



# 大事紀要

CHRONICLE



日期	項目
1/11	第 7 屆紀律委員會第 5 次會議 The 5 <sup>th</sup> meeting of 7 <sup>th</sup> -session Discipline Committee
1/15	ANC 工作小組第一次會議 The 1 <sup>st</sup> meeting of the ANC Working Group
1/17	香港交易所來訪 Visit of HKEX
1/18	第 7 屆採購委員會第 9 次會議 The 9 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
1/24	第 7 屆經紀業務委員會第 10 次會議 The 10 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
2/2	第 7 屆財務委員會第 10 次會議 The 10 <sup>th</sup> meeting of the 7 <sup>th</sup> –session Financial Committee
2/23	第 7 屆理監事第 10 次聯席會議 The 10 <sup>th</sup> joint meeting of the 7 <sup>th</sup> –session executive directors and supervisors
3/4	TT 斷線事件對期貨商之影響討論會議 Discussion Meeting on the Impact of the TT System Outage on Futures Commission Merchants
3/6	「期貨業未來發展與就業機會」校園巡迴講座 - 暨南大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chi Nan University
3/8	「期貨業未來發展與就業機會」校園巡迴講座 - 中信金融管理學院 “Futures Market Outlook and Job Opportunities” campus lecture tour—CTBC Business School
3/8	「期貨業未來發展與就業機會」校園巡迴講座 - 金門大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Quemoy University
3/11-3/13	參與「第 49 屆美國期貨業協會 (FIA) BOCA 年會」 Participate in 「The 49 <sup>th</sup> FIA BOCA Raton Annual Conference」
3/13	「期貨業未來發展與就業機會」校園巡迴講座 - 東華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Dong Hwa University
3/14	「期貨業未來發展與就業機會」校園巡迴講座 - 靜宜大學 (1) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (1)
3/16	從業人員聯誼活動 - 大佳河濱健行園遊會 Industry Networking Event - Dajia Riverside Park Hiking Fair
3/18	「期貨業未來發展與就業機會」校園巡迴講座 - 大同大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tatung University
3/20	「期貨業未來發展與就業機會」校園巡迴講座 - 文化大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chinese Culture University
3/20	「期貨業未來發展與就業機會」校園巡迴講座 - 德霖科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—HungKuo Delin University of Technology
3/27	「期貨業未來發展與就業機會」校園巡迴講座 - 正修科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Cheng Shiu University
3/27	第 7 屆紀律委員會第 6 次會議 The 6 <sup>th</sup> meeting of 7 <sup>th</sup> -session Discipline Committee

日期	項目
3/28	「期貨業未來發展與就業機會」校園巡迴講座 - 靜宜大學 (2) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (2)
4/1	「期貨業未來發展與就業機會」校園巡迴講座 - 高雄大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Kaohsiung University
4/3	期貨商如何強化與 TT、CQG 連線作業討論會議 Discussion Meeting on Strengthening Connectivity Operations Between FCMs and TT/CQG
4/9	「期貨業未來發展與就業機會」校園巡迴講座 - 台北城市科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Taipei City University of Science and Technology
4/9	第 7 屆經紀業務委員會第 11 次會議 The 11 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
4/10	「期貨業未來發展與就業機會」校園巡迴講座 - 長庚大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chang Gung University
4/10	第 7 屆採購委員會第 10 次會議 The 10 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
4/11	第 7 屆永續工作小組第 7 次會議 The 7 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Sustainable Development Committee’s working group
4/11	第 7 屆財務委員會第 11 次會議 The 11 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
4/16	「期貨業未來發展與就業機會」校園巡迴講座 - 中正大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Cheng University
4/17	「期貨業未來發展與就業機會」校園巡迴講座 - 聯合大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National United University
4/22	「期貨業未來發展與就業機會」校園巡迴講座 - 義守大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—I-shou University
4/23	「證券暨期貨業者作業委外參考範本宣導說明會」(1) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session) (1)
4/24	「期貨業未來發展與就業機會」校園巡迴講座 - 龍華科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Lunghwa University of Science and Technology
4/25	「期貨業未來發展與就業機會」校園巡迴講座 - 東海大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tunghai University
4/25	「期貨業未來發展與就業機會」校園巡迴講座 - 中興大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Hsing University
4/26	第 7 屆理監事第 11 次聯席會議 The 11 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
4/26	第 7 屆永續發展委員會第 7 次會議 The 7 <sup>th</sup> meeting of 7 <sup>th</sup> -session Sustainable Development Committee
4/27-28	委員會聯誼活動 - 第 1 梯 Friendship activity for committee members-the 1 <sup>st</sup> group

