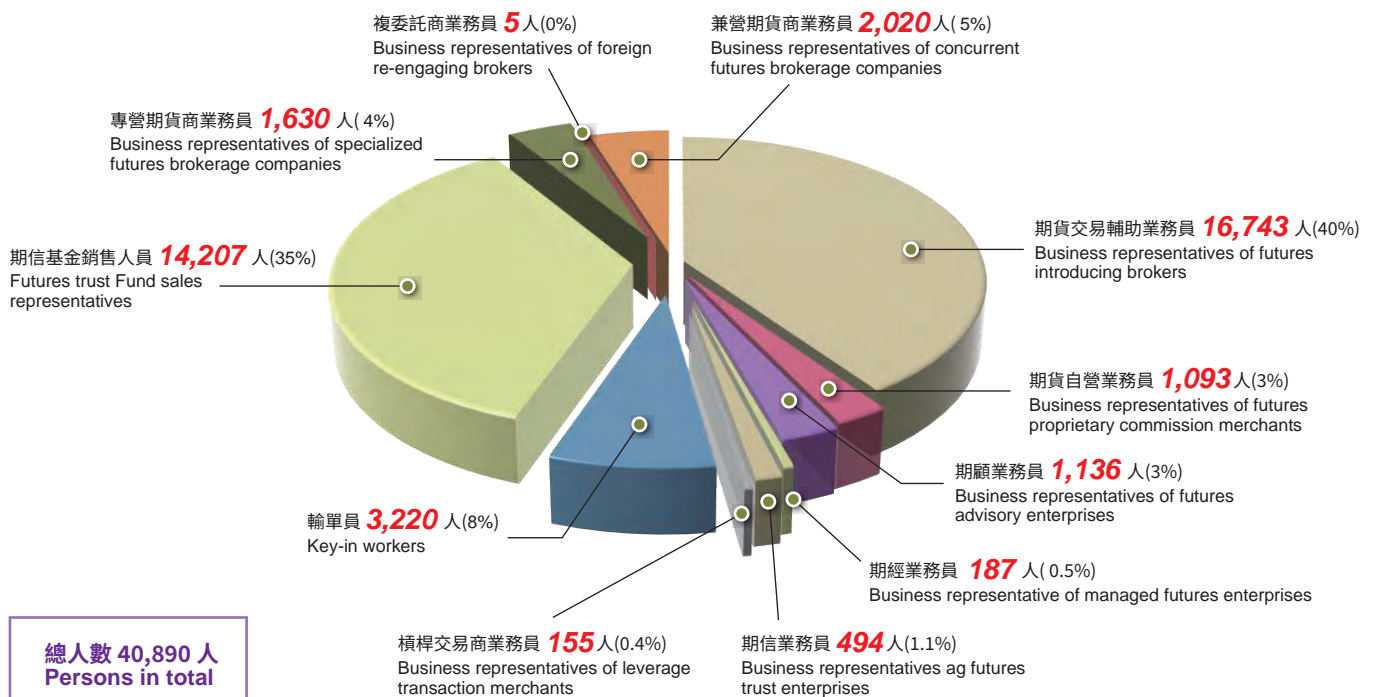
### 114年度業務異動類別統計圖

Job Change Registrations in 2025



114年度從業人員登記統計圖

Business Category Change of Staff at CNFA Member Companies in 2025



七、本會出版品

G. Publications

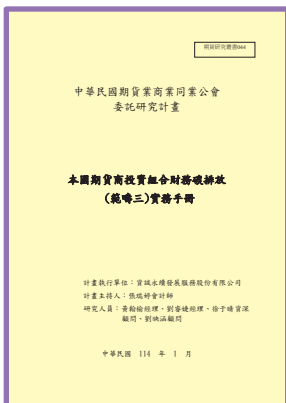
1. 會訊 Newsletter



2. 期貨人季刊 Futures Journal



3. 研究叢書 Research books



4.政令宣導 Policy Advocacy



伍

# 財務報告

Financial Report



資誠

會計師查核報告

(115)財審報字第 25003444 號

中華民國期貨業商業同業公會 公鑒：

### 查核意見

中華民國期貨業商業同業公會民國 114 年及 113 年 12 月 31 日之資產負債表及財產清冊，暨民國 114 年及 113 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，以及會計報告附註（包括重大會計政策彙總），業經本會計師查核竣事。

依本會計師之意見，上開會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」暨財團法人中華民國會計研究發展基金會所公開之各號企業會計準則公報及其解釋編製，足以允當表達中華民國期貨業商業同業公會民國 114 年及 113 年 12 月 31 日之財務狀況，暨民國 114 年及 113 年 1 月 1 日至 12 月 31 日之收支情形。

### 查核意見之基礎

本會計師係依照會計師查核簽證會計報告規則及中華民國審計準則執行查核工作。本會計師於該等準則下之責任將於會計師查核會計報告之責任段進一步說明。本會計師所隸屬事務所受獨立性規範之人員已依中華民國會計師職業道德規範，與中華民國期貨業商業同業公會保持超然獨立，並履行該規範之其他責任。本會計師相信已取得足夠及適切之查核證據，以作為表示查核意見之基礎。

### 管理階層對會計報告之責任

管理階層之責任係依照內政部頒布之「工商團體財務處理辦法」暨財團法人中華民國會計研究發展基金會所公開之各號企業會計準則公報及其解釋編製允當表達之會計報告，且維持與會計報告編製有關之必要內部控制，以確保會計報告未存有導因於舞弊或錯誤之重大不實表達。

於編製會計報告時，管理階層之責任亦包括評估中華民國期貨業商業同業公會繼續經營之能力、相關事項之揭露，以及繼續經營會計基礎之採用，除非管理階層意圖清算中華民國期貨業商業同業公會或停止營業，或除清算或停業外別無實際可行之其他方案。

資誠聯合會計師事務所 PricewaterhouseCoopers, Taiwan  
110208 臺北市信義區基隆路一段 333 號 27 樓  
27F, No. 333, Sec. 1, Keelung Rd., Xinyi Dist., Taipei 110208, Taiwan  
T: +886 (2) 2729 6666, F: +886 (2) 2729 6686



## 資誠

本會計師查核會計報告之目的，係對會計報告整體是否存有導因於舞弊或錯誤之重大不實表達取得合理確信，並出具查核報告。合理確信係高度確信，惟依照中華民國審計準則執行之查核工作無法保證必能偵出會計報告存有之重大不實表達。不實表達可能導因於舞弊或錯誤。如不實表達之個別金額或彙總數可合理預期將影響會計報告使用者所作之經濟決策，則被認為具有重大性。

本會計師依照中華民國審計準則查核時，運用專業判斷及專業懷疑。本會計師亦執行下列工作：

1. 辨認並評估會計報告導因於舞弊或錯誤之重大不實表達風險；對所評估之風險設計及執行適當之因應對策；並取得足夠及適切之查核證據以作為查核意見之基礎。因舞弊可能涉及共謀、偽造、故意遺漏、不實聲明或踰越內部控制，故未偵出導因於舞弊之重大不實表達之風險高於導因於錯誤者。
2. 對與查核攸關之內部控制取得必要之瞭解，以設計當時情況下適當之查核程序，惟其目的非對中華民國期貨業商業同業公會內部控制之有效性表示意見。
3. 評估管理階層所採用會計政策之適當性，及其所作會計估計與相關揭露之合理性。
4. 依據所取得之查核證據，對管理階層採用繼續經營會計基礎之適當性，以及使中華民國期貨業商業同業公會繼續經營之能力可能產生重大疑慮之事件或情況是否存在重大不確定性，作出結論。本會計師若認為該等事件或情況存在重大不確定性，則須於查核報告中提醒會計報告使用者注意會計報告之相關揭露，或於該等揭露係屬不適當時修正查核意見。本會計師之結論係以截至查核報告日所取得之查核證據為基礎。惟未來事件或情況可能導致中華民國期貨業商業同業公會不再具有繼續經營之能力。
5. 評估會計報告（包括相關附註）之整體表達、結構及內容，以及會計報告是否允當表達相關交易及事件。

本會計師與治理單位溝通之事項，包括所規劃之查核範圍及時間，以及重大查核發現（包括於查核過程中所辨認之內部控制顯著缺失）。

資 誠 聯 合 會 計 師 事 務 所

會計師



中 華 民 國 1 1 5 年 3 月 6 日

## REPORT OF INDEPENDENT ACCOUNTANTS TRANSLATED FROM CHINESE

To the Board of Directors of CHINESE NATIONAL FUTURES ASSOCIATION

### ***Opinion***

We have audited the accompanying balance sheets and property lists of CHINESE NATIONAL FUTURES ASSOCIATION (the “Association”) as at December 31, 2025 and 2024, and the related statements of activities, income and disbursements of cash and fund for the years then ended, and notes to the accounting reports, including a summary of significant accounting policies.

