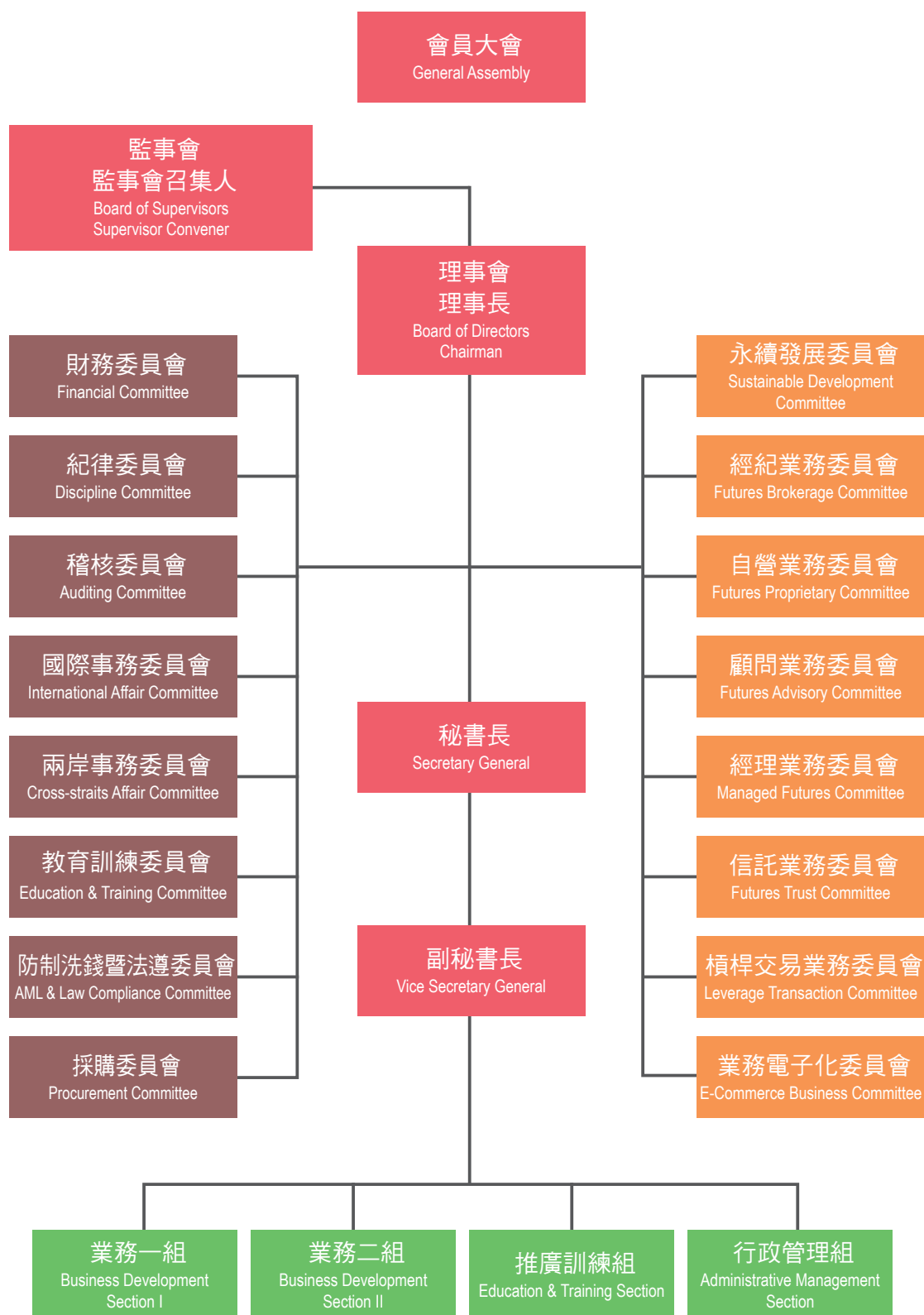




一、組織系統圖

A. Organizational Chart





二、組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計271位。

■成員：由會員代表組成。至111年12月31日止，本公會會員公司計有154家：

1. 專營期貨商14家
2. 兼營期貨商13家
3. 期貨交易輔助人43家
4. 國外複委託商1家
5. 期貨自營業務31家
6. 槓桿交易商5家
7. 期貨顧問業務33家
8. 期貨經理業務5家
9. 期貨信託業務9家

■執掌：為本公會最高決策機關。

■職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會

■成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。

B. Structure and Responsibilities

1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2022, a total of 271 member representatives were registered with the Association.

