

2024

Annual Report

113 年年報



中華民國期貨業商業同業公會
Chinese National Futures Association

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中華民國期貨業商業同業公會理事長

陳佩君



序言 PREFACE

MESSAGE FROM CNFA CHAIRMAN

從心出發，共創價值

壹、前言

2024 年台灣期貨市場全年交易量達 3.95 億口，已連續五年交易量突破 3 億口，較 2023 年（3.24 餘億口）成長 21.8%，日均量為 163 萬 4,180 口，較 2023 年（135 萬 8,347 口）成長 20.3%，全體期貨商累計稅前損益約 142.2 億元，相較去年同期增加約 50.5 億元，成長 55%，每股稅前 EPS 為 2.96 元，亦較 2023 年（2.11 元）成長 40.2%，交易量及期貨商獲利雙雙創歷史新高，突顯期貨市場充滿機會，是具可塑性及前瞻性的行業。

面對全球金融市場不斷變動及數位科技快速發展的趨勢，期貨業正處於轉型與革新的關鍵時刻。為強化產業競爭力並積極回應市場需求，期貨公會持續深化與主管機關、期交所、業者及學術界的合作，推動多項業務計畫，成效斐然。2024 年度透過多元策略與跨界協作，本公會在政策倡議、教育推廣、資安防詐、制度建置及永續發展等層面均有具體成果，以下說明本年度主要推動成果。

貳、主要推動成果

一 制度研議與政策倡議

1. 推動期貨業永續發展

- (1) 依據國際永續發展潮流，研訂「期貨商範疇三投資組合財務碳排放之揭露與確信時程」等永續相關作業指引；
- (2) 委託資誠規劃期貨商碳排放範疇三揭露路徑，協助業者配合金融永續政策；
- (3) 本公會與期交所、金融研訓院共同舉辦永續發展宣導座談會，計有專營期貨商百餘位董事、監察人、總經理、副總經理、稽核主管及法遵主管與會。

2. 建議開放與他業合作推廣模式

- (1) 建議開放他業與期貨商合作推廣：建請主管機關將期貨商納入「銀行、證券商及保險公司等機構合作推廣他業商品或提供相關服務規範」，並將「期貨經紀相關業務或期貨交易輔助業務之開戶」、「期貨經紀相關業務之代收件」納入得合作推廣提供之服務項目。
- (2) 建議開放期貨商與資訊公司異業合作：建請主管機關開放資訊公司提供投資人於期貨商開戶及下單之異業合作模式案。

3. 檢討 ANC 比例計算方式及緊急因應措施，提升期貨商國際競爭力

Starting from the Heart, Creating Value Together

I. Preface

In 2024, the total annual trading volume of Taiwan's futures market reached 395 million contracts, marking the fifth consecutive year of exceeding 300 million contracts. This represented a 21.8% increase from 2023 (324 million contracts). The average daily volume was 1,634,180 contracts, reflecting a 20.3% growth from 2023 (1,358,347 contracts). The total pre-tax profit for all futures commission merchants (FCMs) amounted to approximately NT\$14.22 billion, an increase of about NT\$5.05 billion compared to the same period last year, representing 55% growth. The pre-tax EPS was NT\$2.96, also rising 40.2% from 2023 (NT\$2.11). Both trading volume and FCM profitability hit historic highs, underscoring the ample opportunities in the futures market, which remains a highly adaptable and forward-looking industry.

Faced with constant changes in global financial markets and the rapid development of digital technology, the futures industry stands at a critical juncture of transformation and innovation. To strengthen industry competitiveness and actively respond to market demands, the Association has continued to deepen collaboration with competent authorities, TAIFEX, market participants, and academia, achieving notable results through the promotion of various initiatives. In 2024, through diverse strategies and cross-sector collaboration, the Association achieved tangible results in areas such as policy advocacy, education and outreach, cybersecurity and anti-fraud, institutional development, and sustainability. The following outlines the key accomplishments for the year.

II. Key Accomplishments

1. Institutional Research and Policy Advocacy

1. Promoting Sustainable Development in the Futures Industry

- (1) In response to international sustainability trends, the Association drafted guidelines on the "Disclosure and Assurance Timeline for Scope 3 Financed Emissions of Futures Commission Merchants' Investment Portfolios" and other sustainability-related operating procedures;
- (2) Commissioned PwC to design a roadmap for FCMs' Scope 3 carbon emission disclosures to assist firms in aligning with sustainable finance policies;
- (3) Co-hosted sustainability promotion forums with TAIFEX and TABF, attended by over a hundred board directors, supervisors, general managers, deputy general managers, audit heads, and compliance officers from dedicated futures firms.

2. Recommendations for Opening Cross-Industry Promotional Models

- (1) Recommended allowing cross-industry promotion in collaboration with FCMs: petitioned regulators to include FCMs in the scope of institutions (such as banks, securities firms, and insurance companies) permitted to engage in cross-industry product promotion or provide related services. Proposed including account opening for futures brokerage-related or auxiliary services and document submission for such services in the list of eligible cooperative items.
- (2) Recommended allowing FCMs to engage in cross-industry cooperation with information companies: petitioned regulators to allow models where information companies assist investors in account opening and order placement with FCMs.

3. Reviewing ANC Calculation Methods and Emergency Measures to Enhance International Competitiveness

為使期貨商資金能靈活運用於業務發展，致力研究創新和提升國際競爭，本公會推動下列 ANC 有關事項：

- (1) 期貨自營商造市業務避險所產生之借（融）券保證金及賣券收取之款項，不分交易型態以 100% 計入調整後流動資產；
- (2) 提高現行期貨交易保證金 - 所需保證金的折扣率由 50% 調整為 75%；
- (3) 槓桿保證金交易規則第 49 條部分，建議將 ANC 連續三個月未達 40%，櫃買中心可停止槓桿交易業務改為槓桿交易者應提改善報告；
- (4) 修正結算會員及期貨商因應 ANC 比例低於資格標準情況下之緊急支應方式作業期限（期交所修正「結算會員未能持續符合結算會員資格標準之處理作業要點」及「期貨商申請緊急支應調整後淨資本額不足作業要點」）。

4. 強化資安防護機制

- (1) 訂定「期貨業運用人工智慧技術自律規範」，及修訂「期貨業資通系統與服務供應鏈風險管理自律規範」、「網路安全防護自律規範」、「資通系統安全防護基準自律規範」等 3 項自律規範；
- (2) 與法務部調查局簽署「國家資通安全聯防與情資分享 MOU」，建立跨單位即時聯防機制；
- (3) 與證券商公會合作研究訂定「線上身分驗證實務」、「作業委外內控機制」等制度，提供業界落實資安與內控參考依據；
- (4) 舉辦資安及 AI 風險治理說明會，北中南三地共吸引逾 200 位從業人員參與。

5. 簡化行政作業提升效率

- (1) 期貨商受理期貨交易者辦理約定出、入金帳戶時得以第三方驗證身分方式認證帳戶是否屬於本人，免提供存摺影本；
- (2) 期貨商現行對帳單寄送作業，放寬為「次月十日」前送交委託人；
- (3) 放寬期貨商受理客戶以非當面方式辦理變更戶籍地址須檢附之文件。

6. 強化弱勢交易人的保護

- (1) 針對 70 歲以上高齡投資人開戶資格進行制度性調整，修正本公會「期貨商開戶徵信作業管理及提供高齡客戶金融服務自律規則」等有關 70 歲以上之交易者應具備條件並新增風險控管機制；
- (2) 修正本公會會員金融友善服務準則，要求會員之董事、負責人與高階管理人員每年應接受一定時數之教育訓練；以及與證券商公會及投信投顧公會共同委託萬國法律事務所研訂「期貨商服務失智者或疑似失智者之實務參考做法」，提供業者參考，並辦理相關說明會。

To enable FCMs to utilize capital flexibly for business development and to drive innovation and global competitiveness, the Association promoted the following ANC-related initiatives:

- (1) Included 100% of securities borrowing/lending margins and funds received from short selling for proprietary dealers engaged in market-making, regardless of trading type, in adjusted current assets;
- (2) Raised the discount rate for required margins from the current 50% to 75%;
- (3) For Article 49 of the leverage margin trading rules, recommended changing the measure from suspending business by TPEX when ANC falls below 40% for three consecutive months to requiring submission of an improvement report by the leverage trader;
- (4) Amended emergency funding procedures for clearing members and FCMs whose ANC ratio falls below the qualification standard (TAIFEX revised the “Guidelines for Handling Clearing Members Failing to Continuously Meet Membership Criteria” and “Guidelines for FCMs Applying for Emergency Funding Due to Insufficient Adjusted Net Capital”).

4. Strengthening Cybersecurity Protection Mechanisms

- (1) Formulated the “Self-Regulatory Guidelines on the Use of AI Technology in the Futures Industry” and revised three self-regulatory codes: “Self-Regulatory Guidelines on Information System and Service Supply Chain Risk Management in the Futures Industry,” “Self-Regulatory Guidelines on Cybersecurity Protection,” and “Baseline Standards for Information System Security Protection in the Futures Industry;”
- (2) Signed a “MOU on Joint National Cybersecurity Defense and Information Sharing” with the Ministry of Justice Investigation Bureau to establish a cross-agency real-time defense mechanism;
- (3) Collaborated with the Taiwan Securities Association to study and develop systems such as “Online Identity Verification Practices” and “Internal Control Mechanisms for Outsourced Operations” as references for industry implementation of cybersecurity and internal controls;
- (4) Held briefings on cybersecurity and AI risk governance, attracting over 200 participants across northern, central, and southern Taiwan.

5. Streamlining Administrative Operations to Improve Efficiency

- (1) Allowed FCMs to verify ownership of designated deposit/withdrawal accounts for futures traders via third-party identity verification, eliminating the need to provide passbook photocopies;
- (2) Relaxed the requirement for delivering account statements to clients by allowing submission before the 10th of the following month;
- (3) Relaxed documentation requirements for FCMs handling household registration address changes for clients through non-face-to-face methods.

6. Strengthening the Protection of Disadvantaged Investors

- (1) Instituted systemic adjustments to account-opening qualifications for investors aged 70 and above by revising the Association’s “Self-Regulatory Rules for Futures Commission Merchants’ Account Opening Due Diligence Operations and Provision of Financial Services to Senior Clients,” including the required qualifications for traders over 70 years of age and the addition of new risk control mechanisms;
- (2) Revised the Association’s “Guidelines for Financially Friendly Services for Members” to require directors, responsible persons, and senior management of members to undergo a set number of training hours annually; and jointly commissioned Baker & McKenzie with the Securities Association and SITCA to formulate “Practical Reference Guidelines for FCMs Providing Services to Clients with Dementia or Suspected Dementia” to serve as industry reference, and hosted related briefing sessions.

7. 強化期貨信託事業競爭力

- (1) 建請開放期貨信託事業得發行多元化期貨 ETF；
- (2) 建請修正期貨信託事業運用期貨信託基金從事「期貨信託基金管理辦法」第 38 條第 1 項第 1 款至第 2 款之交易，所收取與支付之保證金及權利金合計佔該基金淨資產價值比率計算標準。

8. 強化政策建言及制度溝通

本公會持續透過金融建言白皮書等管道，提出具前瞻性與實務性之建議：

- (1) 建議「公務人員退休撫卹基金」、「勞工保險基金」、「勞工退休基金」（新、舊制）及「國民年金保險基金」等相關政府基金於國內投資委託投資契約，列入臺灣期貨交易所之「股票期貨及股票選擇權」，以利受託機構有效避險及增加投資效益；
- (2) 建議推出「美元指數期貨」商品；
- (3) 建議調降期貨及選擇權交易稅率。

二 教育推廣與人才培育

1. 專業培訓與職前訓練政策調整

為協助期貨商解決人力不足問題，建議主管機關將職前訓練完成時限由「執行業務前半年內」調整為「到職後半年內」，以提升人力運用彈性。

2. 推動校園巡迴講座與徵才活動

本年度積極深入校園，辦理「期貨業未來發展與就業機會」講座共 27 場，涵蓋 13 家期貨商，累計參與學生逾 2,100 人次；此外，於實踐大學及世新大學辦理校園徵才活動，提升學生對期貨業的認識與就業意願。

三 推動反詐倡議與作為

1. 本公會與刑事警察局簽署反詐騙合作備忘錄（MOU），共同製作宣導影片、推播反詐資訊，並辦理防詐分享會，強化從業人員識詐能力及投資人保護打擊金融詐騙。
2. 與證交所、櫃買中心、期交所、證券商公會、投信投顧公會共同建置『網路詐騙廣告蒐報及涉詐內容蒐報系統』，就發現 Google 及 Meta 等疑似投資詐騙廣告通報主管機關，防制金融投資詐騙。
3. 辦理「防範金融詐騙及犯罪」宣導會，從打詐實務經驗及案例、運用科技防範詐騙及金融犯罪二個面向加以說明，實體與視訊併行，近 350 位業界先進與會。

7. Strengthening the Competitiveness of Futures Trust Enterprises

- (1) Petitioned for the opening of futures trust enterprises to issue diversified futures ETFs;
- (2) Petitioned to amend the calculation standards for the combined ratio of margins and premiums received and paid in futures transactions under Subparagraphs 1 and 2 of Paragraph 1, Article 38 of the “Regulations Governing Futures Trust Fund Management,” relative to the net asset value of the fund.

8. Strengthening Policy Recommendations and System Communication

The Association continued to put forward forward-looking and practical recommendations through channels such as the Financial Policy White Paper:

- (1) Recommended that relevant government funds, including the “Public Service Pension Fund,” “Labor Insurance Fund,” “Labor Pension Fund” (both new and old schemes), and the “National Pension Insurance Fund,” include Taiwan Futures Exchange’s “Single Stock Futures and Single Stock Options” in their domestic investment mandates to enable entrusted institutions to hedge effectively and enhance investment performance;
- (2) Recommended the launch of a “U.S. Dollar Index Futures” product;
- (3) Recommended the reduction of futures and options transaction tax rates.

2. Educational Promotion and Talent Cultivation

1. Professional Training and Pre-Employment Policy Adjustments

To help FCMs address manpower shortages, the Association proposed that the competent authority adjust the deadline for completing pre-employment training from “within six months prior to engaging in business operations” to “within six months after onboarding,” in order to improve workforce flexibility.

2. Promotion of Campus Lecture Tours and Recruitment Events

This year, the Association actively entered campuses and held 27 sessions of “The Future Development and Career Opportunities in the Futures Industry” lectures, covering 13 FCMs and reaching over 2,100 student participants in total; in addition, campus recruitment events were held at Shih Chien University and Shih Hsin University to raise awareness of and interest in the futures industry among students.

3. Anti-Fraud Advocacy and Actions

1. The Association signed a Memorandum of Understanding (MOU) with the Criminal Investigation Bureau on anti-fraud cooperation, jointly produced promotional videos, pushed fraud prevention information, and held fraud prevention seminars to enhance practitioners’ fraud detection abilities and protect investors from financial fraud.
2. Jointly with TWSE, TPEX, TAIFEX, Taiwan Securities Association, and SITCA, the Association helped establish the “Online Fraud Ad & Content Reporting System” to report suspected investment fraud ads found on platforms such as Google and Meta to the competent authority, thereby preventing financial investment fraud.
3. Held a “Financial Fraud and Crime Prevention” seminar covering both practical experiences and case studies, as well as the use of technology in preventing fraud and financial crime. The event was held in both physical and virtual formats, with nearly 350 industry participants in attendance.

四 積極參與社會公益

積極參與「金融服務愛心公益嘉年華」園遊會，進行教育宣導並捐款關懷弱勢；同時支持「金融服務業教育公益基金」，提供 1,542 名清寒學生獎助學金，展現金融業社會責任。

參、未來展望

面對 2025 年，期貨業正處於數位化與永續發展雙軸推進的關鍵階段。全球市場環境變動與科技創新浪潮不僅帶來新商機，也對產業帶來前所未有的挑戰，尤其是人工智慧（AI）的應用發展，將對期貨市場的服務模式、交易方式、風險管理與決策流程產生深遠影響。

打造臺灣成為亞洲資產管理中心是產業發展的長期目標。公會將持續推動期貨商品多元化及「育才、留才、攬才」策略，除規劃 AI 在職訓練課程外，也結合產學合作深入校園培養 AI 專業人才。此外，透過「法規調適平台」及「金融建言白皮書」等管道持續提出建言，以建立更具國際競爭力的制度環境。

展望未來，期貨公會將持續聚焦以下五大努力方向：

1. 持續推動期貨商品多元化

推動微型期貨商品與不同到期日之週選擇權等創新商品上市，強化市場深度與彈性，以吸引更多元的交易族群參與。

2. 協助業者深化 AI 應用與資安防護

透過導入人工智慧強化交易監控、風險管理與客服效率，同步強化資安防護機制，建構可信賴的交易環境。

3. 配合主管機關推動金融友善服務

積極響應金融包容性政策，發展無障礙服務流程與數位輔具，打造公平、友善的期貨交易環境。

4. 建立期貨商職能基準與培訓體系，提升產業人才質量

完善從業人員能力標準，落實系統化教育訓練，提升從業者的專業性與國際競爭力。

5. 強化期貨商碳揭露能力，逐步實現淨零轉型

推動業者掌握碳盤查與揭露能力，協助產業鏈邁向碳中和，並與國際 ESG 規範接軌。

肆、結語

2024 年是期貨業革新與突破的一年，本公會憑藉全體理監事及會員支持，順利推動多項業務與倡議。展望未來，期貨公會將持續擔任產業溝通橋樑，協助業者因應新興挑戰，穩健轉型並永續經營，為期貨市場注入更多創新動能與國際競爭力。

4. Active Participation in Social Welfare

The Association actively participated in the “Financial Services Charity Carnival” fair by engaging in educational outreach and making donations in support of the underprivileged; it also supported the “Financial Services Industry Education and Public Welfare Fund,” providing scholarships and grants to 1,542 underprivileged students, demonstrating the financial sector’s social responsibility.

III. Future Outlook

Looking ahead to 2025, the futures industry stands at a critical stage of dual advancement in digitalization and sustainable development. The global market environment and wave of technological innovation not only bring new business opportunities but also present unprecedented challenges to the industry. In particular, the development and application of artificial intelligence (AI) will have profound impacts on the service models, trading methods, risk management, and decision-making processes of the futures market.

Establishing Taiwan as Asia’s asset management center is a long-term goal of industry development. The Association will continue to promote the diversification of futures products and the strategy of “nurturing, retaining, and attracting talent.” In addition to planning in-service AI training programs, it will also collaborate with academia to cultivate AI professionals on campuses. Furthermore, the Association will continue to make recommendations through platforms such as the “Regulatory Adjustment Platform” and the “Financial Policy White Paper,” aiming to build a regulatory environment with greater international competitiveness.

Looking ahead, the Association will continue to focus on the following five key directions:

1. Promoting Futures Product Diversification

Promote the launch of innovative products such as micro futures and weekly options with different expiration dates to enhance market depth and flexibility, thereby attracting a broader range of participants.

2. Assisting Firms in Deepening AI Applications and Cybersecurity

Improve transaction monitoring, risk management, and customer service efficiency through AI applications while simultaneously enhancing cybersecurity protection mechanisms to build a trustworthy trading environment.

3. Supporting Financially Inclusive Services Led by the Competent Authority

Actively respond to financial inclusion policies by developing barrier-free service processes and digital assistive tools to create a fair and friendly futures trading environment.

4. Establishing FCM Competency Standards and Training Systems to Enhance Talent Quality

Improve competency standards for industry practitioners, implement systematic training, and enhance professionalism and international competitiveness among practitioners.

5. Strengthening FCMs’ Carbon Disclosure Capabilities to Gradually Achieve Net-Zero Transformation

Promote the industry’s capabilities in carbon inventory and disclosure, assist the supply chain in moving toward carbon neutrality, and align with international ESG standards.

IV. Conclusion

2024 was a year of innovation and breakthroughs for the futures industry. With the support of all directors, supervisors, and members, the Association successfully advanced various initiatives and advocacies. Looking ahead, the Chinese National Futures Association will continue to serve as a communication bridge for the industry, assisting firms in addressing emerging challenges, achieving steady transformation, and operating sustainably, thereby injecting more innovation momentum and international competitiveness into the futures market.



公會簡介

CNFA INTRODUCTION

貳、公會簡介

一 公會沿革

- 本公會前身為創立於民國 84 年 4 月 17 日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於 93 年 6 月 21 日升格改制為全國性單一公會，並於 6 月 30 日獲頒立案證書。
- 本公會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

二 設立宗旨

- 本公會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

三 本公會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

CNFA Introduction

A CNFA History

- The Chinese National Futures Association (hereinafter referred to as the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association first founded on April 17, 1995, as a national organization on June 21, 2004, and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.
- As a national self-disciplined organization, the Association handled members' license registrations, site equipment inspections, personnel training and other functions. Moreover, it assumes the responsibility as a self-regulatory body. For the healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely reviews of industry personnel qualifications, site equipment inspections, member checkups, as well as education and training for the futures workers. It will actively coordinate the industry's unity and cooperation, promoting communication and interaction between the industry and the competent authorities, and under circumstances of sincere and positive, immaculate policies, laying a more solid foundation for the futures industry.

B Goals

- The Association was established to protect the investing public, develop the national economy, coordinate intra-industry relations, and promote common benefits of the society.

