

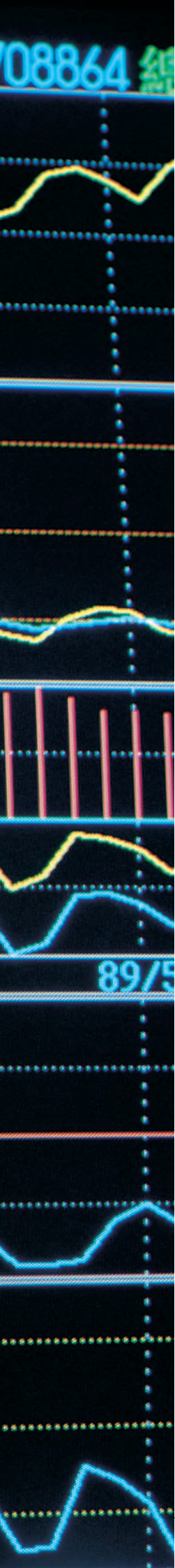
110年報

Annual Report 2021



中華民國期貨業商業同業公會
Chinese National Futures Association

111年四月編製



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壹

序言



創新思維 開創新機

回顧2021年，仍不算平靜的一年。自2019年爆發新冠肺炎以來，病毒不斷變種，至今無法平息疫情，導致國際動盪不安。然而，因疫情期間行情波動加劇，加上交易者對零接觸的數位服務需求大幅上升，促使原本數位轉型已較其它產業快速的期貨市場，於疫情期間更得以進一步發展，國內期貨市場2021年交易量達3億9,220萬2,371口，較2020年3億4,139萬3,346口增加5,080萬9,025口，成長14.9%，再創新高。

為落實服務實體經濟並開創期貨新業務，本公會向主管機關建議開放期貨商設立商貿子公司從事LME倉單交換、避險等相關業務，並獲主管機關同意納入「資本市場藍圖」的推動項目中。為推動LME業務發展，本公會除委託武永生教授進行相關法律研究外，同時也於10月8日辦理「2021LME線上實務研討會」，安排業界專家現身說法，就交易規則、交割流程、倉儲作業及風險規避實務等議題分享經驗，並探討期貨商可扮演的角色及所面臨之挑戰，和與會嘉賓交流意見，期待能鼓動風潮，開創契機。

有鑒於槓桿交易在全球大行其道，可以連結的商品非常多，全球交易量高達5兆美元，為促進槓桿交易量，本公會建議主管機關開放更多元的槓桿交易商品及銷售管道，主管機關繼黃金及原油CFD後，再開放白銀及連結國外個股、指數、ETF之差價合約，並請櫃買中心持續研議其他開放措施。本公會也於5月6日辦理「2021槓桿交易業務發展趨勢與展望」研討會，安排業界專家分享經驗與交換意見，以期藉由各界的參與及交流，增進大家對店頭衍生品市場之認識，促進槓桿交易業務之蓬勃發展。

在開創新商品新業務的同時，面對電子化、數位化發展及年輕交易人的加入，相關資訊安全、公平待客及金融消費保護的議題日益重要，本公會也持續辦理多場的相關宣導及講座，以強化風險管理及消費者保護文化。此外，鑒於近期屢傳詐騙集團以LINE、社群媒體、簡訊誘騙民眾投資海內外股票或買賣期貨商品，或冒用業者及從業人員名義詐騙交易人，本公會亦於官網建置「反詐騙專區」，提供週邊單位相關新聞稿與媒體報導，加強對交易人示警。

新冠肺炎疫情不僅改寫人類生活模式，且利用網路開戶、交易及視訊溝通模式很可能成為未來生活的新常態，這將改變未來的產業發展趨勢，對期貨業而言是機會也是挑戰，本公會因應國際趨勢，除建議期交所推出小型新期貨契約外，並於11月26日2021台北國際期貨線上論壇，即以「後疫情時代期貨業未來發展趨勢與展望」為主題，邀請國內外著名期貨交易所與期貨商代表，就未來趨勢及實務觀點分享交流，也期待期貨業在後疫情時代能因應環境變化，創新思維，再創佳績

中華民國期貨業商業同業公會

理事長

廖以雍

New thinking, new opportunities

Looking back to 2021, we know it's not a year of stability. Since the outbreak of COVID-19 pandemic in 2019, the virus has changed to new variants for several times so that the pandemic persists without an end to the international instability. However, it accelerated market fluctuations. Plus the hiking demand of futures traders for non-contact digital services, it fueled growth of the futures market which, in fact, has already outpaced other financial sectors in terms of digitalization. The futures market saw further prosperous growth in 2021, with annual transactions amounting to 392.2 million contracts, significantly up 14.9%, or 50.8 million contracts, from the 341.39 million contracts of the previous year, at another record high.

To realize the services for physical economic activities and to create new futures businesses, the Chinese National Futures Association (CNFA) called on the competent authority to allow futures companies to set up affiliated trading companies able to do LME warehousing receipt swaps, risk-hedging, and other related businesses, and it has got the competent authority's nod to include this suggestion into the "Blueprint for Capital Market Development." In order to promote LME-related business, the CNFA commissioned Professor Hsu Yung-sheng to do research on related laws, and meanwhile held "Online LME Practice Seminars 2021" where experts were invited to speak on transaction rules, settlement procedures, warehousing operations, risk-hedging, among other related topics. While sharing their experiences, these experts also discussed the roles that futures companies could play in these businesses and exchanged viewpoints with participating guests in a bid to encourage development of this trend and trigger new business opportunities.

While leveraged trading is prevailing around the world, linked to a great variety of products, its global transaction amount has exceeded US\$5 trillion. In order to promote leveraged trading in Taiwan, the CNFA called on the government to allow even more products and open even more sales channels for this field. Following the CFD (contract for difference) for gold and crude oil, the government has opened silver, single foreign stock, market index, and ETF for CFD transaction. Meanwhile, it asked the TPEX to study and propose other market-opening measures. The CNFA held the "Leveraged Trading Development Trend and Prospect Seminar 2021" on May 6, inviting experts to share their experiences and exchange viewpoints with other guests. Hopefully, through the participation and interaction among those at the conference, everybody has gained a better understanding of the OTC-traded derivatives and thus leveraged trading will be further promoted in Taiwan.

While one after another new innovative product is launched, market digitalization is developing and increasing new breeds are joining this industry, the issues of information safety, fair dealing of customers, and financial consumer protection have become even more important. The CNFA had arranged several seminars and promotional campaigns to help futures companies strengthen their practices of risk management and consumer protection. Besides, regarding the repeated reports of financial scam gangs who used LINE, social media, messenger and other channels to induce people to trade domestic or foreign stocks and futures and even pretended to be legitimate market players to deceive futures traders. The CNFA has created an "Anti-Scam Area" on its website where related news and information are provided as reference of futures traders.

The COVID-19 pandemic has changed the lifestyle of human being, and it has become a new norm for people to use the Internet for opening new trading accounts, making transactions, holding online meetings, and so on. This change should influence future development of the industry. It's both a challenge and an opportunity for the futures industry. In cope with this new trend, the CNFA has called on the TAIEX to launch new small-sized futures contracts while set the theme "Futures Market Development Trend and Prospect in Post-pandemic Era" for the "Online Taipei International Futures Forum 2021" where experts from renowned international and domestic futures exchanges or futures companies were invited to share their experiences and viewpoints as well as make interactions with other forum participants, in a hope that the futures industry could well cope with the changes and use new thinking to create new achievements during the post-pandemic era.

Falco Mi

Chairman, CNFA

一、公會沿革

- 本公會前身為創立於民國84年4月17日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於93年6月21日升格改制為全國性單一公會，並於6月30日獲頒立案證書。
- 本公會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

二、設立宗旨

- 本公會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

三、本公會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

CNFA Introduction

A. CNFA History

- The Chinese National Futures Association (hereinafter referred to the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association first founded on April 17, 1995, as a national organization on June 21, 2004 and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.
- As a national self-disciplined organization, the Association handled members' license registrations, site equipment inspections, personnel training and other functions. Moreover, it assumes the responsibility as a self-regulatory body. For healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely reviews of industry personnel qualifications, site equipment inspections, member check-ups, as well as education and training for futures workers. It will actively coordinate the industry's unity and cooperation, promoting communication and interaction between the industry and the competent authorities, and under circumstances of sincere and positive, immaculate policies, lay a more solid foundation for the futures industry.

B. Goals

- The Association was established to protect the investing public, develop the national economy, coordinate intra-industry relations, and promote common benefits of the society.

