

日期 Date	項目 Event
1/13	第6屆防制洗錢暨法遵委員會第2次會議 The 2 nd meeting of the 6 th AML & Law Compliance Committee
1/13	公平待客原則分享會 Experience-sharing seminar on “Fair Dealing Policy”
1/14	108年度歲末聯誼活動 2019 year-end gala dinner
1/14	「研議交易人交易額度核給評估作業」第2次專案會議 The 2 nd special project meeting on “Guidelines for assessing trading quotas of futures traders.”
1/16	第6屆信託業務委員會第3次會議 The 3 rd meeting of the 6 th Futures Trust Committee
2/4	第6屆經紀業務委員會第3次會議 The 3 rd meeting of the 6 th Futures Brokerage Committee
2/6	第6屆財務委員會第3次會議 The 3 rd meeting of the 6 th Financial Committee
2/21	第6屆理監事第4次聯席會議 The 4 th joint meeting of the 6 th board of Executive Directors and Supervisors
2/25	「期貨業未來發展與就業機會」校園巡迴講座-講師座談會 “Futures Market Outlook and Job Opportunities” campus lecture tour—workshop of lecturers
2/27	「研議交易人交易額度核給評估作業」第3次專案會議 The 3 rd special project meeting on “Guidelines for assessing trading quotas of futures traders.”
3/10	「期貨業未來發展與就業機會」校園巡迴講座-僑光科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Overseas Chinese University
3/18	「期貨業未來發展與就業機會」校園巡迴講座-聯合大學 “Market Outlooks and Job Opportunities of Futures Industry” campus lecture tour—National United University
3/20	司法學院第66期準司法官來訪 Visit of 66 th -session quasi-judiciaries from Academy for the Judiciary
3/24	第6屆防制洗錢暨法遵委員會第3次會議 The 3 rd meeting of the 6 th AML & Law Compliance Committee
3/24	「期貨業未來發展與就業機會」校園巡迴講座-中華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chung Hua University
3/25	第6屆紀律委員會第3次會議 The 3 rd meeting of the 6 th Discipline Committee
3/26	「期貨業未來發展與就業機會」校園巡迴講座-銘傳大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Ming Chuan University

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3/27	「期貨業未來發展與就業機會」校園巡迴講座-中正大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chung Cheng University
3/31	第6屆經紀業務委員會第4次會議 The 4 th meeting of the 6 th Futures Brokerage Committee
4/8	「期貨業未來發展與就業機會」校園巡迴講座-南華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Nanhua University
4/15	「期貨業未來發展與就業機會」校園巡迴講座-輔仁大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Fu Jen Catholic University
4/15	「期貨業未來發展與就業機會」校園巡迴講座-長榮大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chang Jung Christian University
4/17	第6屆理監事第5次聯席會議 The 5 th joint meeting of the 6 th board of Executive Directors and Supervisors
4/21	「期貨業未來發展與就業機會」校園巡迴講座-淡江大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tamkang University
4/24	「期貨業未來發展與就業機會」校園巡迴講座-宜蘭大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Ilan University
4/27	ANC專案小組第1次會議 The 1 st meeting of ANC Project Group
4/28	期貨ETF風險管理研討會 Risk control seminar for ETF futures
4/29	「期貨業未來發展與就業機會」校園巡迴講座-朝陽科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chaoyang University of Technology
5/1	109年度證券期貨盃高爾夫球錦標賽聯誼活動 Securities & Futures Golf Championship 2020
5/4	「期貨業未來發展與就業機會」校園巡迴講座-實踐大學(高雄) “Futures Market Outlook and Job Opportunities” campus lecture tour—Shih Chien University (Kaohsiung campus)
5/6	「期貨業未來發展與就業機會」校園巡迴講座-真理大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Aletheia University
5/7	「期貨業未來發展與就業機會」校園巡迴講座-環球科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—TransWorld University