C Objectives

- To promote the full functioning of the futures market, working in step with the national economic development.
- To make surveys, compile statistics, and conduct R&D projects about the operations of companies.
- To make research and suggestions about government policies and propose revisions to futures-related regulations.
- To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement.
- To arbitrate disputes among the members.
- To arrange career training courses and lectures for employees of member companies.
- To supervise advertisements, exhibitions, and other promotional activities of member companies.
- To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies.
- To revise or renew licenses of member companies and handle related issues.
- To organize or participate in social welfare activities.
- To protect legitimate rights and interests of member companies.
- To take disciplinary actions against wrongful or illegal behaviors of member companies.
- To offer the services on request of government agencies or non-profit organizations.
- To take part in social activities.
- To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders.
- To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities that would mislead futures traders, clients, or other persons associated with futures trading.
- To offer other related services on member company's requests in accordance with the government policies and regulations



公會組織系統

ASSOCIATION STRUCTURE

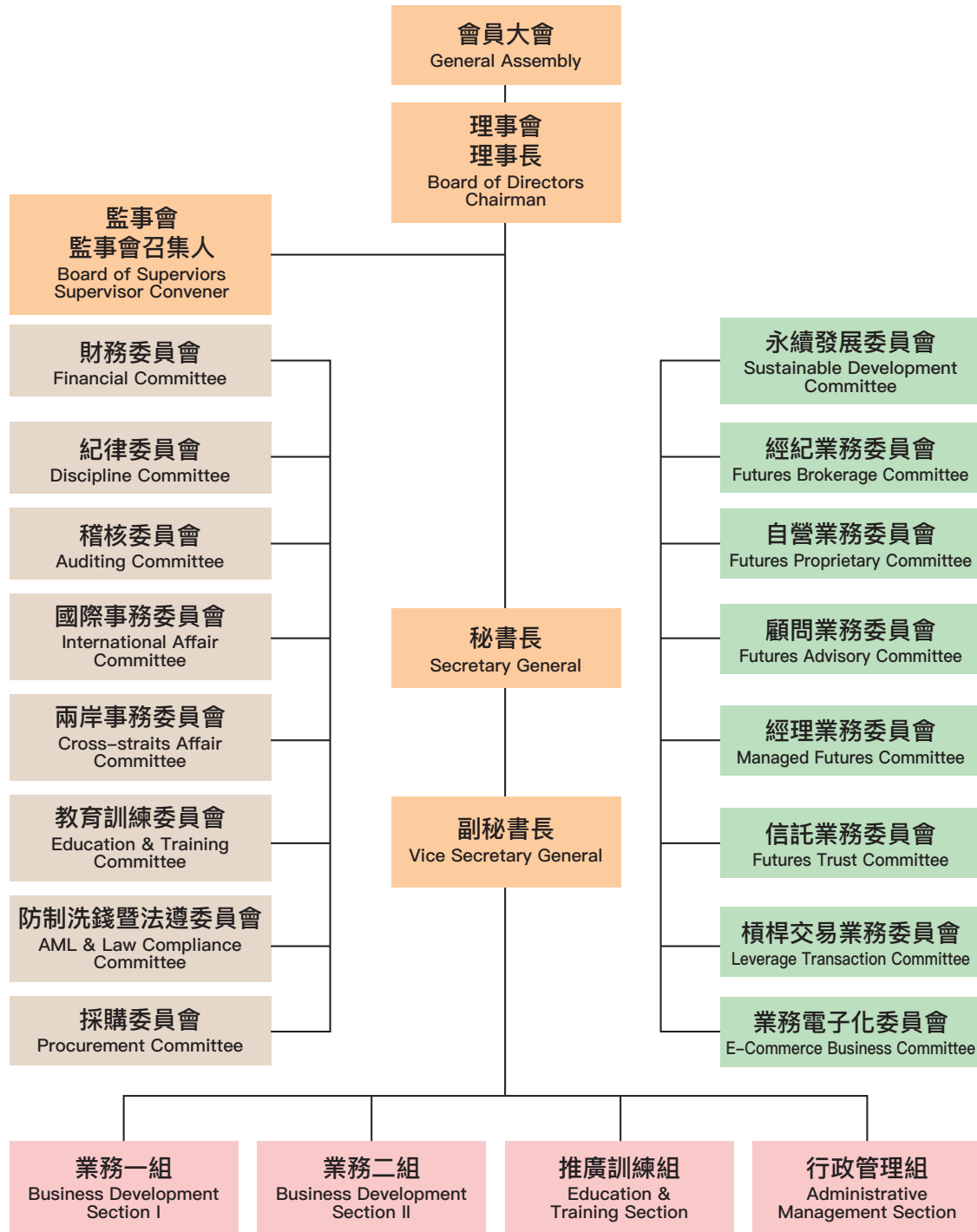




參、公會組織系統

一 組織系統圖

A Organization Chart



二 組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派 7 位代表，會員代表共計 256 位。

■ 成員：由會員代表組成。至 113 年 12 月 31 日止，本公會會員公司計有 149 家：

1. 專營期貨商 14 家
2. 兼營期貨商 11 家
3. 期貨交易輔助人 42 家
4. 國外複委託商 1 家
5. 期貨自營業務 28 家
6. 槓桿交易商 5 家
7. 期貨顧問業務 35 家
8. 期貨經理業務 5 家
9. 期貨信託業務 8 家

■ 執掌：為本公會最高決策機關。

■ 職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會

■ 成員：33 位理事，由會員代表互選之。另設置常務理事 11 人，由理事互選之。

■ 執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。



B Structure and Responsibilities

1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2024, a total of 256 member representatives were registered with the Association.

- Member companies: the Association was composed of 149 member companies at the end of 2024:
 1. Specialized futures brokerage companies: 14
 2. Concurrent futures brokerage companies: 11
 3. Futures introducing brokers (IB): 42
 4. Foreign re-engaging brokers: 1
 5. Proprietary futures commission merchants: 28
 6. Leverage Transaction Merchant: 5
 7. Futures advisory enterprises: 35
 8. Managed futures enterprises: 5
 9. Futures trust enterprises: 8
- Authority: the General Assembly is the supreme policy-making body of the Association.
- Responsibilities:
 1. To elect or recall board members or supervisors.
 2. To make and revise CNFA Charter.
 3. To approve annual working plans, budgets, auditing reports, and R&D projects.
 4. To review proposals approved at the meetings of board members, supervisors, or General Assembly.
 5. To take disciplinary actions against misbehaved member companies or member representatives.
 6. To trade properties of the Association.
 7. To approve settlement reports and appointments of settlement persons as well as handle other settlement-related issues.
 8. To approve the dismissal of any board member or supervisor.
 9. To approve member registration fees, annual membership fees, business promotion fees, and donations of member companies.
 10. To approve other issues associated with member's rights or obligations.

2. Board of Directors

- Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.
- Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.

■職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本公會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分未依規定繳納會費之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會

- 成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互選其中一人為監事會召集人。
- 執掌：為本公會最高監察單位。
- 職權：
 1. 選舉及罷免常務監事。
 2. 監察理事會執行會員大會之決議案。
 3. 監察理事會會務執行狀況。
 4. 審核理事會各種會計報告書類。
 5. 稽核理事會之經費收支狀況。
 6. 向會員大會提出年度監察報告。
 7. 議決常務監事及監事之自行辭任。
 8. 其他依職責應辦之事項。



- Responsibilities:
 1. To convene the General Assembly and execute its decisions.
 2. To elect or recall the chairman or executive directors.
 3. To approve the resignation of the chairman or board members.
 4. To approve registration, withdrawal, or nullification of membership or member representative.
 5. To make annual working plans, budgets, auditing reports, and R&D projects.
 6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers.
 7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations.
 8. To deal with the projects approved at meetings of supervisors.
 9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
 10. To take disciplinary actions against the members who fail to pay membership fees.
 11. To handle issues in accordance with laws, CNFA Charter, or related regulations.
 12. To handle other related issues.

3. Supervisors

- Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.
- Authority: it's the highest supervising body of the Association.
- Responsibilities:
 1. To elect or recall executive supervisors.
 2. To supervise the Board of Directors' execution of the projects approved by the General Assembly.
 3. To supervise the Board of Directors' execution of the Association's working plans.
 4. To review the accounting reports which have been approved by the Board of Directors.
 5. To review the financial reports which have been approved by the Board of Directors.
 6. To make an annual supervisory report to the General Assembly.
 7. To approve resignation tendered by any executive supervisor or supervisor.
 8. To handle other related issues.

(四)、委員會：設有永續發展、經紀業務、自營業務、顧問業務、經理業務、信託業務、槓桿交易業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核、防制洗錢暨法遵、業務電子化、採購等 16 個委員會。

- 成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。
- 執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

▶ 永續發展委員會

- (1) 有關期貨業永續發展之溝通協調及政策建議事項。
- (2) 有關永續發展之規劃宣導、舉辦研討會、座談會，延請專家及金融業分享等事項。
- (3) 有關本公會永續發展相關所訂自律規範、範例或指引之討論與建議事項。
- (4) 有關編擬年度工作計畫事項。
- (5) 其他有關永續發展事宜之處理事項。

▶ 經紀業務委員會

- (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
- (2) 有關期貨交易人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨經紀業務之處理事項。

▶ 自營業務委員會

- (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關期貨自營業務之處理事項。

▶ 顧問業務委員會

- (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
- (2) 有關委任人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨顧問業務之處理事項。



4. Committees: The Association set up 16 committees, namely Sustainable Development Committee, Futures Brokerage Committee, Futures Proprietary Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Leveraged Transaction Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, Auditing Committee, AML & Law Compliance Committee, E-Commerce Business Committee, and Procurement Committee.

- Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.
- Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

Committees and their responsibilities:

▶ Sustainable Development Committee

- (1) To coordinate and propose suggestions about sustainable development of futures industry.
- (2) To make promotional plans, holding seminars/conferences, and invite experts to share their professional experience.
- (3) To propose the self-discipline rules, exemplar cases, guidelines, topics for discussions, and suggestions about sustainable development issues.
- (4) To make annual work plans for the committee.
- (5) To handle other relevant issues.

▶ Futures Brokerage Committee

- (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers.
- (2) To offer services to futures traders.
- (3) To make an annual work plan for the committee.
- (4) To handle other related issues.

▶ Futures Proprietary Committee

- (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them.
- (2) To make an annual work plan for the committee.
- (3) To handle other related issues.

▶ Futures Advisory Committee

- (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business.
- (2) To offer services to clients of futures advisory enterprises.
- (3) To make an annual work plan for the committee.
- (4) To handle other related issues.

▶ 經理業務委員會

- (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
- (2) 有關委任人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨經理業務之處理事項。

▶ 信託業務委員會

- (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
- (2) 有關受益人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨信託業務之處理事項。

▶ 槓桿交易業務委員會

- (1) 有關槓桿交易業務之發展、聯繫、協調、改進事項。
- (2) 有關交易人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關槓桿交易業務之處理事項。

▶ 紀律委員會

- (1) 有關自律公約之擬訂、執行與督促會員自律事項。
- (2) 有關會員職業道德之規範事項。
- (3) 其他有關會員自律之處理事項。

▶ 教育訓練委員會

- (1) 辦理期貨從業人員職前訓練及在職訓練事項。
- (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
- (3) 有關出版刊物之編輯事項。
- (4) 有關期貨投資宣傳事項。
- (5) 其他有關教育訓練、考試及核發證書之處理事項。

▶ 財務委員會

- (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
- (2) 有關財務之稽核事項。
- (3) 有關預算、決算之編擬事項。
- (4) 其他有關財務事宜之處理事項。



▶ Managed Futures Committee

- (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business.
- (2) To offer services to clients of managed futures enterprises.
- (3) To make an annual work plan for the committee.
- (4) To handle other related issues.

▶ Futures Trust Committee

- (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises.
- (2) To offer services to beneficiaries of the futures trust fund.
- (3) To make an annual work plan for the committee.
- (4) To handle other related issues.

▶ Leverage Transaction Committee

- (1) To improve and promote leveraged-trading business as well as handle interaction and coordination among futures companies and traders.
- (2) To offer services to futures traders.
- (3) To make an annual work plan for the committee.
- (4) To handle other related issues.

▶ Discipline Committee

- (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies.
- (2) To help member companies comply with working ethics.
- (3) To handle other related issues.

▶ Education & Training Committee

- (1) To arrange pre-job and on-job training for the futures workers
- (2) To arrange visits, speeches, lectures, leisure activities, and sports, among others.
- (3) To handle publishing and editing matters.
- (4) To make advertising and promotional matters.
- (5) To handle training, examination, license issuance, and other education-related issues.

▶ Financial Committee

- (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues.
- (2) To handle auditing and related issues.
- (3) To make a/the budget plan and deal with settlement issues.
- (4) To handle other related issues.

▶ 國際事務委員會

- (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關期貨國際業務之處理事項。

▶ 兩岸事務委員會

- (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關期貨兩岸業務之處理事項。

▶ 稽核委員會

- (1) 有關期貨業內部控制制度之研討與建議事項。
- (2) 有關期貨業內部稽核業務之研討與建議事項。
- (3) 協商並解決期貨業共通性之稽核業務事項。
- (4) 有關編擬年度工作計畫綱要事項。
- (5) 其他有關稽核業務事宜之處理事項。

▶ 防制洗錢暨法遵委員會

- (1) 有關期貨業法令遵循事務之研討與建議事項。
- (2) 有關期貨業防制洗錢及打擊資恐之研討與建議事項。
- (3) 協商並解決期貨業共通性之法令遵循事項。
- (4) 協商並解決期貨業共通性之防制洗錢及打擊資恐事項。
- (5) 有關編擬年度工作計畫事項。
- (6) 其他有關防制洗錢及打擊資恐暨法令遵循事宜之處理事項。



▶ International Affair Committee

- (1) To promote and coordinate issues about international affairs of the futures industry.
- (2) To make annual work plan for the committee.
- (3) To arrange other related issues.

▶ Cross-straits Affair Committee

- (1) To promote and coordinate cross-straits issues about the futures industry.
- (2) To make annual work plan for the committee.
- (3) To arrange other related issues.

▶ Auditing Committee

- (1) To make research on the internal control system of futures companies and propose suggestions for improvement.
- (2) To make research on the internal auditing system of futures companies and propose suggestions for improvement.
- (3) To coordinate for consistency in internal control and auditing systems of futures companies and help them resolve related problems.
- (4) To make annual work plan for the committee.
- (5) To handle other related issues.

▶ AML & Law Compliance Committee

- (1) To make research on law compliance-related issues and propose recommendations for improvement.
- (2) To make research on AML/CTF-related issues and propose recommendations for improvement.
- (3) To coordinate law-compliance issues and make solutions as standard guidelines for futures companies.
- (4) To coordinate AML/CTF-related issues and make solutions as standard guidelines for futures companies.
- (5) To make an annual work plan for the committee.
- (6) To handle other related issues.

▶ 業務電子化委員會

- (1) 有關業務電子化業務之發展、聯繫、協調、改進事項。
- (2) 有關業務電子化業務相關法規研討及建議事項。
- (3) 有關交易人服務事項。
- (4) 有關期貨業資通安全事項。
- (5) 有關編擬年度工作計畫事項。
- (6) 其他有關業務電子化及資通安全事宜之處理事項。

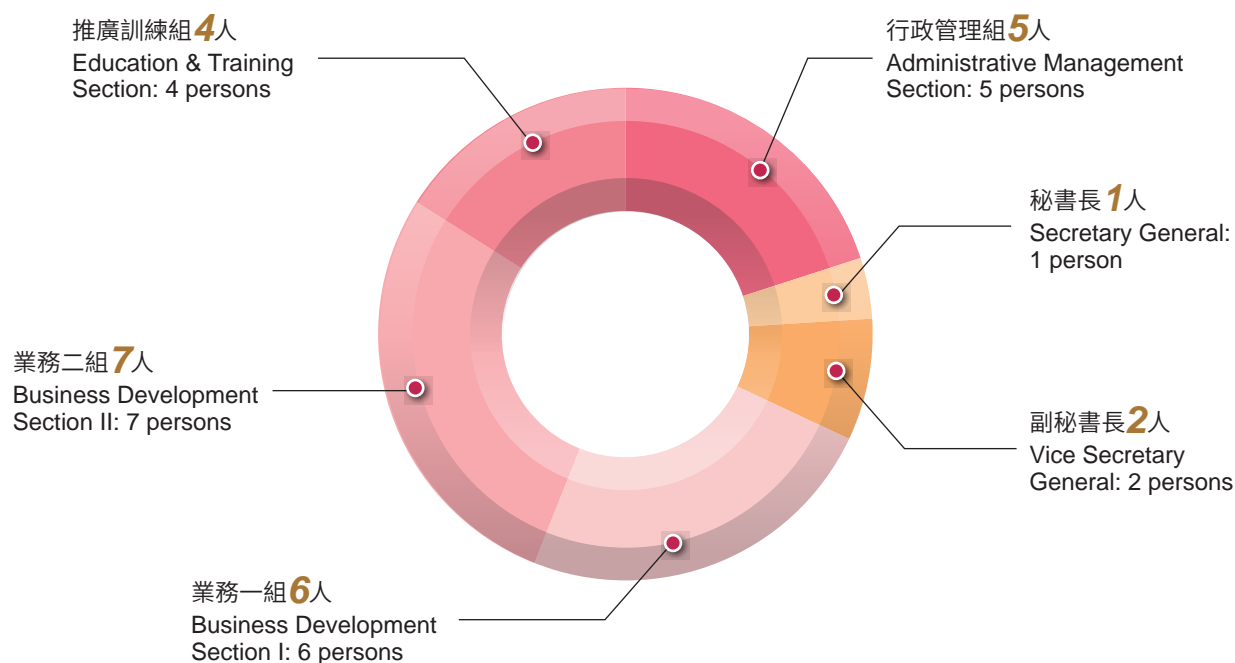
▶ 採購委員會

- (1) 辦理本公會購置重大財產或消耗品之採購。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關採購事宜之處理事項。

(五)、會務人員：分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

113 年會務人員統計表

CNFA Administrative Staff in 2024





▶ E-Commerce Business Committee

- (1) To handle promotion, communication, coordination, and improvement issues about digitalization.
- (2) To handle digitalization-related law research and proposed issues.
- (3) To handle issues about digitalized services for traders.
- (4) To handle futures-related information security issues.
- (5) To make annual work plan for the committee.
- (6) To handle other digitalization and information security issues.

▶ Procurement Committee

- (1) To handle procurement of the Association's major equipment and consumables.
- (2) To make annual work plans for the committee.
- (3) To handle other procurement-related issues.

5. Administrative staff: the Association's administration is divided into four sections Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of the secretary general and deputy secretary general. The duties of each section are listed below:

► 業務一組

辦理期貨商、期貨（證券）交易輔助人及海峽兩岸期貨業務相關之下列工作：

- (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。
- (4) 檢討期貨法令並提出改進建議。
- (5) 提供會員公司期貨業務法規諮詢服務。
- (6) 自律規定之研議。
- (7) 新種業務之可行性研究與分析。
- (8) 期貨相關專題之研究。
- (9) 聯繫、跟催委外專案研究之進度。
- (10) 蒐集及分析兩岸期貨業務之相關資訊。
- (11) 兩岸期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

► 業務二組

辦理期貨服務事業（不含期貨交易輔助人）、槓桿交易商及國際期貨業務相關之下列工作：

- (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。
- (4) 檢討期貨法令並提出改進建議。
- (5) 提供會員公司期貨業務法規諮詢服務。
- (6) 自律規定之研議。
- (7) 新種業務之可行性研究與分析。
- (8) 期貨相關專題之研究。
- (9) 聯繫、跟催委外專案研究之進度。
- (10) 蒐集及分析國際期貨業務之相關資訊。



► Business Development Section I:

To handle the business of futures companies, futures (securities) introducing brokers, and cross straits issues:

- (1) To arrange meetings of the Futures Brokerage Committee, Futures Proprietary Committee, Cross-straits Affair Committee, and Discipline Committee.
- (2) To handle interactions among regulatory the agency/angencies, peripheral institutions, and member companies.
- (3) To gather information about market trends and industrial development.
- (4) To review futures-related laws and, when necessary, propose suggestions for improvement.
- (5) To provide legal consulting services to member companies.
- (6) To propose or make revisions to self-discipline rules.
- (7) To make research on the feasibility of developing new futures-related businesses in Taiwan and analyze its market potential.
- (8) To make research on futures-related topics.
- (9) To arrange out-sourcing research projects.
- (10) To gather and analyze the information about cross-strait issues.
- (11) To arrange interactions among the futures associations on the two sides of the Taiwan Straits.
- (12) To review the advertisement and promotional materials of member companies.
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvements.
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them.
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies.
- (16) To inspect on the business locations and facilities of member companies.

► Business Development Section II

To handle issues regarding futures-related business (excluding those of futures introducing brokers), leveraged trading, and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee.
- (2) To handle interactions among regulatory agencies, peripheral institutions, and member companies.
- (3) To gather information about market trends and industrial development.
- (4) To review futures-related laws and, when necessary, propose suggestions for improvement.
- (5) To provide legal consulting services to member companies.
- (6) To propose or make revisions to self-discipline rules.
- (7) To make research on the feasibility of developing new futures-related businesses in Taiwan and analyze its market potential.
- (8) To make research on futures-related topics.
- (9) To arrange out-sourcing research projects.
- (10) To gather and analyze the information about the international futures issues.

- (11) 國際期貨組織之聯繫及交流事宜。
 - (12) 會員公司宣傳資料與廣告物之審查。
 - (13) 會員公司業務及財務之查察與輔導。
 - (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
 - (15) 執行自律規定及主管機關交辦事項之稽查作業。
 - (16) 各會員公司營業據點場地、設備查驗。
- ▶ 推廣訓練組
- (1) 從業人員在職與相關訓練。
 - (2) 期貨業務講座、說明會等教育宣傳活動。
 - (3) 專題研究、公聽會及研討會之發表。
 - (4) 配合時事，研擬專案性之文宣活動。
 - (5) 刊物之編輯與發行事宜。
 - (6) 會員之會籍、負責人與業務員登錄及管理。
 - (7) 業務員工作證換發作業。
 - (8) 教育訓練委員會開會事宜。
- ▶ 行政管理組
- (1) 採購驗收、財產保管。
 - (2) 資訊系統規劃建置及維護。
 - (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
 - (4) 檔案管理、收發文。
 - (5) 各種活動支援。
 - (6) 人事、總務、行政、文書等作業。
 - (7) 會員公司及從業人員之聯誼或康樂活動。
 - (8) 會員大會、理監事會開會事宜。
 - (9) 財務開會事宜。
 - (10) 期貨交易糾紛調處事項



- (11) To arrange the interaction between the Association and international futures associations.
- (12) To review the advertisement and promotional materials of member companies.
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvements/improve.
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them.
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies.
- (16) To inspect on the business locations and facilities of member companies.