C. Objectives

- To promote the full functioning of the futures market, working in step with the national economic development
- To make survey, compile statistics, and conduct R&D projects about the operations of member companies
- To make research and suggestions about government's policies and propose revisions to futures-related regulations
- To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement
- To arbitrate disputes among members
- To arrange career training courses and lectures for employees of member companies
- To supervise advertisements, exhibitions, and other promotional activities of member companies
- To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies
- To revise or renew licenses of member companies and handle related issues
- To organize or participate social welfare activities
- To protect legitimate rights and interest of member companies
- To take disciplinary actions against wrongful or illegal behaviors of member companies
- To offer the services on request of government agencies or non-profit organizations
- To take part in social activities
- To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders
- To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities which would mislead futures traders, clients, or other persons associated with futures trading
- To offer other related services on member company's requests in accordance with the government's policies and regulations

中華民國期貨業商業同業公會第六屆第三次會員大會

鉅業國際
法律事務所

監事會
陳召集人立

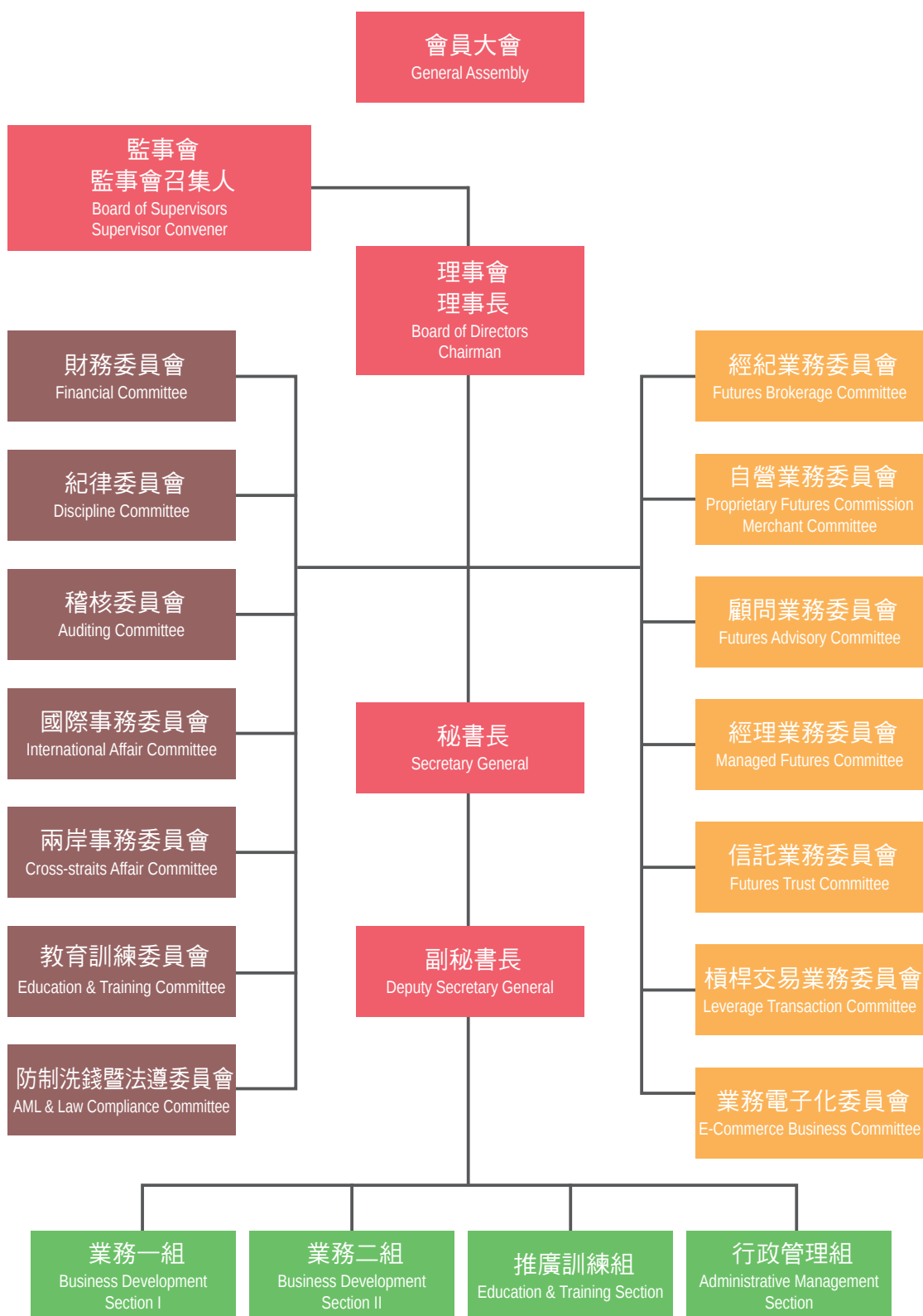
主席
廖理事長以雍





一、組織系統圖

A. Organizational Chart





二、組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計269位。

■成員：由會員代表組成。至110年12月31日止，本公會會員公司計有153家：

1. 專營期貨商14家
2. 兼營期貨商13家
3. 期貨交易輔助人43家
4. 國外複委託商1家
5. 期貨自營業務32家
6. 槓桿交易商5家
7. 期貨顧問業務30家
8. 期貨經理業務6家
9. 期貨信託業務9家

■執掌：為本公會最高決策機關。

■職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會

■成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。

B. Structure and Responsibilities

1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2021, a total of 269 member representatives were registered with the Association.

■ Member companies: the Association was composed of 153 member companies at the end of 2021:

1. Specialized futures brokerage companies: 14
2. Concurrent futures brokerage companies: 13
3. Futures introducing brokers (IB): 43
4. Foreign re-engaging brokers: 1
5. Proprietary futures commission merchants: 32
6. Leverage Transaction Merchant: 5
7. Futures advisory enterprises: 30
8. Managed futures enterprises: 6
9. Futures trust enterprises: 9

■ Authority: the General Assembly is the supreme policy-making body of the Association.

■ Responsibilities:

1. To elect or recall board members or supervisors
2. To make and revise CNFA Charter
3. To approve annual working plans, budget, auditing reports, and R&D projects
4. To review proposals approved at the meetings of board members, supervisors, or General Assembly
5. To take disciplinary actions against misbehaved member companies or member representatives
6. To trade properties of the Association
7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues
8. To approve dismissing of any board member or supervisor
9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies
10. To approve other issues associated with member's rights or obligations

2. Board of Directors

■ Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.

■ Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.



■職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本公會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分違反本公會章程第二十條規定之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會

■成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互推其中一人為監事會召集人。

■執掌：為本公會最高監察單位。

■職權：

1. 選舉及罷免常務監事。
2. 監察理事會執行會員大會之決議案。
3. 監察理事會會務執行情形。
4. 審核理事會各種會計報告書類。
5. 稽核理事會之經費收支狀況。
6. 向會員大會提出年度監察報告。
7. 議決常務監事及監事之自行辭任。
8. 其他依職責應辦之事項。

■ Responsibilities:

1. To convene the General Assembly and execute its decisions
2. To elect or recall chairman or executive directors
3. To approve resignation of chairman or board members
4. To approve registration, withdrawal, or nullification of membership or member representative
5. To make annual working plans, budget, auditing report, and R&D projects
6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers
7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations
8. To deal with the projects approved at meetings of supervisors
9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
10. To take disciplinary actions against the members who fail to pay membership fee
11. To handle issues in accordance with laws, CNFA Charter, or related regulations
12. To handle other related issues

3. Supervisors

■ Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.

■ Authority: it's the highest supervising body of the Association.

■ Responsibilities:

1. To elect or recall executive supervisors
2. To supervise the Board of Directors' execution of the projects approved by the General Assembly
3. To supervise the Board of Directors' execution of the Association's working plans
4. To review the accounting reports which have been approved by the Board of Directors
5. To review the financial reports which have been approved by the Board of Directors
6. To make annual supervisory report to the General Assembly
7. To approve resignation tendered by any executive supervisor or supervisor
8. To handle other related issues



(四)、委員會：設有經紀業務、自營業務、顧問業務、經理業務、信託業務、槓桿交易業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核、防制洗錢暨法遵、業務電子化等14個委員會。

■成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。

■執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

- 經紀業務委員會
 - (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
 - (2) 有關期貨交易人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨經紀業務之處理事項。
- 自營業務委員會
 - (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨自營業務之處理事項。
- 顧問業務委員會
 - (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
 - (2) 有關委任人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨顧問業務之處理事項。
- 經理業務委員會
 - (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
 - (2) 有關委任人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨經理業務之處理事項。
- 信託業務委員會
 - (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
 - (2) 有關受益人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨信託業務之處理事項。

4. Committees: The Association set up 14 committees, namely Futures Brokerage Committee, Proprietary Future Commission Merchant Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Leveraged-trading Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, Auditing Committee, AML & Law Compliance Committee, and E-Commerce Business Committee.

■ Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.

■ Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

Committees and their responsibilities:

- Futures Brokerage Committee
 - (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers
 - (2) To offer services to futures traders
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Proprietary Futures Commission Merchant Committee
 - (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them
 - (2) To make annual work plan for the committee
 - (3) To handle other related issues
- Futures Advisory Committee
 - (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business
 - (2) To offer services to clients of futures advisory enterprises
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Managed Futures Committee
 - (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business
 - (2) To offer services to clients of managed futures enterprises
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Futures Trust Committee
 - (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises
 - (2) To offer services to beneficiaries of futures trust fund
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues



- 槓桿交易業務委員會
 - (1) 有關槓桿交易業務之發展、聯繫、協調、改進事項。
 - (2) 有關交易人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關槓桿交易業務之處理事項。
- 紀律委員會
 - (1) 有關自律公約之擬訂、執行與督促會員自律事項。
 - (2) 有關會員職業道德之規範事項。
 - (3) 其他有關會員自律之處理事項。
- 教育訓練委員會
 - (1) 辦理期貨從業人員職前訓練及在職訓練事項。
 - (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
 - (3) 有關出版刊物之編輯事項。
 - (4) 有關期貨投資宣傳事項。
 - (5) 其他有關教育訓練、考試及核發證書之處理事項。
- 財務委員會
 - (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
 - (2) 有關財務之稽核事項。
 - (3) 有關預算、決算之編擬事項。
 - (4) 其他有關財務事宜之處理事項。
- 國際事務委員會
 - (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨國際業務之處理事項。
- 兩岸事務委員會
 - (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨兩岸業務之處理事項。
- 稽核委員會
 - (1) 有關期貨業內部控制制度之研討與建議事項。
 - (2) 有關期貨業內部稽核業務之研討與建議事項。
 - (3) 協商並解決期貨業共通性之稽核業務事項。
 - (4) 有關編擬年度工作計畫綱要事項。
 - (5) 其他有關稽核業務事宜之處理事項。

- Leverage Transaction Committee
 - (1) To improve and promote leveraged-trading business as well as handle interaction and coordination among futures companies and traders
 - (2) To offer services to futures traders
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Discipline Committee
 - (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies
 - (2) To help member companies comply with working ethics
 - (3) To handle other related issues
- Education & Training Committee
 - (1) To arrange pre-job and on-job training for futures workers
 - (2) To arrange visit, speech, lecture, leisure activity, sports, among others
 - (3) To handle publishing and editing matters
 - (4) To make advertise and promotional matters
 - (5) To handle training, examination, license issuance, and other education-related issues
- Financial Committee
 - (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues
 - (2) To handle auditing and related issues
 - (3) To make budget plan and deal with settlement issues
 - (4) To handle other related issues
- International Affair Committee
 - (1) To promote and coordinate issues about international affairs of the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues
- Cross-straits Affair Committee
 - (1) To promote and coordinate cross-straits issues about the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues



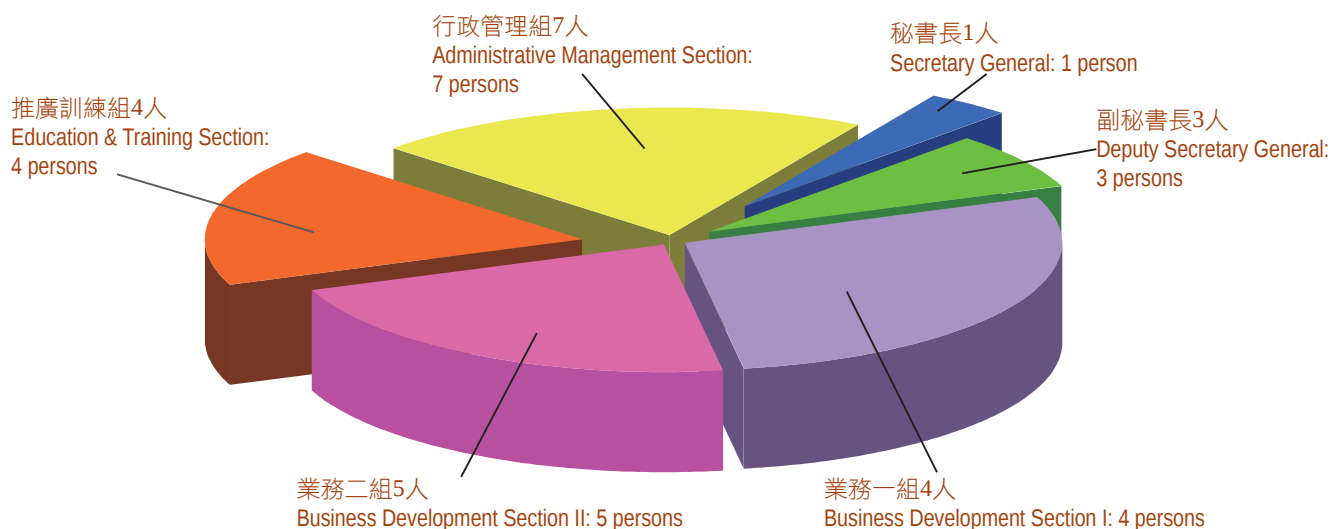
- 防制洗錢暨法遵委員會
 - (1) 有關期貨業法令遵循事務之研討與建議事項。
 - (2) 有關期貨業防制洗錢及打擊資恐之研討與建議事項。
 - (3) 協商並解決期貨業共通性之法令遵循事項。
 - (4) 協商並解決期貨業共通性之防制洗錢及打擊資恐事項。
 - (5) 有關編擬年度工作計畫事項。
 - (6) 其他有關防制洗錢及打擊資恐暨法令遵循事宜之處理事項。
- 業務電子化委員會
 - (1) 有關業務電子化業務之發展、聯繫、協調、改進事項。
 - (2) 有關業務電子化業務相關法規研討及建議事項。
 - (3) 有關交易人服務事項。
 - (4) 有關編擬年度工作計畫事項。
 - (5) 其他有關業務電子化事宜之處理事項。

(五) 會務人員：

- 分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

110年會務人員統計表

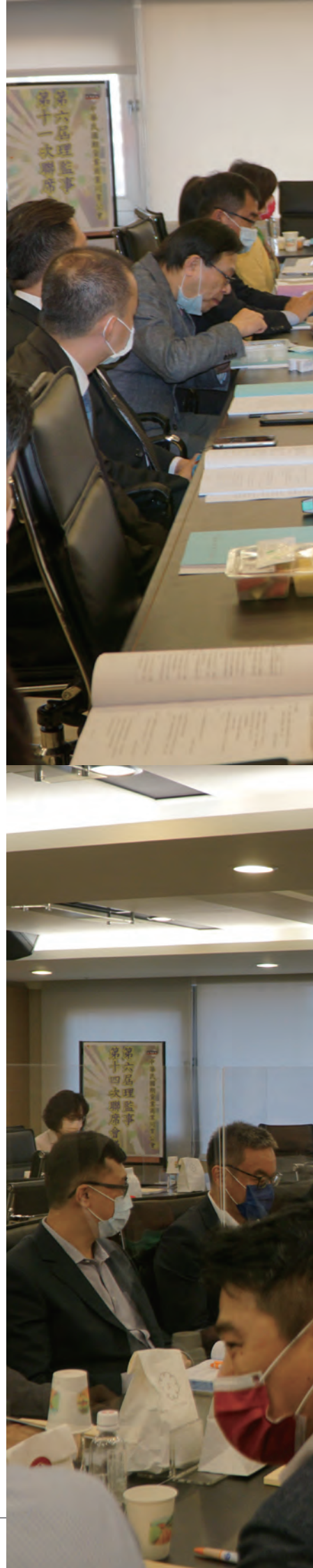
CNFA Administrative Staff in 2021



- Auditing Committee
 - (1) To make research on internal control system of futures companies and propose suggestions for improvement
 - (2) To make research on internal auditing system of futures companies and propose suggestions for improvement
 - (3) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems
 - (4) To make annual work plan for the committee
 - (5) To handle other related issues
 - AML & Law Compliance Committee
 - (1) To make research on law compliance-related issues and propose recommendations for improvement
 - (2) To make research on AML/CTF-related issues and propose recommendations for improvement
 - (3) To coordinate law-compliance issues and make solutions as standard guidelines for futures companies
 - (4) To coordinate AML/CTF-related issues and make solutions as standard guidelines for futures companies
 - (5) To make annual work plan for the committee
 - (6) To handle other related issues
 - E-Commerce Business Committee
 - (1) To handle the issues regarding digitalization promotion, communication, coordination, and improvement
 - (2) To handle research and recommended issues regarding digitalization business
 - (3) To provide digitalization-related services to traders
 - (4) To compile annual work plan for this committee
 - (5) To handle other related issues
5. Administrative staff: the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:



- 業務一組
辦理期貨商、期貨（證券）交易輔助人及海峽兩岸期貨業務相關之下列工作：
 - (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
 - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
 - (3) 蒐集業界資料，掌握市場動態。
 - (4) 檢討期貨法令並提出改進建議。
 - (5) 提供會員公司期貨業務法規諮詢服務。
 - (6) 自律規定之研議。
 - (7) 新種業務之可行性研究與分析。
 - (8) 期貨相關專題之研究。
 - (9) 聯繫、跟催委外專案研究之進度。
 - (10) 蒐集及分析兩岸期貨業務之相關資訊。
 - (11) 兩岸期貨組織之聯繫及交流事宜。
 - (12) 會員公司宣傳資料與廣告物之審查。
 - (13) 會員公司業務及財務之查察與輔導。
 - (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
 - (15) 執行自律規定及主管機關交辦事項之稽查作業。
 - (16) 各會員公司營業據點場地、設備查驗。
- 業務二組
辦理期貨服務事業（不含期貨交易輔助人）、槓桿交易商及國際期貨業務相關之下列工作：
 - (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
 - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
 - (3) 蒐集業界資料，掌握市場動態。
 - (4) 檢討期貨法令並提出改進建議。
 - (5) 提供會員公司期貨業務法規諮詢服務。
 - (6) 自律規定之研議。
 - (7) 新種業務之可行性研究與分析。
 - (8) 期貨相關專題之研究。
 - (9) 聯繫、跟催委外專案研究之進度。