■ Member companies: the Association was composed of 154 member companies at the end of 2022:

1. Specialized futures brokerage companies: 14
2. Concurrent futures brokerage companies: 13
3. Futures introducing brokers (IB): 43
4. Foreign re-engaging brokers: 1
5. Proprietary futures commission merchants: 31
6. Leverage Transaction Merchant: 5
7. Futures advisory enterprises: 33
8. Managed futures enterprises: 5
9. Futures trust enterprises: 9

■ Authority: the General Assembly is the supreme policy-making body of the Association.

■ Responsibilities:

1. To elect or recall board members or supervisors
2. To make and revise CNFA Charter
3. To approve annual working plans, budget, auditing reports, and R&D projects
4. To review proposals approved at the meetings of board members, supervisors, or General Assembly
5. To take disciplinary actions against misbehaved member companies or member representatives
6. To trade properties of the Association
7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues
8. To approve dismissing of any board member or supervisor
9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies
10. To approve other issues associated with member's rights or obligations

2. Board of Directors

■ Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.

■ Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.



■職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本公會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分違反本公會章程第二十條規定之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會

■成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互推其中一人為監事會召集人。

■執掌：為本公會最高監察單位。

■職權：

1. 選舉及罷免常務監事。
2. 監察理事會執行會員大會之決議案。
3. 監察理事會會務執行情形。
4. 審核理事會各種會計報告書類。
5. 稽核理事會之經費收支狀況。
6. 向會員大會提出年度監察報告。
7. 議決常務監事及監事之自行辭任。
8. 其他依職責應辦之事項。

■ **Responsibilities:**

1. To convene the General Assembly and execute its decisions
2. To elect or recall chairman or executive directors
3. To approve resignation of chairman or board members
4. To approve registration, withdrawal, or nullification of membership or member representative
5. To make annual working plans, budget, auditing report, and R&D projects
6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers
7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations
8. To deal with the projects approved at meetings of supervisors
9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
10. To take disciplinary actions against the members who fail to pay membership fee
11. To handle issues in accordance with laws, CNFA Charter, or related regulations
12. To handle other related issues

3. Supervisors

■ Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.

■ Authority: it's the highest supervising body of the Association.

■ **Responsibilities:**

1. To elect or recall executive supervisors
2. To supervise the Board of Directors' execution of the projects approved by the General Assembly
3. To supervise the Board of Directors' execution of the Association's working plans
4. To review the accounting reports which have been approved by the Board of Directors
5. To review the financial reports which have been approved by the Board of Directors
6. To make annual supervisory report to the General Assembly
7. To approve resignation tendered by any executive supervisor or supervisor
8. To handle other related issues



(四)、委員會：設有永續發展、經紀業務、自營業務、顧問業務、經理業務、信託業務、槓桿交易業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核、防制洗錢暨法遵、業務電子化、採購等16個委員會。

■成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。

■執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

● 永續發展委員會

- (1) 有關期貨業永續發展之溝通協調及政策建議事項。
- (2) 有關永續發展之規劃宣導、舉辦研討會、座談會，延請專家及金融業分享等事項。
- (3) 有關本公會永續發展相關所訂自律規範、範例或指引之討論與建議事項。
- (4) 有關編擬年度工作計畫事項。
- (5) 其他有關永續發展事宜之處理事項。

● 經紀業務委員會

- (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
- (2) 有關期貨交易人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨經紀業務之處理事項。

● 自營業務委員會

- (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
- (2) 有關編擬年度工作計畫事項。
- (3) 其他有關期貨自營業務之處理事項。

● 顧問業務委員會

- (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
- (2) 有關委任人服務事項。
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨顧問業務之處理事項。

4. Committees: The Association set up 16 committees, namely Sustainable Development Committee, Futures Brokerage Committee, Future Proprietary Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Leveraged-trading Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, Auditing Committee, AML & Law Compliance Committee, E-Commerce Business Committee, and Procurement Committee.

■ Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.

■ Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

Committees and their responsibilities:

● Sustainable Development Committee

- (1) To coordinate and propose suggestions about sustainable development of futures industry
- (2) To make promotional plans, holding seminars/conferences, and invite experts to share their professional experience
- (3) To propose the self-discipline rules, exemplar cases, guidelines, topics for discussions, and suggestions about sustainable development issues
- (4) To make annual work plans for the committee
- (5) To handle other relevant issues

● Futures Brokerage Committee

- (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers
- (2) To offer services to futures traders
- (3) To make annual work plan for the committee
- (4) To handle other related issues

● Futures Proprietary Committee

- (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them
- (2) To make annual work plan for the committee
- (3) To handle other related issues

● Futures Advisory Committee

- (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business
- (2) To offer services to clients of futures advisory enterprises
- (3) To make annual work plan for the committee
- (4) To handle other related issues



- 經理業務委員會
 - (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
 - (2) 有關委任人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨經理業務之處理事項。

- 信託業務委員會
 - (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
 - (2) 有關受益人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨信託業務之處理事項。

- 槓桿交易業務委員會
 - (1) 有關槓桿交易業務之發展、聯繫、協調、改進事項。
 - (2) 有關交易人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關槓桿交易業務之處理事項。

- 紀律委員會
 - (1) 有關自律公約之擬訂、執行與督促會員自律事項。
 - (2) 有關會員職業道德之規範事項。
 - (3) 其他有關會員自律之處理事項。

- 教育訓練委員會
 - (1) 辦理期貨從業人員職前訓練及在職訓練事項。
 - (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
 - (3) 有關出版刊物之編輯事項。
 - (4) 有關期貨投資宣傳事項。
 - (5) 其他有關教育訓練、考試及核發證書之處理事項。

- 財務委員會
 - (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
 - (2) 有關財務之稽核事項。
 - (3) 有關預算、決算之編擬事項。
 - (4) 其他有關財務事宜之處理事項。

- **Managed Futures Committee**
 - (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business
 - (2) To offer services to clients of managed futures enterprises
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues

- **Futures Trust Committee**
 - (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises
 - (2) To offer services to beneficiaries of futures trust fund
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues

- **Leverage Transaction Committee**
 - (1) To improve and promote leveraged-trading business as well as handle interaction and coordination among futures companies and traders
 - (2) To offer services to futures traders
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues

- **Discipline Committee**
 - (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies
 - (2) To help member companies comply with working ethics
 - (3) To handle other related issues

- **Education & Training Committee**
 - (1) To arrange pre-job and on-job training for futures workers
 - (2) To arrange visit, speech, lecture, leisure activity, sports, among others
 - (3) To handle publishing and editing matters
 - (4) To make advertise and promotional matters
 - (5) To handle training, examination, license issuance, and other education-related issues

- **Financial Committee**
 - (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues
 - (2) To handle auditing and related issues
 - (3) To make budget plan and deal with settlement issues
 - (4) To handle other related issues



- 國際事務委員會
 - (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨國際業務之處理事項。

- 兩岸事務委員會
 - (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨兩岸業務之處理事項。

- 稽核委員會
 - (1) 有關期貨業內部控制制度之研討與建議事項。
 - (2) 有關期貨業內部稽核業務之研討與建議事項。
 - (3) 協商並解決期貨業共通性之稽核業務事項。
 - (4) 有關編擬年度工作計畫綱要事項。
 - (5) 其他有關稽核業務事宜之處理事項。