► Education & Training Section

- (1) To arrange pre-job and on-the-job training courses for futures workers.
- (2) To arrange futures lecturers, seminars, and other promotional activities.
- (3) To publish the reports of research projects, public hearings, or seminars.
- (4) To arrange activities for advocating special news or research topics.
- (5) To compile and publish publications.
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies.
- (7) To arrange renewal of job certificates of the Association's staff workers.
- (8) To arrange meetings of the Education & Training Committee.

► Administrative Management Section

- (1) To handle procurement issues and safeguard the Association's properties.
- (2) To arrange IT equipment procurement, maintenance, and management.
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues.
- (4) To handle mail and keep documents.
- (5) To provide financial support to Association-held activities.
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents.
- (7) To arrange social activities for employees of member companies and the Association's working staff.
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors.
- (9) To arrange meetings of the Financial Committee.
- (10) To mediate disputes about futures trading.

理監事名錄

Board Members and Supervisors

理事名錄 Directors

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	理事長 Chairman	陳佩君 Pei-chun Chen	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
2	常務理事 Ececutive Director	王中愷 Steve Wang	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.
3	常務理事 Ececutive Director	毛振華 Edward Mao	群益期貨股份有限公司 Capital Futures Corp.
4	常務理事 Ececutive Director	李文柱 Wen-chu Lee	群益期貨股份有限公司 Capital Futures Corp.
5	常務理事 Ececutive Director	周武華 Alex Chou	國票期貨股份有限公司 Waterland Futures Co., Ltd.
6	常務理事 Ececutive Director	林家進 Roger Lin	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
7	常務理事 Ececutive Director	林添富 Tien-Fu Lin	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
8	常務理事 Ececutive Director	林獻群 Leo Lin	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.
9	常務理事 Ececutive Director	黃正雄 Thomas Huang	元富期貨股份有限公司 MasterLink Futures Corp.
10	常務理事 Ececutive Director	黃奕銘 Yiming Huang	統一期貨股份有限公司 President Futures Corp.
11	常務理事 Ececutive Director	葉光章 Kuang-chang Yeh	康和期貨股份有限公司 Concord Futures Corp.
12	理事 Director	何家瑜 Ho, Chia-Yu	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.
13	理事 Director	吳明宗 Wu, Ming-Tsung	兆豐證券股份有限公司 Mega Securities Co., Ltd.
14	理事 Director	李逸源 Yih-yuan Lee	美好證券股份有限公司 Good Finance Securities Co., Ltd.
15	理事 Director	姜克勤 Ken Jiang	宏遠證券股份有限公司 Horizon Securities Co., Ltd.
16	理事 Director	凌墉宏 Ling, Yung-Hung	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
17	理事 Director	耿世鈞 Geng, Shih-Chun	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
18	理事 Director	康景泰 Ching-Tai Kang	康和期貨股份有限公司 Concord Futures Corp.
19	理事 Director	張清發 Fred Chang	元富證券股份有限公司 MasterLink Securities Corporation



序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
20	理事 Director	許人祥 Mark Hsu	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.
21	理事 Director	許文義 fama Hsu	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.
22	理事 Director	許國村 Alex Hsu	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
23	理事 Director	許瑞立 Jurry Hsu	安泰證券股份有限公司 Antay Securities Co., Ltd.
24	理事 Director	郭晃庭 Huan-ting Kuo	富邦證券股份有限公司 Fubon Securities Co., Ltd.
25	理事 Director	陳其緯 Chi Wei Chen	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.
26	理事 Director	陳致全 Jeremy C.C. Chen	第一金證券股份有限公司 First Securities Inc
27	理事 Director	陳清德 Ching-Te Chen	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
28	理事 Director	黃信一 Sean Huang	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.
29	理事 Director	黃凱琳 Karen Huang	國泰期貨股份有限公司 Cathay Futures Corp.
30	理事 Director	黃榕瑄 Mandy Huang	台灣摩根士丹利證券股份有限公司 Morgan Stanley Taiwan Limited
31	理事 Director	黃維本 Webber Huang	群益期貨股份有限公司 Capital Futures Corp.
32	理事 Director	賈景陽 Chia, Cheng-Yang	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
33	理事 Director	劉承志 Arch Liu	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
34	候補理事 Alternate Director	金志倫 Alan Kin	國泰期貨股份有限公司 Cathay Futures Corp.
35	候補理事 Alternate Director	許介偉 Charly Hsu	國票期貨股份有限公司 Waterland Futures Co., Ltd.
36	候補理事 Alternate Director	陳順揚 Shunyang Chen	元富期貨股份有限公司 MasterLink Futures Corp.

註：以上資料以 113.12.31 為準，依公會職稱、姓氏筆劃排序。

Note: the list is in order of professional title and stroke number of Chinese surname, as of the end of 2024.

監事名錄 Supervisors

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	監事會召集人 Supervisor Convener	張雅斐 Phoebe Chang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
2	常務監事 Executive Supervisor	李全才 Mario Lee	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
3	常務監事 Executive Supervisor	詹正恩 Cheng-en Chan	光和證券股份有限公司 Kuanzho Securities Corp.
4	監事 Supervisor	王文浩 Wen-Hao Wang	康和期貨股份有限公司 Concord Futures Corp.
5	監事 Supervisor	吳皇旗 Huang-chi Wu	統一期貨股份有限公司 President Futures Corp.
6	監事 Supervisor	侯青志 Chris Ho	凱基期貨股份有限公司 KGI Futures Co., Ltd.
7	監事 Supervisor	陳柏榮 Bo-jung Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.
8	監事 Supervisor	黃俊仁 Jun-jen Huang	統一綜合證券股份有限公司 President Securities Corp.
9	監事 Supervisor	曾幼敏 Yu-ming Tseng	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch
10	監事 Supervisor	楊宗來 Tsun-lai Yang	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.
11	監事 Supervisor	糜以雍 Falco Mi	凱基期貨股份有限公司 KGI Futures Co., Ltd.

註：以上資料以 113.12.31 為準，依公會職稱、姓氏筆劃排序。

Note: the list is in order of professional title and stroke number of Chinese surname, as of the end of 2024.



肆

會務報導

ASSOCIATION AFFAIRS



肆、會務報導

一 關於期貨交易法令協助推行、研議事項

A Implementation of futures-related regulations

增修定範本、規則、申報書表

Formulating contract templates, guidelines, and report formats

- 1 參考主管機關公告「金融業運用人工智慧 (AI) 指引」、銀行公會「金融機構運用人工智慧技術作業規範」，訂定本公會「期貨業運用人工智慧技術自律規範」。

A “Self-Regulatory Guideline for Application of Artificial Intelligence Technology in the Futures Industry” was formulated with reference to the FSC’s “Guidelines for the Application of AI in the Financial Industry” and the Bankers Association’s “Operational Standards for Financial Institutions Using AI Technology.”

- 2 因應櫃買中心「證券暨期貨市場各服務事業資通系統安全防护基準參考指引」修正帳戶鎖定防護原則，本公會修正「資通系統安全防护基準自律規範」，將「帳號登入進行身分驗證失敗達三次後」修改為「帳號登入進行身分驗證失敗達五次後」。

In response to the Taipei Exchange’s revision of the “Reference Guidelines for Information Security Protection Standards for Various Securities and Futures Service Providers”, which updates the account lockout protection policy, the association has amended the “Self-Regulatory Guidelines for Information Security Protection Standards”, changing the rule from “account login identity verification failure after three attempts” to “account login identity verification failure after five attempts.”

- 3 依主管機關指示，修正本公會金融友善服務準則，增訂「本公會會員之董事、負責人及高階管理人員每年應接受一定時數之會員自行舉辦或外部之金融友善、身心障礙者權利公約 (CRPD) 等教育訓練。」之規定。

Following regulatory instructions, the association has revised its “Financial Inclusion Service Guidelines”, adding a new provision requiring that board members, responsible persons, and senior executives of member firms receive a certain number of hours of training annually on financial inclusion and the United Nations Convention on the Rights of Persons with Disabilities (CRPD), either through internal training or external programs .

- 4 參考集保公司「證券暨期貨市場各服務事業資通系統與服務供應鏈風險管理參考指引」，修正本公會「期貨業資通系統與服務供應鏈風險管理自律規範」。

With reference to the “Reference Guidelines for Risk Management of Information Systems and Service Supply Chains in the Securities and Futures Market” issued by the Taiwan Depository & Clearing Corporation, the association has amended the “Self-Regulatory Guidelines for Risk Management of Information Systems and Service Supply Chains in the Futures Industry.”

- 5 因應臺灣期貨交易所修正「建定期貨商資通安全檢查機制」及「證券暨期貨市場各服務事業網路安全防护參考指引」，修正本公會「網路安全防护自律規範」。

In response to the Taiwan Futures Exchange’s revisions to the “Futures Commission Merchant Cybersecurity Inspection Mechanism” and the “Reference Guidelines for Cybersecurity Protection in the Securities and Futures Market,” the association has amended its “Self-Regulatory Guidelines for Cybersecurity Protection.”

- 6 因應金管會發布「期貨商作業委託他人處理應注意事項」，本公會與證券商公會共同委託勤業眾信聯合會計師事務所研擬「委外內部作業參考規範」、「風險基礎方法之委外管理架構」、「委外契約範本」、「必要性及適法性分析書件範本」、「作業委外計畫書範本」及「雲端政策範本」等範本，供業者參考。

Following the Financial Supervisory Commission's (FSC) issuance of the "Directions for Operations Outsourcing by Futures Commission Merchants," the association, in collaboration with the Securities Association, engaged Deloitte Taiwan to develop six reference documents, including the "Outsourcing Internal Operations Guidelines," "Risk-Based Outsourcing Management Framework," "Standard Outsourcing Agreement," and "Cloud Policy Framework."

- 7 因應行政院公告新版電子簽章法，本公會與證券商公會共同委託臺灣網路認證公司研擬「證券暨期貨業者線上服務身分驗證實務作業參考委託專案報告」，供主管機關及周邊業者參考。

To align with the newly revised Electronic Signature Act announced by the Executive Yuan, the association and the Securities Association jointly commissioned Taiwan Certification Authority to draft a "Practical Operational Reference Report on Online Identity Verification Services for Securities and Futures Firms" for regulatory and industry reference.

- 8 為強化槓桿交易商向一般客戶提供槓桿保證金契約交易服務之徵信作業，修正「槓桿交易商自律規則」，增訂資力證明評估與交易額度控管規定。

To strengthen the due diligence process for leveraged trading merchants providing leveraged margin contract trading services to retail customers, the association has amended the "Self-Regulatory Rules for Leveraged Trading Merchants", adding provisions for financial capacity verification and transaction limit control.

- 9 考量期貨商對於交易人從事期貨交易，應不分年齡依公司之風險管理原則透過 KYC 評估結果，給予適當的控管，修正本公會「期貨商開戶徵信作業管理及提供高齡客戶金融服務自律規則」刪除 70 歲以上交易人開戶條件及交易限制，並增訂應對於最近一年交易損失達一定金額者增加徵信資料更新頻率，損失金額及更新頻率由期貨商自行訂定之規定。

Considering that futures commission merchants (FCMs) should apply appropriate risk management controls to all traders regardless of age, based on KYC (Know Your Customer) evaluation results, the association has revised the "Self-Regulatory Rules for FCM Account Opening Due Diligence and Financial Services for Senior Customers." The revisions remove account opening requirements and trading restrictions for customers aged 70 and above and introduce a new provision requiring that FCMs increase the frequency of due diligence updates for traders who have incurred significant losses over the past year. The threshold for losses and the update frequency will be determined by each FCM.

- 10 為促進產業創新，改善產業環境，提升產業競爭力，並提供大學或職業學校相關科系作為開辦課程參考，藉以提升社會新鮮人自身之職場競爭力，提高職場就業之機率，研訂期貨服務（顧問、經理及信託）事業及槓桿交易商之「研究分析人員職能基準」。

To promote industry innovation, improve the business environment, and enhance industry competitiveness, as well as provide a reference for universities and vocational schools in designing relevant curricula, the association has formulated the "Competency Standards for Research Analysts" for futures services (consulting, management, and trust businesses) and leveraged trading merchants. This initiative aims to enhance the career readiness of new graduates and improve employment opportunities in the sector.

研議、建議事項

Proposals

- 1 倘國外複委託業務僅涉及延遲資安通報，此種缺失案於本公會知悉後，逕由本公會依程序自律處分，建請主管機關不另行處置。

If delays in cybersecurity incident reporting related to foreign sub-brokerage services are the only issue in a specific case, and the association has already handled the matter through its self-regulatory processes, it recommends that the regulatory authority refrain from taking further action.

- 2 為使證券、期貨監理一致，建請主管機關比照證券商模式研議開放資訊公司（桌機版或手機版 APP）提供交易人於期貨商開戶及下單之異業合作，俾利期貨業務推展。

To ensure regulatory consistency between securities and futures oversight, it is recommended that the regulatory authority consider adopting a model similar to that used for securities firms by allowing third-party information providers (desktop or mobile app versions) to facilitate account opening and trade execution with futures commission merchants (FCMs). This would help expand futures business opportunities.

- 3 建議櫃買中心修正「槓桿交易商經營槓桿保證金契約交易業務規則」有關槓桿交易商 ANC 比率管理機制。

It is suggested that the Taipei Exchange (TPEX) amend the “Regulations Governing Leveraged Trading Merchants Operating Leveraged Margin Contract Trading” concerning the adjusted net capital (ANC) ratio management mechanism for leveraged trading merchants.

- 4 為輔導槓桿交易商控管「槓桿交易商自律規則」新制之作業調整時間，研議規劃實施時程。

To assist leveraged trading merchants in implementing the new provisions of the “Self-Regulatory Rules for Leveraged Trading Merchants,” the feasibility of a phased implementation timeline is being studied.

- 5 建請主管機關將期貨商納入「銀行、證券商及保險公司等機構合作推廣他業商品或提供相關服務規範」案，同時爭取服務項目參考共同行銷的部分範圍，讓期貨商能在公平的競爭環境中健全發展。

The regulatory authority is urged to include futures commission merchants (FCMs) under the framework for “financial institutions such as banks, securities firms, and insurance companies cooperating to promote third-party products or services.” Additionally, efforts should be made to expand the scope of permissible services under joint marketing regulations to ensure that FCMs can develop in a fair and competitive environment.

- 6 建請將「公務人員退休撫卹基金」、「勞工保險基金」、「勞工退休基金」（新、舊制）及「國民年金保險基金」等相關政府基金於國內投資委託投資契約列入臺灣期貨交易所之「股票期貨及股票選擇權」，以利受託機構有效避險及增加投資效益。

It is proposed that government funds, including the Public Service Pension Fund, Labor Insurance Fund, Labor Pension Fund (both new and old systems), and National Pension Insurance Fund, incorporate Taiwan Futures Exchange (TAIFEX) stock futures and stock options into their domestic investment mandates. This would enable entrusted institutions to hedge risk more effectively and enhance investment returns.

- 7 因應線上開戶及交易之需求與日俱增，提升交易人使用金融服務之便利性，建議期貨商受理期貨交易人辦理約定出、入金帳戶時，倘客戶使用銀行帳戶經第三方線上驗證方式，可免提供存摺影本。

In response to the growing demand for online account opening and trading, and to enhance the convenience of financial services for traders, it is recommended that futures commission merchants (FCMs) allow futures traders to set up designated deposit and withdrawal accounts without requiring a passbook copy, provided that the bank account has been verified through a third-party online authentication method.

- 8 為避免期貨商因不可抗力無法依規定於次月五日前填製並發送對帳單給交易人，參考證券市場規定，建議放寬期貨商得於次月五日前完成填製對帳單，於次月十日前送交客戶查對。

To prevent FCMs from being unable to issue and deliver account statements to traders by the fifth day of the following month due to force majeure circumstances, it is recommended—based on securities market regulations—that FCMs be granted an extension, allowing them to prepare the statements by the fifth day of the following month and submit them to customers by the tenth day.

- 9 建議放寬期貨商受理客戶以非當面方式變更戶籍地址者，參考證交所規定，可檢附身分證影本辦理，免附戶口名簿影本或其他的證明文件，方得辦理變更。

It is suggested that FCMs be permitted to process customers' residential address changes without requiring in-person verification. Referring to Taiwan Stock Exchange (TWSE) regulations, a copy of the national ID should suffice, eliminating the need for a household registration transcript or other supporting documents.

- 10 建議同意同一身分編號之交易人，在同一期貨商國外期貨交易帳戶間賸餘現金保證金、權利金之撥轉，得依交易人指示撥至其所指定約定期貨交易帳戶，無需先出金至交易人約定銀行帳戶後再由交易人自行轉入其他交易帳號，以提升交易人從事國外期貨交易之資金使用效率。

It is recommended that traders using the same identification number be allowed to transfer surplus cash margins and option premiums between their foreign futures trading accounts within the same FCM, according to their instructions. This would eliminate the requirement to first withdraw funds to a designated bank account before manually transferring them to another trading account, thereby improving capital efficiency in foreign futures trading.

- 11 建請主管機關在接獲交易人申訴案件時，通知期貨商先行與交易人進行溝通協商，倘交易人與期貨商雙方針對申訴案件無法達成共識時，再啟動相關查核作業程序，並建請主管機關函復交易人申訴案時，可否僅就交易人主訴糾紛事件範圍回應，以降低主管機關之監理成本，並提升糾紛處理效率。

It is recommended that when the regulatory authority receives a trader's complaint, the futures commission merchant (FCM) should first be notified to engage in direct communication and negotiation with the trader. If the FCM and the trader are unable to reach a consensus on the complaint, then the relevant investigation procedures should be initiated. Additionally, it is suggested that when the regulatory authority responds to the trader's complaint, the reply should be limited to the specific dispute raised by the trader, thereby reducing supervisory costs and improving dispute resolution efficiency.

- 12 為增加期貨自營商資金運用彈性，有關建議開放期貨自營商得於店頭市場從事國內外衍生性金融商品交易乙案，增提補充說明。

To enhance the flexibility of proprietary trading firms (proprietary FCMs) in capital utilization, it is recommended that they be allowed to engage in domestic and international derivatives trading in over-the-counter (OTC) markets, with further explanations and clarifications provided regarding this proposal.

- 13 考量期貨自營商借（融）券業務所繳交之保證金金額及應收賣券價款均屬期貨自營商所有，依現行規定，期貨自營商仍須將借（融）券了結之後才能運用該保證金及應收賣券價款，因此了結部位時的交易風險，應適當的給予覆蓋，建議參考證券商自有資本適足比率（BIS）針對類似交易的風險計提概念，在計算調整後淨資本時將借（融）券業務所產生之保證金及賣券收取之款項不分交易型態均以 100% 計入 ANC。

Considering that the margin deposits and receivable proceeds from securities borrowing and lending (SBL) transactions fully belong to proprietary FCMs, under the current regulations, proprietary FCMs must wait until the SBL position is closed before they can utilize the margin and receivable proceeds. Given the associated trading risks when closing such positions, it is suggested that the risk assessment framework for proprietary FCMs refer to the BIS capital adequacy ratio model used by securities firms. When calculating adjusted net capital (ANC), the margin and proceeds generated from SBL transactions—regardless of transaction type—should be fully included at 100%.

- 14 現行計算期貨商自有資金投資標的折算時，對於仍屬期貨商自有資金權益之使用保證金金額僅承認 50%，似有過度承擔部位風險的現象，建議在計算調整後淨資本時，參照經紀業務保證金風險管理精神，將所需保證金折扣率由 50% 調整為 75%。

Under the current calculation method for FCMs in converting proprietary capital investment targets, only 50% of the margin used from proprietary capital is recognized. This may result in an excessive assumption of position risk. It is recommended that when calculating adjusted net capital (ANC), the required margin discount rate be increased from 50% to 75%, in line with the risk management principles for brokerage margin requirements.

- 15 建請主管機關修正期貨信託事業運用期貨信託基金從事「期貨信託基金管理辦法」第 38 條第 1 項第 1 款至第 2 款之交易，所收取與支付之保證金及權利金合計佔該期貨信託基金淨資產價值之比率計算標準，案經金管會「金融行動創新法規調適平台」第 3 次會議通過，主管機關並以金管證期字第 1140330019 號令發布修正期貨信託基金管理辦法第 49 條第 1 項第 2 款之規定。

It is proposed that the regulatory authority amend the Futures Trust Fund Management Regulations, specifically regarding transactions under Article 38, Paragraph 1, Subparagraphs 1 and 2, to adjust the calculation standard for the ratio of total margin and option premiums received and paid relative to the net asset value of the futures trust fund. This proposal was approved during the third meeting of the Financial Innovation Regulatory Adjustment Platform held by the Financial Supervisory Commission (FSC), which subsequently issued Directive No. 1140330019 to amend Article 49, Paragraph 1, Subparagraph 2 of the Futures Trust Fund Management Regulations.

- 16 建請主管機關開放期貨信託事業得發行多元化期貨 ETF，案經金管會「金融行動創新法規調適平台」第 3 次會議通過，惟發行新商品前主管機關、公會、業者間需充分溝通，且業者需注意 KYC 及宣導等配套。

It is further recommended that the regulatory authority allow futures trust enterprises to issue diversified futures exchange-traded funds (ETFs). This proposal was approved during the third meeting of the Financial Innovation Regulatory Adjustment Platform, but prior to the launch of new products, full communication between regulatory authorities, industry associations, and market participants is necessary, with attention to Know Your Customer (KYC) compliance and investor education measures.

- 17 建請主管機關「期貨業職前訓練比照證券商職前訓練之規定」應於到職後半年內參加職前訓練。因考量業者人力需求，執行業務前半年完成職前訓練，人力調度較為吃緊，且若無完成職前訓練，無法登錄，進而影響業務推動和執行。

It is suggested that the regulatory authority require pre-employment training for futures professionals, similar to the requirements for securities professionals, with a mandatory pre-employment training program to be completed within six months after joining a company. Given the industry's workforce management needs, requiring completion of pre-employment training within the first six months of employment may create challenges in personnel allocation. Furthermore, without completing the pre-employment training, employees cannot be registered, which in turn affects business operations and execution.