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5/8	「期貨業未來發展與就業機會」校園巡迴講座-雲林科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Yunlin University of Science & Technology
5/12	「期貨業未來發展與就業機會」校園巡迴講座-南台科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Southern Taiwan University of Science & Technology
5/13	「期貨業未來發展與就業機會」校園巡迴講座-元智大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Yuan Ze University
5/14	「研議交易人交易額度核給評估作業專案會議」進度說明會 Seminar on proceeding of “Special Project of Guidelines for assessing trading quotas of futures traders”
5/18	「期貨業未來發展與就業機會」校園巡迴講座-中山大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Sun Yat-sen University
5/19	「期貨業未來發展與就業機會」校園巡迴講座-文化大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Chinese Culture University
5/20	ANC工作小組第1次會議 The 1 st meeting of ANC Working Group
5/21	「期貨業未來發展與就業機會」校園巡迴講座-台北商業大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Business
5/28	期貨信託基金風險管理會議 Risk-control meeting for futures trust funds
5/28	ANC工作小組第2次會議 The 2 nd meeting of ANC Working Group
6/4	ANC專案小組第2次會議 The 2 nd meeting of ANC Project Group
6/17	期貨信託基金風險控管委員暨信託業務委員聯席會議 Joint meeting of Futures Trust Fund Risk Control Committee and Futures Trust Committee
6/17	第6屆經紀業務委員會第5次會議 The 5 th meeting of the 6 th Futures Brokerage Committee
6/18	第6屆紀律委員會第4次會議 The 4 th meeting of the 6 th Discipline Committee
6/30	新思維的金融資安技術解析及案例說明會-台北場 Seminar on “New thinking on fintech security and case illustration” —Taipei
7/1	第6屆信託業務委員會第4次會議 The 4 th meeting of the 6 th Futures Trust Committee
7/2	新思維的金融資安技術解析及案例說明會-台中場 Seminar on “New thinking on fintech security and case illustration” —Taichung
7/7	新思維的金融資安技術解析及案例說明會-高雄場 Seminar on “New thinking on fintech security and case illustration” —Kaohsiung

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7/10	第6屆理監事第6次聯席會議 The 6 th joint meeting of the 6 th board of Executive Directors and Supervisors
7/15	第6屆財務委員會第4次會議 The 4 th meeting of the 6 th Financial Committee
7/23	第6屆稽核委員會第2次會議 The 2 nd meeting of the 6 th Auditing Committee
7/24	2020 LME實務研討會-台北場 LME Practice Seminar 2020—Taipei
7/28	洗錢防制/打擊資恐 法令解析及案例說明會-台北場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taipei
7/31	2020 臺灣資本市場論壇 Taiwan Capital Market Forum 2020
7/31	第6屆第2次會員大會 The 2 nd General Assembly of the 6 th -session NAFA Members
8/3	第6屆防制洗錢暨法遵委員會第4次會議 The 4 th meeting of the 6 th AML & Law Compliance Committee
8/4	第6屆經紀業務委員會第6次會議 The 6 th meeting of 6 th Futures Brokerage Committee
8/4	第6屆經紀、顧問、經理、信託及槓桿交易業務委員會第1次聯席會議 The 1 st joint meeting of the 6 th -session Futures Brokerage, Futures Advisory, Managed Futures, and Leveraged-trading Committees
8/6	洗錢防制/打擊資恐 法令解析及案例說明會-台中場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Taichung
8/6	第6屆槓桿交易業務委員會第2次會議 The 2 nd meeting of 6 th Leveraged-trading Committee
8/13	洗錢防制/打擊資恐 法令解析及案例說明會-高雄場 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —Kaohsiung
8/20	裁罰案例研習課程 Course of Penalty Case Studies
8/21	第6屆理監事第7次聯席會議 The 7 th joint meeting of the 6 th board of Executive Directors and Supervisors
8/21	金融業企業誠信及法令遵循研討會 Conference on corporate Integrity and law compliance of financial institutions
8/28	「研商代為沖銷作業」-法務或法遵人員會議 “Discussion on making write-off on behalf of clients” —meeting of legal affairs and law compliance workers
9/1	「研商代為沖銷作業」-代為沖銷業務主管會議 “Discussion on making write-off on behalf of clients” —meeting of executives in charge of write-off business
9/7-9/10	理監事聯誼活動-第1梯 Friendship activity for executive directors and supervisors—the 1 st group
9/24	第6屆顧問業務委員會第2次會議 The 2 nd meeting of 6 th Futures Advisory Committee