● Business Development Section I:

To handle the business of futures companies, futures (securities) introducing brokers, and cross-straits issues:

- (1) To arrange meetings of the Futures Brokerage Committee, Proprietary Futures Commission Merchant Committee, Cross-straits Affair Committee, and Discipline Committee
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
- (3) To gather information about market trend and industrial development
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement
- (5) To provide legal consulting services to member companies
- (6) To propose or make revisions to self-discipline rules
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
- (8) To make research on futures-related topics
- (9) To arrange out-sourcing research projects
- (10) To gather and analyze the information about cross-straits issues
- (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

● Business Development Section II

To handle issues regarding futures-related business (excluding those of futures introducing brokers), leveraged trading, and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
- (3) To gather information about market trend and industrial development
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement
- (5) To provide legal consulting services to member companies
- (6) To propose or make revisions to self-discipline rules
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
- (8) To make research on futures-related topics
- (9) To arrange out-sourcing research projects



- (10) 蒐集及分析國際期貨業務之相關資訊。
- (11) 國際期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

● 推廣訓練組

- (1) 從業人員在職與相關訓練。
- (2) 期貨業務講座、說明會等教育宣傳活動。
- (3) 專題研究、公聽會及研討會之發表。
- (4) 配合時事，研擬專案性之文宣活動。
- (5) 刊物之編輯與發行事宜。
- (6) 會員之會籍、負責人與業務員登錄及管理。
- (7) 業務員工作證換發作業。
- (8) 教育訓練委員會開會事宜。

● 行政管理組

- (1) 採購驗收、財產保管。
- (2) 資訊系統規劃建置及維護。
- (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
- (4) 檔案管理、收發文。
- (5) 各種活動支援。
- (6) 人事、總務、行政、文書等作業。
- (7) 會員公司及從業人員之聯誼或康樂活動。
- (8) 會員大會、理監事會開會事宜。
- (9) 財務開會事宜。
- (10) 期貨交易糾紛調處事項。





- (10) To gather and analyze the information about international futures issues
- (11) To arrange the interaction between the Association and international futures associations
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

● Education & Training Section

- (1) To arrange pre-job and on-job training courses for futures workers
- (2) To arrange futures lecturers, seminars, and other promotional activities
- (3) To publish the reports of research projects, public hearing, or seminars
- (4) To arrange activities for advocating special news or research topic
- (5) To compile and publish publications
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies
- (7) To arrange renewal of job certificates of Association's staff workers
- (8) To arrange meetings of the Education & Training Committee

● Administrative Management Section

- (1) To handle procurement issues and safeguard Association's properties
- (2) To arrange IT equipment procurement, maintenance, and management
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues
- (4) To handle mails and keep documents
- (5) To provide financial support to Association-held activities
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents
- (7) To arrange social activities for employees of member companies and the Association's working staff
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors
- (9) To arrange meetings of the Financial Committee
- (10) To mediate disputes about futures trading



理監事名錄 Board Members and Supervisors

理事名錄

序號 (No.)	公會職稱 (Title)	姓 名 (Name)	會員名稱 (Company)
1	理事長 Chairman	糜以雍 Falco Mi	凱基期貨股份有限公司 KGI Futures Co., Ltd.
2	副理事長 Vice Chairman	林添富 Tien-Fu Lin	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
3	常務理事 Standing Director	王中愷 Steve Wang	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.
4	常務理事 Standing Director	李文柱 Wen-chu Li	群益期貨股份有限公司 Capital Futures Corp.
5	常務理事 Standing Director	康景泰 Ching- Tai Kang	康和期貨股份有限公司 Concord Futures Corp.
6	常務理事 Standing Director	陳宜宗 Yi-tsung chen	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
7	常務理事 Standing Director	黃奕銘 Yiming Huang	統一期貨股份有限公司 President Futures Corp.
8	常務理事 Standing Director	葉黃杞 Huang-chi Yeh	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
9	常務理事 Standing Director	詹正恩 Cheng-en Chan	光和證券股份有限公司 Kuanzho Securities Corp.
10	常務理事 Standing Director	羅壯豪 Johnson Lo	國泰期貨股份有限公司 Cathay Futures Corp.
11	理事 Director	毛振華 Edward Mao	群益期貨股份有限公司 Capital Futures Corp.
12	理事 Director	王文浩 Wen-Hao Wang	康和期貨股份有限公司 Concord Futures Corp.
13	理事 Director	王登立 Tengli Wang	大展證券股份有限公司 Ta Chan Securities Co., Ltd.
14	理事 Director	吳皇旗 Huang-chi Wu	統一期貨股份有限公司 President Futures Corp.
15	理事 Director	宋政憲 Anson Sung	國泰期貨股份有限公司 Cathay Futures Corp.
16	理事 Director	李全才 Mario Lee	華南期貨股份有限公司 HuaNan Futures Co., Ltd.
17	理事 Director	李綱 Douglas Lee	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Ltd.
18	理事 Director	周筱玲 Carolyn Chou	元大期貨股份有限公司 Yuanta Futures Co., Ltd.

序號 (No.)	公會職稱 (Title)	姓 名 (Name)	會員名稱 (Company)
19	理事 Director	林明杉 Vincent Lin	中國信託綜合證券股份有限公司 Chinatrust Securities Co., Ltd.
20	理事 Director	林家進 Roger Lin	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.
21	理事 Director	林禎民 Chen-Ming Lin	宏遠證券股份有限公司 Horizon Securities Co., Ltd.
22	理事 Director	侯青志 Chris Ho	凱基期貨股份有限公司 KGI Futures Co., Ltd.
23	理事 Director	洪三雄 Steven Hung	國票期貨股份有限公司 Waterland Futures Co., Ltd.
24	理事 Director	張禮賢 Li-hsien Chang	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
25	理事 Director	許國村 Alex Hsu	元大期貨股份有限公司 Yuanta Futures Co., Ltd.
26	理事 Director	陳佩君 Pei-chun Chen	兆豐期貨股份有限公司 Mega Futures Co., Ltd.
27	理事 Director	曾幼敏 Yu-ming Tseng	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch
28	理事 Director	黃正雄 Thomas Huang	元富期貨股份有限公司 MasterLink Futures Corp.
29	理事 Director	黃俊仁 Jun-jen Huang	統一綜合證券股份有限公司 President Securities Corp.
30	理事 Director	黃炳和 Arvin Huang	日盛期貨股份有限公司 Jihsun Futures Co., Ltd.
31	理事 Director	楊宗來 Tsung-lai Yang	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.
32	理事 Director	鄭大宇 David Cheng	康和期貨股份有限公司 Concord Futures Corp.
33	候補理事 Alternate Director	金志倫 Alan Kin	國泰期貨股份有限公司 Cathay Futures Corp.
34	候補理事 Alternate Director	許介偉 Charly Hsu	國票期貨股份有限公司 Waterland Futures Co., Ltd.
35	候補理事 Alternate Director	陳清德 Ching-Te Chen	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.

註：以上資料以110.12.31日為準，依公會職稱、姓氏筆劃排序。
監事名錄



序號 (No.)	公會職稱 (Title)	姓 名 (Name)	會員名稱 (Company)
1	監事會召集人 Supervisor Convener	陳 立 Li Chen	元富期貨股份有限公司 MasterLink Futures Corp.
2	常務監事 Standing Supervisor	許瑞立 Jurry Hsu	安泰證券股份有限公司 Antay Securities Co., Ltd.
3	常務監事 Standing Supervisor	陳其緯 Chi Wei Chen	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.
4	監事 Supervisor	林獻群 Leo Lin	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.
5	監事 Supervisor	莊智宏 Chih-hung Chuang	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.
6	監事 Supervisor	許人祥 Mark Hsu	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.
7	監事 Supervisor	陳柏榮 Bo-jung Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.
8	監事 Supervisor	陳瑞珏 Jui-chueh Chen	凱基期貨股份有限公司 KGI Futures Co., Ltd.
9	監事 Supervisor	黃信一 Sean Huang	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.
10	監事 Supervisor	楊俊宏 Junc-hong Yang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.

註：以上資料以110.12.31日為準，依公會職稱、姓氏筆劃排序。

一、關於期貨交易法令協助推行

A. Implementation of futures-related regulations

增修定範本、規則、申報書表

Formulating contract templates, guidelines, and report formats

1. 配合期貨顧問事業舉辦講座說明會邀請來賓之應遵守準則，修正期貨顧問事業內部控制制度標準規範「相關作業程序，及「期貨顧問事業於傳播媒體從事營業活動自行審核與申報作業程序」。

In support of the guidelines for futures advisory enterprises in inviting guests to attend the lectures or seminars they hold, the CNFA revised related regulations stipulated in the “Guidelines for Internal Control Mechanism of Futures Advisory Enterprises” as well as the “Self-examination and Reporting Procedures for Futures Advisory Enterprises in Conducting Profitable Activities on Mass Media.”