- 18 為建構友善金融環境，委託萬國法律事務所研訂「期貨商服務失智者或疑似失智者之實務參考做法」，蒐集實務上失智者或疑似失智者於期貨商辦理業務之態樣及問題並訂定實務參考做法提供業者參酌。

To foster a financially inclusive environment, a legal study has been commissioned to Formosa Transnational Attorneys at Law to develop a set of practical guidelines for FCMs serving individuals with dementia or suspected dementia. This study collects real-world cases and identifies common challenges faced by these individuals when conducting business with FCMs, aiming to provide the industry with a practical reference for improving service accessibility.

- 19 為提供期貨商計算範疇三投資組合財務碳排放計算之參考，與券商公會及投信投顧公會共同委託資誠永續發展服務股份有限公司，研訂「本國期貨商投資組合財務碳排放（範疇三）實務作業手冊」。

To support FCMs in calculating financial carbon emissions (Scope 3) related to their investment portfolios, a collaborative research project was commissioned to PwC Sustainability Services Ltd., in partnership with Taiwan Securities Association and the Securities Investment Trust & Consulting Association (SITCA). The outcome is the development of the Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs.

- 20 為利期貨商推動永續發展及配合主管機關綠色金融行動方案 3.0，探討適合自身的淨零轉型路徑，並發揮金融業的正向影響力協助整體經濟、環境及社會達到淨零之目標，研訂「期貨商範疇三投資組合財務碳排放之揭露及確信時程」暨「期貨商訂定範疇三減碳目標、策略時程規劃」。

To promote sustainable development among FCMs and align with the Green Finance Action Plan 3.0 set forth by the regulatory authority, research is being conducted to explore appropriate net-zero transition pathways for FCMs. The goal is to leverage the financial sector's positive influence to support the overall economy, environment, and society in achieving net-zero targets. The result will be the development of a roadmap for Scope 3 investment portfolio financial carbon emissions disclosure and assurance, along with strategic planning and timeline recommendations for FCMs to establish Scope 3 carbon reduction targets and strategies.

二 業務發展事項

B Association activities

一、市場推廣 Market promotion activities

2024 LME 實務研討會 (8/2)

因應 2050 年淨零碳排目標，近年低碳、減碳成全球熱門議題，研討會特結合金屬交易實務及減碳議題，邀請專家分享綠色物流及低碳金屬的發展經驗，並由 LME 代表、期貨商及期信業者針對「期貨業在綠色 / 低碳金屬潮流下之機會與挑戰」進行綜合座談，共吸引 121 位業界人士參與。

2024 LME Practical Seminar

In response to the 2050 net-zero carbon emissions goal, the topics of low-carbon and carbon reduction have gained global attention in recent years. This seminar integrated metal trading practices with carbon reduction initiatives, inviting experts to share insights on green logistics and the development of low-carbon metals. Additionally, LME representatives, futures firms, and financial institutions participated in a panel discussion on "Opportunities and Challenges for the Futures Industry Amid the Green/Low-Carbon Metals Trend." The event attracted 121 industry professionals.



2024 台北國際期貨論壇 (12/13)

鑑於主管機關發布「金融業運用人工智慧 (AI) 指引」，做為金融機構導入、使用及管理 AI 的參考依據，2024 台北國際期貨論壇聚焦期貨業 AI 運用之機遇與挑戰，邀請主管機關、交易所、期貨業者及相關產業，共同深入探討如何透過 AI 激發行業潛力，共吸引 136 位業界人士參與。

2024 Taipei International Futures Forum

In light of the regulatory guidelines on artificial intelligence (AI) for the financial industry issued by the Financial Supervisory Commission (FSC), the 2024 Taipei International Futures Forum focused on the opportunities and challenges of AI applications in the futures industry. The forum invited regulators, exchanges, futures firms, and relevant industry professionals for an in-depth discussion on how AI can unlock industry potential. The event attracted 136 participants.



ANC 工作小組會議 (1/15)

因應市場行情變化及期貨業發展現況，邀請期交所及業者代表組成 ANC 工作小組重新檢視 ANC 相關規範並提出調整建議。

ANC Working Group Meeting

To address market fluctuations and the current state of the futures industry, a working group comprising representatives from the Futures Exchange and industry stakeholders was convened to review ANC-related regulations and propose necessary adjustments.

國外期貨資訊系統廠商 (TT) 連線異常座談會 (3/4、4/3)

為協助期貨商從事海外期貨交易之資訊源連線問題，本公會召開會議，邀請資訊源廠商 TT 公司、CQG 公司及專營期貨商代表共同商議相關問題，並三度致函 TT 公司請求給予期貨商一定的賠償或補償措施。

International Futures Information System Providers (TT) Connectivity Issue Seminar

To assist futures firms in addressing connectivity issues with international futures trading information providers, the association held meetings with information source companies TT, CQG, and futures firm representatives to discuss related issues. Additionally, the association formally requested TT three times to provide compensation or remedial measures for the affected firms.

「證券暨期貨業者委外相關作業規範指引及契約範本」說明會 (4/23、5/15、5/20、5/27、5/29、6/12)

因應金管會發布「期貨商作業委託他人處理應注意事項」，本公會偕同證券商公會委由勤業眾信聯合會計師事務所研擬「委外內部作業參考規範」、「風險基礎方法之委外管理架構」、「委外契約範本」、「必要性及適法性分析書件範本」、「作業委外計畫書範本」及「雲端政策範本」共六份範本供業者參考，並辦理六場說明會以利業者了解。

"Guidelines and Standard Contracts for Outsourcing in the Securities and Futures Industry" Seminar

Following the Financial Supervisory Commission's (FSC) issuance of the "Directions for Operations Outsourcing by Futures Commission Merchants," the association, in collaboration with the Securities Association, engaged Deloitte Taiwan to develop six reference documents, including the "Outsourcing Internal Operations Guidelines," "Risk-Based Outsourcing Management Framework," "Standard Outsourcing Agreement," and "Cloud Policy Framework." Six seminars were held to help industry professionals understand these regulatory frameworks.

2024 年金融服務愛心公益嘉年華 (6/15、12/7)

為推動普及金融知識，喚起民眾對金融知識的重視及對社會弱勢族群的關懷，本公會積極參加金融總會統籌辦理之台南及台北兩場關懷社會園遊會，從事教育宣導工作，並捐贈款項予弱勢團體，幫助需要關懷人士。

2024 Financial Services Charity Carnival

To promote financial literacy, raise public awareness of financial knowledge, and show care for underprivileged groups, the association actively participated in two charity fairs organized by the Financial Services Commission in Tainan and Taipei. The association engaged in educational outreach activities and donated funds to support disadvantaged groups and individuals in need.



「反詐騙合作備忘錄」簽署儀式 (8/22)

為響應政府打擊金融詐騙政策，與刑事警察局簽署反詐騙合作備忘錄 (MOU) 共同防制投資詐騙，並合作拍攝反詐騙宣導影片，透過公會官網、周邊單位及會員公司協助推播以提升從業人員暨期貨交易者防詐意識。

"Anti-Fraud Cooperation MOU" Signing Ceremony

To support the government's financial fraud prevention policies, the association signed an Anti-Fraud Cooperation MOU with the Criminal Investigation Bureau, jointly combating investment fraud. Additionally, a fraud prevention awareness video was produced in collaboration with member companies and affiliated organizations and promoted via the association's official website to enhance fraud awareness among industry professionals and futures traders.



「國家資通安全聯防與情資分享合作備忘錄」簽署儀式 (12/3)

期貨公會與法務部調查局簽署國家資通安全聯防與情資分享合作備忘錄，希望透過共同聯防機制，分享資安情資，提升公私協力，以達早期預警、緊急應變及持續維運之成效，共創資安防護最佳效益。

"National Cybersecurity Joint Defense and Intelligence Sharing" MOU Signing Ceremony

The association signed a National Cybersecurity Joint Defense and Intelligence Sharing Memorandum of Understanding (MOU) with the Ministry of Justice Investigation Bureau. Through this collaborative defense mechanism, it is hoped that cybersecurity intelligence sharing will be enhanced to improve public-private cooperation, ensuring early warning, emergency response, and business continuity, thereby achieving optimal cybersecurity protection.



防範期貨詐騙分享會 (11/28)

為強化從業人員識詐意識，並希望藉由經驗分享以協助同業共同阻詐並確保交易人之交易安全，特邀刑事警察局、臺灣期貨交易所及期貨業者進行經驗分享，本次分享會計有 43 位專營期貨商負責有關防範詐騙事務之主管及承辦人員出席。

Futures Fraud Prevention Sharing Session

To strengthen fraud awareness among industry professionals and encourage peer collaboration in fraud prevention, the association invited representatives from the Criminal Investigation Bureau, Taiwan Futures Exchange, and futures firms to share experiences in fraud detection and prevention. The session was attended by 43 managers and compliance officers from specialized futures firms.

2024「期貨業未來發展與就業機會」校園巡迴講座 (3/1-5/31)

為協助大專院校同學正確認識期貨行業，並了解實務工作內容及所需具備之職能，做好進入期貨業就業的準備，期貨公會攜手期貨商辦理「期貨業未來發展與就業機會校園巡迴講座」。今年共辦理 26 場校園巡迴講座、近 1,800 名同學參與，計有大昌、元大、元富、永豐、兆豐、國泰、國票、康和、統一、凱基、富邦及群益等 12 家期貨商，深入校園與同學面對面協助瞭解從事期貨行業所需具備之資格條件與能力。

2024 Campus Tour Lecture Series: "The Future of the Futures Industry and Employment Opportunities"

To help university students gain a proper understanding of the futures industry, practical job functions, and required skills, the Futures Association partnered with futures firms to organize a campus tour lecture series. This year, 26 sessions were held, with nearly 1,800 students participating. 12 futures firms, including Taishin, Yuanta, Yuanfu, SinoPac, Mega, Cathay, KGI, Concord, Uni-President, Fubon, and Capital, visited campuses to provide face-to-face career guidance, explaining the qualifications and skills necessary for a career in futures trading.



校園金融講堂及期貨校園徵才 (11/13)

為吸引人才投入，以蓄積產業發展能量，期交所、經濟日報與本公會共同辦理「校園金融講堂及期貨校園徵才活動」。今年度前進實踐大學，計有元大、元富、台新、永豐、兆豐、國票、國泰、統一、康和、華南、富邦、群益及凱基等 13 家期貨商一起共襄盛舉，計超過 800 人次洽訪期貨商攤位了解人才需求、資格條件、培訓發展等事項。

Campus Financial Lecture and Futures Recruitment Fair

To attract talent and strengthen industry development, the Futures Exchange, Economic Daily News, and the association jointly organized the "Campus Financial Lecture and Futures Recruitment Fair." This year, the event was held at Shih Chien University, with 13 futures firms—including Yuanta, Yuanfu, Taishin, SinoPac, Mega, Cathay, KGI, Uni-President, Concord, Hua Nan, Fubon, Capital, and KGI Futures—actively participating. Over 800 participants visited the futures firms' booths to learn about career opportunities, qualification requirements, and training programs.



贊助 113 學年度金融服務業教育公益基金

本計畫是由金管會指導，金融總會統籌辦理，各金融周邊、同業公會、控股公司及外商銀行，以募得新臺幣 1 億為目標，以八千萬元供做為教育獎助學金，供發放清寒及弱勢家庭學生，113 學年度提供獎助學金予 1,542 名學生，每名學生五萬元分別於同一學年度兩學期註冊後各發放，另 2 仟萬元供做金融教育課程及宣導活動等相關支出。為了讓學生不致因為經濟因素而中斷學業，讓學生有公平的受教機會，金融總會透過獎助學金發放，可讓學生有更寬廣的發展機會與空間。

Sponsorship of the 2024 Academic Year Financial Services Education Charity Fund

This initiative is guided by the Financial Supervisory Commission and coordinated by the Taiwan Financial Services Roundtable, with participation from financial industry associations, financial holding companies, and foreign banks. The goal is to raise NT\$100 million, with NT\$80 million allocated as educational scholarships for students from low-income and disadvantaged families. In the 2024 academic year, scholarships were awarded to 1,542 students, each receiving NT\$50,000, disbursed in two installments upon registration for each semester. An additional NT\$20 million was allocated for financial education programs and awareness campaigns. To ensure that students do not drop out due to financial difficulties and to provide equal educational opportunities, the Taiwan Financial Services Roundtable distributes these scholarships, allowing students to expand their opportunities for personal and academic development.



二、政令宣導 Policy Advocating

「期貨業永續發展轉型執行策略」宣導座談會 (5/24)

為強化期貨業永續發展經營，期交所、本公會與金融研訓院共同辦理「期貨業永續發展轉型執行策略」宣導座談會，金管會證期局主任秘書尚光琪特別蒞臨指導，期望藉由產、官、學各界代表共同參與使期貨商快速掌握永續發展轉型執行策略的整體概念順利推動各項永續措施，本場次 14 家專營期貨商全數出席，逾百位董事、監察人及高階主管與會。

"Sustainable Development and Transformation Strategies in the Futures Industry" Seminar

To promote sustainable development in the futures industry, the Futures Exchange, the association, and the Financial Training Institute jointly organized a seminar attended by Kuang-Chi Shang, Secretary-General of the FSC Securities and Futures Bureau. The event brought together industry, government, and academia representatives to discuss strategies for transitioning to sustainable business models. All 14 specialized futures firms participated, with over 100 board members, supervisors, and executives in attendance.



洗錢防制 / 打擊資恐法令解析及案例說明會 (6/7、6/19、6/26、11/21、11/27)

為協助會員對洗錢防制工作之執行，本公會邀請專家適時提供業者最新資訊，以實務案例說明國際監理趨勢、可疑交易監控、數位科技與防制洗錢之應用，共辦理 3 場實體、2 場線上說明會，約 550 位洗錢防制相關人員與會。

Seminar on "AML/CTF Regulation Analysis and Case Illustration"

To assist members in implementing anti-money laundering measures, the association invited experts to provide the latest industry updates through practical case studies, explaining international regulatory trends, suspicious transaction monitoring, the application of digital technology in AML, and related topics. A total of three in-person seminars and two online seminars were conducted, with approximately 550 professionals in attendance.



疑似洗錢態樣實務交流與分享會 (7/12)

為協助業者落實執行洗錢防制工作，本公會防制洗錢暨法遵委員會邀請法務部調查局洗錢防制處說明疑似洗錢態樣，並以案例就透過期貨交易產生異常資金流動、不法所得透過期貨交易洗錢進行實務交流與分享。

Suspicious Money Laundering Patterns Practical Exchange and Sharing Session

To support the industry's effective execution of AML efforts, the association's Anti-Money Laundering and Compliance Committee invited the Ministry of Justice Investigation Bureau's Anti-Money Laundering Division to explain common money laundering patterns. Case studies focused on identifying abnormal capital flows through futures trading and how illicit gains are laundered through futures transactions.

113 年度裁罰案例研習課程 (8/16)

於 113 年 8 月 16 日辦理受金融監督管理委員會裁罰公司從業人員訓練課程，邀請主管機關派員擔任講師，就相關法令及案例進行說明。

2024 Disciplinary Case Study Training

On August 16, 2024, a training course was held for employees of companies subject to penalties by the Financial Supervisory Commission. Regulators were invited to serve as lecturers, providing legal and case explanations to enhance understanding and compliance.

強化資通安全及案例說明會 (8/14、8/22、8/28)

全球 AI 治理監管框架漸趨具體化，資安已成為 AI 監管的核心議題。本公會特邀專家於辦理北中南各辦理 3 小時宣導會，從人工智慧的起源及發展、演算法在人工智慧的地位、人工智慧的運算依據說明，再談受到熱議的人工智慧倫理及風險治理，並提出他國監理與法制供與會人員參考。超過 200 位資訊安全與營業單位等一線人員及法令遵循、內部稽核人員與會。

Cybersecurity Enhancement and Case Study Seminars

As AI governance and regulatory frameworks have become increasingly defined globally, cybersecurity has emerged as a central issue in AI regulation. The association invited experts to conduct three-hour training sessions across northern, central, and southern Taiwan. These sessions covered the origins and development of AI, the role of algorithms in AI, the computational basis of AI, and ongoing debates regarding AI ethics and risk management. Regulatory approaches from other jurisdictions were also presented for reference. Over 200 frontline employees from IT security, operations, compliance, and internal audit departments participated.



「金融消費者保護」宣導會 (9/26、10/16)

本公會重視消費者保護，因應主管機關重點議題今年分為「防制金融詐騙與犯罪」及「服務失智者或疑似失智者之實務參考作法」二個主題，近 650 位業界先進與會共同關心本議題。

Financial Consumer Protection Advocacy Seminars

Recognizing the importance of consumer protection, the association aligned its efforts with regulatory priorities and hosted seminars focused on "Preventing Financial Fraud and Crimes" and "Practical Guidelines for Serving Clients with Dementia or Suspected Dementia." Nearly 650 industry professionals attended these sessions, underscoring the sector's commitment to financial consumer rights.



三、聯誼活動 Friendship activities

從業人員聯誼活動 - 大佳河濱公園健行園遊會 (3/16)

為提倡健康自然之休閒活動並提供從業人員聯誼管道，辦理從業人員登山健行聯誼活動，並同時「捐發票、送愛心」關懷弱勢。本次活動近 1,200 名從業人員及眷屬參與，共募得超過 3,000 張發票全數捐與第一社會福利基金會。

Industry Networking Event - Dajia Riverside Park Hiking Fair

To promote health-conscious outdoor activities and facilitate industry networking, the association organized a hiking and social event. Participants also took part in a "Donate Receipts, Spread Love" initiative to support underprivileged communities. The event attracted approximately 1,200 professionals and their families, with over 3,000 receipts donated to the First Social Welfare Foundation.



113 年度證券期貨盃高爾夫球錦標賽 (5/1)

由證交所、櫃買、期交所、集保、期貨公會、投信投顧公會及證券公會等 7 個單位共同主辦，證券公會承辦之「113 年度證券期貨盃高爾夫球錦標賽」於 5 月 1 日假桃園揚昇高爾夫球場舉行，今年參賽者來自證券、期貨、銀行、投信顧、票券等行業，計有 143 人參賽。

2024 Securities and Futures Cup Golf Tournament

Jointly organized by the Taiwan Stock Exchange, Taipei Exchange, Taiwan Futures Exchange, Taiwan Depository & Clearing Corporation, the Futures Association, the Securities Investment Trust & Consulting Association, and the Securities Association, and hosted by the Securities Association, the "2024 Securities and Futures Cup Golf Tournament" was held on May 1 at the Sunrise Golf & Country Club in Taoyuan. This year, 143 participants from the securities, futures, banking, securities investment trust, and bills finance industries took part in the event.



委員會聯誼活動 (4/27~4/28 及 5/4~5/5)

為感謝各委員會委員參與會務運作之辛勞與貢獻，本公會舉辦「日月潭桃米賞螢、霧峰林家百年建築巡禮」及「溪頭米埕賞螢趣」委員會聯誼活動，計有 60 位委員及眷屬參加。

Committee Networking Events

To express gratitude for the dedication and contributions of committee members in association affairs, the association organized committee networking events, including the "Firefly Viewing at Taomi, Sun Moon Lake & Wufeng Lin Family Historical Architecture Tour" and "Firefly Viewing at Xitou Le Midi," with a total of 60 committee members and their families participating.



理監事聯誼活動 (5/12~5/16、5/14~5/18、5/28~6/1)

113 年度理監事聯誼活動辦理日本東北團，分三梯次進行，共計 33 位理監事及周邊單位主管參加，透過此聯誼活動，讓平日業務繁忙的理監事，得與同業與周邊單位互相學習、增進情誼並與周邊單位互相交流。

Directors and Supervisors Networking Event

The 2024 Directors and Supervisors Networking Event featured a tour to Japan's Tohoku region, conducted in three separate groups. A total of 33 directors, supervisors, and executives from affiliated organizations participated. This event provided a valuable opportunity for the busy directors and supervisors to engage with industry peers, strengthen professional relationships, and exchange insights with affiliated organizations.



理監事參訪活動 (10/1~10/6、10/14~10/23、10/29~11/7)

為加強國際交流與瞭解國際金融市場發展趨勢，本公會每年安排理監事赴國外進行參訪活動並邀集周邊單位主管一同參與，今年度辦理日本小豆島一團，克羅埃西亞二團，三梯次進行，共計有 33 位理監事及周邊單位主管參加。

Directors and Supervisors Overseas Study Visits

To enhance international exchanges and gain insights into global financial market trends, the association organizes annual overseas study visits for directors and supervisors, inviting executives from affiliated organizations to participate. This year, three groups visited Japan's Shodoshima Island and Croatia. A total of 33 directors, supervisors, and executives from affiliated organizations took part in these visits.



113 年度歲末聯誼餐會 (12/27)

本公會歲末聯誼餐會於 12 月 27 日晚間假台北福華大飯店 B2 福華一區舉行，除本公會理監事、各委員會委員外，蒞臨貴賓尚有金管會彭主委金隆、陳副主委彥良、證期局張局長振山、王組長秀玲及多位週邊單位董總、證券商及投信顧公會秘書長，大家齊聚一堂，迎接乙巳蛇年，共祝期貨市場蓬勃發展，更上一層樓。

2024 Year-End Networking Banquet

The association's year-end networking banquet was held on the evening of December 27 at the Howard Plaza Hotel Taipei, B2 Howard Ballroom 1. In addition to the association's directors, supervisors, and committee members, distinguished guests included FSC Chairman Jin-Lung Peng, Vice Chairman Yan-Liang Chen, Securities and Futures Bureau Director Chen-Shan Chang, Section Chief Hsiu-Ling Wang, and several senior executives from related organizations, securities firms, and investment trust and advisory associations. Everyone gathered to welcome the Year of the Snake and wished for a prosperous and thriving futures market.