In our opinion, the accompanying accounting reports present fairly, in all material respects, the financial position of the Association as at December 31, 2025 and 2024, and the results of its operations and disbursements of cash and fund for the years then ended in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations.

### ***Basis for opinion***

We conducted our audits in accordance with the “Regulations Governing Auditing and Attestation of Financial Statements by Certified Public Accountants” and generally accepted auditing standards in the Republic of China (ROC GAAS). Our responsibilities under those standards are further described in the Auditor’s Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Association in accordance with the Code of Professional Ethics for Certified Public Accountants in the Republic of China (the “Code”), and we have fulfilled our other ethical responsibilities in accordance with the Code. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### ***Responsibilities of management for the accounting reports***

Management is responsible for the preparation and fair presentation of the accounting reports in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations, and for such internal control as management determines is necessary to enable the preparation of accounting reports that are free from material misstatement, whether due to fraud or error.

In preparing the accounting reports, management is responsible for assessing the Association’s ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Association or to cease operations, or has no realistic alternative but to do so.

### ***Auditor's responsibilities for the audit of the accounting reports***

Our objectives are to obtain reasonable assurance about whether the accounting reports as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ROC GAAS will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these accounting reports.

As part of an audit in accordance with ROC GAAS, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

1. Identify and assess the risks of material misstatement of the accounting reports, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
2. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Association's internal control.
3. Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
4. Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Association's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the accounting reports or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Association to cease to continue as a going concern.
5. Evaluate the overall presentation, structure and content of the accounting reports, including the disclosures, and whether the accounting reports represent the underlying transactions and events in a manner that achieves fair presentation.



資產	114年12月31日		113年12月31日		114年12月31日		113年12月31日	
	金額	%	金額	%	金額	%	金額	%
流動資產								
現金及銀行存款(附註五(一))	\$ 39,069,912	5	\$ 46,289,203	6	\$ 13,285,142	2	\$ 14,400,311	2
有價證券(附註五(二))	46,442,260	6	46,442,260	6	392,808	-	442,177	-
應收帳款(附註五(三))	620,958	-	729,423	-	500	-	500	-
預付款項	510,417	-	439,985	-	-	-	-	-
流動資產合計	86,643,547	11	93,900,871	12	13,688,450	2	14,842,988	2
基金								
會務發展專備基金-專戶存款(附註五(四))	179,134,831	24	169,020,338	23	48,960,000	6	48,600,000	7
退撫專備基金-專戶存款(附註五(五))	41,923,433	5	41,673,099	6	48,960,000	6	48,600,000	7
基金合計	221,058,264	29	210,693,437	29	62,648,450	8	63,442,988	9
固定資產(附註五(六))								
土地	133,989,345	18	133,989,345	18	186,754,831	24	176,300,338	24
房屋及建築	107,770,320	14	107,770,320	14	44,935,870	6	41,277,118	6
事務設備	18,228,461	2	21,386,310	3	266,136,157	35	269,220,873	35
雜項設備	4,072,001	1	4,048,868	1	497,826,858	65	486,798,329	65
辦公設備	2,076,030	-	2,076,030	-	-	-	-	-
固定資產合計	266,136,157	35	269,220,873	36	764,424,818	100	743,642,031	100
其他資產								
有價證券(附註五(七))	141,569,550	19	121,169,550	16	193,400,714	25	179,805,625	24
存出保證金	57,300	-	57,300	-	10,548,796	2	13,595,089	2
自律基金-專戶存款	48,960,000	6	48,600,000	7	203,949,510	27	193,400,714	26
其他資產合計	190,586,850	25	169,826,850	23	701,776,368	92	680,199,043	91
資產總計	\$ 764,424,818	100	\$ 743,642,031	100	\$ 764,424,818	100	\$ 743,642,031	100

後附會計報告附註為本會計報告之一部分，請併同參閱。



理事長：



秘書長：



會計主管



製表：

CHINESE NATIONAL FUTURES ASSOCIATION  
BALANCE SHEETS  
YEARS ENDED DECEMBER 31

(Expressed in New Taiwan Dollars)

	2025		2024		Notes	2025		2024		Notes
	Amount	%	Amount	%		Amount	%	Amount	%	
<b>ASSETS</b>										
<b>Current Assets</b>										
Cash and bank deposit	\$ 39,069,912	5	\$ 46,289,203	6	5(1)	\$ 13,295,142	2	\$ 14,400,311	2	5(8)
Securities	46,442,260	6	46,442,260	6	5(2)	392,808	-	442,177	-	
Accounts receivable	620,958	-	729,423	-	5(3)	500	-	500	-	
Prepayments	510,417	-	439,985	-		13,688,450	2	14,842,988	2	
<b>Total current assets</b>	<b>86,643,547</b>	<b>11</b>	<b>93,900,871</b>	<b>12</b>						
<b>Funds</b>										
Development fund at special bank account	179,134,831	24	169,020,338	23	5(4)	48,960,000	6	48,600,000	7	
Pension fund at special bank account	41,923,433	5	41,673,099	6	5(5)	48,960,000	6	48,600,000	7	
<b>Total funds</b>	<b>221,058,264</b>	<b>29</b>	<b>210,693,437</b>	<b>29</b>		<b>62,648,450</b>	<b>8</b>	<b>63,442,988</b>	<b>9</b>	
<b>Property, Plant and Equipment</b>					5(6)					
Land	133,989,345	18	133,989,345	18						
Buildings	107,770,320	14	107,770,320	14						
Machinery and equipment	18,228,461	2	21,336,310	3		186,754,831	24	176,300,338	24	
Miscellaneous property, plant, and equipment	4,072,001	1	4,048,868	1		44,935,870	6	41,277,118	6	
Office equipment	2,076,030	-	2,076,030	-		266,136,157	35	269,220,873	35	
<b>Total property, plant and equipment</b>	<b>266,136,157</b>	<b>35</b>	<b>269,220,873</b>	<b>36</b>		<b>497,826,858</b>	<b>65</b>	<b>486,798,329</b>	<b>65</b>	
<b>Other Assets</b>										
Securities	141,569,550	19	121,169,550	16	5(7)	193,400,714	25	179,805,625	24	
Refundable deposits	57,300	-	57,300	-		10,548,796	2	13,595,089	2	
Self-discipline fund at special bank account	48,960,000	6	48,600,000	7		203,949,510	27	193,400,714	26	
<b>Total other assets</b>	<b>190,586,850</b>	<b>25</b>	<b>169,826,850</b>	<b>23</b>		<b>701,776,368</b>	<b>92</b>	<b>680,199,043</b>	<b>91</b>	
<b>TOTAL ASSETS</b>	<b>\$ 764,424,818</b>	<b>100</b>	<b>\$ 743,642,031</b>	<b>100</b>		<b>\$ 764,424,818</b>	<b>100</b>	<b>\$ 743,642,031</b>	<b>100</b>	
<b>LIABILITIES, FUNDS AND SURPLUS</b>										
<b>Current Liabilities</b>										
Accrued expenses										
Receipts under custody										
Temporary receipts										
<b>Total current liabilities</b>										
<b>Non-current Liabilities</b>										
Refundable deposits received										
<b>Total Non-current Liabilities</b>										
<b>TOTAL LIABILITIES</b>										
<b>Funds And Accumulated Surplus</b>										
Surplus										
Accumulated surplus										
Surplus for the year										
<b>Total surplus</b>										
<b>TOTAL FUNDS AND SURPLUS</b>										
<b>TOTAL LIABILITIES, FUNDS AND SURPLUS</b>										

The accompanying notes are an integral part of these financial statements.