- 防制洗錢暨法遵委員會
 - (1) 有關期貨業法令遵循事務之研討與建議事項。
 - (2) 有關期貨業防制洗錢及打擊資恐之研討與建議事項。
 - (3) 協商並解決期貨業共通性之法令遵循事項。
 - (4) 協商並解決期貨業共通性之防制洗錢及打擊資恐事項。
 - (5) 有關編擬年度工作計畫事項。
 - (6) 其他有關防制洗錢及打擊資恐暨法令遵循事宜之處理事項。

- International Affair Committee
 - (1) To promote and coordinate issues about international affairs of the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues
- Cross-straits Affair Committee
 - (1) To promote and coordinate cross-straits issues about the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues
- Auditing Committee
 - (1) To make research on internal control system of futures companies and propose suggestions for improvement
 - (2) To make research on internal auditing system of futures companies and propose suggestions for improvement
 - (3) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems
 - (4) To make annual work plan for the committee
 - (5) To handle other related issues
- AML & Law Compliance Committee
 - (1) To make research on law compliance-related issues and propose recommendations for improvement
 - (2) To make research on AML/CTF-related issues and propose recommendations for improvement
 - (3) To coordinate law-compliance issues and make solutions as standard guidelines for futures companies
 - (4) To coordinate AML/CTF-related issues and make solutions as standard guidelines for futures companies
 - (5) To make annual work plan for the committee
 - (6) To handle other related issues

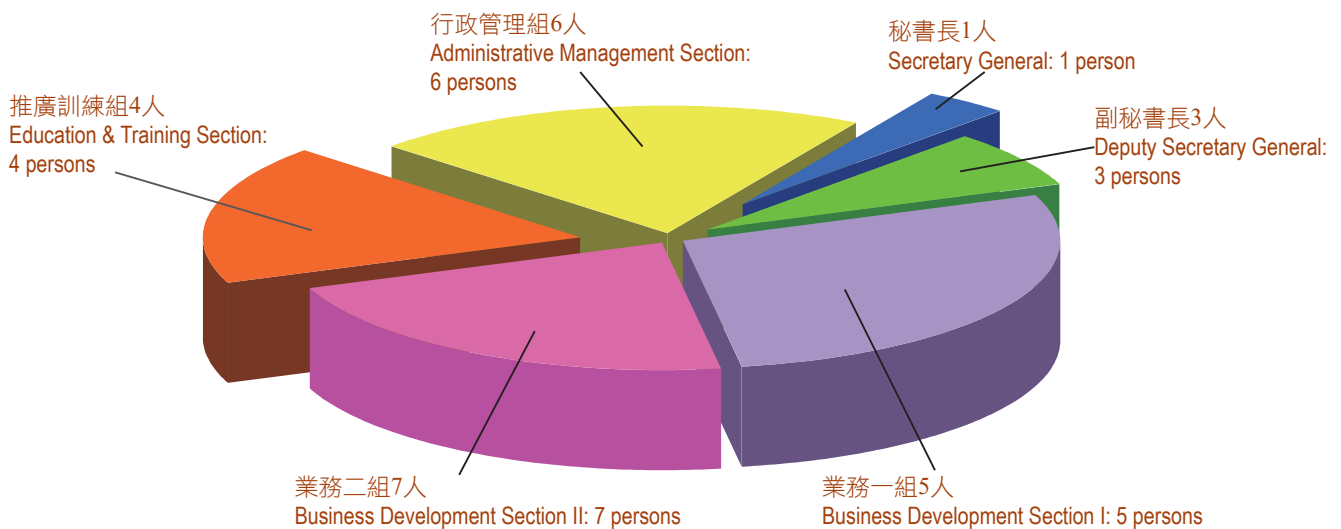


- 業務電子化委員會
 - (1) 有關業務電子化業務之發展、聯繫、協調、改進事項。
 - (2) 有關業務電子化業務相關法規研討及建議事項。
 - (3) 有關交易人服務事項。
 - (4) 有關期貨業資通安全事項。
 - (5) 有關編擬年度工作計畫事項。
 - (6) 其他有關業務電子化及資通安全事宜之處理事項。
- 採購委員會
 - (1) 辦理本公會購置重大財產或消耗品之採購。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關採購事宜之處理事項。