☰ 督促會員自律、共謀業務上改進事項

◎ Self-discipline and operation improvement of member companies

1. 期貨顧問事業查察輔導事項

本公會 113 年度針對期貨顧問事業之業務及內部稽核作業實施例行查察輔導及專案查核工作，其中違反本公會「會員自律公約」，提報紀律委員會共 2 案，計 2 項違規內容，發函要求改善者 1 件，核處警告 1 件。

The CNFA made annual inspection on futures advisory enterprises and their practices of internal auditing. Among those breaking the "Selfdiscipline Agreement for CNFA Members, in 2024" there were 2 cases reported to the Discipline Committee, 2 cases found to have violated the Agreement, 1 were notified to make improvement by written letter, and 1 received the CNFA's warning notices.

2. 督促事項

期貨顧問事業以外之會員公司因違反本公會自律規範，提報紀律委員會共 6 案，計 7 項違規內容，發函要求改善者 5 件，核處警告 1 件。

Among other member companies which violated the "Self-discipline Agreement for CNFA Members"(except futures advisory enterprises),there were 6 cases reported to the Discipline Committee, 7 cases found to have violated the Agreement, and 5 cases were notified to make improvement by written letter, and 1 received the CNFA's warning notices.

3. 適時建議修訂相關規範

針對期貨商海外複委託業務，如有未依本公會「網路安全防護自律規範」之規定，於期限內辦理資安通報者，研擬處置方式，函報主管機關。

For futures brokers' overseas sub-brokerage business, if they fail to comply with the Association's "Self-Regulatory Guidelines on Cybersecurity Protection" by not submitting a cybersecurity report within the specified timeframe, a response plan will be formulated and submitted to the competent authority.

四 關於會員業務之廣告及宣傳審查事項

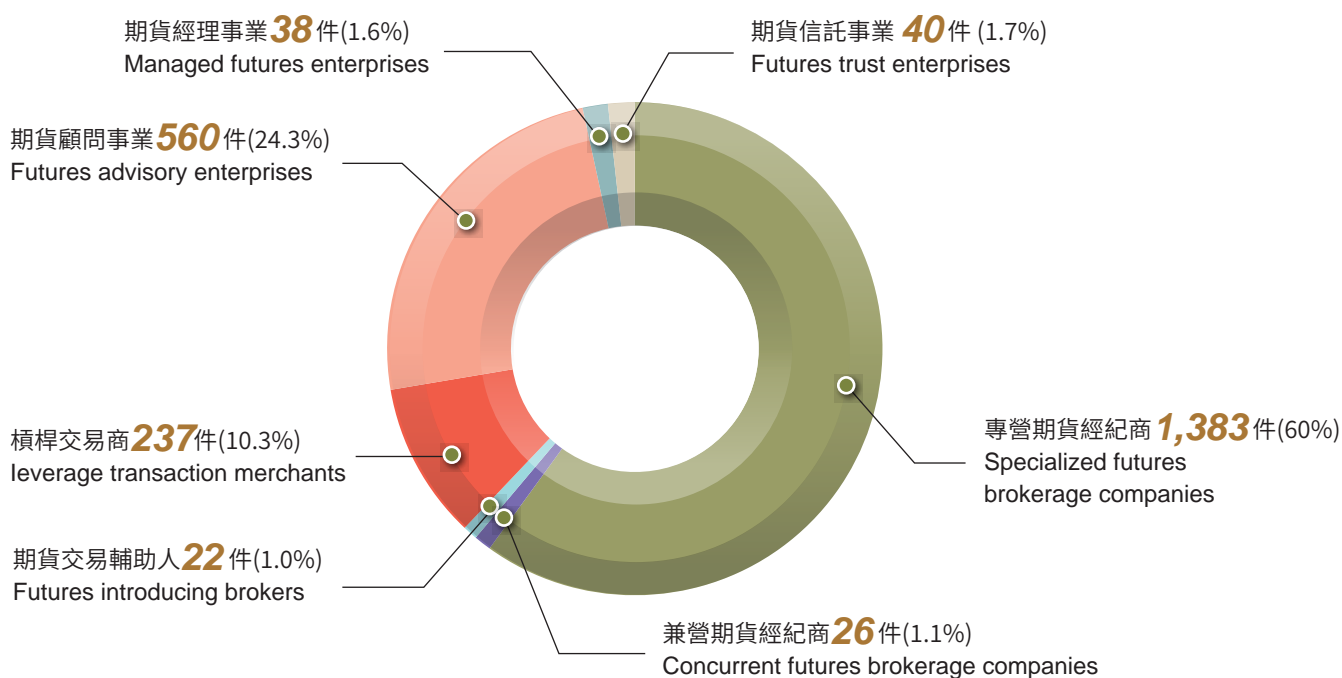
D Inspection of advertisement and promotional materials of member companies

本公會 113 年度共計審核 2,306 件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報 1,383 件、兼營期貨經紀商申報 26 件、槓桿交易商申報 237 件、期貨顧問事業申報 560 件、期貨經理事業申報 38 件、期貨信託事業申報 40 件、期貨交易輔助人申報 22 件。

In 2024, the Association reviewed 2,306 projects of advertisement and promotional materials published by member companies. Among them, 1,383 projects were filed by the specialized futures brokerage companies, 26 by concurrent futures brokerage companies, 237 by leverage transaction merchants, 560 by futures advisory enterprises, 38 by managed futures enterprises, 40 by futures trust enterprises, and 22 by futures introducing brokers

113 年度宣傳資料及廣告申報案件統計

Advertisement and Business Promotion Projects of CNFA Members in 2024



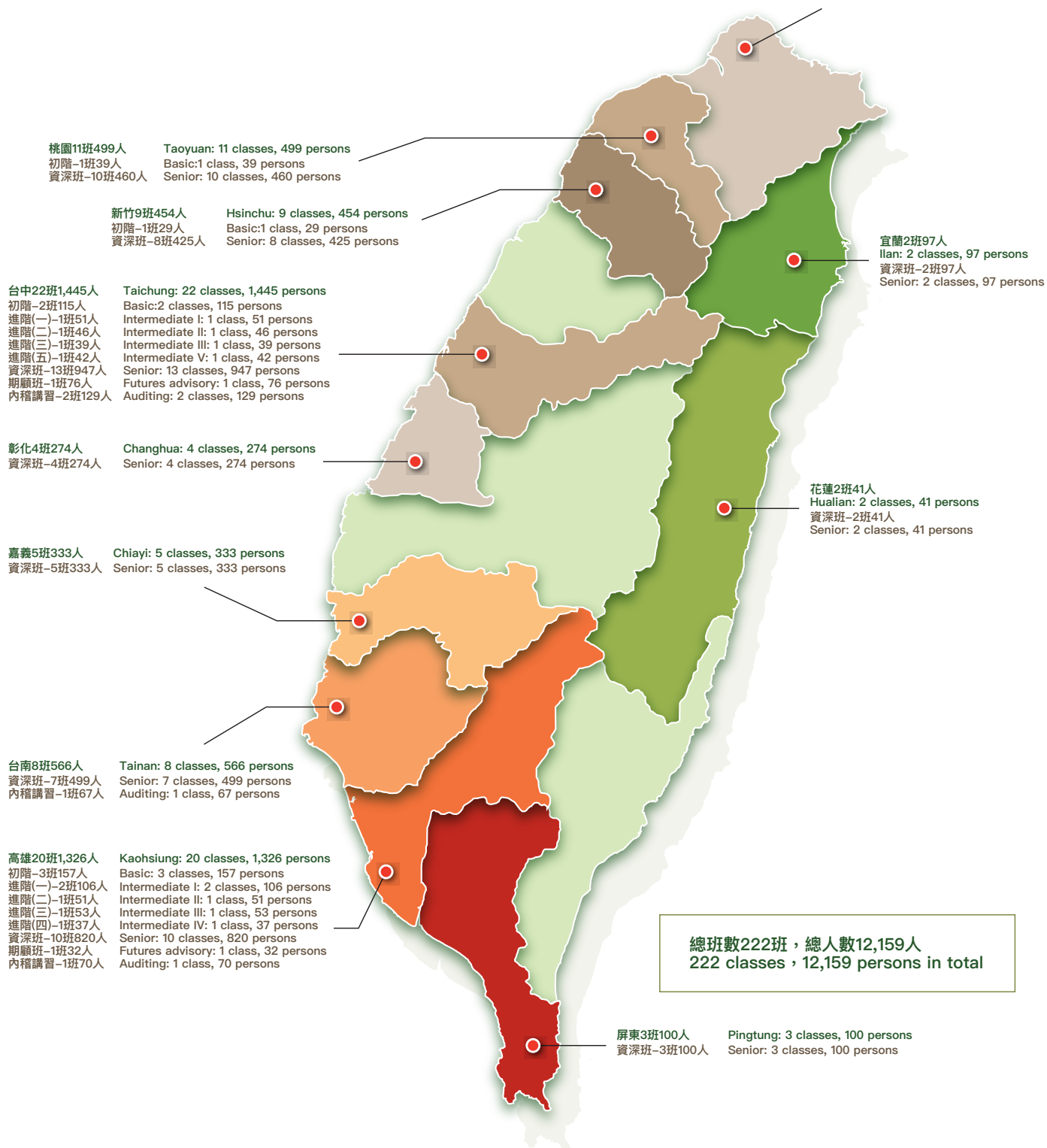
五 在職訓練

E On-job training

113年度舉辦之地區別、班別及人數詳如下圖：
CNFA-arranged Training Courses in 2024:

台北 136 班 7,024人
初階-14班629人
進階(一)-10班445人
進階(二)-8班342人
進階(三)-8班315人
進階(四)-8班265人
進階(五)-8班274人
資深班-54班 3,617人
期經班-2班67人
期信班-4班243人
期顧班-7班424人
槓桿交易-2班65人
內稽講習-7班219人
高階班-4 班119人

Taipei: 136 classes, 7,024 persons
Basic: 14 classes, 629 persons
Intermediate I: 10 classes, 445 persons
Intermediate II: 8 classes, 342 persons
Intermediate III: 8 classes, 315 persons
Intermediate IV: 8 classes, 265 persons
Intermediate V: 8 classes, 274 persons
Senior: 54 classes, 3,617 persons
Managed futures: 2 classes, 67 persons
Futures trust: 4 classes, 243 persons
Futures advisory: 7 classes, 424 persons
Leverage Transaction Merchant: 2 classes, 65 persons
Auditing: 7 classes, 219 persons
Advanced: 4 classes, 119 persons



六 關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項

F Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives

1. 會員及業務員基本資料之建立及登記事項

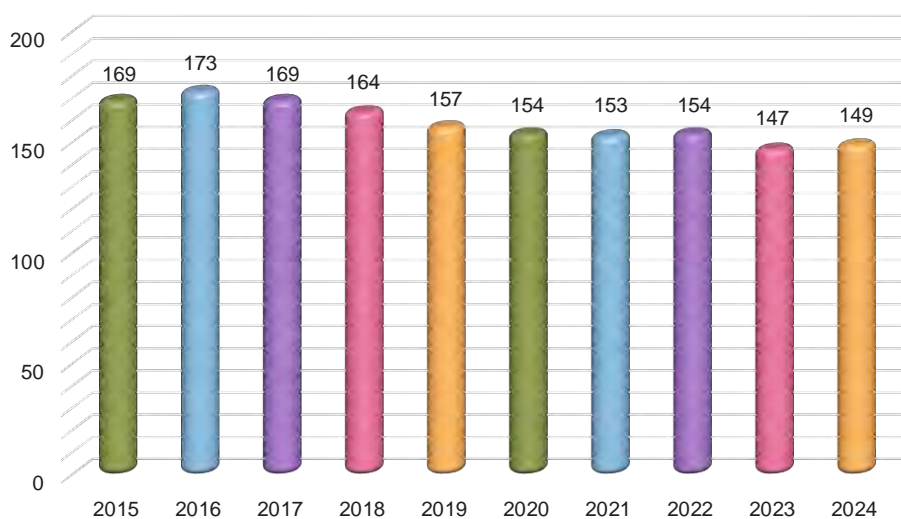
Registration of member companies and their business representatives

會員家數：共計 149 家，其中經營期貨經紀業務為 26 家；期貨業務輔助人 42 家；期貨自營業務 28 家；期貨顧問業務 35 家；期貨經理業務 5 家；期貨信託業務 8 家；槓桿交易商 5 家。

There were 149 member companies registered with the CNFA at the end of 2024, 26 futures brokerage companies, 42 futures introducing brokers, 28 futures proprietary commission merchants, 35 futures advisory enterprises, 5 managed futures enterprises, and 8 futures trust enterprises, and 5 leverage transaction merchants.

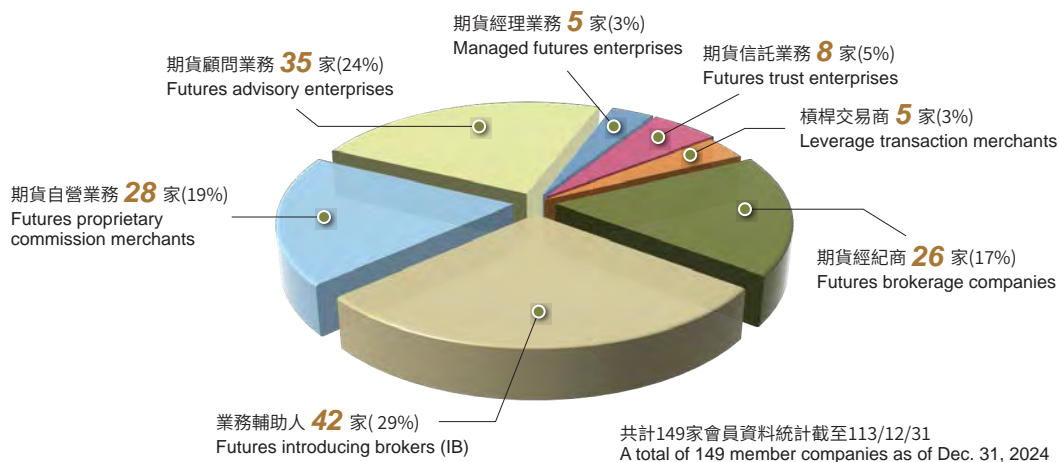
近 10 年會員家數統計圖

Statistics of CNFA Member Companies over Past 10 Years



113 年度會員類別統計圖

Statistics of CNFA Member Companies in 2024, by Business Category

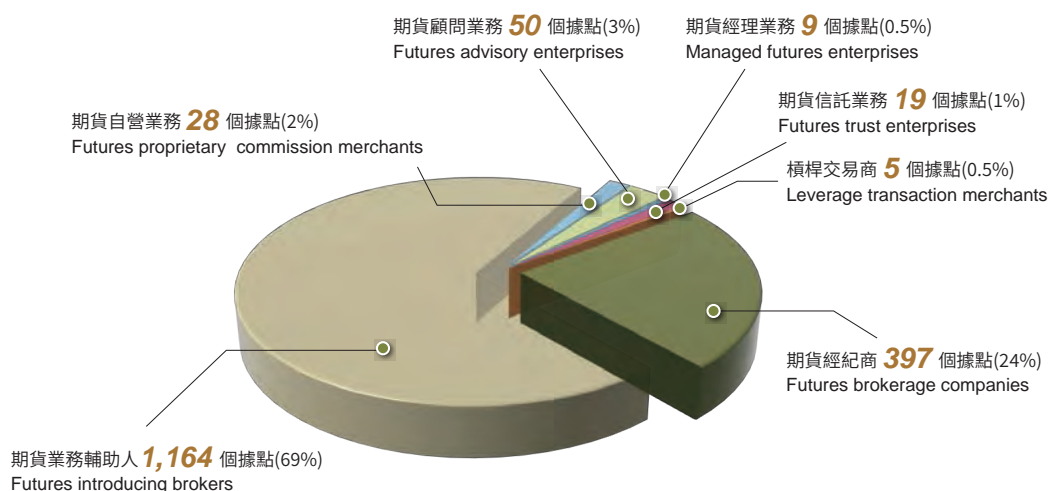


會員總分公司分支機構數：共計 1,672 家，其中經營期貨經紀業務為 397 個營業據點；期貨業務輔助人 1,164 個營業據點；期貨自營業務 28 個營業據點；期貨顧問業務 50 個營業據點；期貨經理業務 9 個營業據點；期貨信託業務 19 個營業據點；槓桿交易商 5 個營業據點。

CNFA Member Companies were operated with a total of 1,672 headquarters offices and branch offices as of the end of 2024: 397 used by futures brokers, 1,164 used by introducing brokers, 28 used by futures proprietary commission merchants, 50 used by futures advisory enterprises, 9 used by managed futures enterprises, and 19 used by futures trust enterprises, 5 used by leverage transaction merchant.

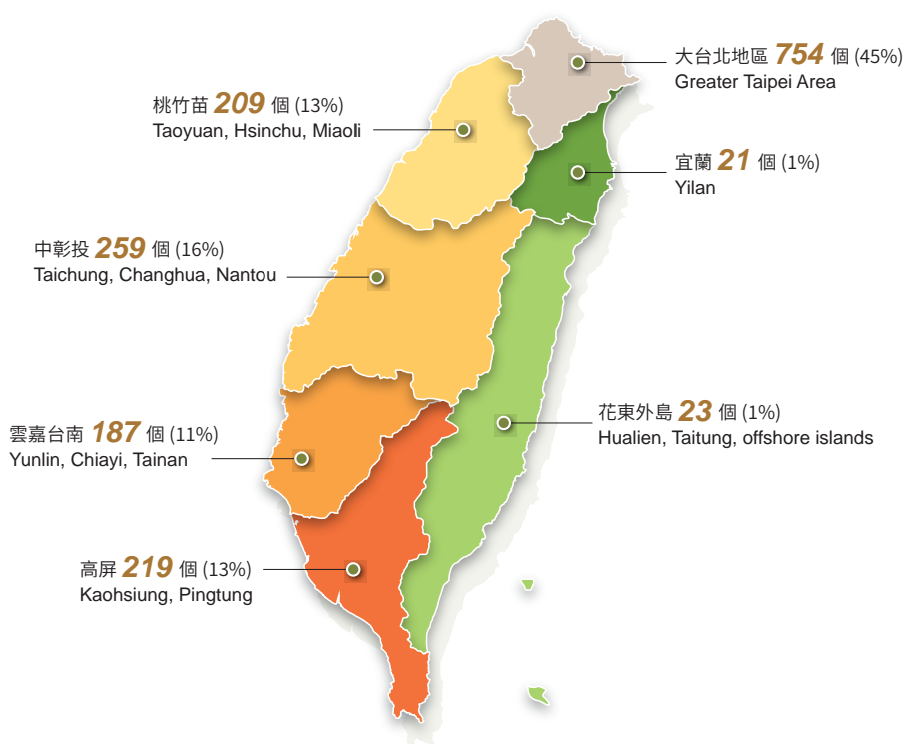
113 年度會員營業據點統計圖

Business Sites of CNFA Member Companies in 2024



113 年度會員營業據點區域分布統計圖

Geographical Location of Business Sites of CNFA Member Companies in 2024



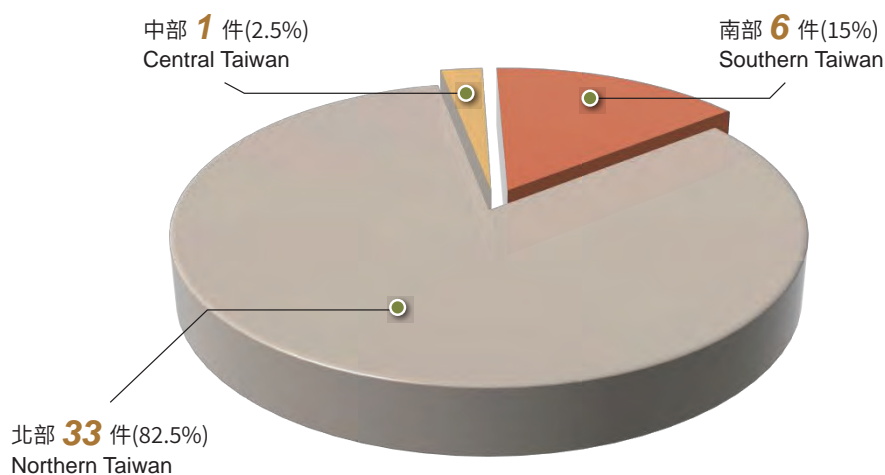
會員場地勘察 Business site inspection

113 年度共計 40 件實地場勘察 (北部地區 33 件、中部地區 1 件、南部地區 6 件) ，就其場勘案件分析，新設營業處所 9 件、遷移營業處所 24 件、縮減營業處所 1 件、擴增營業處所 3 件、營業處所內部配置變動 3 件。

The Association conducted a total of 54 business site inspection projects in 2024 (33 in northern Taiwan, 1 in central Taiwan, and 6 in southern Taiwan, and 3 in eastern Taiwan). Among these inspected sites, 11 were newly established, 24 were relocated, 1 were downsized, 3 were expended, and 3 were remodeled.