中華民國期貨業商業公會

收支決算表

民國114年1月1日至12月31日

單位:新台幣元

科 目	決算數	預算數	決算與預算比較數		說明
			增 加	減 少	
經費收入					
入會費收入	\$ 7,200	\$ 7,200	\$ -	\$ -	
甲類常年會費收入	1,507,200	1,505,600	1,600	-	
乙類常年會費收入(附註五(十))	108,560,353	171,545,800	-	( 62,985,447)	註1
利息收入	1,805,254	1,551,400	253,854	-	
會員服務收入	12,944,649	13,390,000	-	( 445,351)	
其他收入	3,530,404	2,500,000	1,030,404	-	註2
收入合計	128,355,060	190,500,000	1,285,858	( 63,430,798)	
經費支出					
人事費	44,775,114	46,021,000	-	( 1,245,886)	註3
辦公費	7,177,123	9,684,000	-	( 2,506,877)	註4
業務費	46,608,951	109,551,000	-	( 62,942,049)	註5
購置費	819,047	1,000,000	-	( 180,953)	
團體會費	2,234,000	2,234,000	-	-	
什項支出	3,902,029	5,910,000	-	( 2,007,971)	註6
預備金	-	3,810,000	-	( 3,810,000)	註7
會務發展準備基金提存(附註五(十一))	7,620,000	7,620,000	-	-	
退換準備基金提存(附註五(十一))	4,670,000	4,670,000	-	-	
支出合計	117,806,264	190,500,000	-	( 72,693,736)	
本期餘絀(稅前)	10,548,796	-	10,548,796	-	
所得稅費用(附註五(九))	-	-	-	-	
本期餘絀(稅後)	\$ 10,548,796	\$ -	\$ 10,548,796	\$ -	

後附會計報告附註為本會計報告之一部分，請併同參閱。

註1：乙類常年會費收入減少，主係經理監事聯席會決議，自本期10月起免收事業業務費。

註2：其他收入增加，主係因本年度股利收入增加所致。

註3：人事費減少，主係因本年度考核獎金減少所致。

註4：辦公費減少，主係因擱節辦公費用支出所致。

註5：業務費減少，主係因預估之業務推廣活動未全數執行所致。

註6：什項支出減少，主係本期預估之社會服務費未全數執行所致。

註7：預備金減少，主係本期無特殊狀況動支。

理事長：



秘書長：



會計主管：



製表：



CHINESE NATIONAL FUTURES ASSOCIATION  
FINAL STATEMENTS OF INCOME AND EXPENDITURE  
FOR THE YEARS ENDED DECEMBER 31

(Expressed in New Taiwan Dollars)

	Notes	Actual Amount	Estimated Amount	Difference between actual and estimated amounts		Remarks
				Increase	Decrease	
<b>Income</b>						
Entry fees		\$ 7,200	\$ 7,200	\$ -	\$ -	
Annual membership fees		1,507,200	1,505,600	1,600	-	
Business promotion fees	5(10)	108,560,353	171,545,800	-	( 62,985,447)	Note1
Interest income		1,805,254	1,551,400	253,854	-	
Membership service fees		12,944,649	13,390,000	-	( 445,351)	
Miscellaneous income		<u>3,530,404</u>	<u>2,500,000</u>	<u>1,030,404</u>	<u>-</u>	Note2
<b>Total income</b>		<u>128,355,060</u>	<u>190,500,000</u>	<u>1,285,858</u>	<u>( 63,430,798)</u>	
<b>Expenditure</b>						
Personnel expense		44,775,114	46,021,000	-	( 1,245,886)	Note3
Administration expense		7,177,123	9,684,000	-	( 2,506,877)	Note4
Business expense		46,608,951	109,551,000	-	( 62,942,049)	Note5
Procurement expense		819,047	1,000,000	-	( 180,953)	
Association activity fee		2,234,000	2,234,000	-	-	
Miscellaneous items		3,902,029	5,910,000	-	( 2,007,971)	Note6
Reserve cash		-	3,810,000	-	( 3,810,000)	Note7
Development fund	5(11)	7,620,000	7,620,000	-	-	
Pension fund	5(11)	<u>4,670,000</u>	<u>4,670,000</u>	<u>-</u>	<u>-</u>	
<b>Total expenditure</b>		<u>117,806,264</u>	<u>190,500,000</u>	<u>-</u>	<u>( 72,693,736)</u>	
<b>Surplus before income tax</b>		10,548,796	-	10,548,796	-	
Income tax	5(9)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
<b>Surplus for the year</b>		<u>\$ 10,548,796</u>	<u>\$ -</u>	<u>\$ 10,548,796</u>	<u>\$ -</u>	

The accompanying notes are an integral part of these financial statements.

- 1 : Due to the minutes of Broad meetings, the income of Business promotion fees was exempted from October 2025.  
2 : Miscellaneous income increased due to an increase in dividend income this year.  
3 : The personnel expense decreased due to the decrease in performance bonuses this year.  
4 : Administration fee decreased mainly due to budget cut.  
5 : Business activity fees decreased because the planned activities for business promotion had not been completely implement.  
6 : Miscellaneous expenses decreased primarily because the social service budget has not been fully used.  
7 : Reserve cash decreased because there were no conditions for special purpose.

陸

# 大事紀要

Chronicle

## 陸、大事紀要 F.Chronicle

日期 Date	活動內容 Activity
2/5	第7屆業務電子化委員會第9次會議 The 9 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
2/7	第7屆紀律委員會第8次會議 The 8 <sup>th</sup> meeting of 7 <sup>th</sup> -session Discipline Committee
2/10	第7屆財務委員會第16次會議 The 16 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
2/12	第7屆採購委員會第14次會議 The 14 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
2/12	第7屆經紀業務委員會第17次會議 The 17 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
2/18	資訊安全案例宣導分享會 Information Security Case Sharing Session
2/21	第7屆理監事第16次聯席會議 The 16 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
3/6	「研議精進氣候變遷情境分析之測試方法及參數設定」專案會議 Project meeting on “Studying Improvements to Testing Methods and Parameter Settings for Climate Change Scenario Analysis”
3/10-3/12	參與「第49屆美國期貨業協會 (FIA) 年會」 Participate in 「The 49 <sup>th</sup> FIA Annual Conference」
3/10	「期貨業未來發展與就業機會」校園巡迴講座-靜宜大學(1) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (1)
3/14	「期貨業未來發展與就業機會」校園巡迴講座-金門大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Quemoy University
3/19	「期貨業未來發展與就業機會」校園巡迴講座-暨南大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chi Nan University
3/21	「期貨業未來發展與就業機會」校園巡迴講座-高科大(1) “Futures Market Outlook and Job Opportunities” campus lecture tour—National Kaohsiung University of Science and Technology(1)
3/24	「期貨業未來發展與就業機會」校園巡迴講座-真理大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Aletheia University

日期 Date	活動內容 Activity
3/25	「期貨業未來發展與就業機會」校園巡迴講座-中正大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Cheng University
3/25	第7屆槓桿交易業務委員會第3次會議 The 3 <sup>rd</sup> meeting of the 7 <sup>th</sup> -session Leverage Transaction Committee
3/26	「本國證券/期貨/投信財務碳排放(範疇三)實務實務作業手冊」宣導說明會 “Practical Operations Handbook for Domestic Securities/Futures/Investment Trust Portfolio Financed Emissions (Scope 3)” Promotion Seminar
3/27	「期貨業未來發展與就業機會」校園巡迴講座-靜宜大學(2) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (2)
3/28	「期貨業未來發展與就業機會」校園巡迴講座-成功大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Cheng Kung University
4/1	「期貨業未來發展與就業機會」校園巡迴講座-台北城市科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Taipei City University of Science and Technology
4/3-4/7	理監事聯誼活動-第1梯 Friendship activity for directors and supervisors -Group 1
4/8	「期貨業未來發展與就業機會」校園巡迴講座-朝陽科技大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chaoyang University of Technology
4/8	第7屆經紀、顧問、經理、信託及槓桿交易業務委員會第1次聯席會議 The 1st Joint Meeting of the 7th Brokerage, Advisory, Management, Trust, and Leveraged Trading Business Committees
4/9	第7屆經紀業務委員會第18次會議 The 18 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
4/10	第7屆永續發展委員會工作小組第10次會議 The 10 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Sustainable Development Committee's working group
4/11	第7屆業務電子化委員會第10次會議 The 10 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
4/16	第7屆財務委員會第17次會議 The 17 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
4/17	香港交易所來訪 Visit of HKEX
4/18	本公會30週年慶 CNFA 30th Anniversary Celebration