日期 Date	項目 Event
9/25	第6屆自營業務委員會第2次會議 The 2 nd meeting of the 6 th Proprietary Futures Commission Merchant Committee
9/25	第6屆國際事務委員會第2次會議 The 2 nd meeting of the 6 th International Affairs Committee
9/25	校園金融講堂及期貨校園徵才活動-交通大學場 Campus activities of “Financial Podium” & futures talent recruitment—National Chiao Tung University
9/29	金融資安資訊分享與分析中心(F-ISAC)簡報會議 Meeting for sharing experience in fintech security management and briefing of F-ISAC
9/30	第6屆經紀業務委員會第7次會議 The 7 th meeting of the 6 th Futures Brokerage Committee
10/4-10/7	理監事聯誼活動-第2梯 Friendship activity for executive directors and supervisors—the second group
10/8	第6屆財務委員會第5次會議 The 5 th meeting of the 6 th Financial Committee
10/8	期貨商查核案例及缺失改善宣導說明會 Presentations on cases of “how to check operations of futures commission merchants and help them make improvement”
10/14	校園金融講堂及期貨校園徵才-中山大學場 Campus activities of “Financial Podium” & futures talent recruitment—National Sun Yat-sen University
10/16	第6屆理監事第8次聯席會議 The 8 th joint meeting of the 6 th Board of Executive Directors and Supervisors
10/17	「研商代為沖銷作業」-理監事專題研討會 “Discussion on making write-off on behalf of clients” —special project meeting of executive directors and supervisors
10/17-10/18	委員會聯誼活動-第1梯 Friendship activity for committee members—the 1 st group
10/19	「金融消費者保護法令解析與最新趨勢~以公平待客原則為中心」說明會 Seminar on “Financial consumer protection regulation explanation and latest development trend—focused on fair dealing policy”
10/24	2020年金融服務愛心公益嘉年華-屏東場 Financial Service and Charity Carnival 2020 (in Pintung)
10/30	2020台北國際期貨論壇 Taipei International Futures Forum 2020
10/31-11/1	委員會聯誼活動-第2梯 Friendship activity for committee members—the 2 nd group
11/7	從業人員登山健行聯誼活動 Friendship hiking activity for futures workers
11/13	第6屆防制洗錢暨法遵委員會第5次會議 The 5 th meeting of the 6 th AML & Law Compliance Committee
11/13	疑似洗錢態樣實務交流與分享會 Experience-sharing seminar on “Suspicious money laundry and AML practice”
11/18	「期貨市場採用BIS計提風險資本之可行性分析」期中報告 Mid-term report of research project on “Feasibility of applying BIS capital ratio to futures industry”

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11/20	2020 LME實務研討會-高雄場 LME Practice Seminar 2020 --Kaohsiung
11/24	第6屆顧問業務委員會第3次會議 The 3 rd meeting of the 6 th Futures Advisory Committee
11/24	數位身分識別證教育訓練 New eID training course
11/25	第6屆紀律委員會第5次會議 The 5 th meeting of the 6 th Discipline Committee
11/26	第6屆信託業務委員會第5次會議 The 5 th meeting of the 6 th Futures Trust Committee
11/27	第6屆兩岸事務委員會第2次會議 The 2 nd meeting of the 6 th Cross-straits Affairs Committee
11/27	公平交易法專題講座 Lecture on Fair Trade Act
11/27-11/29	2020台北·金融博覽會 2020 Taipei FinExpo
11/28	2020年金融服務愛心公益嘉年華-嘉義場 Financial Service and Charity Carnival 2020 (in Chiayi)
11/30	第6屆槓桿交易業務委員會第3次會議 The 3 rd meeting of the 6 th Leveraged-trading Committee
12/2-12/5	理監事自強活動-第1梯 Physical-training activity for executive directors and supervisors—the 1 st group
12/2	第6屆教育訓練委員會第2次會議 The 2 nd meeting of the 6 th Education & Training Committee
12/3	組合及拆解內控作業座談會 Workshop on combination and splitting of internal control operations
12/4	第6屆稽核委員會第3次會議 The 3 rd meeting of the 6 th Auditing Committee
12/6-12/9	理監事自強活動-第2梯 Physical-training activity for executive directors and supervisors—the 2 nd group
12/7	API 開發訓練課程 Training course on API exploration
12/8	第6屆財務委員會第6次會議 The 6 th meeting of the 6 th Financial Committee
12/10	第6屆經紀業務委員會第8次會議 The 8 th meeting of the 6 th Future Brokerage Committee
12/11	第6屆自營業務委員會第3次會議 The 3 th meeting of the 6 th Proprietary Future Commission Merchant Committee
12/18	第6屆理監事第9次聯席會議 The 9 th joint meeting of the 6 th board of Executive Directors and Supervisors
12/30	「研商代為沖銷作業」-風控主管會議 “Discussion on making write-off on behalf of clients” —meeting of risk-control executives