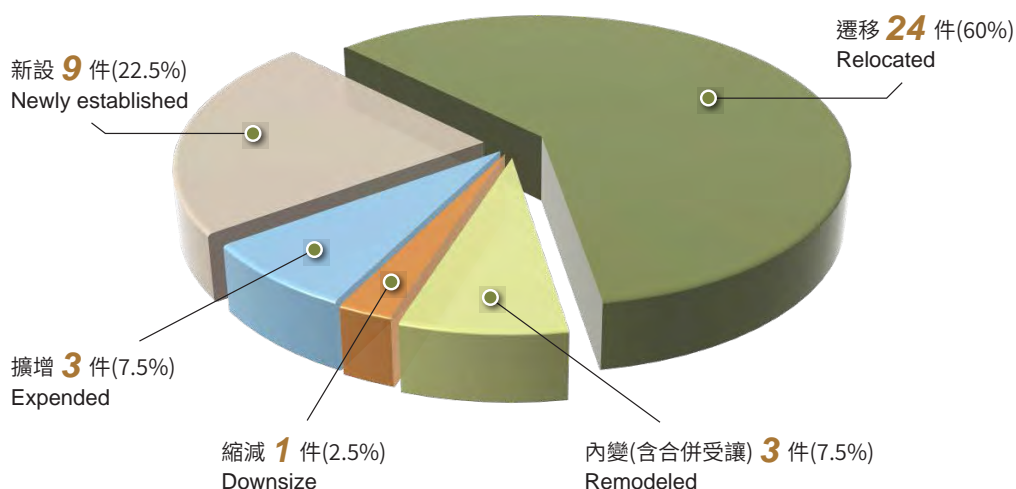
113 年度場地勘察區域分布統計圖

Geographical Areas of Inspected Business Sites in 2024



113 年度場地勘察類別統計圖

Statistics of Business Site Inspection Projects in 2024



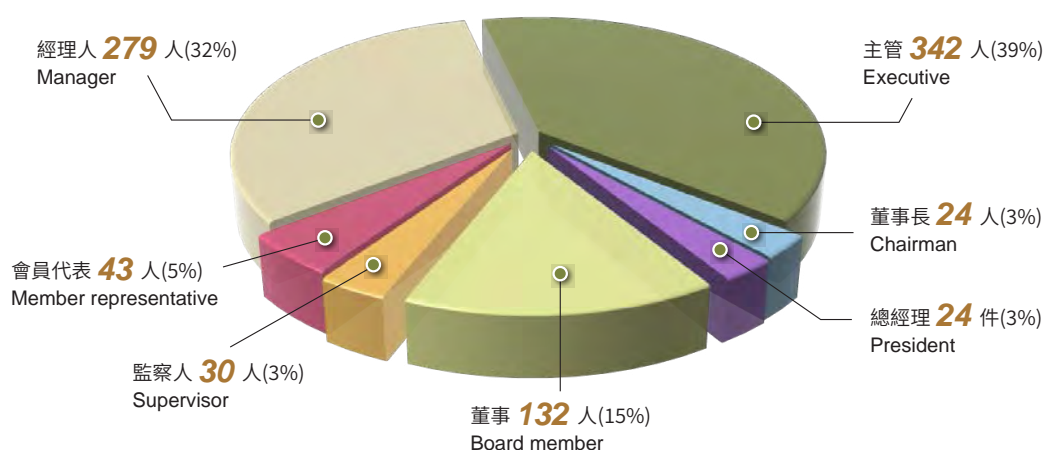
2. 會員委託證照之申請變更、換領及其他服務事項
Certificate renewal, change, and other related services

會員代表、會員負責人 113 年度於本公會異動登錄共計 874 人次，細分如下：

In 2024, the Association handled a total of 874 certificate renewal and change cases for highranking executives, board members, and supervisors of member companies.

113 年度身分類異動統計圖

Position Change Statistics for 2024

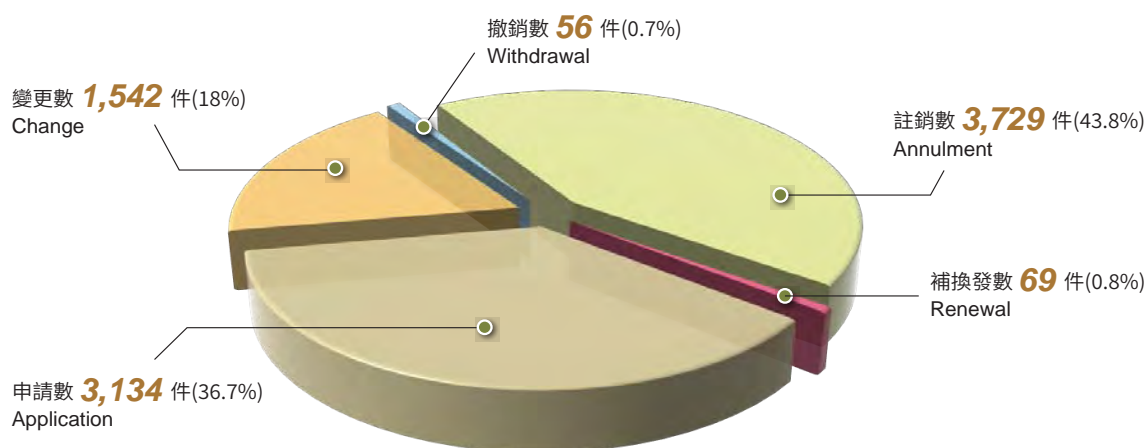


業務員、輸單員、銷售人員 113 年度於本公會異動登錄共計 8,530 件，每月平均 711 件

In 2024, the Association handled a total of 8,530 business representative, key-in worker, and salesperson enrollment cases, with an average of 711 cases per month.

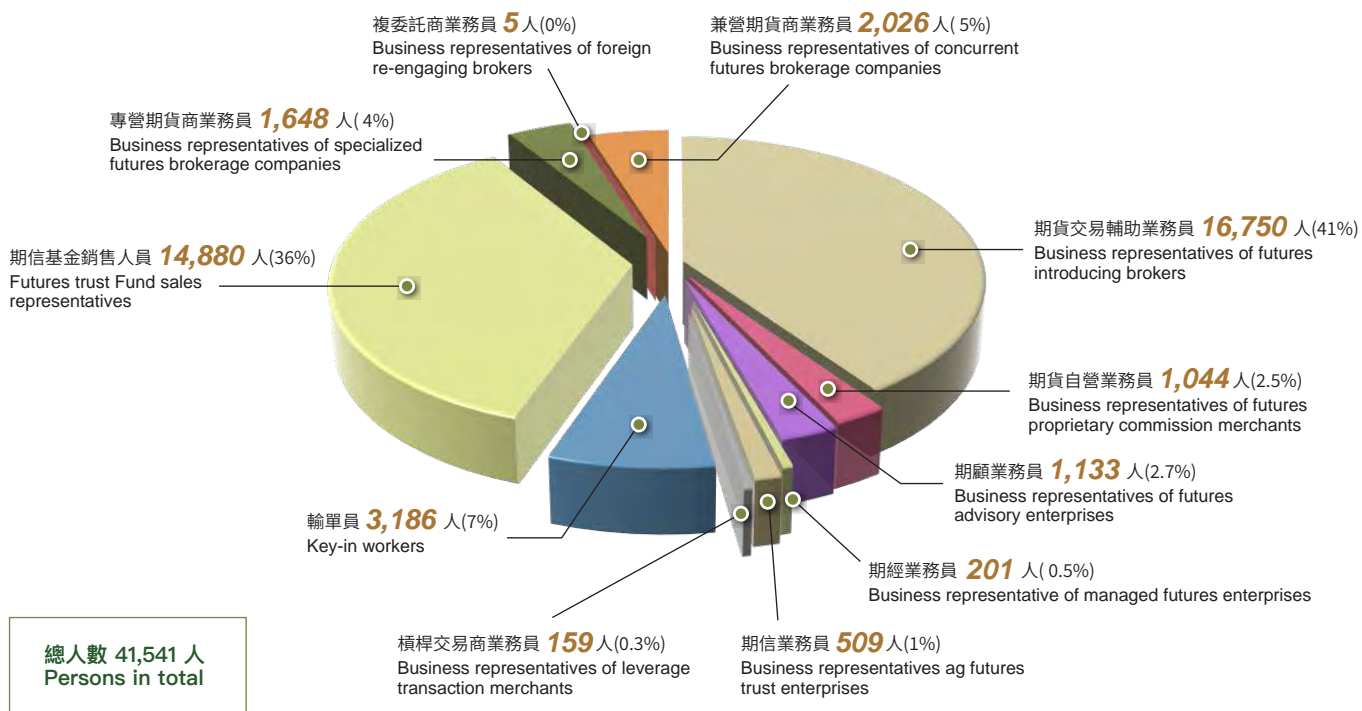
113 年度業務異動類別統計圖

Job Change Registrations in 2024



113 年度從業人員登記統計圖

Business Category Change of Staff at CNFA Member Companies in 2024



七 本公會出版品

G Publications

1. 會訊 Newsletter



2. 期貨人季刊 Futures Journal



3. 政令宣導 Policy Advocacy



伍

財務報告

Financial Report





會計師查核報告

(114)財審報字第 24003679 號

中華民國期貨業商業同業公會 公鑒：

查核意見

中華民國期貨業商業同業公會民國 113 年及 112 年 12 月 31 日之資產負債表及財產清冊，暨民國 113 年及 112 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，以及會計報告附註（包括重大會計政策彙總），業經本會計師查核竣事。

依本會計師之意見，上開會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」暨財團法人中華民國會計研究發展基金會所公開之各號企業會計準則公報及其解釋編製，足以允當表達中華民國期貨業商業同業公會民國 113 年及 112 年 12 月 31 日之財務狀況，暨民國 113 年及 112 年 1 月 1 日至 12 月 31 日之收支情形。

查核意見之基礎

本會計師係依照會計師查核簽證會計報告規則及中華民國審計準則執行查核工作。本會計師於該等準則下之責任將於會計師查核會計報告之責任段進一步說明。本會計師所隸屬事務所受獨立性規範之人員已依中華民國會計師職業道德規範，與中華民國期貨業商業同業公會保持超然獨立，並履行該規範之其他責任。本會計師相信已取得足夠及適切之查核證據，以作為表示查核意見之基礎。

管理階層對會計報告之責任

管理階層之責任係依照內政部頒布之「工商團體財務處理辦法」暨財團法人中華民國會計研究發展基金會所公開之各號企業會計準則公報及其解釋編製允當表達之會計報告，且維持與會計報告編製有關之必要內部控制，以確保會計報告未存有導因於舞弊或錯誤之重大不實表達。

於編製會計報告時，管理階層之責任亦包括評估中華民國期貨業商業同業公會繼續經營之能力、相關事項之揭露，以及繼續經營會計基礎之採用，除非管理階層意圖清算中華民國期貨業商業同業公會或停止營業，或除清算或停業外別無實際可行之其他方案。

資誠聯合會計師事務所 PricewaterhouseCoopers, Taiwan
110208 臺北市信義區基隆路一段 333 號 27 樓
27F, No. 333, Sec. 1, Keelung Rd., Xinyi Dist., Taipei 110208, Taiwan
T: +886 (2) 2729 6666, F: +886 (2) 2729 6686, www.pwc.tw



會計師查核會計報告之責任

本會計師查核會計報告之目的，係對會計報告整體是否存有導因於舞弊或錯誤之重大不實表達取得合理確信，並出具查核報告。合理確信係高度確信，惟依照中華民國審計準則執行之查核工作無法保證必能偵出會計報告存有之重大不實表達。不實表達可能導因於舞弊或錯誤。如不實表達之個別金額或彙總數可合理預期將影響會計報告使用者所作之經濟決策，則被認為具有重大性。

本會計師依照中華民國審計準則查核時，運用專業判斷及專業懷疑。本會計師亦執行下列工作：

1. 辨認並評估會計報告導因於舞弊或錯誤之重大不實表達風險；對所評估之風險設計及執行適當之因應對策；並取得足夠及適切之查核證據以作為查核意見之基礎。因舞弊可能涉及共謀、偽造、故意遺漏、不實聲明或踰越內部控制，故未偵出導因於舞弊之重大不實表達之風險高於導因於錯誤者。
2. 對與查核攸關之內部控制取得必要之瞭解，以設計當時情況下適當之查核程序，惟其目的非對中華民國期貨業商業同業公會內部控制之有效性表示意見。
3. 評估管理階層所採用會計政策之適當性，及其所作會計估計與相關揭露之合理性。
4. 依據所取得之查核證據，對管理階層採用繼續經營會計基礎之適當性，以及使中華民國期貨業商業同業公會繼續經營之能力可能產生重大疑慮之事件或情況是否存在重大不確定性，作出結論。本會計師若認為該等事件或情況存在重大不確定性，則須於查核報告中提醒會計報告使用者注意會計報告之相關揭露，或於該等揭露係屬不適當時修正查核意見。本會計師之結論係以截至查核報告日所取得之查核證據為基礎。惟未來事件或情況可能導致中華民國期貨業商業同業公會不再具有繼續經營之能力。
5. 評估會計報告（包括相關附註）之整體表達、結構及內容，以及會計報告是否允當表達相關交易及事件。

本會計師與治理單位溝通之事項，包括所規劃之查核範圍及時間，以及重大查核發現（包括於查核過程中所辨認之內部控制顯著缺失）。

資 誠 聯 合 會 計 師 事 務 所

會計師



中 華 民 國 1 1 4 年 2 月 2 1 日

REPORT OF INDEPENDENT ACCOUNTANTS TRANSLATED FROM CHINESE

To the Board of Directors of CHINESE NATIONAL FUTURES ASSOCIATION

Opinion

We have audited the accompanying balance sheets and property lists of CHINESE NATIONAL FUTURES ASSOCIATION (the “Association”) as at December 31, 2024 and 2023, and the related statements of activities, income and disbursements of cash and fund for the years then ended, and notes to the accounting reports, including a summary of significant accounting policies.

In our opinion, the accompanying accounting reports present fairly, in all material respects, the financial position of the Association as at December 31, 2024 and 2023, and the results of its operations and disbursements of cash and fund for the years then ended in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations.

Basis for opinion

We conducted our audits in accordance with the “Regulations Governing Auditing and Attestation of Financial Statements by Certified Public Accountants” and generally accepted auditing standards in the Republic of China (ROC GAAS). Our responsibilities under those standards are further described in the Auditor’s Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Association in accordance with the Code of Professional Ethics for Certified Public Accountants in the Republic of China (the “Code”), and we have fulfilled our other ethical responsibilities in accordance with the Code. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Responsibilities of management for the accounting reports

Management is responsible for the preparation and fair presentation of the accounting reports in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations, and for such internal control as management determines is necessary to enable the preparation of accounting reports that are free from material misstatement, whether due to fraud or error.

In preparing the accounting reports, management is responsible for assessing the Association’s ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Association or to cease operations, or has no realistic alternative but to do so.

Auditor's responsibilities for the audit of the accounting reports

Our objectives are to obtain reasonable assurance about whether the accounting reports as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ROC GAAS will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these accounting reports.

As part of an audit in accordance with ROC GAAS, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

1. Identify and assess the risks of material misstatement of the accounting reports, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
2. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Association's internal control.
3. Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
4. Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Association's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the accounting reports or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Association to cease to continue as a going concern.
5. Evaluate the overall presentation, structure and content of the accounting reports, including the disclosures, and whether the accounting reports represent the underlying transactions and events in a manner that achieves fair presentation.

The issues discussed by the governing body and the certified accountant covered all the items to be checked within the designated time frame as well as the major findings (including the significant internal auditing defects addressed during the checking procedure).

中華民國證券管理委員會
民國113年12月31日

單位：新台幣元

資產	113年12月31日		112年12月31日		負債、基金及餘絀		113年12月31日		112年12月31日	
	金額	%	金額	%	金額	%	金額	%	金額	%
流動資產										
現金及銀行存款(附註五(一))	\$ 46,289,203	6	\$ 44,528,229	6	應付帳項(附註五(八))		\$ 14,400,311	2	\$ 12,202,671	2
有價證券(附註五(二))	46,442,260	6	147,796,681	20	代收款		442,177	-	371,437	-
應收帳款(附註五(三))	729,423	-	588,607	-	暫收款		500	-	91,600	-
預付款項	439,985	-	549,791	-	流動負債合計		14,842,988	2	12,665,708	2
流動資產合計	93,900,871	12	193,463,308	26						
基金					其他負債					
會務發展準備基金-專戶存款(附註五(四))	169,020,338	23	159,523,509	22	存入保證金-自律基金		48,600,000	7	48,420,000	7
退換準備基金-專戶存款(附註五(五))	41,673,099	6	41,556,428	6	其他負債合計		48,600,000	7	48,420,000	7
基金合計	210,693,437	29	201,079,937	28	負債合計		63,442,988	9	61,085,708	9
固定資產(附註五(六))										
土地	133,989,345	18	133,989,345	19	基金及餘絀					
房屋及建築	107,770,320	14	107,770,320	15	累計餘絀		176,309,338	24	166,363,509	23
事務設備	21,336,310	3	21,294,330	3	本期餘絀		41,277,118	6	41,067,253	6
雜項設備	4,048,868	1	4,048,868	1	會務發展準備基金		269,220,873	35	269,178,893	37
辦公設備	2,076,030	-	2,076,030	-	退換準備基金		486,798,329	65	476,609,655	66
固定資產合計	269,220,873	36	269,178,893	38	資產合計		743,642,031	100	717,500,988	100
其他資產					餘絀					
有價證券(附註五(七))	121,169,550	16	5,301,550	1	累計餘絀		179,805,625	24	169,570,728	24
存出保證金	57,300	-	57,300	-	本期餘絀		13,595,089	2	10,234,897	1
自律基金-專戶存款	48,600,000	7	48,420,000	7	餘絀合計		193,400,714	26	179,805,625	25
其他資產合計	169,826,850	23	53,778,850	8	基金及餘絀合計		680,199,043	91	656,415,280	91
資產總計	\$ 743,642,031	100	\$ 717,500,988	100	負債、基金及餘絀總計		\$ 743,642,031	100	\$ 717,500,988	100

後附會計報告附註為本會計報告之一部分，請併同參閱。



理事長：



秘書長：



會計主管：



製表：

CHINESE NATIONAL FUTURES ASSOCIATION
BALANCE SHEETS
YEARS ENDED DECEMBER 31

	(Expressed in New Taiwan Dollars)					
	2024		2023		2025	
	Amount	%	Amount	%	Amount	%
ASSETS						
Current Assets						
Cash and bank deposit	\$ 46,289,203	6	\$ 44,528,229	6	\$ 14,400,311	2
Securities	46,442,260	6	147,796,681	20	442,177	-
Accounts receivable	729,423	-	588,607	-	500	-
Prepayments	439,985	-	549,791	-	14,842,988	2
Total current assets	93,900,871	12	193,463,308	26	14,842,988	2
Funds						
Development fund at special bank account	169,020,338	23	159,523,509	22	48,600,000	7
Pension fund at special bank account	41,673,099	6	41,556,428	6	48,600,000	7
Total funds	210,693,437	29	201,079,937	28	63,442,988	9
Property, Plant and Equipment						
Land	133,989,345	18	133,989,345	19		
Buildings	107,770,320	14	107,770,320	15		
Machinery and equipment	21,336,310	3	21,294,330	3	176,300,338	24
Miscellaneous property, plant, and equipment	4,048,868	1	4,048,868	1	41,277,118	6
Office equipment	2,076,030	-	2,076,030	-	269,220,873	35
Total property, plant and equipment	269,220,873	36	269,178,893	38	486,798,329	65
Other Assets						
Securities	121,169,530	16	5,301,550	1	179,805,625	24
Refundable deposits	57,300	-	57,300	-	13,595,089	2
Self-discipline fund at special bank account	48,600,000	7	48,420,000	7	193,400,714	26
Total other assets	169,826,830	23	53,778,850	8	680,199,043	91
TOTAL ASSETS	\$ 743,642,031	100	\$ 717,500,988	100	\$ 743,642,031	100
LIABILITIES, FUNDS AND SURPLUS						
Current Liabilities						
Accrued expenses						
Receipts under custody						
Temporary receipts						
Total current liabilities						
Non-current Liabilities						
Refundable deposits received						
Total Non-current Liabilities						
TOTAL LIABILITIES						
Funds And Accumulated Surplus						
Accumulated surplus						
Surplus for the year						
Total surplus						
TOTAL FUNDS AND SURPLUS						
TOTAL LIABILITIES, FUNDS AND SURPLUS	\$ 743,642,031	100	\$ 717,500,988	100	\$ 743,642,031	100

The accompanying notes are an integral part of these financial statements.

中華民國經濟發展同業公會

民國113年12月31日

單位:新台幣元

科 目	決算數	預算數	決算與預算比較數		說明
			增 加	減 少	
經費收入					
入會費收入	\$ 7,200	\$ 2,400	\$ 4,800	\$ -	
甲類常年會費收入	1,498,400	1,538,600	-	(40,200)	
乙類常年會費收入(附註五(十))	100,786,575	162,489,000	-	(61,702,425)	註1
利息收入	2,045,517	1,320,000	725,517	-	
會員服務收入	14,386,532	14,650,000	-	(263,468)	
其他收入	2,447,155	2,000,000	447,155	-	
收入合計	121,171,379	182,000,000	1,177,472	(62,006,093)	
經費支出					
人事費	42,099,013	44,476,000	-	(2,376,987)	註2
辦公費	6,885,432	8,266,000	-	(1,380,568)	註3
業務費	40,196,818	104,274,000	-	(64,077,182)	註4
購置費	1,706,683	2,200,000	-	(493,317)	
團體會費	1,615,667	1,574,000	41,667	-	
什項支出	3,417,990	5,740,000	-	(2,322,010)	註5
預備金	-	3,640,000	-	(3,640,000)	註6
提撥會務發展準備基金(附註五(十一))	7,280,000	7,280,000	-	-	
提撥退撫準備基金(附註五(十一))	4,374,687	4,550,000	-	(175,313)	
支出合計	107,576,290	182,000,000	41,667	(74,465,377)	
本期餘絀(稅前)	13,595,089	-	13,595,089	-	
所得稅費用(附註五(九))	-	-	-	-	
本期餘絀(稅後)	\$ 13,595,089	\$ -	\$ 13,595,089	\$ -	

後附會計報告附註為本會計報告之一部分，請併同參閱。

註1：乙類常年會費收入減少，主係經理監事聯席會決議，自本期7月起免收事業業務費。

註2：人事費減少，主係因本年度員工人數減少所致。

註3：辦公費減少，主係因摺節辦公費用支出所致。

註4：業務費減少，主係因預估之業務推廣活動未全數執行所致。

註5：什項支出減少，主係本期預估之社會服務費未全數執行所致。

註6：預備金減少，主係本期無特殊狀況動支。

理事長：



秘書長：



會計主管：



製表：



CHINESE NATIONAL FUTURES ASSOCIATION
FINAL STATEMENTS OF INCOME AND EXPENDITURE
FOR THE YEARS ENDED DECEMBER 31

(Expressed in New Taiwan Dollars)

	Notes	Actual Amount	Estimated Amount	Difference between actual and estimated amounts		Remarks
				Increase	Decrease	
Income						
Entry fees		\$ 7,200	\$ 2,400	\$ 4,800	\$ -	
Annual membership fees		1,498,400	1,538,600	-	(\$ 40,200)	
Business promotion fees	5(10)	100,786,575	162,489,000	-	(61,702,425)	Note1
Interest income		2,045,517	1,320,000	725,517	-	
Membership service fees		14,386,532	14,650,000	-	(263,468)	
Miscellaneous income		<u>2,447,155</u>	<u>2,000,000</u>	<u>447,155</u>	<u>-</u>	
Total income		<u>121,171,379</u>	<u>182,000,000</u>	<u>1,177,472</u>	<u>(62,006,093)</u>	
Expenditure						
Personnel expense		42,099,013	44,476,000	-	(2,376,987)	Note2
Administration expense		6,885,432	8,266,000	-	(1,380,568)	Note3
Business expense		40,196,818	104,274,000	-	(64,077,182)	Note4
Procurement expense		1,706,683	2,200,000	-	(493,317)	
Association activity fee		1,615,667	1,574,000	41,667	-	
Miscellaneous items		3,417,990	5,740,000	-	(2,322,010)	Note5
Reserve cash		-	3,640,000	-	(3,640,000)	Note6
Development fund	5(11)	7,280,000	7,280,000	-	-	
Pension fund	5(11)	<u>4,374,687</u>	<u>4,550,000</u>	<u>-</u>	<u>(175,313)</u>	
Total expenditure		<u>107,576,290</u>	<u>182,000,000</u>	<u>41,667</u>	<u>(74,465,377)</u>	
Surplus before income tax		13,595,089	-	13,595,089	-	
Income tax	5(9)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Surplus for the year		<u>\$ 13,595,089</u>	<u>\$ -</u>	<u>\$ 13,595,089</u>	<u>\$ -</u>	

The accompanying notes are an integral part of these financial statements.