2. 於「期貨商暨槓桿交易者疑似洗錢、資恐或武擴交易態樣」增訂「客戶在一定期間交易之累計虧損達一定程度，而與其受核交易額度顯不相當，且客戶無法說明其資金來源」之態樣。

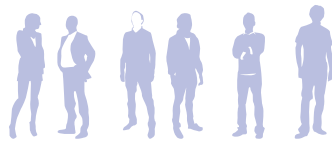
As for the “Types of transaction behaviors suspiciously involved with money-laundering/terrorist-financing/military-expansion conducted by clients of futures brokerage companies or leveraged transaction merchants,” the CNFA added a new type: When the clients have suffered losses to a certain level which is far deviated from the level of their approved transaction amount and couldn’t clarify the resource of their capital.”

3. 修正本公會「期貨商開戶徵信作業管理自律規則」，(1)增訂交易人知識評估及交易額度核給作業。(2)增訂70歲以上之交易人無須提供250萬元以上財力證明、並修正滿70歲及日後滿70歲且有交易之既有客戶重新評估作業。

The CNFA made revisions to the “CNFA Self-discipline Rules for Futures Companies in Checking Credibility of New Trading Account Applicant”: First, it added the guidelines on assessing the trader’s knowledge and the procedures in determining the trader’s maximum transaction amount; Second, it added the requirement that those new traders aged 70 or above are not required to provide a financial statement of an assets amount worth at least NT\$2.5 million and, at the same time, revised the guidelines for reassessing the qualifications of the existing active clients who have turned or are going to turn 70.

4. 依主管機關指示，修正期貨ETF信託契約範本第3條、第25條、第27條及增訂期貨信託事業受益憑證事務處理規則第12條之1、期貨信託事業辦理期貨ETF分割及反分割之作業規範。

In accordance with competent authority’s instruction, the CNFA made amendments to Articles 3, 25, and 27 of ETF Trust Contract Template, as well as added provisions to Article 12-1 of Guidelines for Futures Trust Enterprises in Handling Beneficiary Matters, “regarding split and reverse-split of ETF futures contracts.



5. 依主管機關指示，就期貨信託契約所定特殊情形，共同研議訂定啟動之標準作業流程，以及業者應配合明定相關之內部控制程序等，增訂「期貨信託事業運用期貨信託基金風險評量作業要點」第24條。

In accordance with competent authority's instruction regarding the special conditions stated in futures trust contracts, the CNFA discussed how to set forth the standard procedures for commencing operations and the internal control procedures applicable to the futures trust companies, and then added Article 24 to the "Guidelines for Assessing Risks Involved with Application of Futures Trust Funds by Futures Trust Enterprises."

6. 於本公會會員自律公約納入ESG責任投資相關內容，增訂期貨自營商及經紀商如有購買上市上櫃公司股票相關規劃，宜併予考量該公司落實公司治理之績效，以及是否善盡環境保護及社會責任。

As for the CNFA Self-discipline Rules for CNFA Member Companies, the CNFA included ESG (environment, social, governance) information to the requirements for responsible investment, adding that those proprietary futures commission merchants and brokerage companies which have planned to make stock investments should consider how to enhance the efficiency of corporate governance as well as how to well implement environment protection and social responsibilities.

7. 配合期交所開放期貨商可依交易人申請辦理同一交易人於同一期貨商不同交易帳戶間保證金撥轉乙案，訂定相關防範配套措施，研議修正本公會「期貨商暨期貨交易輔助人受理電話辦理保證金提領防範配套措施作業辦法」。

In support of the TAIFEX's policy that the futures company could allow a client to open multiple trading accounts at the same futures company and make transfer of deposit among these accounts, the CNFA proposed to make relevant regulations, revising the "CNFA Guidelines for Futures Brokerage Companies and Futures Introducing Brokers in Handling Client's Deposit Transfer or Withdrawal Request over Phone."

8. 為因應嚴重特殊傳染性肺炎(COVID-19)疫情變化，配合中央防疫中心調升防疫層級，訂定本公會期貨顧問事業、期貨經理事業及期貨信託事業因應流行疫情採取異地備援辦公或居家辦公作業指引。

In reaction to the changing COVID-19 pandemic situation, the CNFA supported the government's stricter pandemic control level, providing remote-work and back-up office guidelines for futures advisory enterprises, managed futures enterprises, and futures trust enterprises.

研議、建議事項 Proposals

1. 本公會於110年金融建言白皮書建議事項有：

(1) 開放多元化槓桿交易商品及銷售管道，促進槓桿交易業務發展：

- i. 鬆綁法規，開放更多元差價契約商品如連結外國指數、連結如大宗物資農產品、能源類或金屬類之差價契約等。
- ii. 開放期貨商及期貨交易輔助人（IB）受託買賣業務員得轉介客戶從事外匯保證金契約交易與差價契約交易，增加銷售管道。
- iii. 開放槓桿交易商代交易相對人結匯，提升作業效率。

(2) 開放期貨商轉投資子公司從事包括倉單買賣、倉單避險、倉單融資等商貿及風險管理服務業務。

The CNFA made following suggestions for Financial Whitepaper 2021:

1. To open diverse leveraged products and sales channels in order to boost leveraged transactions:

- i. To ease regulations and allow even more CFD (contract for difference) products such as those with underlying assets of foreign market index, agricultural commodity, product of energy or metal categories, and so on.
- ii. To allow brokers at futures brokerage company or futures IB to make referral of their clients to make foreign exchange margin trading or trade CFD, in a bid to create new sales channels.
- iii. To allow leverage trading merchants to make foreign exchange settlement on behalf of the traders in a bid to streamline settlement operations.

2. To allow futures companies to set up a trading company as subsidiary to do businesses of trading warehousing receipts, using warehousing receipts to hedge risks or get loans, as well as other trading-related businesses and risk management services.

2. 建議期交所取消期貨商及期貨交易輔助人(IB)營業場所外辦理開戶前置作業內部稽核人員應逐筆查核開戶作業之規定。

The CNFA suggested that the TAIFEX should remove the requirement that the internal auditing staff workers of futures brokerage companies or futures introducing brokers should check each item of the new trading applications filed outside their business sites.

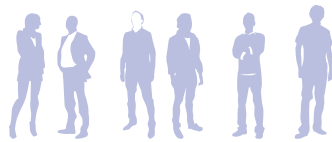
3. 基於證券及期貨之一致性管理，建議期交所修正期貨商、期貨交易輔助人內部人於營業處所使用網際網路委託必須使用內部網路之規定。

For consistency in management of securities investment and futures trading, the CNFA called on the TAIFEX to revise the regulation that the staff workers of futures brokerage companies or futures introducing brokers should use corporate's network system while handling clients' transaction orders at their business sites.

4. 為降低屬於期貨商內部人員之金融、證券業法人從事國外期貨自營交易資金過度集中之風險，建議主管機關「開放期貨商內部人員屬證券商，為從事國外期貨交易，得在非所屬期貨商開戶交易」。

In order to help those financial- or securities-related institutional investors of the futures companies reduce the risk of over centralizing their capital for trading foreign futures at one single trading account, the CNFA called on the competent authority to "allow them to open trading accounts at other futures brokerage companies for trading foreign futures."

5. 為提升法人資金調度及帳戶風險控管便利性，建議期交所修正「期貨商設置出金系統受理期貨交易人提領保證作業」未約定以保管機構辦理保證金權利金收付之法人，得透過期貨商之網際網路系統辦理出金。



In order to make it even more convenient for institutional traders to allocate their fund and manage the risks involved with their trading accounts, the CNFA called on the TAIEX to revise the “Procedures for Futures Companies in Handling Futures Trader’s Deposit Withdrawal,” stating that those institutional traders without accounts at the custodian banking institutions could make deposit withdrawal through the online system of their futures company.

6. 建議期交所修正「期貨商於營業場所外辦理開戶前置作業應行注意事項」及「期貨商委任期貨交易輔助人辦理營業場所外代理開戶前置作業要點」規定，開放期貨商及IB得於法人登記地址以外之營業處所辦理開戶前置作業。

The CNFA called on the TAIEX to revise the “Guidelines for Pre-account Opening Procedures by Futures Commission Merchants outside Their Place of Business” and the “Guidelines for Pre-account Opening Procedures by FCM-appointed Introducing Brokers outside the Place of Business,” to allow futures brokerage companies and futures IB to handle new trading account applicants at an outside place rather than their registered business site.

7. 建議期交所開放已開立期貨交易帳戶之期貨交易人得以電子交易憑證之電子化方式辦理新增、變更約定出入金銀行帳號作業。

The CNFA called on the TAIEX to allow those futures traders which have already opened trading accounts to use their electronic trading certificate to open new bank account or change their bank account for futures trading.

8. 建議期交所開放期貨商總、分公司間，或同一期貨交易輔助人各營業據點間得相互協助臨櫃受理交易人開戶資料變更申請。

The CNFA called on the TAIEX to allow the headquarters office and brand offices of futures brokerage companies or futures IB to support each other in handling the in-person application for changing trader’s information.