(五) 會務人員：

- 分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

111年會務人員統計表
CNFA Administrative Staff in 2022



- E-Commerce Business Committee
 - (1) To handle promotion, communication, coordination and improvement issues about digitalization
 - (2) To handle digitalization-related law research and proposed issues
 - (3) To handle issues about digitalized services for traders
 - (4) To handle futures-related information security issues
 - (5) To compile annual work plan for this committee
 - (6) To handle other digitalization and information security issues
 - Procurement Committee
 - (1) To handle procurement of the Association's major equipment and consumables
 - (2) To make annual work plans for the committee
 - (3) To handle other procurement-related issues
5. Administrative staff: the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:



- 業務一組
辦理期貨商、期貨（證券）交易輔助人及海峽兩岸期貨業務相關之下列工作：
 - (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
 - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
 - (3) 蒐集業界資料，掌握市場動態。
 - (4) 檢討期貨法令並提出改進建議。
 - (5) 提供會員公司期貨業務法規諮詢服務。
 - (6) 自律規定之研議。
 - (7) 新種業務之可行性研究與分析。
 - (8) 期貨相關專題之研究。
 - (9) 聯繫、跟催委外專案研究之進度。
 - (10) 蒐集及分析兩岸期貨業務之相關資訊。
 - (11) 兩岸期貨組織之聯繫及交流事宜。
 - (12) 會員公司宣傳資料與廣告物之審查。
 - (13) 會員公司業務及財務之查察與輔導。
 - (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
 - (15) 執行自律規定及主管機關交辦事項之稽查作業。
 - (16) 各會員公司營業據點場地、設備查驗。

- 業務二組
辦理期貨服務事業（不含期貨交易輔助人）、槓桿交易商及國際期貨業務相關之下列工作：
 - (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
 - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
 - (3) 蒐集業界資料，掌握市場動態。
 - (4) 檢討期貨法令並提出改進建議。
 - (5) 提供會員公司期貨業務法規諮詢服務。
 - (6) 自律規定之研議。
 - (7) 新種業務之可行性研究與分析。
 - (8) 期貨相關專題之研究。
 - (9) 聯繫、跟催委外專案研究之進度。





- **Business Development Section I:**
To handle the business of futures companies, futures (securities) introducing brokers, and cross-straits issues:
 - (1) To arrange meetings of the Futures Brokerage Committee, Futures Proprietary Committee, Cross-straits Affair Committee, and Discipline Committee
 - (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
 - (3) To gather information about market trend and industrial development
 - (4) To review futures-related laws and, when necessary, propose suggestion for improvement
 - (5) To provide legal consulting services to member companies
 - (6) To propose or make revisions to self-discipline rules
 - (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
 - (8) To make research on futures-related topics
 - (9) To arrange out-sourcing research projects
 - (10) To gather and analyze the information about cross-straits issues
 - (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits
 - (12) To review the advertisement and promotional materials of member companies
 - (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
 - (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
 - (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
 - (16) To make inspection on the business locations and facilities of member companies
- **Business Development Section II**
To handle issues regarding futures-related business (excluding those of futures introducing brokers), leveraged trading, and international affairs:
 - (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee
 - (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
 - (3) To gather information about market trend and industrial development
 - (4) To review futures-related laws and, when necessary, propose suggestion for improvement
 - (5) To provide legal consulting services to member companies
 - (6) To propose or make revisions to self-discipline rules
 - (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
 - (8) To make research on futures-related topics
 - (9) To arrange out-sourcing research projects
 - (10) To gather and analyze the information about international futures issues



- (10) 蒐集及分析國際期貨業務之相關資訊。
- (11) 國際期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