1 : Due to the minutes of Broad meetings, the income of Business promotion fees was exempted from July 2024.

2 : The personnel expense decreased due to the number of employees decreased.

3 : Administration fee decreased mainly due to budget cut.

4 : Business activity fees decreased because the planned activities for business promotion had not been completely implemented in 2024.

5 : Miscellaneous expenses decreased primarily because the social service budget has not been fully used.

6 : Reserve cash decreased because there were no conditions for special purpose.



大事紀要

CHRONICLE



日期	項目
1/11	第 7 屆紀律委員會第 5 次會議 The 5 th meeting of 7 th -session Discipline Committee
1/15	ANC 工作小組第一次會議 The 1 st meeting of the ANC Working Group
1/17	香港交易所來訪 Visit of HKEX
1/18	第 7 屆採購委員會第 9 次會議 The 9 th meeting of the 7 th -session Procurement Committee
1/24	第 7 屆經紀業務委員會第 10 次會議 The 10 th meeting of the 7 th -session Futures Brokerage Committee
2/2	第 7 屆財務委員會第 10 次會議 The 10 th meeting of the 7 th –session Financial Committee
2/23	第 7 屆理監事第 10 次聯席會議 The 10 th joint meeting of the 7 th –session executive directors and supervisors
3/4	TT 斷線事件對期貨商之影響討論會議 Discussion Meeting on the Impact of the TT System Outage on Futures Commission Merchants
3/6	「期貨業未來發展與就業機會」校園巡迴講座 - 暨南大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chi Nan University
3/8	「期貨業未來發展與就業機會」校園巡迴講座 - 中信金融管理學院 “Futures Market Outlook and Job Opportunities” campus lecture tour—CTBC Business School
3/8	「期貨業未來發展與就業機會」校園巡迴講座 - 金門大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Quemoy University
3/11-3/13	參與「第 49 屆美國期貨業協會 (FIA) BOCA 年會」 Participate in 「The 49 th FIA BOCA Raton Annual Conference」
3/13	「期貨業未來發展與就業機會」校園巡迴講座 - 東華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Dong Hwa University
3/14	「期貨業未來發展與就業機會」校園巡迴講座 - 靜宜大學 (1) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (1)
3/16	從業人員聯誼活動 - 大佳河濱健行園遊會 Industry Networking Event - Dajia Riverside Park Hiking Fair
3/18	「期貨業未來發展與就業機會」校園巡迴講座 - 大同大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tatung University
3/20	「期貨業未來發展與就業機會」校園巡迴講座 - 文化大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chinese Culture University
3/20	「期貨業未來發展與就業機會」校園巡迴講座 - 德霖科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—HungKuo Delin University of Technology
3/27	「期貨業未來發展與就業機會」校園巡迴講座 - 正修科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Cheng Shiu University
3/27	第 7 屆紀律委員會第 6 次會議 The 6 th meeting of 7 th -session Discipline Committee

日期	項目
3/28	「期貨業未來發展與就業機會」校園巡迴講座 - 靜宜大學 (2) “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University (2)
4/1	「期貨業未來發展與就業機會」校園巡迴講座 - 高雄大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Kaohsiung University
4/3	期貨商如何強化與 TT、CQG 連線作業討論會議 Discussion Meeting on Strengthening Connectivity Operations Between FCMs and TT/CQG
4/9	「期貨業未來發展與就業機會」校園巡迴講座 - 台北城市科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Taipei City University of Science and Technology
4/9	第 7 屆經紀業務委員會第 11 次會議 The 11 th meeting of the 7 th -session Futures Brokerage Committee
4/10	「期貨業未來發展與就業機會」校園巡迴講座 - 長庚大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chang Gung University
4/10	第 7 屆採購委員會第 10 次會議 The 10 th meeting of the 7 th -session Procurement Committee
4/11	第 7 屆永續工作小組第 7 次會議 The 7 th meeting of the 7 th -session Sustainable Development Committee’s working group
4/11	第 7 屆財務委員會第 11 次會議 The 11 th meeting of the 7 th -session Financial Committee
4/16	「期貨業未來發展與就業機會」校園巡迴講座 - 中正大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Cheng University
4/17	「期貨業未來發展與就業機會」校園巡迴講座 - 聯合大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National United University
4/22	「期貨業未來發展與就業機會」校園巡迴講座 - 義守大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—I-shou University
4/23	「證券暨期貨業者作業委外參考範本宣導說明會」(1) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session) (1)
4/24	「期貨業未來發展與就業機會」校園巡迴講座 - 龍華科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Lunghwa University of Science and Technology
4/25	「期貨業未來發展與就業機會」校園巡迴講座 - 東海大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tunghai University
4/25	「期貨業未來發展與就業機會」校園巡迴講座 - 中興大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Hsing University
4/26	第 7 屆理監事第 11 次聯席會議 The 11 th joint meeting of the 7 th -session executive directors and supervisors
4/26	第 7 屆永續發展委員會第 7 次會議 The 7 th meeting of 7 th -session Sustainable Development Committee
4/27-28	委員會聯誼活動 - 第 1 梯 Friendship activity for committee members-the 1 st group

日期	項目
4/30	「期貨業未來發展與就業機會」校園巡迴講座 - 中華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chung Hua University
5/1	113 年度證券期貨盃高爾夫球錦標賽 Securities & Futures Golf Championship for 2024
5/3	「期貨業未來發展與就業機會」校園巡迴講座 - 宜蘭大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Ilan University
5/4-5/5	委員會聯誼活動 - 第 2 梯 Friendship activity for committee members-the 2 nd group
5/6	「期貨業未來發展與就業機會」校園巡迴講座 - 北商 (1) “Futures Market Outlook and Job Opportunities” campus lecture tour-National Taipei University of Business(1)
5/8	元大投信來訪 Visit of Yuanta funds
5/8	「期貨業未來發展與就業機會」校園巡迴講座 - 南華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Nanhua University
5/8	「期貨業未來發展與就業機會」校園巡迴講座 - 元智大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Yuang Ze University
5/12-5/16	理監事聯誼活動 - 日本東北第 1 梯 Friendship activity for directors and supervisors -Group 1 (Tohoku, Japan)
5/14-5/18	理監事聯誼活動 - 日本東北第 2 梯 Friendship activity for directors and supervisors -Group 2 (Tohoku, Japan)
5/15	「證券暨期貨業者作業委外參考範本宣導說明會」(2) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (2)
5/20	「證券暨期貨業者作業委外參考範本宣導說明會」(期貨專場) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session)
5/22	「期貨業未來發展與就業機會」校園巡迴講座 - 高科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Kaohsiung University of Science and Technology
5/22	第 7 屆經紀業務委員會第 12 次會議 The 12 th meeting of the 7 th -session Futures Brokerage Committee
5/23	「期貨業未來發展與就業機會」校園巡迴講座 - 北商 (2) “Futures Market Outlook and Job Opportunities” campus lecture tour-National Taipei University of Business(1)
5/23	第 7 屆教育訓練委員會第 6 次會議 The 6 th meeting of the 7 th -session Education & Training Committee
5/24	「期貨業永續發展轉型執行策略」宣導座談會 Promotional seminar on “Sustainable Development and Transformation Strategies in the Futures Industries”
5/27	「證券暨期貨業者作業委外參考範本宣導說明會」(期貨專場) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (Futures-Specific Session)

日期	項目
5/28-6/1	理監事聯誼活動 - 日本東北第 3 梯 Friendship activity for directors and supervisors -Group 3 (Tohoku, Japan)
5/29	「證券暨期貨業者作業委外參考範本宣導說明會」(3) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (3)
5/29	第 7 屆財務委員會第 12 次會議 The 12 th meeting of the 7 th -session Financial Committee
5/29	新加坡輝立證券來訪 Visit of Phillip Securities
6/7	第 7 屆理監事第 12 次聯席會議 The 12 th joint meeting of the 7 th -session executive directors and supervisors
6/7	洗錢防制 / 打擊資恐法令解析及案例說明會 - 台北場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taipei
6/12	「證券暨期貨業者作業委外參考範本宣導說明會」(4) Briefing Session on the “Operational Outsourcing Reference Framework for Securities and Futures Industry” (4)
6/15	2024 年金融服務愛心公益嘉年華 - 台南場 Financial Service and Charity Carnival 2024 (in Tainan)
6/19	洗錢防制 / 打擊資恐法令解析及案例說明會 - 台中場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taichung
6/25	第 7 屆經紀業務委員會第 13 次會議 The 13 th meeting of the 7 th -session Futures Brokerage Committee
6/26	洗錢防制 / 打擊資恐法令解析及案例說明會 - 高雄場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Kaohsiung
6/28	第 7 屆第 3 次會員大會 The 3 rd General Assembly of the 7 th -session CNFA Members
7/12	第 7 屆防制洗錢暨法遵委員會第 5 次會議 The 5 th meeting of 7 th -session AML & Law Compliance Committee
7/29	「本國期貨商投資組合財務碳排放 (範疇三) 實務手冊」委外研究案專案小組第一次會議 First Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
7/30	第 7 屆理事會第 2 次會議 The 2 nd meeting of the 7 th -session executives directors
8/2	2024 LME 實務研討會 The LME Practical Seminar 2024
8/7	第 7 屆業務電子化委員會第 6 次會議 The 6 th meeting of the 7 th -session E-Commerce Business Committee
8/8	第 7 屆財務委員會第 13 次會議 The 13 th meeting of the 7 th -session Financial Committee
8/9	「本國期貨商投資組合財務碳排放 (範疇三) 實務手冊」委外研究案專案小組第二次會議 Second Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project

日期	項目
8/14	第 7 屆採購委員會第 11 次會議 The 11 th meeting of the 7 th -session Procurement Committee
8/14	強化資通安全及案例說明會 - 台中場 Cybersecurity Enhancement and Case Study Seminars— Taichung
8/16	113 年度「受裁罰案例訓練課程」 Course of Penalty Case Studies for 2024
8/20	第 7 屆經紀業務委員會第 14 次會議 The 14 th meeting of the 7 th -session Futures Brokerage Committee
8/22	強化資通安全及案例說明會 - 台北場 Cybersecurity Enhancement and Case Study Seminars—Taipei
8/22	「反詐騙合作備忘錄」簽署儀式 “Anti-Fraud Cooperation MOU” Signing Ceremony
8/28	強化資通安全及案例說明會 - 高雄場 Cybersecurity Enhancement and Case Study Seminars— Kaohsiung
8/28	「本國期貨商投資組合財務碳排放 (範疇三) 實務手冊」委外研究案專案小組第三次會議 Third Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
8/29	贊助「2024 年 FIA 臺灣衍生性商品市場發展研討會」 Sponsoring “FIA Forum: Taipei 2024”
8/30	第 7 屆理監事第 13 次聯席會議 The 13 th joint meeting of the 7 th -session executive directors and supervisors
8/30	第 7 屆常務理事會第 2 次會議 The 2 nd meeting of the 7 th -session executives supervisor directors
9/9	「本國期貨商投資組合財務碳排放 (範疇三) 實務手冊」委外研究案專案小組第四次會議 Fourth Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
9/18	第 7 屆理事會第 3 次會議 The 3 rd meeting of the 7 th -session executives directors
9/24	第 7 屆業務電子化委員會第 7 次會議 The 7 th meeting of the 7 th -session E-Commerce Business Committee
9/26	「金融消費者保護」宣導會 (1) Financial Consumer Protection Advocacy Seminars (1)
10/1-10/6	理監事參訪活動 - 第 1 梯日本小豆島 Business trip of board members and supervisors -Group 1 (Japanese adzuki beans)
10/7	第 7 屆採購委員會第 12 次會議 The 12 th meeting of the 7 th -session Procurement Committee
10/7	「本國期貨商投資組合財務碳排放 (範疇三) 實務手冊」委外研究案專案小組第五次會議 Fifth Meeting of the Project Task Force for the “Practical Guide to Financial Carbon Emissions (Scope 3) for Domestic FCMs” Research Project
10/9	第 7 屆財務委員會第 14 次會議 The 14 th meeting of the 7 th -session Financial Committee

日期	項目
10/14-10/23	理監事參訪活動 - 第 2 梯克羅埃西亞 Business trip of board members and supervisors -Group 2 (Croatia)
10/15	第 7 屆經紀業務委員會第 15 次會議 The 15 th meeting of the 7 th -session Futures Brokerage Committee
10/16	「金融消費者保護」宣導會 (2) Financial Consumer Protection Advocacy Seminars (2)
10/16	槓桿業務提交上手保證金處理方式案會議 Meeting on the Handling of Initial Margin Submissions for Leveraged Trading Operations
10/25	第 7 屆永續發展委員會第 8 次會議 The 8 th meeting of 7 th -session Sustainable Development Committee
10/25	第 7 屆理監事第 14 次聯席會議 (offsite meeting) The 14 th joint meeting of the 7 th -session executive directors and supervisors
10/29-11/7	理監事參訪活動 - 第 3 梯克羅埃西亞 Business trip of board members and supervisors-Group 3 (Croatia)
11/6	大阪交易所來訪 Visit of Osaka Exchange
11/13	校園金融講堂及期貨校園徵才 Campus Financial Lecture and Futures Recruitment Fair
11/21	洗錢防制 / 打擊資恐法令解析及案例說明會 - 線上課程 (1) Seminar on “AML/CTF Regulation Analysis and Case Illustration” —online class (1)
11/22	第 7 屆信託業務委員會第 4 次會議 The 4 th meeting of the 7 th -session Futures Trust Committee
11/27	洗錢防制 / 打擊資恐法令解析及案例說明會 - 線上課程 (2) Seminar on “AML/CTF Regulation Analysis and Case Illustration” —online class (2)
11/28	防範期貨詐騙分享會 Futures Fraud Prevention Sharing Session
11/29	第 7 屆教育訓練委員會第 7 次會議 The 7 th meeting of the 7 th -session Education & Training Committee
11/29	第 7 屆業務電子化委員會第 8 次會議 The 8 th meeting of the 7 th -session E-Commerce Business Committee
12/3	第 7 屆紀律委員會第 7 次會議 The 7 th meeting of 7 th -session Discipline Committee
12/3	「國家資通安全聯防與情資分享合作備忘錄」簽署儀式 “National Cybersecurity Joint Defense and Intelligence Sharing MOU” Signing Ceremony
12/4	第 7 屆稽核委員會第 3 次會議 The 3 rd meeting of the 7 th -session Auditing Committee
12/5	第 7 屆自營業務委員會第 4 次會議 The 4 th meeting of the 7 th -session Proprietary Futures Merchant Committee
12/6	第 7 屆防制洗錢暨法遵委員會第 6 次會議 The 6 th meeting of 7 th -session AML & Law Compliance Committee

日期	項目
12/6	第 7 屆顧問業務委員會第 3 次會議 The 3 rd meeting of the 7 th -session Futures Advisory Committee
12/7	2024 年金融服務愛心公益嘉年華 - 台北場 Financial Service and Charity Carnival 2024 (in Taipei)
12/9	第 7 屆兩岸事務委員會第 3 次會議 The 3 rd meeting of the 7 th -session Cross-straits Affairs Committee
12/11	第 7 屆財務委員會第 15 次會議 The 15 th meeting of the 7 th -session Financial Committee
12/12	第 7 屆經紀業務委員會第 16 次會議 The 16 th meeting of the 7 th -session Futures Brokerage Committee
12/13	2024 台北國際期貨論壇 Taipei International Futures Forum 2024
12/13	第 7 屆教育訓練委員會第 8 次會議 The 8 th meeting of the 7 th -session Education & Training Committee
12/18	第 7 屆採購委員會第 13 次會議 The 13 th meeting of the 7 th -session Procurement Committee
12/27	第 7 屆理監事第 15 次聯席會議 The 15 th joint meeting of the 7 th -session executive directors and supervisors
12/27	2024 歲末聯歡晚會 Year-end gala dinner for 2024



會員名錄

DIRECTORY of MEMBERS



中華民國期貨業商業同業公會會員名錄

Chinese National Futures Association Directory of members

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路 2 段 333 號 19 樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw
F002	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 8 樓 8F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
F004	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 6 樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.KGI.com.tw
F005	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路 5 段 188 號 15 樓部分、15 樓之 1 部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	https://www.ibff.com.tw
F008	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路 8 號 B1 B1., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
F014	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105 台北市民生東路 4 段 54 號 3 樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw
F018	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路 5 段 8 號 3 樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw
F020	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路 2 段 97 號地下 1 樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capitalfutures.com.tw
F021	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路二段 77 號 5 樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yu-antafutures.com.tw
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路 9 號 3 樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	https://www.fubon.com/futures/home/index.htm
F029	康和期貨股份有限公司 Concord Futures Corp.	105 台北市復興北路 143 號 6 樓 6F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110 台北市忠孝東路 2 段 95 號 2 樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw
F039	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街 30 之 2 號 9 樓 9F., 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	http://www.dcnf.com.tw
F040	台新期貨股份有限公司 Taishin Futures Co., Ltd.	104 台北市建國北路 1 段 96 號 2 樓之 1 2F.-1, No. 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-55708000	02-55708005	http://www.tsutures.com.tw



代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
R201	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105 台北市南京東路 4 段 161 號 3 樓 3F., 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	http://www.admis.com
S126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106 台北市信義路 4 段 236 號 3 樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw
S144	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Ltd.	110 台北市信義路五段 7 號 48 樓 48F., No. 7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-23763766	02-23763740	http://www.ml.com
S147	台灣摩根士丹利證券股份有限公司 Morgan stanley taiwan limited	110 台北市信義路五段 7 號 83 樓 83F.,7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27302888	02-27301737	http://www.morganstanley.com
S152	瑞士商瑞士信貸銀行股份有限公司台北證券分公司 Credit Suisse, Taipei Securities Branch	105 台北市民生東路 3 段 109 號 5 樓 5F., 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27156388	02-27156373	http://www.credit-suisse.com
S159	花旗環球證券股份有限公司 Citigroup Global Markets Taiwan Securities Company Limited	110 台北市松智路 1 號 14 樓 14F., 1, Songzhi Rd., Taipei 110, Taiwan	02-87269000	02-87254755	http://www.citigroup.com
S218	亞東證券股份有限公司 Oriental Securities Corp.	100 台北市重慶南路一段 86 號 2 樓 2F, 86 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-77531899	02-77531705	http://www.osc.com.tw
S526	美好證券股份有限公司 Good Finance Securities Co., Ltd.	104 台北市民生東路 2 段 176 號 4 樓 4F., 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	http://www.tcstock.com.tw
S538	第一金證券股份有限公司 First Securities Inc .	104 台北市長安東路 1 段 22 號 4 樓 4F., 22, Sec. 1, Chang' an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	https://www.firstsec.com.tw
S616	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.	115 台北市經貿二路 168 號 3 樓 3F., 168, Jingmao 2nd Rd., Taipei 115 , Taiwan	02-66392000	02-66392124	http://www.ctbcsec.com
S844	摩根大通證券股份有限公司 J.P. Morgan Securities Co., Ltd.	110 台北市信義路 5 段 106 號 3 樓 3F., 106, Sec.5, Hsin Yi Rd., Taipei 110, Taiwan	02-87862288	02-27252275	http://www.jpmorgan.com
S856	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100 台北市重慶南路 1 段 66 之 1 號 5 樓 5F., 66-1, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23714293	http://www.skis.com.tw
S884	玉山綜合證券股份有限公司 E.Sun Securities Co., Ltd.	105 台北市民生東路 3 段 156 號 2 樓 2F., 156 Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27186613	http://www.esunsec.com.tw