9. 為強化槓桿反向型期貨ETF投資人適合性，本公會依證交所來函協助提供「槓桿反向指數股票型期貨信託基金受益憑證交易檢核表」，俾便未來交易槓桿反向型期貨ETF投資人須完成測試，始得委託買賣槓桿反向型期貨ETF。

In order to ensure the qualification of traders in trading the leverage ETF or inverse ETF, the CNFA followed the TWSE’s instructions to provide the “Checklist of leverage and inverse ETF futures trust beneficiary certificate” which will be used to test leverage or inverse ETF trader’s qualifications. Traders won’t be qualified to trade such futures until passing the test.

10. 主管機關來函交辦與投信投顧公會規劃強化指數股票型基金追加募集之公平配售作業之規範及配套措施。

The CNFA received a written instruction from the competent authority, which required the CNFA to work with the Securities Investment Trust and Consulting Association (SITCA) in strengthening the rules and packaged measures to ensure fair sales network for the increased issuance of ETF fund.

11. 提供主管機關「期貨信託業事業管理規則」建議修正草案，建議期貨信託事業新增設置「風險管理」、「辦理其他經核准之業務」及「法令遵循」等職務，並配合修正業務部門範圍。

The CNFA provided the competent authority with a proposed amendment to the “Rules Governing Futures Trust Enterprises,” suggesting that futures trust enterprises should operate with the personnel in charge of “risk management,” “other approved businesses,” and “law compliance” and readjust their personnel structure in accordance with this amendment.

12. 為增加期貨商自有資金運用之靈活度及投資效益，建議主管機關開放專營期貨商運用自有資金得購買有投資其股份之事業所轉投資證券投資信託事業發行之證券投資信託基金。

In order to increase the freedom of futures company in using their own capital to make investment as well as to help them pursue higher investment returns, the CNFA called on the competent authority to allow specialized futures brokerage companies to use their own capital to purchase the securities investment funds issued by their affiliated securities investment enterprise.

13. 為強化期貨商資本及提升自身財務及營運上之風險承擔能力，建請主管機關發布期貨商管理規則第二十一條第一項第二款次順位公司債之具體發行相關規定。

In order to help futures companies enhance their capital structure as well as raise their risk-bearing capability, the CNFA called on the competent authority to publish rules on subordinated debt issuance as the second paragraph of Article 21.1 of the Rules Governing Futures Companies.

14. 目前各期貨經理事業財務報告，仍採傳統報紙方式公告，為顧及民眾查詢之便利性並簡化期貨經理事業作業流程，爰建請主管機關同意期貨經理事業簡明年度財務報告，得於臺灣期貨交易所網站公告。

While managed futures enterprises all still follow the traditional means to publish their financial reports on newspaper, the CNFA considered how to make it more convenient for the public to check their financial report as well as how to simply the means for managed futures enterprises to publish their financial reports. It has thus called on the competent authority to allow managed futures enterprises to publish their financial reports on the TAIEX website, starting from 2022.

15. 我國營業稅之本質為「行為稅」，係以銷售貨物或勞務之營業行為課稅，爰建請財政部同意經營期貨經理事業及期貨顧問事業相關收入，適用2%營業稅稅率規定，以維租稅公平。

In Taiwan, the business tax, also called sales tax, is the tax on behaviors, i.e., the tax is imposed on the business behaviors of selling goods or services. The CNFA called on the Ministry of Finance to make the business tax, set at 2%, applicable to managed futures enterprises and futures advisory enterprises for the sake of fair taxation.

16. 委託金融工程暨會計評價研究發展學會進行「期貨市場採用BIS計提風險資本之可行性分析」委外研究，並就研究報告所提ANC之修正意見請期交所納入「期貨商ANC專案小組」研議。

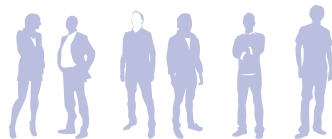
The CNFA commissioned the Financial Engineering & Accounting Assessment Research and Development Association to make research on “Feasibility of applying BIS capital ratio to futures industry” and then, based on its research, proposed ANC-related amendment to be discussed at the meeting of the TAIEX-organized “ANC Project Group.”

17. 為利期貨業國際業務之發展，委託元亨法律事務所進行「開放期貨商成立國際期貨業務分公司（OFU）」之研究。

In a bid to facilitate development of international futures business, the CNFA commissioned the LCC Partners Law Office to take on the research project on “Feasibility for futures companies to set up offshore futures units (OFU).”

18. 委託「財團法人金融法制暨犯罪防制中心」進行「地下期貨交易於司法實務之研究」，以防範地下期貨、維護交易人權益及協助期貨業長遠發展。

The CNFA commissioned the Institutions of Financial Law & Crime Prevention to conduct a research project on “Judicial practices on underground futures transactions,” in a bid to crack down illegal futures transactions, protect futures traders’ right, and secure the long-term stability of futures industry.



二、業務發展事項

B. Association activities

政令宣導 Policy Advocating

新思維的金融資安技術解析及案例說明會（3/31、4/7、4/14）

本公會為協助會員落實執行金融資安行動方案，邀請財金資訊公司就重大資安事件分析及威脅趨勢預測、金融資安行動方案進行分享，於北中南各辦理乙場，近200位資訊安全主管及業務相關人員與會。

“New thinking on fintech security and case illustration” seminar

In order to help CNFA Member Companies carry out the financial information security action plan, the CNFA invited financial information companies to introduce this action plan as well as share their experiences of major information security events and analyze hidden threats and future trend. The seminars were held in northern, central, and southern Taiwan, respectively, attended by nearly 200 information security executives and related workers.

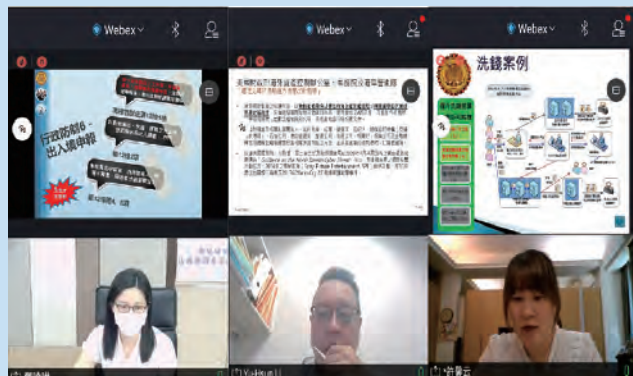


洗錢防制 / 打擊資恐 法令解析及案例說明會（9/1、9/7、9/14）

為協助會員瞭解洗錢防制與打擊資恐相關規範之落實方向與作為，並因應防疫需求，邀請檢調辦理4場線上直播說明會，說明相關法令規範、國際趨勢及實務工作，計530位洗錢防制專責主管及人員、督導主管、第一線執行業務之人員、法令遵循人員及內部稽核與會。

“AML/CTF Regulation Analysis and Case Illustration” seminar

In order to help CNFA Member Companies understand AML/CTF-related regulations and future development direction and in reaction to the demand for pandemic prevention, the CNFA invited prosecutors to give four online live lectures on the AML/CTF rules as well as international practices and trend, drawing a total of 530 participants including AML/CTF executives, supervisors, frontline workers, law compliance and internal auditing personnel.

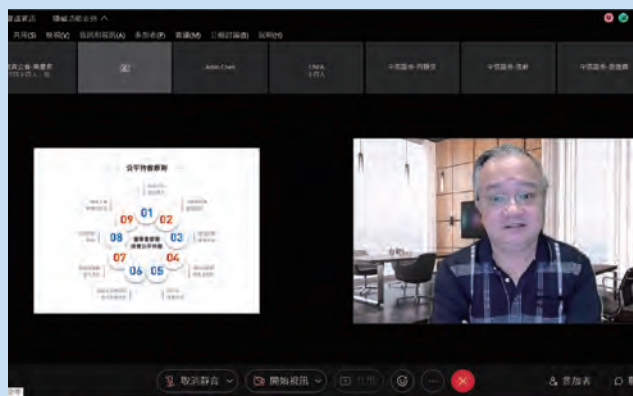


金融消費者保護法令解析與最新趨勢 - 以公平待客原則為中心（10/13、10/19）

為強化金融消費者保護，提昇從業人員遵法及公平合理對待客戶之意識及專業知識，本公會特邀法學專家辦理線上直播課程；計264位營業單位經理人、一線人員及法令遵循、內部稽核等上線參與課程。

Seminar on “Financial consumer protection regulation and latest development trend—focused on fair dealing policy”

In order to strengthen protection of financial consumers as well as enhance staff workers' awareness and professional knowledge about fair-dealing policy, the CNFA especially invited law scholars to give live lectures online, which were joined by 264 participants including division managers, frontline workers, law compliance and internal auditing personnel.



110年度裁罰案例研習課程（11/5、11/12）

於110年11月5日及12日分二班辦理受金融監督管理委員會裁罰公司從業人員訓練課程，邀請主管機關派員擔任講師，就相關法令及案例進行說明。

Course of Penalty Case Studies for 2021

On November 5 and 12, two training classes were offered for the employees from the FSC-penalized companies, inviting lecturers from competent authorities to speak on related regulations and make analysis on example cases.