日期 Date	活動內容 Activity
4/21	「期貨業未來發展與就業機會」校園巡迴講座-中山大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Sun Yat-sen University
4/21	「期貨業未來發展與就業機會」校園巡迴講座-南台科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Southern Taiwan University of Science and Technology
4/21	新加坡交易所來訪 Visit of SGX
4/22	「期貨業未來發展與就業機會」校園巡迴講座-中華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chung Hua University
4/25	「期貨業未來發展與就業機會」校園巡迴講座-中興大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Hsing University
4/25	第7屆永續發展委員會第9次會議 The 9 <sup>th</sup> meeting of 7 <sup>th</sup> -session Sustainable Development Committee
4/25	第7屆理監事第17次聯席會議 The 17 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
4/26	從業人員聯誼活動-北投永春寮健行聯誼活動 Practitioner Networking Activity – Beitou Yongchunliao Hiking Networking Event
5/1	114年度證券期貨盃高爾夫球賽聯誼活動 Securities & Futures Golf Championship 2025
5/2	「期貨業未來發展與就業機會」校園巡迴講座-東海大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tunghai University
5/3-5/4	委員會聯誼 Friendship activity of committee members
5/7	「期貨業未來發展與就業機會」校園巡迴講座-北商(1) “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Business(1)
5/7-5/11	理監事聯誼活動-第2梯 Friendship activity for directors and supervisors -Group 2
5/8	「期貨業未來發展與就業機會」校園巡迴講座-東華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Dong Hwa University
5/14	「期貨業未來發展與就業機會」校園巡迴講座-南華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Nanhua University

日期 Date	活動內容 Activity
5/14	洗錢防制/打擊資恐法令解析及案例說明會-高雄場 Seminar on "AML/CTF Regulation Analysis and Case Illustration" —Kaohsiung
5/15	「期貨業未來發展與就業機會」校園巡迴講座-義守大學 "Futures Market Outlook and Job Opportunities" campus lecture tour—I-shou University
5/15	洗錢防制/打擊資恐法令解析及案例說明會-台中場 Seminar on "AML/CTF Regulation Analysis and Case Illustration" —Taichung
5/16	「期貨業未來發展與就業機會」校園巡迴講座-北商(2) "Futures Market Outlook and Job Opportunities" campus lecture tour—National Taipei University of Business(2)
5/16	第7屆槓桿交易業務委員會第4次會議 The 4 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Leverage Transaction Committee
5/21	「期貨業未來發展與就業機會」校園巡迴講座-高科大(2) "Futures Market Outlook and Job Opportunities" campus lecture tour—National Kaohsiung University of Science and Technology(2)
5/21	「期貨業未來發展與就業機會」校園巡迴講座-聯合大學 "Futures Market Outlook and Job Opportunities" campus lecture tour—National United University
5/21	洗錢防制/打擊資恐法令解析及案例說明會-台北場 Seminar on "AML/CTF Regulation Analysis and Case Illustration" —Taipei
5/22	「期貨業未來發展與就業機會」校園巡迴講座-北科大(1) "Futures Market Outlook and Job Opportunities" campus lecture tour—National Taipei University of Technology (1)
5/22	「期貨業未來發展與就業機會」校園巡迴講座-亞洲大學 "Futures Market Outlook and Job Opportunities" campus lecture tour—Asia University, Taiwan
5/22	第7屆業務電子化委員會第11次會議 The 11 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
5/23	期貨業未來發展與就業機會」校園巡迴講座-北科大(2) "Futures Market Outlook and Job Opportunities" campus lecture tour—National Taipei University of Technology (2)
5/26	第7屆採購委員會第15次會議 The 15 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
6/6	第7屆理監事第18次聯席會議 The 18 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
6/12-6/16	理監事聯誼活動-第3梯 Friendship activity for directors and supervisors -Group 3

日期 Date	活動內容 Activity
6/30	第8屆第1次會員大會 The 1 <sup>st</sup> General Assembly of the 8 <sup>th</sup> -session CNFA Members
6/30	第8屆理事會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session executives directors
6/30	第8屆監事會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session supervisors
7/2	期貨業與網紅合作之行為規範重點說明會 Briefing on Key Points of the Code of Conduct for FCMs Collaborating with Influencers
7/17	CME及CFTC最新法規及案例研討分享 Seminar and Sharing on the Latest CME and CFTC Regulations and Case Studies
7/31	第8屆經紀業務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Futures Brokerage Committee
8/4	「證券商暨期貨商辦理電子業務安全控管作業基準」草案說明會(1) Briefing on the finalization of the draft “Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and Futures Firms” (1)
8/6	強化資通安全及案例說明會-台北場 Presentation on “information security and case illustrations”—Taipei
8/9	第8屆財務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Financial Committee
8/12	TT公司亞太區辦公室來訪 Visit by TT Company's Asia-Pacific regional office
8/13	第8屆採購委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Procurement Committee
8/14	贊助「2025FIA臺灣衍生性商品市場發展研討會」 Sponsoring “FIA Forum: Taipei 2025”
8/15	強化資通安全及案例說明會-台中場 Presentation on “information security and case illustrations”—Taichung
8/21	114年度「受裁罰案例訓練課程」 Course of Penalty Case Studies for 2025
8/22	強化資通安全及案例說明會-高雄場 Presentation on “information security and case illustrations”—Kaohsiung

日期 Date	活動內容 Activity
8/22	第8屆理監事第1次聯席會議 The 1 <sup>st</sup> joint meeting of the 8 <sup>th</sup> -session executive directors and supervisors
9/3	「證券商暨期貨商辦理電子業務安全控管作業基準」草案說明會(2) Briefing on the finalization of the draft "Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and Futures Firms" (2)
9/18	「金融消費者保護」宣導會(1) "Financial Consumer Protection" Promotion Meeting(1)
9/23	第8屆經理業務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> Managed Futures Committee
9/25	「金融消費者保護」宣導會(2) "Financial Consumer Protection" Promotion Meeting(2)
9/25	第8屆防制洗錢暨法遵委員會第1次會議 The 1 <sup>st</sup> meeting of 8 <sup>th</sup> -session AML & Law Compliance Committee
9/25	疑似洗錢態樣實務交流與分享會 AML experience-sharing seminar
9/30	第8屆信託業務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Futures Trust Committee
10/1	第8屆紀律委員會第1次會議 The 1 <sup>st</sup> meeting of 8 <sup>th</sup> -session Discipline Committee
10/9	第8屆採購委員會第2次會議 The 2 <sup>nd</sup> meeting of the 8 <sup>th</sup> -session Procurement Committee
10/15	第8屆財務委員會第2次會議 The 2 <sup>nd</sup> meeting of the 8 <sup>th</sup> -session Financial Committee
10/17	2025 台北國際期貨論壇 Taipei International Futures Forum 2025
10/17	大阪交易所來訪(1) Visit of OSC(1)
10/17-10/19	2025 ETF投資博覽會 2025 ETF INVESTMENT EXPO
10/22	第8屆期貨顧問委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Futures Advisory Committee