● 推廣訓練組

- (1) 從業人員在職與相關訓練。
- (2) 期貨業務講座、說明會等教育宣傳活動。
- (3) 專題研究、公聽會及研討會之發表。
- (4) 配合時事，研擬專案性之文宣活動。
- (5) 刊物之編輯與發行事宜。
- (6) 會員之會籍、負責人與業務員登錄及管理。
- (7) 業務員工作證換發作業。
- (8) 教育訓練委員會開會事宜。

● 行政管理組

- (1) 採購驗收、財產保管。
- (2) 資訊系統規劃建置及維護。
- (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
- (4) 檔案管理、收發文。
- (5) 各種活動支援。
- (6) 人事、總務、行政、文書等作業。
- (7) 會員公司及從業人員之聯誼或康樂活動。
- (8) 會員大會、理監事會開會事宜。
- (9) 財務開會事宜。
- (10) 期貨交易糾紛調處事項。





- (11) To arrange the interaction between the Association and international futures associations
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

● Education & Training Section

- (1) To arrange pre-job and on-job training courses for futures workers
- (2) To arrange futures lecturers, seminars, and other promotional activities
- (3) To publish the reports of research projects, public hearing, or seminars
- (4) To arrange activities for advocating special news or research topic
- (5) To compile and publish publications
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies
- (7) To arrange renewal of job certificates of Association's staff workers
- (8) To arrange meetings of the Education & Training Committee

● Administrative Management Section

- (1) To handle procurement issues and safeguard Association's properties
- (2) To arrange IT equipment procurement, maintenance, and management
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues
- (4) To handle mails and keep documents
- (5) To provide financial support to Association-held activities
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents
- (7) To arrange social activities for employees of member companies and the Association's working staff
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors
- (9) To arrange meetings of the Financial Committee
- (10) To mediate disputes about futures trading



理監事名錄 Board Members and Supervisors

理事名錄

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	理事長 Chairman	陳佩君 Pei-chun Chen	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
2	常務理事 Executive Director	王中愷 Steve Wang	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.
3	常務理事 Executive Director	李文柱 Wen-chu Lee	群益期貨股份有限公司 Capital Futures Corp.
4	常務理事 Executive Director	周武華 Alex Chou	國票期貨股份有限公司 Waterland Futures Co., Ltd.
5	常務理事 Executive Director	周筱玲 Carolyn Chou	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
6	常務理事 Executive Director	林家進 Roger Lin	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
7	常務理事 Executive Director	陳瑞珪 Jui-chueh Chen	凱基期貨股份有限公司 KGI Futures Co., Ltd.
8	常務理事 Executive Director	黃正雄 Thomas Huang	元富期貨股份有限公司 MasterLink Futures Corp.
9	常務理事 Executive Director	黃奕銘 Yiming Huang	統一期貨股份有限公司 President Futures Corp.
10	常務理事 Executive Director	楊俊宏 June-hong Yang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
11	常務理事 Executive Director	葉光章 Kuang-chang Yeh	康和期貨股份有限公司 Concord Futures Corp.
12	理事 Director	毛振華 Edward Mao	群益期貨股份有限公司 Capital Futures Corp.
13	理事 Director	何家瑜 Ho, Chia-Yu	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.
14	理事 Director	李逸源 Yih-yuan Lee	美好證券股份有限公司 Good Finance Securities Co., Ltd.
15	理事 Director	林添富 Tien-Fu Lin	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
16	理事 Director	林獻群 Leo Lin	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.
17	理事 Director	姜克勤 Ken Jiang	宏遠證券股份有限公司 Horizon Securities Co., Ltd.
18	理事 Director	凌墉宏 Ling, Yung-Hung	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
19	理事 Director	康景泰 Ching-Tai Kang	康和期貨股份有限公司 Concord Futures Corp.
20	理事 Director	張建中 Pond Chang	瑞士商瑞士信貸銀行股份有限公司台北證券分公司 Credit Suisse AG, Taipei Securities Branch
21	理事 Director	張清發 Fred Chang	元富證券股份有限公司 MasterLink Securities Corporation
22	理事 Director	張禮賢 Li-hsien Chang	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
23	理事 Director	莊智宏 Chih-hung Chuang	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.
24	理事 Director	許文義 fama Hsu	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.
25	理事 Director	許瑞立 Jurry Hsu	安泰證券股份有限公司 Antay Securities Co., Ltd.
26	理事 Director	郭晃庭 Huan-ting Kuo	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.
27	理事 Director	陳其緯 Chi Wei Chen	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.
28	理事 Director	陳宜宗 Yi-tsung chen	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
29	理事 Director	陳致全 Jeremy C.C. Chen	第一金證券股份有限公司 First Securities Inc
30	理事 Director	陳清德 Ching-Te Chen	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
31	理事 Director	黃信一 Sean Huang	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.
32	理事 Director	黃凱琳 Karen Huang	國泰期貨股份有限公司 Cathay Futures Corp.
33	理事 Director	黃榕瑄 Mandy Huang	台灣摩根士丹利證券股份有限公司 Morgan Stanley Taiwan Limited
34	候補理事 Alternate Director	吳明宗 Wu, Ming-Tsung	兆豐證券股份有限公司 Mega Securities Co., Ltd.
35	候補理事 Alternate Director	金志倫 Alan Kin	國泰期貨股份有限公司 Cathay Futures Corp.
36	候補理事 Alternate Director	耿世鈞 Geng, Shih-Chun	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
37	候補理事 Alternate Director	許人祥 Mark Hsu	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.
38	候補理事 Alternate Director	許介偉 Charly Hsu	國票期貨股份有限公司 Waterland Futures Co., Ltd.
39	候補理事 Alternate Director	許國村 Alex Hsu	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
40	候補理事 Alternate Director	陳順揚 Shunyang Chen	元富期貨股份有限公司 MasterLink Futures Corp.
41	候補理事 Alternate Director	黃維本 Webber Huang	群益期貨股份有限公司 Capital Futures Corp.
42	候補理事 Alternate Director	賈景陽 Chia, Cheng-Yang	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
43	候補理事 Alternate Director	劉承志 Arch Liu	富邦期貨股份有限公司 Fubon Futures Co., Ltd.
44	候補理事 Alternate Director	謝政雄 Hsieh, Cheng-Hsiung	合作金庫證券股份有限公司 Taiwan Cooperative Securities Co., Ltd.