社會服務 Social service

贊助110年度金融服務業教育公益基金

贊助由金管會指導，金融總會統籌辦理，各金融周邊、同業公會、控股公司及外商銀行，共同捐助成立「金融服務業教育公益基金」約新臺幣1億2仟萬元，其中1億元供發放教育獎助學金，110年提供獎助學金予1,535名學生，已於12月29日發放（每名5萬元），另2仟萬元供做辦理金融教育課程。為了讓學生不致因為經濟因素而中斷學業，讓學生有公平的受教機會，金融總會透過獎助學金發放，可讓學生有更寬廣的發展機會與空間。

贊助財團法人台北金融研究發展基金會「金鑽獎」活動（3/18）

為鼓勵基金市場上努力耕耘的業界精英、建立專業形象，並為廣大投資大眾檢視基金績效表現，本公會贊助第24屆「金鑽獎」除鼓勵基金從業人員的專業與努力，更希望透過關懷社會、順應趨勢，以提升投資大眾對基金、專業理財的認同。

“TFF-Bloomberg Best Fund Award” activity

In order to encourage elites of the mutual fund industry and establish professional images of the securities investment trust and advisory workers, and at the same time to examine the performance of the mutual funds in Taiwan, the CNFA sponsored the 24th “TFF-Bloomberg Best Fund Award” held by the Taipei Foundation of Finance (TFF). While encouraging fund workers to make efforts and pursue professionalism in this field, the award activity provides social care and acts in cope with the market trend to promote the public recognition on their efforts and professionalism.

成立證券期貨投資反詐騙諮詢專線，並於本公會網站建置「反詐騙」專區

為提供民眾反詐騙諮詢及加強宣導，本公會與券商公會、投信投顧公會共同研議設置證券期貨反詐騙諮詢專線，供民眾查詢合格業務員及公司是否為合法登記之證券、期貨及投信投顧業者；並於本公會網站建置「反詐騙」專區，提供相關宣導事項、會員澄清聲明管道及合法期貨業者資訊供交易者查詢。

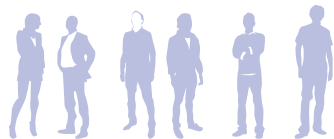
Setting up anti-scam service hotline for securities investors and futures traders; creating “Anti-Scam” area on CNFA website

In order to provide the public with anti-scam consulting services, the CNFA, Taiwan Securities Association (TSA) and Securities Investment Trust and Consulting Association (SITCA) jointly set up the anti-scam hotline, providing a channel for the public to inquire about legitimate securities firm, futures companies, securities investment trust enterprises, securities investment consulting enterprises, as well as legitimate sales agents. Meanwhile, the CNFA created the “Anti-Scam” area on its website which, while publishing scam-related information, makes a channel for CNFA Member Companies to make declarations and provides the information about operations of legitimate futures companies for futures traders to check out.

Charity educational fund for financial service industry in 2021

Proposed by the Taiwan Financial Services Roundtable (TFSR) under instruction of the FSC, with donation from financial-related industrial associations, holding companies, and foreign banks, the “charity educational fund for financial service industry” was founded with an initial capital of NT\$120 million, of which NT\$100 million is used to grant scholarship or financial subsidies. In 2021, a total of 1,535 students received the scholarship (granted on December 29; NT\$50,000 for each). The rest NT\$20 million is to be used for arranging financial training courses. In order to prevent interruption in student’s study at school due to economic problems as well as to provide students with fair education opportunities, the TFSR proposed the fund to provide students with even more opportunities and space for pursuing development in the future.





市場推廣活動 Market promotion activities

期貨商ANC專案小組會議（3/19、8/27）

依資本市場藍圖規劃，與期交所及業者代表共同組成專案小組，蒐集國外主要期貨市場風險資本計提相關資料及研議ANC計算方式之建議方案。

Meeting of ANC Project Group

In accordance with the “Blueprint for Capital Market Development,” the CNFA, TAIFEX, and representative futures companies jointly formed a project group to collect information about ANC (adjusted net capital) practices on major international futures markets as well as propose an ANC calculation formula for Taiwan’s futures market.

贊助辦理「2021臺灣資本市場論壇」（10/29）

為協助推動我國資本市場的發展，贊助自由時報於10月29日辦理之「2021臺灣資本市場論壇」，產官學以「政策對話」、「圓桌會議」方式提出觀點及建言，期能開創我國資本市場及數位金融發展與金融科技創新共榮的未來。

Sponsoring “Taiwan Capital Market Forum 2021”

In order to help promote development of Taiwan’s capital market, the CNFA sponsored the “Taiwan Capital Market Forum 2021” held by Liberty Times on October 29, where government officials, scholars, and industrial representatives discussed and raised suggestions through the forms of “Policy Dialogue” and “Roundtable Talks” during this forum, in a hope of pursuing a future of shared prosperity of the capital market, digital financial industry, and financial technology innovation in Taiwan.

「開放證券推動委員會～期貨小組會議」（11/24）

因應資本市場藍圖「推動證券期貨業之開放證券」政策目標，推派業者代表擔任集保「開放證券推動委員會」之委員，本公會成立「期貨小組」，並於11月24日召開「開放證券推動委員會～期貨小組會議」討論期貨業開放公開資料查詢之項目。

Meeting of futures group under “Securities Market Liberalization Commission”

In cope with the “securities & futures market liberalization” goal marked in the “Blueprint for Capital Market Development”, the CNFA designated representatives to join as members of the TDCC-organized “Securities Market Liberalization Commission” and meanwhile formed a futures group under this commission. The group held a meeting on November 24, discussing the items of futures-related information to be offered for the public to inquire about.

「交易人知識評估及交易額度核給作業」線上會議（8/3）

因應期貨商徵信作業新制將於111年4月21日上線，為使業者充分瞭解新制爰於8月3日召開「交易人知識評估及交易額度核給作業」線上會議，並將業者所提問題，彙整為「開戶徵信期貨商作業QA」提供業者參考。

Online conference on “Assessment of trader’s knowledge & trading quota granting procedures”

In cope with the new credibility-checking system for futures companies, scheduled to become effective on April 21, 2022, the CNFA held an online conference on “Assessment of trader’s knowledge & trading quota granting procedures” on August 3, and then compiled the questions raised at this conference as “Guidelines for checking credibility of futures trading account applicants—Q&A” as reference of futures companies.

「個股期貨專案小組」會議（11/23）

為利研議個股期貨風險控管及手續費議題，於11月23日召開「個股期貨專案小組」會議，邀請專、兼營期貨商推派代表參與，就相關議題進行討論，並彙整與會代表提出之建議提供主管機關及期交所參考。

Meeting of “Single Stock Futures” project group

To facilitate discussion of risk control and service fees for trading of single stock futures, the meeting of the “Single Stock Futures” project group was held on November 23, participated by representatives of both specialized and concurrent futures brokerage companies. They discussed related issues and compiled suggestions raised at this meeting as reference of the TAIFEX and competent authorities.

110年金融建言白皮書

參與金融總會主辦之金融建言白皮書各項會議，提出開放多元化槓桿交易商品及銷售管道、開放期貨商轉投資子公司從事包括倉單買賣、倉單避險、倉單融資等商貿及風險管理服務業務之建言。

Financial Whitepaper 2021

The CNFA participated each meeting held by the Taiwan Financial Services Roundtable (TFSR) and raised suggestions for Financial Whitepaper 2021, such as opening diverse products and diverse sales channels for leveraged futures, allowing futures companies to set up subsidiaries engaged on the businesses of trading warehouse receipts, using warehouse receipts to hedge risks or raise funds, among other issues involved with international trade and risk management services.



「2021槓桿交易業務發展趨勢與展望研討會」 (5/6)

擴大店頭衍生性商品市場為期貨產業未來發展重要課題，本公會邀請店頭衍生性商品專家，針對我國與國際槓桿交易業務之現況與展望分享經驗，並有多位重量級學者及專家參與座談，共吸引學界及期貨業等百餘位來賓參與交流。

“Leveraged Trading Development and Prospect Conference 2021”

How to expand derivatives transaction on the OTC market is a key issue regarding the future development of Taiwan's futures market. The CNFA invited derivatives experts to share their experience and make analysis on the status and prospect of both Taiwan and international leveraged transactions. This conference was joined by several heavyweight scholars and industrial leaders, drawing over 100 participants to join discussion and share viewpoints.