日期 Date	活動內容 Activity
10/31	第8屆理監事第2次聯席會議 The 4 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
11/3	「證券暨期貨商辦理電子業務安全控管作業基準」草案定稿說明會(1) Briefing on the finalization of the draft “Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and Futures Firms” (1)
11/4	第8屆稽核委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Auditing Committee
11/8	2025 金融服務愛心公益嘉年華-嘉義場 Financial Service and Charity Carnival 2023 (in Kaohsiung)
11/11	洗錢防制/打擊資恐法令解析及案例說明會-線上課程(1) Seminar on “AML/CTF Regulation Analysis and Case Illustration”—online class (1)
11/18	2025 LME實務研討會 LME Practice Seminar 2025
11/18	第8屆自營務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Proprietary Futures Merchant Committee
11/19	洗錢防制/打擊資恐法令解析及案例說明會-線上課程(2) Seminar on “AML/CTF Regulation Analysis and Case Illustration”—online class (2)
11/20	「證券暨期貨業者雲端服務及人工智慧作業」說明會(1) Briefing on “Cloud Services and Artificial Intelligence Operations for Securities and Futures Industry Participants” (1)
11/20	第8屆防制洗錢暨法遵委員會第2次會議 The 2 <sup>nd</sup> meeting of 8 <sup>th</sup> -session AML & Law Compliance Committee
11/21	第8屆採購委員會第1次會議 The 1 <sup>th</sup> meeting of the 8 <sup>th</sup> -session Education & Training Committee
11/24	第8屆紀律委員會第2次會議 The 2 <sup>nd</sup> meeting of 8 <sup>th</sup> -session Discipline Committee
11/26	第8屆財務委員會第3次會議 The 3 <sup>rd</sup> meeting of the 8 <sup>th</sup> -session Financial Committee
11/27	第8屆兩岸事務委員會第1次會議 The 1 <sup>th</sup> meeting of the 8 <sup>th</sup> -session Cross-straits Affairs Committee
11/27	第8屆經紀業務委員會第3次會議 The 3 <sup>rd</sup> meeting of the 8 <sup>th</sup> -session Futures Brokerage Committee

日期 Date	活動內容 Activity
11/27-12/6	理監事參訪活動-第1梯 Social visit activities of directors and supervisors—Group 1
11/28	「證券商暨期貨商辦理電子業務安全控管作業基準」草案定稿說明會(2) Briefing on the finalization of the draft “Operational Standards for Security Control in Electronic Business Conducted by Securities Firms and Futures Firms” (2)
12/2-12/4	參與「2025 FIA Asia衍生品年會」 Participate in 「FIA Asia Derivatives Conference 2025」
12/3	新加坡交易所(SGX)交流會 Communication meeting of SGX
12/4	「證券暨期貨業者雲端服務及人工智慧作業」說明會(2) Briefing on “Cloud Services and Artificial Intelligence Operations for Securities and Futures Industry Participants” (2)
12/5-12/14	理監事參訪活動-第2梯 Social visit activities of directors and supervisors—Group 2
12/9	大阪交易所來訪(2) Visit of OSC(2)
12/9	第8屆業務電子化委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session E-Commerce Business Committee
12/9	期貨講座暨校園徵才活動-文化大學 Campus activities of “Financial Podium” & futures talent recruitment—National Taipei University-
12/9-12/16	理監事參訪活動-第3梯 Social visit activities of directors and supervisors—Group 3
12/15	第8屆採購委員會第3次會議 The 3 <sup>rd</sup> meeting of the 8 <sup>th</sup> -session Procurement Committee
12/18	「證券暨期貨業者雲端服務及人工智慧作業」說明會(3) Briefing on “Cloud Services and Artificial Intelligence Operations for Securities and Futures Industry Participants” (3)
12/24	第8屆槓桿交易業務委員會第1次會議 The 1 <sup>st</sup> meeting of the 8 <sup>th</sup> -session Leverage Transaction Committee
12/26	第8屆理監事第3次聯席會議 The 3 <sup>rd</sup> joint meeting of the 8 <sup>th</sup> -session executive directors and supervisors



# 會員名錄

Directory of Members

## 柒、中華民國期貨業商業同業公會會員名錄 G.Chinese National Futures Association Directory of Members

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	<a href="https://www.cathayfut.com.tw">https://www.cathayfut.com.tw</a>
F002	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
F004	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="https://www.kgif.com.tw/zh-tw/">https://www.kgif.com.tw/zh-tw/</a>
F005	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路5段188號15樓部分、 15樓之1部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	<a href="https://www.ibff.com.tw">https://www.ibff.com.tw</a>
F008	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
F014	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://ft.entrust.com.tw">http://ft.entrust.com.tw</a>
F018	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	<a href="http://futures.masterlink.com.tw">http://futures.masterlink.com.tw</a>
F020	群益期貨股份有限公司 Capital Futures Corp.	106台北市敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27550729	<a href="http://www.capital-futures.com.tw">http://www.capital-futures.com.tw</a>
F021	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路2段77號5樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="https://www.yuanta-futures.com.tw">https://www.yuanta-futures.com.tw</a>
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	<a href="https://www.fu-bon.com/futures/home/index.htm">https://www.fu-bon.com/futures/home/index.htm</a>
F029	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110台北市忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	<a href="http://www.megafutures.com.tw">http://www.megafutures.com.tw</a>
F039	元富期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓 9F., 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	<a href="http://www.dcnf.com.tw">http://www.dcnf.com.tw</a>
F040	台新期貨股份有限公司 Taishin Futures Co., Ltd.	104台北市南京東路2段167號7樓 7F., 167, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-77025600	02-77025605	<a href="http://www.tsfu-futures.com.tw">http://www.tsfu-futures.com.tw</a>
R201	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105台北市南京東路4段161號3樓 3F., 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	<a href="http://www.admis.com">http://www.admis.com</a>
S126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	<a href="http://www.honsec.com.tw">http://www.honsec.com.tw</a>

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
S144	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Ltd.	台北市信義路五段7號48樓 48F., No. 7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-23763766	02-23763740	<a href="http://www.ml.com">http://www.ml.com</a>
S147	台灣摩根士丹利證券股 份有限公司 Morgan stanley taiwan limited	110台北市信義路五段7號83樓 83F.,7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27302888	02-27301737	<a href="http://www.morgan-stanley.com">http://www.morgan-stanley.com</a>
S152	瑞士商瑞士信貸銀行股 份有限公司台北證券分 公司 Credit Suisse, Taipei Securities Branch	105台北市民生東路3段109號5樓 5F., 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27156388	02-27156373	<a href="http://www.credit-suisse.com">http://www.credit-suisse.com</a>
S152	花旗環球證券股份有限 公司 Citigroup Global Mar- kets Taiwan Securities Company Limited	110台北市松智路1號14樓 14F., 1, Songzhi Rd., Taipei 110, Taiwan	02-87269000	02-87254755	<a href="http://www.citi-group.com">http://www.citi-group.com</a>
S218	亞東證券股份有限公司 Oriental Securities Corp.	100台北市重慶南路一段86號2樓 2F, 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-77531899	02-77531705	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
S526	美好證券股份有限公司 Good Finance Securities Co., Ltd.	104台北市民生東路2段176號4樓 4F., 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	<a href="http://www.tcstock.com.tw">http://www.tcstock.com.tw</a>
S538	第一金證券股份有限公 司 First Securities Inc .	104台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	<a href="https://www.firstsec.com.tw">https://www.firstsec.com.tw</a>
S616	中國信託綜合證券股份 有限公司 CTBC Securities Co., Ltd.	115台北市經貿二路168號3樓 3F., 168, Jingmao 2nd Rd., Taipei 115 , Taiwan	02-66392000	02-66392124	<a href="http://www.ctbcsec.com">http://www.ctbcsec.com</a>
S844	摩根大通證券股份有限 公司 J.P. Morgan Securities Co., Ltd..	110台北市信義路5段106號3樓 3F., 106, Sec.5, Hsin Yi Rd., Taipei 110, Taiwan	02-87862288	02-27252275	<a href="http://www.jpmorgan.com">http://www.jpmorgan.com</a>
S856	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100台北市重慶南路1段66之1號5樓 5F., 66-1, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23714293	<a href="http://www.skis.com.tw">http://www.skis.com.tw</a>
S884	玉山綜合證券股份有限 公司 E.Sun Securities Co., Ltd.	105台北市民生東路3段156號2樓 2F., 156 Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27186613	<a href="http://www.esun-sec.com.tw">http://www.esun-sec.com.tw</a>
B091	群益金鼎證券股份有限 公司 Capital Securities Corp.	105台北市民生東路3段156號14樓之3 14F.-3.,156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-87898888	02-87892942	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
B092	凱基證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路698號3樓 3F.,698 Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	<a href="https://www.kgi.com.tw/zh-tw">https://www.kgi.com.tw/zh-tw</a>
B093	華南永昌綜合證券股份 有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F., 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25459231	<a href="http://www.en-trust.com.tw">http://www.en-trust.com.tw</a>
B096	富邦綜合證券股份有限 公司 Fubon Securities Co., Ltd.	106台北市仁愛路4段169號3樓 3F., 169, Sec. 4, Ren'ai Rd., Taipei 106, Taiwan	02-87716888	02-87716795	<a href="https://www.fubon-ebroker.com/Home/Index">https://www.fubon-ebroker.com/Home/Index</a>