日期	項目
4/30	「期貨業未來發展與就業機會」校園巡迴講座 - 中華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chung Hua University
5/1	113 年度證券期貨盃高爾夫球錦標賽 Securities & Futures Golf Championship for 2024
5/3	「期貨業未來發展與就業機會」校園巡迴講座 - 宜蘭大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Ilan University
5/4-5/5	委員會聯誼活動 - 第 2 梯 Friendship activity for committee members-the 2 <sup>nd</sup> group
5/6	「期貨業未來發展與就業機會」校園巡迴講座 - 北商 (1) “Futures Market Outlook and Job Opportunities” campus lecture tour-National Taipei University of Business(1)
5/8	元大投信來訪 Visit of Yuanta funds
5/8	「期貨業未來發展與就業機會」校園巡迴講座 - 南華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Nanhua University
5/8	「期貨業未來發展與就業機會」校園巡迴講座 - 元智大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Yuang Ze University
5/12-5/16	理監事聯誼活動 - 日本東北第 1 梯 Friendship activity for directors and supervisors -Group 1 (Tohoku, Japan)
5/14-5/18	理監事聯誼活動 - 日本東北第 2 梯 Friendship activity for directors and supervisors -Group 2 (Tohoku, Japan)
5/15	「證券暨期貨業者作業委外參考範本宣導說明會」(2) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (2)
5/20	「證券暨期貨業者作業委外參考範本宣導說明會」(期貨專場) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session)
5/22	「期貨業未來發展與就業機會」校園巡迴講座 - 高科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Kaohsiung University of Science and Technology
5/22	第 7 屆經紀業務委員會第 12 次會議 The 12 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
5/23	「期貨業未來發展與就業機會」校園巡迴講座 - 北商 (2) “Futures Market Outlook and Job Opportunities” campus lecture tour-National Taipei University of Business(1)
5/23	第 7 屆教育訓練委員會第 6 次會議 The 6 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Education & Training Committee
5/24	「期貨業永續發展轉型執行策略」宣導座談會 Promotional seminar on “Sustainable Development and Transformation Strategies in the Futures Industries”
5/27	「證券暨期貨業者作業委外參考範本宣導說明會」(期貨專場) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session)

日期	項目
5/28-6/1	理監事聯誼活動 - 日本東北第 3 梯 Friendship activity for directors and supervisors -Group 3 (Tohoku, Japan)
5/29	「證券暨期貨業者作業委外參考範本宣導說明會」(3) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (3)
5/29	第 7 屆財務委員會第 12 次會議 The 12 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
5/29	新加坡輝立證券來訪 Visit of Phillip Securities
6/7	第 7 屆理監事第 12 次聯席會議 The 12 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
6/7	洗錢防制 / 打擊資恐法令解析及案例說明會 - 台北場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taipei
6/12	「證券暨期貨業者作業委外參考範本宣導說明會」(4) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (4)
6/15	2024 年金融服務愛心公益嘉年華 - 台南場 Financial Service and Charity Carnival 2024 (in Tainan)
6/19	洗錢防制 / 打擊資恐法令解析及案例說明會 - 台中場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taichung
6/25	第 7 屆經紀業務委員會第 13 次會議 The 13 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
6/26	洗錢防制 / 打擊資恐法令解析及案例說明會 - 高雄場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Kaohsiung
6/28	第 7 屆第 3 次會員大會 The 3 <sup>rd</sup> General Assembly of the 7 <sup>th</sup> -session CNFA Members
7/12	第 7 屆防制洗錢暨法遵委員會第 5 次會議 The 5 <sup>th</sup> meeting of 7 <sup>th</sup> -session AML & Law Compliance Committee
7/29	「本國期貨商投資組合財務碳排放 ( 範疇三 ) 實務手冊」委外研究案專案小組第一次會議 First Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
7/30	第 7 屆理事會第 2 次會議 The 2 <sup>nd</sup> meeting of the 7 <sup>th</sup> -session executives directors
8/2	2024 LME 實務研討會 The LME Practical Seminar 2024
8/7	第 7 屆業務電子化委員會第 6 次會議 The 6 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
8/8	第 7 屆財務委員會第 13 次會議 The 13 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
8/9	「本國期貨商投資組合財務碳排放 ( 範疇三 ) 實務手冊」委外研究案專案小組第二次會議 Second Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project

日期	項目
8/14	第 7 屆採購委員會第 11 次會議 The 11 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
8/14	強化資通安全及案例說明會 - 台中場 Cybersecurity Enhancement and Case Study Seminars— Taichung
8/16	113 年度「受裁罰案例訓練課程」 Course of Penalty Case Studies for 2024
8/20	第 7 屆經紀業務委員會第 14 次會議 The 14 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
8/22	強化資通安全及案例說明會 - 台北場 Cybersecurity Enhancement and Case Study Seminars—Taipei
8/22	「反詐騙合作備忘錄」簽署儀式 “Anti-Fraud Cooperation MOU” Signing Ceremony
8/28	強化資通安全及案例說明會 - 高雄場 Cybersecurity Enhancement and Case Study Seminars— Kaohsiung
8/28	「本國期貨商投資組合財務碳排放 ( 範疇三 ) 實務手冊」委外研究案專案小組第三次會議 Third Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
8/29	贊助「2024 年 FIA 臺灣衍生性商品市場發展研討會」 Sponsoring “FIA Forum: Taipei 2024”
8/30	第 7 屆理監事第 13 次聯席會議 The 13 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
8/30	第 7 屆常務理事會第 2 次會議 The 2 <sup>nd</sup> meeting of the 7 <sup>th</sup> -session executives supervisor directors
9/9	「本國期貨商投資組合財務碳排放 ( 範疇三 ) 實務手冊」委外研究案專案小組第四次會議 Fourth Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
9/18	第 7 屆理事會第 3 次會議 The 3 <sup>rd</sup> meeting of the 7 <sup>th</sup> -session executives directors
9/24	第 7 屆業務電子化委員會第 7 次會議 The 7 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
9/26	「金融消費者保護」宣導會 (1) Financial Consumer Protection Advocacy Seminars (1)
10/1-10/6	理監事參訪活動 - 第 1 梯日本小豆島 Business trip of board members and supervisors -Group 1 (Japanese adzuki beans)
10/7	第 7 屆採購委員會第 12 次會議 The 12 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
10/7	「本國期貨商投資組合財務碳排放 ( 範疇三 ) 實務手冊」委外研究案專案小組第五次會議 Fifth Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
10/9	第 7 屆財務委員會第 14 次會議 The 14 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee

日期	項目
10/14-10/23	理監事參訪活動 - 第 2 梯克羅埃西亞 Business trip of board members and supervisors -Group 2 (Croatia)
10/15	第 7 屆經紀業務委員會第 15 次會議 The 15 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
10/16	「金融消費者保護」宣導會 (2) Financial Consumer Protection Advocacy Seminars (2)
10/16	槓桿業務提交上手保證金處理方式案會議 Meeting on the Handling of Initial Margin Submissions for Leveraged Trading Operations
10/25	第 7 屆永續發展委員會第 8 次會議 The 8 <sup>th</sup> meeting of 7 <sup>th</sup> -session Sustainable Development Committee
10/25	第 7 屆理監事第 14 次聯席會議 (offsite meeting) The 14 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
10/29-11/7	理監事參訪活動 - 第 3 梯克羅埃西亞 Business trip of board members and supervisors-Group 3 (Croatia)
11/6	大阪交易所來訪 Visit of Osaka Exchange
11/13	校園金融講堂及期貨校園徵才 Campus Financial Lecture and Futures Recruitment Fair
11/21	洗錢防制 / 打擊資恐法令解析及案例說明會 - 線上課程 (1) Seminar on “AML/CTF Regulation Analysis and Case Illustration” —online class (1)
11/22	第 7 屆信託業務委員會第 4 次會議 The 4 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Trust Committee
11/27	洗錢防制 / 打擊資恐法令解析及案例說明會 - 線上課程 (2) Seminar on “AML/CTF Regulation Analysis and Case Illustration” —online class (2)
11/28	防範期貨詐騙分享會 Futures Fraud Prevention Sharing Session
11/29	第 7 屆教育訓練委員會第 7 次會議 The 7 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Education & Training Committee
11/29	第 7 屆業務電子化委員會第 8 次會議 The 8 <sup>th</sup> meeting of the 7 <sup>th</sup> -session E-Commerce Business Committee
12/3	第 7 屆紀律委員會第 7 次會議 The 7 <sup>th</sup> meeting of 7 <sup>th</sup> -session Discipline Committee
12/3	「國家資通安全聯防與情資分享合作備忘錄」簽署儀式 “National Cybersecurity Joint Defense and Intelligence Sharing MOU” Signing Ceremony
12/4	第 7 屆稽核委員會第 3 次會議 The 3 <sup>rd</sup> meeting of the 7 <sup>th</sup> -session Auditing Committee
12/5	第 7 屆自營業務委員會第 4 次會議 The 4 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Proprietary Futures Merchant Committee
12/6	第 7 屆防制洗錢暨法遵委員會第 6 次會議 The 6 <sup>th</sup> meeting of 7 <sup>th</sup> -session AML & Law Compliance Committee

日期	項目
12/6	第 7 屆顧問業務委員會第 3 次會議 The 3 <sup>rd</sup> meeting of the 7 <sup>th</sup> -session Futures Advisory Committee
12/7	2024 年金融服務愛心公益嘉年華 - 台北場 Financial Service and Charity Carnival 2024 (in Taipei)
12/9	第 7 屆兩岸事務委員會第 3 次會議 The 3 <sup>rd</sup> meeting of the 7 <sup>th</sup> -session Cross-straits Affairs Committee
12/11	第 7 屆財務委員會第 15 次會議 The 15 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Financial Committee
12/12	第 7 屆經紀業務委員會第 16 次會議 The 16 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Futures Brokerage Committee
12/13	2024 台北國際期貨論壇 Taipei International Futures Forum 2024
12/13	第 7 屆教育訓練委員會第 8 次會議 The 8 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Education & Training Committee
12/18	第 7 屆採購委員會第 13 次會議 The 13 <sup>th</sup> meeting of the 7 <sup>th</sup> -session Procurement Committee
12/27	第 7 屆理監事第 15 次聯席會議 The 15 <sup>th</sup> joint meeting of the 7 <sup>th</sup> -session executive directors and supervisors
12/27	2024 歲末聯歡晚會 Year-end gala dinner for 2024