註：以上資料以111.12.31為準，依公會職稱、姓氏筆劃排序。



監事名錄

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)
1	監事會召集人 Supervisor Convener	張雅斐 Phoebe Chang	日盛期貨股份有限公司 Jihsun Futures Co., Ltd.
2	常務監事 Executive Supervisor	宋政憲 Anson Sung	國泰期貨股份有限公司 Cathay Futures Corp.
3	常務監事 Executive Supervisor	李全才 Mario Lee	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
4	監事 Supervisor	王登立 Tengli Wang	大展證券股份有限公司 Ta Chan Securities Co., Ltd.
5	監事 Supervisor	李綱 Douglas Lee	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Ltd.
6	監事 Supervisor	陳柏榮 Bo-jung Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.
7	監事 Supervisor	曾幼敏 Yu-ming Tseng	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch
8	監事 Supervisor	黃俊仁 Jun-jen Huang	統一綜合證券股份有限公司 President Securities Corp.
9	監事 Supervisor	楊宗來 Tsung-lai Yang	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.
10	監事 Supervisor	詹正恩 Cheng-en Chan	光和證券股份有限公司 Kuanzho Securities Corp.
11	監事 Supervisor	糜以雍 Falco Mi	凱基期貨股份有限公司 KGI Futures Co., Ltd.
12	後補監事 Alternate Supervisor	王文浩 Wen-Hao Wang	康和期貨股份有限公司 Concord Futures Corp.
13	後補監事 Alternate Supervisor	吳皇旗 Huang-chi Wu	統一期貨股份有限公司 President Futures Corp.
14	後補監事 Alternate Supervisor	侯青志 Chris Ho	凱基期貨股份有限公司 KGI Futures Co., Ltd.

註：以上資料以111.12.31為準，依公會職稱、姓氏筆劃排序。