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B098	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路三段219號11樓 11F., No.219, Sec. 3, Nanjing E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
B09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路1段2號7樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23823585	<a href="https://www.sinotrade.com.tw">https://www.sinotrade.com.tw</a>
B102	合作金庫證券股份有限公司 Taiwan Cooperative Securities Co., Ltd.	106台北市忠孝東路4段285號1樓 1F., 285 Sec.4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27528000	02-27526060	<a href="http://www.tcfhc-sec.com.tw">http://www.tcfhc-sec.com.tw</a>
B103	臺灣土地銀行股份有限公司 Land Bank Of Taiwan Co., Ltd.	100台北市延平南路81號 81., Yen Ping S.Rd., Taipei 100, Taiwan	02-23483978	02-23891864	<a href="http://www.landbank.com.tw">http://www.landbank.com.tw</a>
B104	臺銀綜合證券股份有限公司 BankTaiwan Securities Co., Ltd.	100台北市重慶南路1段58號4樓 4F., 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23882188	02-23822045	<a href="http://www.twfhcsec.com.tw">http://www.twfhcsec.com.tw</a>
B111	臺灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103台北市塔城街30號4樓 4F., 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	<a href="https://www.tbb.com.tw">https://www.tbb.com.tw</a>
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	100台北市衡陽路68號3樓 3F., No.68, Hengyang Rd., Taipei 100, Taiwan	02-23619654	02-23113726	<a href="https://www.bankchb.com/frontend/mashup.jsp?funcId=8ab34cd615">https://www.bankchb.com/frontend/mashup.jsp?funcId=8ab34cd615</a>
B505	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103台北市承德路1段17號17樓 17F., 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	<a href="http://www.tachan.com.tw">http://www.tachan.com.tw</a>
B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320桃園市中壢區中和路96號 96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	<a href="https://sptrade.easytrade.com.tw/easytradehome/">https://sptrade.easytrade.com.tw/easytradehome/</a>
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406台中市北屯區北興街43號1樓 1F., 43, Beising St., Taichung 406, Taiwan	04-22309377	04-22371684	<a href="https://www.boom.com/zh/why_boom">https://www.boom.com/zh/why_boom</a>
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100台北市中正區南海路20號2樓 2F., 20, Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	<a href="http://www.yss.com.tw/">http://www.yss.com.tw/</a>
B566	日進證券股份有限公司 Fortune Securities Co., Ltd.	360苗栗市中正路426號4樓 4F., 426, Chung Cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	<a href="http://www.fscl.com.tw">http://www.fscl.com.tw</a>
B585	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號1樓 1F., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27478266	02-77065595	<a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd.	800高雄市七賢二路38號 38, Chih sien 2 Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682619	<a href="https://www.e-order.com.tw">https://www.e-order.com.tw</a>
B592	元富證券股份有限公司 Master Link Securities Corp.	106台北市大安區復興南路一段209號1樓 1F, 209 Sec.1, Fuhsing S. Rd., Taipei 106, Taiwan	02-23255818	02-23259407	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F., 1020 Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-2353266	049-2357216	<a href="http://www.gmstock.com.tw">http://www.gmstock.com.tw</a>
B601	犇亞證券股份有限公司 Primasia Securities Co., Ltd.	105台北市復興北路99號3樓 3F., 99 Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27180202	<a href="https://www.estockking.com">https://www.estockking.com</a>
B611	台中銀證券股份有限公司 Taichung Bank Securities Co., Ltd.	100台北市忠孝東路一段85號9樓 9F., 85, Sec. 1, Zhongxiao E. Rd., 100, Taiwan	02-23969955	02-23210502	<a href="https://www.tcbs.com.tw">https://www.tcbs.com.tw</a>
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中華三路11號B1 B1., 11, Chunghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	<a href="http://www.nhks.com.tw">http://www.nhks.com.tw</a>
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521彰化縣北斗鎮光復路166號3樓 3F., 166, Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan	04-8886500	04-8886626	<a href="https://www.kuanzho.com.tw/index_tw.php">https://www.kuanzho.com.tw/index_tw.php</a>
B645	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330桃園市縣府路82號1樓 1F., 82, Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	<a href="http://www.yucn.com.tw">http://www.yucn.com.tw</a>
B646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220新北市板橋區東門街30之2號9樓 9F., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601088	02-29658473	<a href="http://www.dcn.com.tw">http://www.dcn.com.tw</a>
B648	福邦證券股份有限公司 Grand Fortune Securities Co., Ltd.	104台北市忠孝西路1段6號6樓 6F., 6, Sec. 1, Chung Hsiao W. Rd., Taipei 104, Taiwan	02-23836888	02-23836800	<a href="http://www.gfortune.com.tw">http://www.gfortune.com.tw</a>
B662	口袋證券股份有限公司 Pocket Securities Co., Ltd.	220新北市板橋區三民路一段216號9樓 9F., 216, Sec. 1, Sanmin Rd., Banqiao Dist., New Taipei 220, Taiwan	02-29526620	02-26856668	<a href="https://www.pocket.tw">https://www.pocket.tw</a>
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路1段50號3樓 3F., 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	<a href="http://www.rsc.com.tw">http://www.rsc.com.tw</a>
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251新北市淡水區中正路63號5樓 5F., 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	<a href="http://www.fushan-ewin.com.tw">http://www.fushan-ewin.com.tw</a>
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106台北市忠孝東路2段95號3樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	<a href="http://www.emega.com.tw">http://www.emega.com.tw</a>
B703	致和證券股份有限公司 Concord International Securities Co., Ltd.	704台南市西門路3段10號1樓 1F., 10, Shi-Men Rd., Sec.3, Tainan 704, Taiwan	06-2219777	06-2286324	<a href="http://www.wintan.com.tw">http://www.wintan.com.tw</a>
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234新北市永和區永和路2段116號3樓 3F., 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29208664	<a href="http://www.peicheng.com.tw">http://www.peicheng.com.tw</a>
B779	國票綜合證券股份有限公司 IBF Securities Co., Ltd.	104台北市樂群三路128號3樓 3F., 128, Lequn 3rd Rd., Taipei 104, Taiwan	02-85021999	02-85026868	<a href="https://www.ibfs.com.tw">https://www.ibfs.com.tw</a>

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B816	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104 台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	<a href="http://www.tssco.com.tw">http://www.tssco.com.tw</a>
B838	安泰證券股份有限公司 Antay Securities Co., Ltd.	830高雄市鳳山區五福二路36號 36., Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	(建置中)
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110台北市基隆路一段176號地下1樓 B1., 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	<a href="http://www.concords.com.tw">http://www.concords.com.tw</a>
B849	京城證券股份有限公司 King's Town Securities Co., Ltd.	105台北市南京東路三段289號3樓 3F., No. 289, Sec. 3, Nanjing E. Rd., Taipei 105, Taiwan	02-25631168	02-27126677	<a href="https://www.ktds.com.tw/">https://www.ktds.com.tw/</a>
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435台中市梧棲區四維中路157巷1號2樓 2F., 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan	04-26572801	04-26564499	<a href="https://sptrade.chungnourn.com.tw/chungnourn/index.html">https://sptrade.chungnourn.com.tw/chungnourn/index.html</a>
B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104台北市南京東路2段137號2樓 2F., 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	<a href="http://www.ubot.com.tw">http://www.ubot.com.tw</a>
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105台北市民生東路5段165號B2 B2., 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	<a href="http://www.sunnysec.com.tw">http://www.sunnysec.com.tw</a>
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路二段335號20樓 20F., 335, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269888	02-23778908	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
P002	兆豐證券股份有限公司 Mega Securities Co., Ltd.	100台北市忠孝東路2段95號3樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-33227073	02-33933052	<a href="http://www.emega.com.tw">http://www.emega.com.tw</a>
P003	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1.,8, Dong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
P004	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路二段77號5樓 5F.,77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="https://www.yuantafutures.com.tw">https://www.yuantafutures.com.tw</a>
P006	群益期貨股份有限公司 Capital Futures Corp.	106台北市敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27550729	<a href="http://www.capitalfutures.com.tw">http://www.capitalfutures.com.tw</a>
P008	元富證券股份有限公司 Master Link Securities Corp.	106台北市大安區復興南路一段209號1樓 1F, 209 Sec.1, Fuhsing S. Rd., Taipei 106, Taiwan	02-23255818	02-27000208	<a href="http://www.masterlink.com.tw">http://www.masterlink.com.tw</a>
P015	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	<a href="http://futures.masterlink.com.tw">http://futures.masterlink.com.tw</a>