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B091	群益金鼎證券股份有限公司 Capital Securities Corp.	105 台北市民生東路 3 段 156 號 14 樓之 3 14F.-3.,156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-87898888	02-87892942	http://www.capital.com.tw
B092	凱基證券股份有限公司 KGI Securities Co., Ltd.	104 台北市明水路 698 號 3 樓 3F.,698 Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	https://www.kgi.com.tw/zh-tw
B093	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105 台北市民生東路 4 段 54 號 5 樓 5F., 54, Sec.4, Ming Sheng E. Rd., Tai- pei 105, Taiwan	02-25456888	02-25459231	http://www.en-trust.com.tw
B096	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106 台北市仁愛路 4 段 169 號 3 樓 3F., 169, Sec. 4, Ren' ai Rd., Taipei 106, Taiwan	02-87716888	02-87716795	https://www.fubon-ebroker.com/Home/Index
B098	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路三段 219 號 11 樓 11F., No.219, Sec. 3, Nanjing E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuanta.com.tw
B09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路 1 段 2 號 7 樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23112220	https://www.sino-trade.com.tw
B102	合作金庫證券股份有限公司 Taiwan Cooperative Securities Co., Ltd.	106 台北市忠孝東路 4 段 285 號 1 樓 1F., 285 Sec.4, Chung Hsiao E.Rd., Taipei 106 ,Taiwan	02-27528000	02-27526060	http://www.tcf-hc-sec.com.tw
B103	臺灣土地銀行股份有限公司 Land Bank Of Taiwan Co., Ltd.	100 台北市延平南路 81 號 81., Yen Ping S.Rd., Taipei 100, Taiwan	02-23483978	02-23891864	http://www.land-bank.com.tw
B104	臺銀綜合證券股份有限公司 BankTaiwan Securities Co., Ltd.	100 台北市重慶南路 1 段 58 號 4 樓 4F., 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23882188	02-23822045	http://www.twf-hcsec.com.tw
B111	台灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103 台北市塔城街 30 號 4 樓 4F., 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	https://www.tbb.com.tw
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	100 台北市衡陽路 68 號 3 樓 3F., No.68, Hengyang Rd., Taipei 100, Taiwan	02-23619654	02-23113726	https://www.bankchb.com/frontend/mash-up.jsp?fun-cld=8ab34cd615
B505	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103 台北市承德路 1 段 17 號 17 樓 17F., 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	http://www.tachan.com.tw



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B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320 桃園市中壢區中和路 96 號 96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	https://sptrade.easytrade.com.tw/easytrade-home/
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406 台中市北屯區北興街 43 號 1 樓 1F., 43, Beising St., Taichung 406, Taiwan	04-22309377	04-22371684	https://www.boom.com/zh/why_boom
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100 台北市中正區南海路 20 號 2 樓 2F., 20, Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	http://www.yss.com.tw/
B566	日進證券股份有限公司 Fortune Securities Co., Ltd.	360 苗栗市中正路 426 號 4 樓 4F., 426, Chung Cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	http://www.fscl.com.tw
B585	統一綜合證券股份有限公司 President Securities Corp.	105 台北市東興路 8 號 1 樓 1F., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27478266	02-77065595	http://www.pscnet.com.tw
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd.	800 高雄市七賢二路 38 號 38, Chihhsien 2 Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682619	https://www.e-order.com.tw
B592	元富證券股份有限公司 Master Link Securities Corp.	106 台北市大安區復興南路一段 209 號 1 樓 1F, 209 Sec.1, Fuhsing S. Rd., Taipei 106, Taiwan	02-23255818	02-23259407	http://www.masterlink.com.tw
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542 南投縣草屯鎮草溪路 1020 號 2 樓 2F., 1020 Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-2353266	049-2357216	http://www.gm-stock.com.tw
B601	犇亞證券股份有限公司 Primasia Securities Co., Ltd.	105 台北市復興北路 99 號 3 樓 3F., 99 Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27180202	https://www.estockking.com
B611	台中銀證券股份有限公司 Taichung Bank Securities Co., Ltd.	100 台北市忠孝東路一段 85 號 9 樓 9F., 85, Sec. 1, Zhongxiao E. Rd., 100, Taiwan	02-23969955	02-23210502	https://www.tcbs.com.tw
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801 高雄市中華三路 11 號 B1 B1., 11, Chunghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	http://www.nhks.com.tw
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521 彰化縣北斗鎮光復路 166 號 3 樓 3F., 166, Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan	04-8886500	04-8886626	https://www.kuanzho.com.tw/index_tw.php
B645	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330 桃園市縣府路 82 號 1 樓 1F., 82, Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	http://www.yucn.com.tw
B646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220 新北市板橋區東門街 30 之 2 號 9 樓 9F., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601088	02-29658473	http://www.dcn.com.tw

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B648	福邦證券股份有限公司 Grand Fortune Securities Co., Ltd.	104 台北市忠孝西路 1 段 6 號 6 樓 6F., 6, Sec. 1, Chung Hsiao W. Rd., Taipei 104, Taiwan	02-23836888	02-23836800	http://www.gfortune.com.tw
B662	口袋證券股份有限公司 Pocket Securities Co., Ltd.	220 新北市板橋區三民路一段 216 號 9 樓 9F., 216, Sec. 1, Sanmin Rd., Banqiao Dist., New Taipei 220, Taiwan	02-29526620	02-26856668	https://www.pocket.tw
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100 台北市新生南路 1 段 50 號 3 樓 3F., 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	http://www.rsc.com.tw
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251 新北市淡水區中正路 63 號 5 樓 5F., 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	http://www.fushan-ewin.com.tw
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106 台北市忠孝東路 2 段 95 號 3 樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	http://www.emega.com.tw
B703	致和證券股份有限公司 Concord International Securities Co., Ltd.	704 台南市西門路 3 段 10 號 1 樓 1F., 10, Shi-Men Rd., Sec.3, Tainan 704, Taiwan	06-2219777	06-2286324	http://www.wintan.com.tw
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234 新北市永和區永和路 2 段 116 號 3 樓 3F., 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29208664	http://www.peicheng.com.tw
B779	國票綜合證券股份有限公司 IBF Securities Co., Ltd.	104 台北市樂群三路 128 號 3 樓 3F., 128, Lequn 3rd Rd., Taipei 104, Taiwan	02-85021999	02-85026868	https://www.ibfs.com.tw
B816	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104 台北市中山北路 2 段 44 號 2 樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	http://www.tssco.com.tw
B838	安泰證券股份有限公司 Antay Securities Co., Ltd.	830 高雄市鳳山區五福二路 36 號 36., Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	(建置中)
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110 台北市基隆路一段 176 號地下 1 樓 B1., 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	http://www.concords.com.tw
B849	京城證券股份有限公司 King's Town Securities Co., Ltd.	105 台北市南京東路三段 289 號 3 樓 3F., No. 289, Sec. 3, Nanjing E. Rd., Taipei 105, Taiwan	02-25631168	02-27126677	https://www.ktds.com.tw/
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435 台中市梧棲區四維中路 157 巷 1 號 2 樓 2F., 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan	04-26572801	04-26564499	https://sprade.chungnour.com.tw/chungnour/index.html



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B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104 台北市南京東路 2 段 137 號 2 樓 2F., 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	http://www.ubot.com.tw
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105 台北市民生東路 5 段 165 號 B2 B2., 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	http://www.sunnysec.com.tw
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106 台北市敦化南路二段 335 號 20 樓 20F., 335, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269888	02-23778908	http://www.cathaysec.com.tw
P001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 8 樓 8F., 2, Sec.1, Chung Ching S. Rd., Tai- pei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
P002	兆豐證券股份有限公司 Mega Securities Co., Ltd.	100 台北市忠孝東路 2 段 95 號 3 樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-33227073	02-33933052	http://www.emega.com.tw
P003	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路 8 號 B1 B1.,8, Dong Shin Rd., Taipei 105, Tai- wan	02-27488338	02-27488335	http://www.pfcf.com.tw
P004	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路二段 77 號 5 樓 5F.,77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yu-antafutures.com.tw
P006	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路 2 段 97 號地下 1 樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capitalfutures.com.tw
P008	元富證券股份有限公司 Master Link Securities Corp.	106 台北市大安區復興南路一段 209 號 1 樓 1F, 209 Sec.1, Fuhsing S. Rd., Taipei 106, Taiwan	02-23255818	02-27000208	http://www.masterlink.com.tw
P015	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路 5 段 8 號 3 樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw
P020	凱基證券股份有限公司 KGI Securities Co., Ltd.	104 台北市明水路 700 號 9 樓 9F., 700, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	https://www.kgi.com.tw/zh-tw
P022	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106 台北市仁愛路 4 段 169 號 3 樓 3F., 169, Sec. 4, Ren' ai Rd., Taipei 106, Taiwan	02-87716888	02-87716795	https://www.fubon-ebroker.com/Home/Index
P024	統一綜合證券股份有限公司 President Securities Corp.	105 台北市東興路 8 號 2 樓 2F., 8 Dong Shin Rd., Taipei 105, Tai- wan	02-27478266	02-77065595	http://www.psc-net.com.tw
P026	華南永昌綜合證券股份 有限公司 HuaNan Securities Co., Ltd.	105 台北市民生東路 4 段 54 號 5 樓 5F., 54, Sec.4, Ming Sheng E. Rd., Tai- pei 105, Taiwan	02-25456888	02-25454122	https://www.entrust.com.tw

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P028	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路三段 219 號 11 樓 11F., No.219, Sec. 3, Nanjing E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuanta.com.tw
P032	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 6 樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.KGI.com.tw
P033	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106 台北市敦化南路二段 335 號 20 樓 20F., 335, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269888	02-23778908	http://www.cathaysec.com.tw
P037	亞東證券股份有限公司 Oriental Securities Corp.	100 台北市重慶南路一段 86 號 2 樓 2F, 86 Sec.1, Chung Ching S. Rd., Tai- pei 100, Taiwan	02-77531899	02-77531705	http://www.osc.com.tw
P038	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.	110 台北市松仁路 100 號 8 樓 8F., 100, Songren Rd., Taipei 110, Tai- wan	02-23570777	02-23578392	https://optiver.com
P039	第一金證券股份有限公司 First Securities Inc.	104 台北市長安東路 1 段 22 號 4 樓 4F., 22, Sec. 1, Chang-an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	https://www.firstsec.com.tw
P045	國票綜合證券股份有限公司 IBF Securities Co., Ltd.	104 台北市樂群三路 128 號 3 樓 3F., 128, Lequn 3rd Rd., Taipei 104 , Taiwan	02-85021999	02-85026868	https://www.ibfs.com.tw
P046	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106 台北市信義路 4 段 236 號 3 樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw
P047	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路 5 段 188 號 15 樓部 分、15 樓之 1 部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-27287576	https://www.ibff.com.tw
P050	康和期貨股份有限公司 Concord Futures Corp.	105 台北市復興北路 143 號 6 樓 6F., 143, Fusing N.Rd., Taipei 105, Tai- wan	02-27171339	02-27171319	http://www.concordfutures.com.tw
P052	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.	115 台北市經貿二路 188 號 14 樓 14F., 188, Jingmao 2nd Rd., Taipei 115, Taiwan	02-66392000	02-66392500	http://www.ctbcsec.com
P053	玉山綜合證券股份有限公司 E.SUN Securities Co., Ltd.	105 台北市民生東路 3 段 158 號 6 樓 6F., 158, Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27188913	http://www.esunsec.com.tw
P054	法銀巴黎證券股份有限公司 BNP PARIBAS INVEST- MENT PARTNERS	110 台北市信義路五段 7 號 72 樓 72F., 7, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-87297000	02-81012168	https://www.bnpparibas.com.tw/zh/?contact=bnp-paribas-securities-taiwan-co-ltd



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P055	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路二段 333 號 19 樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw
P056	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路 9 號 3 樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	https://www.fubon.com/futures/home/index.htm
P057	合作金庫證券股份有限公司 Taiwan Cooperative Securities Co., Ltd.	106 台北市忠孝東路 4 段 285 號 1 樓 1F., 285 Sec.4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27528000	02-27526060	http://www.tcf-hc-sec.com.tw
P09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路 1 段 2 號 7 樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23112220	https://www.sino-trade.com.tw
A001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 8 樓 8F., 2, Sec.1, Chung Ching S. Rd., Tai- pei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
A002	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路 8 號 B1 B1., 8, Dong sing Rd., Taipei 105, Tai- wan	02-27488338	02-27488335	http://www.pfcf.com.tw
A003	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路二段 77 號 5 樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yu-antafutures.com.tw
A005	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路 2 段 97 號地下 1 樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capitalfutures.com.tw
A006	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路二段 333 號 19 樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw
A008	康和期貨股份有限公司 Concord Futures Corp.	105 台北市復興北路 143 號 6 樓 6F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
A009	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路 9 號 3 樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	https://www.fubon.com/futures/home/index.htm
A011	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105 台北市民生東路 4 段 54 號 3 樓 3F., 54, Sec 4, Ming Sheng E. Rd., Tai- pei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw
A013	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路 5 段 8 號 3 樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw
A019	國票期貨股份有限公司 IBF Futures Co., Ltd.	105 台北市南京東路 5 段 188 號 15 樓部 分、15 樓之 1 部分 15F., No. 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	https://www.ibff.com.tw
A020	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110 台北市忠孝東路 2 段 95 號 2 樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw

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A021	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 6 樓 6F., 2, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.KGI.com.tw
A025	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路 1 段 2 號 7 樓 7F., 2 Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23114345	02-23112200	https://www.sino-trade.com.tw
A026	運達證券投資顧問股份有限公司 WIN-DOLLAR Security Investment Consultant CO.Ltd.	104 台北市八德路 2 段 260 號 10 樓 10F., 260, Sec. 2, Bade Rd., Taipei 104, Taiwan	02-27810909	02-27312166	http://win-dollar.com
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104 台北市松江路 87 號 4 樓 4F., 87, Songjiang. Rd., Taipei 104, Taiwan	02-66083998	02-66088833	http://www.marbo.com.tw
A028	啟發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant Co., Ltd.	100 台北市忠孝西路 1 段 100 號 9 樓 9F., 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	http://www.chifar.com.tw
A029	大華國際證券投資顧問股份有限公司 Da Hwa International Securities Investment Consulting Co., Ltd.	100 台北市羅斯福路 2 段 102 號 23 樓 23F., 102, Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-83695566	02-77258855	http://da-hwa-international.com.tw
A032	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant Co., Ltd.	105 台北市南京東路 4 段 126 號 14 樓 14F., 126, Sec. 4, Nanjing E. Rd., Taipei 105, Taiwan	02-25783000	02-25793500	http://www.good-group.com.tw
A033	華信證券投資顧問股份有限公司 Hwashin Securities Investment Consulting Co., Ltd	100 台北市青島東路 7 號 5 樓之 4 5F-4., 7, Cingdao E. Rd., Taipei 100, Taiwan	02-23923988	02-77253896	http://www.hwashin.com.tw
A034	承通證券投資顧問股份有限公司 Cheng-Tung Securities Investment Consulting Co., Ltd.	104 台北市八德路 2 段 260 號 6 樓 6F., 260, Sec. 2, Bade Rd., Taipei 104, Taiwan	02-27813900	02-27722813	http://27813900.com
A036	永誠國際證券投資顧問股份有限公司 Yong Cheng International Securities Investment Consultant Co., Ltd	104 台北市中山北路 1 段 145 號 6 樓 6F., 145, Sec.1, Jhongshan N. Rd., Taipei 104, Taiwan	02-25428999	02-25428113	https://88598.com.tw
A042	玉山證券投資顧問股份有限公司 E.SUN Investment Consulting, Co., Ltd.	105 台北市民生東路三段 156 號 2 樓之 2 2F-2., 156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-55569913	02-25453313	http://www.esun-consulting.com.tw



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A043	亞洲證券投資顧問股份有限公司 Asia Securities Investment Advisory Inc.	105 台北市區南京東路 4 段 126 號 11 樓 A2 Rm. A2, 11F., 126, Sec. 4, Nanjing E. Rd., Taipei 105, Taiwan	02-25783777	02-25705916	http://www.asia-rich.com.tw
A044	倫元證券投資顧問股份有限公司 E-invest Security Investment Consultant Co., Ltd.	100 台北市青島東路 7 號 6 樓 6F., 7, Qingdao E. Rd., Taipei 100, Taiwan	02-77251273	02-23927062	https://www.e-invest.com.tw
A045	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街 30 之 2 號 9 樓之 2 9F-2., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601066	02-29605258	http://www.dcnf.com.tw
A047	第一金證券股份有限公司 First Securities Inc.	104 台北市長安東路 1 段 22 號 4 樓 4F., 22, Sec. 1, Chang'an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	https://www.firstsec.com.tw
A049	高欣證券投資顧問股份有限公司 Harvest Securities Investment Consulting Co., Ltd.	104 台北市復興北路 150 號 7 樓之 2 7F-2., 150, Fuxing N. Rd., Taipei 104, Taiwan	02-27171000	02-27150077	https://www.stock881688.com.tw/
A050	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106 台北市信義路 4 段 236 號 3 樓 3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw
A051	君安證券投資顧問股份有限公司 Richers Securities Investment Consulting Co., Ltd.	104 台北市松江路 318 號 8 樓 8F., 318, Songjiang Rd., Taipei 104, Taiwan	02-77018898	02-77020599	http://www.richers.com.tw
A052	大拇哥證券投資顧問股份有限公司 Tarobo Investment Advisors Ltd.	106 台北市敦化南路 2 段 95 號 10 樓 10F., 95, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27553066	02-27552196	https://www.taroboadvisors.com
A053	摩爾證券投資顧問股份有限公司 Moore Securities Investment Consulting Co., Ltd.	106 台北市大安區忠孝東路四段 270 號 16 樓 16F., 270, Sec. 4, Zhongxiao E. Rd., Taipei 106, Taiwan	02-23510873	02-23510752	https://www.morerich.com.tw
A054	華冠證券投資顧問股份有限公司 Hwa Guan Securities Investment Consult Co., Ltd.	100 台北市忠孝東路二段 100 號 6 樓之 2 6F.-2, 100, Sec. 2, Zhongxiao E. Rd., Taipei 100, Taiwan	02-66303283	02-23973938	https://www.hwaguan.com.tw/Wealth
A055	台新期貨股份有限公司 Taishin Futures Co., Ltd.	104 台北市建國北路 1 段 96 號 2 樓之 1 2F.-1, No. 96, Sec. 1, Jianguo N. Rd., Taipei 104, Taiwan	02-55708000	02-55708005	http://www.tsfutures.com.tw
A056	群益金鼎證券股份有限公司 Capital Securities Corp.	105 台北市民生東路 3 段 156 號 7 樓之 5 Rm. 5, 7F., 156, Sec. 3, Minsheng E. Rd., Taipei 105, Taiwan	02-87898888	02-87123569	http://www.capital.com.tw

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A057	元大證券投資顧問股份有限公司 Yuanta Securities Investment Consulting Co., Ltd.	106 台北市大安區仁愛路 3 段 157 號 4 樓 4F., 157, Sec. 3, Ren'ai Rd., Taipei 106, Taiwan	02-87706078	02-25460484	https://www.yuanta-consulting.com.tw/
L001	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路 2 段 77 號 5 樓 5F., 77, Sec. 2, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yuanta-futures.com.tw
L002	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路 2 段 97 號地下 1 樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capital-futures.com.tw
L003	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 6 樓 6F., 2, Sec. 1, Chongqing S. Rd., Taipei 100, Taiwan	02-23619889	02-23612867	http://www.KGI-f.com.tw
L004	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路 2 段 333 號 19 樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw
L005	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路 9 號 3 樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	https://www.fubon.com/futures/home/index.htm
M018	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 6 樓 6F., 2, Sec. 1, Chongqing S. Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.KGI-f.com.tw
M020	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路 1 段 2 號 8 樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
M022	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路 9 號 3 樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	https://www.fubon.com/futures/home/index.htm
M025	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路 2 段 97 號地下 1 樓 B1., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capital-futures.com.tw
M028	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路 5 段 8 號 3 樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw
T002	復華證券投資信託股份有限公司 Fuh Hwa Securities Investment Trust Co., Ltd.	104 台北市八德路二段 308 號 8 樓 8F., 308, Sec.2, Bade Rd., Taipei 104, Taiwan	02-81616800	02-87728000	www.fhtrust.com.tw
T003	國泰證券投資信託股份有限公司 Cathay Securities Investment Trust Co., Ltd.	106 台北市敦化南路 2 段 39 號 6 樓 6F., 39, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27008399	02-23269633	http://www.cathaysite.com.tw
T004	元大證券投資信託股份有限公司 Yuanta Securities Investment Trust Co., Ltd.	105 台北市敦化南路一段 66 號 4 樓 4F., 66, Sec. 1, Dunhua S. Rd., Taipei 105, Taiwan	02-27175555	02-27190250	http://www.yuanta-funds.com



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T008	新光證券投資信託股份有限公司 Shin Kong Investment Trust Co., Ltd.	104 台北市南京東路二段 167 號 11 樓 11F., 167, Sec.2, Nanjing E. Rd., Taipei 104, Taiwan	02-25071123	02-25077600	https://www.skit.com.tw
T010	統一證券投資信託股份有限公司 Uni-President Assets Management Corp.	105 台北市東興路 8 號 8 樓 8F., 8 Dongsing Rd., Taipei 105, Taiwan	02-27478388	02-27478129	http://www.ez-money.com.tw
T011	永豐證券投資信託股份有限公司 SinoPac Securities Investment Trust Co., Ltd.	100 台北市博愛路 17 號 13 樓 13F., 17, Bo' ai Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23618110	02-23126199	https://sitc.sinopac.com/new-web/index.html
T015	富邦證券投資信託股份有限公司 Fubon Asset Management Co., Ltd.	105 台北市敦化南路 1 段 108 號 8 樓 8F., 108, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei 105, Taiwan	02-87716688	02-87716788	https://www.fubon.com/as-set-management
T016	街口證券投資信託股份有限公司 JKO Asset Management Co., Ltd.	106 台北市市民大道 3 段 206 號 9 樓 9F., 206, Sec. 3, Civic Blvd., Taipei 106, Taiwan	02-27505555	02-27721255	http://www.jkoam.com

註：以上資料截止日為 113.12.31



發行人/陳佩君
發行所/中華民國期貨業商業同業公會
臺北市安和路一段27號12樓
電話/02-87737303
傳真/02-27728378
網址/<https://www.futures.org.tw>
電子信箱/cnfa@futures.org.tw
總編輯/吳桂茂
執行編輯/莫璧君
編審委員/詹益青•范加麟



中華民國期貨業商業同業公會
Chinese National Futures Association