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P020	凱基證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路700號9樓 9F., 700, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	<a href="https://www.kgi.com.tw/zh-tw">https://www.kgi.com.tw/zh-tw</a>
P022	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市仁愛路4段169號3樓 3F., 169, Sec. 4, Ren'ai Rd., Taipei 106, Taiwan	02-87716888	02-87716795	<a href="https://www.fubon-ebroker.com/Home/Index">https://www.fubon-ebroker.com/Home/Index</a>
P024	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號2樓 2F., 8 Dong Shin Rd., Taipei 105, Taiwan	02-27478266	02-77065595	<a href="http://www.pscnet.com.tw">http://www.pscnet.com.tw</a>
P026	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F., 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25454122	<a href="https://www.entrust.com.tw">https://www.entrust.com.tw</a>
P028	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路三段219號11樓 11F., No.219, Sec. 3, Nanjing E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	<a href="http://www.yuanta.com.tw">http://www.yuanta.com.tw</a>
P032	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="https://www.kgif.com.tw/zh-tw/">https://www.kgif.com.tw/zh-tw/</a>
P033	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路二段335號20樓 20F., 335, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269888	02-23778908	<a href="http://www.cathaysec.com.tw">http://www.cathaysec.com.tw</a>
P037	亞東證券股份有限公司 Oriental Securities Corp.	100台北市重慶南路一段86號2樓 2F., 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-77531899	02-77531705	<a href="http://www.osc.com.tw">http://www.osc.com.tw</a>
P038	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.	110台北市松仁路100號8樓 8F., 100, Songren Rd., Taipei 110, Taiwan	02-23570777	02-23578392	<a href="https://optiver.com">https://optiver.com</a>
P039	第一金證券股份有限公司 First Securities Inc	104 台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang-an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	<a href="https://www.firstsec.com.tw">https://www.firstsec.com.tw</a>
P045	國票綜合證券股份有限公司 IBF Securities Co., Ltd.	104台北市樂群三路128號3樓 3F., 128, Lequn 3rd Rd., Taipei 104, Taiwan	02-85021999	02-85026868	<a href="https://www.ibfs.com.tw">https://www.ibfs.com.tw</a>
P046	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	<a href="http://www.honsec.com.tw">http://www.honsec.com.tw</a>
P047	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路5段188號15樓部分、15樓之1部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-27287576	<a href="https://www.ibff.com.tw">https://www.ibff.com.tw</a>
P050	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
P052	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.	115台北市經貿二路188號14樓 14F., 188, Jingmao 2nd Rd., Taipei 115, Taiwan	02-66392000	02-66392500	<a href="http://www.ctbcsec.com">http://www.ctbcsec.com</a>

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P053	玉山綜合證券股份有限公司 E.SUN Securities Co., Ltd.	105台北市民生東路3段158號6樓 6F., 158, Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27188913	<a href="http://www.esunsec.com.tw">http://www.esunsec.com.tw</a>
P054	法銀巴黎證券股份有限公司 BNP PARIBAS INVESTMENT PARTNERS	110台北市信義路五段7號72樓 72F., 7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-87297000	02-81012168	<a href="https://www.bnpparibas.com.tw/zh/?contact=bnpparibas-securities-taiwan-co-ltd">https://www.bnpparibas.com.tw/zh/?contact=bnpparibas-securities-taiwan-co-ltd</a>
P055	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路二段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	<a href="https://www.cathayfut.com.tw">https://www.cathayfut.com.tw</a>
P056	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	<a href="https://www.fubon.com/futures/home/index.htm">https://www.fubon.com/futures/home/index.htm</a>
P057	合作金庫證券股份有限公司 Taiwan Cooperative Securities Co., Ltd.	106台北市忠孝東路4段285號1樓 1F., 285 Sec.4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27528000	02-27526060	<a href="http://www.tcfhc-sec.com.tw">http://www.tcfhc-sec.com.tw</a>
P059	群益金鼎證券股份有限公司 Capital Securities Corp.	105台北市民生東路3段156號16樓之3 16F.-3., 156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-87898888	02-87123569	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
P09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路1段2號7樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23823585	<a href="https://www.sinotrade.com.tw">https://www.sinotrade.com.tw</a>
A001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
A002	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1., 8, Dong sing Rd., Taipei 105, Taiwan	02-27488338	02-27488335	<a href="http://www.pfcf.com.tw">http://www.pfcf.com.tw</a>
A003	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="https://www.yuantafutures.com.tw">https://www.yuantafutures.com.tw</a>
A005	群益期貨股份有限公司 Capital Futures Corp.	106台北市敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27550729	<a href="http://www.capitalfutures.com.tw">http://www.capitalfutures.com.tw</a>
A006	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路二段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	<a href="https://www.cathayfut.com.tw">https://www.cathayfut.com.tw</a>
A008	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	<a href="http://www.concordfutures.com.tw">http://www.concordfutures.com.tw</a>
A009	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	<a href="https://www.fubon.com/futures/home/index.htm">https://www.fubon.com/futures/home/index.htm</a>
A011	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	<a href="http://ft.entrust.com.tw">http://ft.entrust.com.tw</a>

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A013	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	<a href="http://futures.masterlink.com.tw">http://futures.masterlink.com.tw</a>
A019	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路5段188號15樓部分、15樓之1部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	<a href="https://www.ibff.com.tw">https://www.ibff.com.tw</a>
A020	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110台北市忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	<a href="http://www.megafutures.com.tw">http://www.megafutures.com.tw</a>
A021	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="https://www.kgif.com.tw/zh-tw/">https://www.kgif.com.tw/zh-tw/</a>
A025	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路1段2號7樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23823585	<a href="https://www.sinotrade.com.tw">https://www.sinotrade.com.tw</a>
A026	運達證券投資顧問股份有限公司 WIN-DOLLAR Security Investment Consultant CO.Ltd.	104台北市八德路2段260號10樓 10F., 260, Sec. 2, Bade Rd., Taipei 104, Taiwan	02-27810909	02-27312166	<a href="http://win-dollar.com">http://win-dollar.com</a>
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104台北市松江路87號4樓 4F., 87, Songjiang. Rd., Taipei 104, Taiwan	02-66083998	02-66088833	<a href="http://www.marbo.com.tw">http://www.marbo.com.tw</a>
A028	啟發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant Co., Ltd.	100台北市忠孝西路1段100號9樓 9F., 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	<a href="http://www.chifar.com.tw">http://www.chifar.com.tw</a>
A029	大華國際證券投資顧問股份有限公司 Da Hwa International Securities Investment Consulting Co., Ltd.	100台北市羅斯福路2段102號23樓 23F., 102, Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-83695566	02-77258855	<a href="http://da-hwa-international.com.tw">http://da-hwa-international.com.tw</a>
A032	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant Co., Ltd.	105台北市南京東路4段126號14樓 14F., 126, Sec. 4, Nanjing E. Rd., Taipei 105, Taiwan	02-25783000	02-25793500	<a href="http://www.good-group.com.tw">http://www.good-group.com.tw</a>
A033	華信證券投資顧問股份有限公司 Hwashin Securities Investment Consulting Co., Ltd	100台北市青島東路7號5樓之4 5F-4., 7, Cingdao E. Rd., Taipei 100, Taiwan	02-23923988	02-77253896	<a href="http://www.hwashin.com.tw">http://www.hwashin.com.tw</a>
A034	承通證券投資顧問股份有限公司 Cheng-Tung Securities Investment Consulting Co., Ltd.	104台北市八德路2段260號6樓 6F., 260, Sec. 2, Bade Rd., Taipei 104, Taiwan	02-27813900	02-27722813	<a href="http://27813900.com">http://27813900.com</a>

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A036	永誠國際證券投資顧問股份有限公司 Yong Cheng International Securities Investment Consultant Co., Ltd	104台北市中山北路1段145號6樓 6F., 145, Sec.1, Jhongshan N. Rd., Taipei 104, Taiwan	02-25428999	02-25428113	<a href="https://88598.com.tw">https://88598.com.tw</a>
A042	玉山證券投資顧問股份有限公司 E.SUN Invesment Consulting, Co., Ltd.	105台北市民生東路三段156號2樓之2 2F-2., 156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-55569913	02-25453313	<a href="http://www.esunconsulting.com.tw">http://www.esunconsulting.com.tw</a>
A043	亞洲證券投資顧問股份有限公司 Asia Securities Investment Advisory Inc.	105台北市區南京東路4段126號11樓A2 Rm. A2, 11F., 126, Sec. 4, Nanjing E. Rd., Taipei 105, Taiwan	02-25783777	02-25705916	<a href="http://www.asia-rich.com.tw">http://www.asia-rich.com.tw</a>
A044	倫元證券投資顧問股份有限公司 E-invest Security Investment Consultant Co., Ltd.	100台北市青島東路7號6樓 6F., 7, Qingdao E. Rd., Taipei 100, Taiwan	02-77251273	02-23927062	<a href="https://www.e-invest.com.tw">https://www.e-invest.com.tw</a>
A045	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓之2 9F-2., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601066	02-29605258	<a href="http://www.dcnf.com.tw">http://www.dcnf.com.tw</a>
A047	第一金證券股份有限公司 First Securities Inc .	104台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	<a href="https://www.firstsec.com.tw">https://www.firstsec.com.tw</a>
A049	高欣證券投資顧問股份有限公司 Harvest Securities Investmant Consulting Co., Ltd.	104台北市復興北路150號7樓之2 7F-2., 150, Fuxing N. Rd., Taipei 104, Taiwan	02-27171000	02-27150077	<a href="https://www.stock881688.com.tw/">https://www.stock881688.com.tw/</a>
A050	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	<a href="http://www.honsec.com.tw">http://www.honsec.com.tw</a>
A051	君安證券投資顧問股份有限公司 Richers Securities Investment Consulting Co., Ltd.	104台北市松江路318號8樓 8F., 318, Songjiang Rd., Taipei 104, Taiwan	02-77018898	02-77020599	<a href="http://www.richers.com.tw">http://www.richers.com.tw</a>
A052	大拇哥證券投資顧問股份有限公司 Tarobo Investment Advisors Ltd.	106台北市敦化南路2段95號10樓 10F., 95, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27553066	02-27552196	<a href="https://www.taroadvisors.com">https://www.taroadvisors.com</a>
A053	摩爾證券投資顧問股份有限公司 Moore Securities Investment Consulting Co., Ltd.	106台北市大安區忠孝東路四段270號16樓 16F., 270, Sec. 4, Zhongxiao E. Rd., Taipei 106, Taiwan	02-23510873	02-23510752	<a href="https://www.morerich.com.tw">https://www.morerich.com.tw</a>

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A054	華冠證券投資顧問股份有限公司 Hwa Guan Securities Investment Consult Co., Ltd.	100台北市忠孝東路二段100號6樓之2 6F.-2, 100, Sec. 2, Zhongxiao E. Rd., Taipei 100, Taiwan	02-66303283	02-23973938	<a href="https://www.hwa-guan.com.tw/Wealth">https://www.hwa-guan.com.tw/Wealth</a>
A055	台新期貨股份有限公司 Taishin Futures Co., Ltd.	104台北市南京東路2段167號7樓 7F., 167, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-77025600	02-77025605	<a href="http://www.tsfutures.com.tw">http://www.tsfutures.com.tw</a>
A056	群益金鼎證券股份有限公司 Capital Securities Corp.	105 台北市民生東路3段156號7樓之5 Rm. 5, 7F., 156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-87898888	02-87123569	<a href="http://www.capital.com.tw">http://www.capital.com.tw</a>
A057	元大證券投資顧問股份有限公司 Yuanta Securities Investment Consulting Co., Ltd.	106台北市大安區仁愛路3段157號4樓 4F., 157, Sec. 3, Ren'ai Rd., Taipei 106, Taiwan	02-87706078	02-25460484	<a href="https://www.yuanta-consulting.com.tw/">https://www.yuanta-consulting.com.tw/</a>
A045	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓之2 9F.-2., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601066	02-29605258	<a href="http://www.dcnf.com.tw">http://www.dcnf.com.tw</a>
L001	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	<a href="https://www.yuantafutures.com.tw">https://www.yuantafutures.com.tw</a>
L002	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27550729	<a href="http://www.capitalfutures.com.tw">http://www.capitalfutures.com.tw</a>
L003	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="https://www.kgif.com.tw/zh-tw/">https://www.kgif.com.tw/zh-tw/</a>
L004	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	<a href="https://www.cathayfut.com.tw">https://www.cathayfut.com.tw</a>
L005	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	<a href="https://www.fubon.com/futures/home/index.htm">https://www.fubon.com/futures/home/index.htm</a>
M018	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Taipei 100, Taiwan	02-23619889	02-23712867	<a href="https://www.kgif.com.tw/zh-tw/">https://www.kgif.com.tw/zh-tw/</a>
M020	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	<a href="http://www.spf.com.tw">http://www.spf.com.tw</a>
M022	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	<a href="https://www.fubon.com/futures/home/index.htm">https://www.fubon.com/futures/home/index.htm</a>
M025	群益期貨股份有限公司 Capital Futures Corp.	106台北市敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27550729	<a href="http://www.capitalfutures.com.tw">http://www.capitalfutures.com.tw</a>

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M028	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	<a href="http://futures.masterlink.com.tw">http://futures.masterlink.com.tw</a>
T002	復華證券投資信託股份有限公司 Fuh Hwa Securities Investment Trust Co., Ltd.	104台北市八德路二段308號8樓 8F., 308, Sec.2, Bade Rd., Taipei 104, Taiwan	02-81616800	02-87728000	<a href="http://www.fhtrust.com.tw">www.fhtrust.com.tw</a>
T003	國泰證券投資信託股份有限公司 Cathay Securities Investment Trust Co., Ltd.	106 台北市敦化南路2段39號6樓 6F., 39, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27008399	02-23269633	<a href="http://www.cathaysite.com.tw">http://www.cathaysite.com.tw</a>
T004	元大證券投資信託股份有限公司 Yuanta Securities Investment Trust Co., Ltd.	105台北市敦化南路一段66號4樓 4F., 66, Sec. 1, Dunhua S. Rd., Taipei105, Taiwan	02-27175555	02-27190250	<a href="http://www.yuantafunds.com">http://www.yuantafunds.com</a>
T010	統一證券投資信託股份有限公司 Uni-President Assets Management Corp.	105台北市東興路8號8樓 8F., 8 Dongsing Rd., Taipei 105, Taiwan	02-27478388	02-27478129	<a href="http://www.ezmoney.com.tw">http://www.ezmoney.com.tw</a>
T011	永豐證券投資信託股份有限公司 SinoPac Securities Investment Trust Co., Ltd.	100台北市博愛路17號13樓 13F., 17, Bo'ai Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23618110	02-23126199	<a href="https://sitc.sinopac.com/newweb/index.html">https://sitc.sinopac.com/newweb/index.html</a>
T015	富邦證券投資信託股份有限公司 Fubon Asset Management Co., Ltd.	105台北市敦化南路1段108號8樓 8F., 108, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei 105, Taiwan	02-87716688	02-87716788	<a href="https://www.fubon.com/asset-management">https://www.fubon.com/asset-management</a>
T016	街口證券投資信託股份有限公司 JKO Asset Management Co., Ltd.	105台北市長安東路二段225號C棟9樓 9 F., 225, Sec. 2, Chang'an E. Rd., Taipei 105404, Taiwan	02-27505555	02-27721255	<a href="https://www.jkoam.com/">https://www.jkoam.com/</a>
T019	中國信託證券投資信託股份有限公司 CTBC Investments Co., Ltd.	115台北市經貿二路188號12樓 12F., 188, Jingmao 2nd Rd., Taipei 115, Taiwan	02-26526688	02-27891339	<a href="https://www.ctbcinvestments.com.tw">https://www.ctbcinvestments.com.tw</a>

註：以上資料截止日為114.12.31

Note: The above information is as of December 31,2025.

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