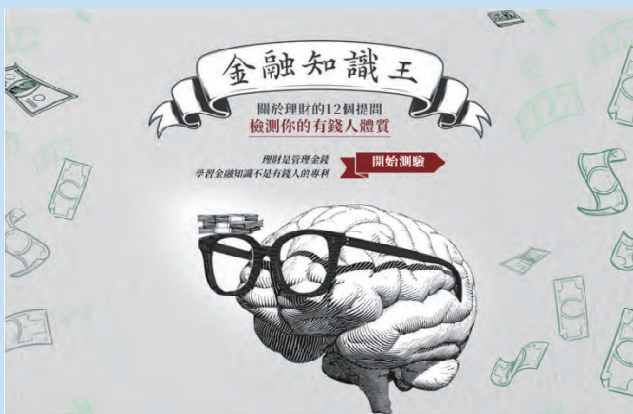


2021 LME線上實務研討會(10/8)

由本公會主辦、臺灣港務公司高雄分公司協辦的「2021 LME線上實務研討會」，安排業界專家現身說法，就交易規則、交割流程、倉儲作業及風險規避實務等議題分享經驗，並探討期貨商可扮演的角色及所面臨之挑戰，和與會嘉賓交流意見。共吸引230人參與交流。

Online LME Practice Seminar 2021

The LME seminar, organized by the CNFA with co-sponsor of Taiwan International Ports Corporation, Kaohsiung Office, invited industry leaders to share their experience on trading rules, settlement procedures, warehousing, risk-hedging, as well as other related issues. They also explored the possible roles and challenges of futures companies as well as made discussions and interactions with participants of this seminar with around 230 attendees.

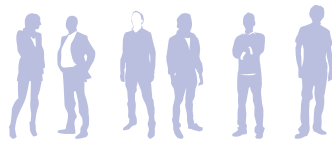


金融知識王推廣活動(11/15~12/17)

與《風傳媒》合作辦理「金融知識王」活動，規劃線上互動測驗、相關報導與影音節目，對於投資理財有興趣的民眾，強化對金融商品的認知教育、體現普惠金融的意義及價值，參與人數28,433，專區宣傳總曝光大於360萬次。

“Financial Knowledge King” promotional campaign

The CNFA cooperated with “The Storm Media” in organizing the “Financial Knowledge King” campaign, providing online interactive tests, publishing reports, and taking videos. For those interested in financial management, this campaign strengthen their knowledge of financial products and understanding of the meaning and value of benefitting finance. This campaign was participated by 28,433 persons and the special promotion icon on the website was hit by 3.6 million times.



2021台北國際期貨論壇~後疫情時代期貨業未來發展趨勢與展望(11/26)

為使期貨業從業人員了解後疫情時代期貨業未來發展趨勢與展望，本公會邀請臺灣期貨交易所及國際著名期貨交易所與國內外業者代表就未來趨勢及實務觀點進行分享，希望能藉此提升期貨業在後疫情時代服務實體經濟之效能，共吸引一百多位業界人士參與。

Taipei International Futures Forum 2021—prospect and development trend of futures industry in post-pandemic era

In order to help futures workers understand the development trend and prospect of futures industry in post-pandemic era, the CNFA invited experts from the TAIEX and renowned international futures exchanges as well as representatives from both domestic and foreign futures companies to share their viewpoints, in a hope of enhancing the efficiency of futures industry in serving physical economic activities. This forum was joined by over 100 participants.



現今金融犯罪型態、防制與訴追研討會(12/24)

近期屢傳詐騙集團以LINE、社群媒體或簡訊誘騙民眾投資海內外股票，為打擊非法證券期貨犯罪，與券商公會共同委託金融法制暨犯罪防制中心舉辦研討會，邀請法官、檢察官及業者代表參加，以強化對非法證券期貨犯罪之防制與訴追。

Seminar on modern financial crime types, control, and impeachment

There were frequently reports about the scam gangs which, through LINE, social media, messenger or other channels, induced people to make investments on local or foreign stocks. In order to crack down such financial crimes, the CNFA and the TSA jointly commissioned the Institute of Financial Law and Crime Prevention to hold seminars, inviting judges, prosecutors, and industry representatives to discuss how to prevent securities and futures crimes as well as how to impeach the suspects.



金融科技與犯罪之防制研討座談會(12/28、29)

為防範非法網路交易平台，侵害消費者權益，與券商、投信投顧公會共同委託金融法制暨犯罪防制中心辦理研討會，邀請警政人士及業者代表參與，避免致投資人求償無門、嚴重損害金融穩定。

Seminar on financial technology and financial crime prevention

In order to prevent illegal online trading platforms from violating consumer rights, the CNFA joined the TSA and SITCA in commissioning the Institute of Financial Law and Crime Prevention to hold the seminars, inviting police officers and industry representatives to discuss how to prevent the losses of victim investors and reduce its impact on financial market stability.



2021「期貨業未來發展與就業機會」校園巡迴講座 (3/1-5/31)

為協助同學瞭解期貨業的各項業務與實務作業、增進對期貨行業的認識，並為行業引入人才，本講座已辦理16年，今年度配合防疫措施下完成20場、超過1,600位同學參與，本公會特別感謝各校的協助，讓講座能順利進行。

“Futures Market Development & Job Opportunities”—campus lecture tour 2021

In order to help students better understand the futures market as well as practices of various futures businesses so as to trigger their interests in joining the futures industry, the CNFA has arranged the campus lecture tours for 16 years. While coping with the government's pandemic control policies in 2021, it successfully arranged 20 campus lectures, participated by over 1,600 students. The CNFA was especially grateful to the hosting universities whose supports were very helpful to secure smooth operations of these campus activities.



校園金融講堂及期貨校園徵才活動 (11/5、12/9)

為吸引人才投入，以蓄積產業發展能量，期交所、經濟日報與本公會共同辦理「校園金融講堂及期貨校園徵才活動」；本公會邀請期貨商於活動現場設攤徵才，同時積極宣導加入期貨職場請選擇合法期貨業者。

“Financial Podium” and talent-recruiting activities on campus

In order to attract talented people to join the futures industry as a means to strengthen the momentum for industrial development, the CNFA joined the TAIFEX and the Economic Daily in holding the “Financial Podium” and talent-recruiting activities on campus, inviting futures companies to run booths to recruit talents and take the opportunities to promote the concepts of legitimate futures.





聯誼活動 Friendship activities



2021年證券期貨盃高爾夫球錦標賽聯誼活動 (5/1)

由本公會與證交所、期交所、集保結算所、櫃買中心、券商公會及投信投顧公會7大單位共同辦理「110年度證券期貨盃高爾夫球錦標賽」於5月1日（星期六）假揚昇高爾夫球場舉行，以加強金融業界之交流聯誼。

Securities & Futures Golf Championship for 2021

The Securities & Futures Golf Championship, co-organized by the seven major securities and futures associations or institutes, the TWSE, TAIEX, TDCC, TPEx, TSA, SITCA, and CNFA, was held at the Sunrise Gold & Country Club on May 1 (Saturday), a social activity to strengthen friendship among securities and futures workers.



理監事聯誼活動(9/12~9/14、10/26~10/28、10/20~10/23)

110年理監事海外聯誼活動更改為國內聯誼活動，分三梯次舉辦，南投2團及澎湖1團，共有24位理監事參加，透過此聯誼活動，讓平日業務繁忙的理監事，得與同業與周邊單位互相學習、交流、增進情誼並凝聚期貨業界向心。

Social activities for executive directors and supervisors

In 2021, the overseas trips were changed to be domestic social activities, held in three separate trips for three groups—two trips to Nantao and one trip to Penhu Island, participated by 24 executive directors and supervisors in total. Through the social activities, the executive directors and supervisors could relax themselves from busy work and took the opportunities to learn from each other, make interactions, strengthen friendship, and consolidate the centripetal force of futures workers.



委員會聯誼活動(11/5~11/6、12/4~12/5)

110年度委員會聯誼活動於11月5日~11月6日及12月4日~12月5日分二梯次舉辦委員會聯誼活動，本年度擇定「情定巧克力、谷關溫泉泡湯、冬戀葡萄品酒趣」二日休閒之旅，參予本次活動之委員、眷屬及會務人員共71位。

Social activity for committee members

The social activity organized for committee members in 2021 was a 2-day trip covering the tourist destinations of "Chocolate Romance," "Guguan Hot Spring," "Grape Wine Farmland." It's participated by a total of 71 committee members as well as their family members and CNFA staff workers.



理監事自強活動(11/21~11/24、12/31~111/1/2)

110年理監事海外參訪活動更改為國內自強活動，分二梯次舉辦，第一梯次宜蘭團於11月21日至11月24日辦理完畢，第二梯次花蓮、宜蘭團於110年12月31日至111年1月2日辦理完畢，本次自強活動共有24位理監事參加。

Physical-training activity for executive directors and supervisors

Due to the COVID-19 pandemic, the annual overseas trips for executive directors and supervisors were changed to the physical-training activities in 2021. Participants were divided into two groups, visiting Ilan and Hualien, respectively. The two trips were joined by 24 executive directors and supervisors in total.

三、督促會員自律、共謀業務上改進事項

C. Self-discipline and operation improvement of member companies

1. 期貨顧問事業查察輔導事項

Inspection of futures advisory enterprises

本公會110年度針對期貨顧問事業之業務及內部稽核作業實施例行查察輔導及專案查核工作，其中違反本公會「會員自律公約」，提報紀律委員會共5案，計5項違規內容，發函要求改善者4件、核處警告者1件。

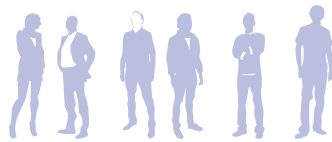
The CNFA made annual inspection on futures advisory enterprises and their practices of internal auditing. In 2021, among those breaking the "Self-discipline Agreement for CNFA Members," 5 cases were reported to the Discipline Committee, 4 were notified to make improvement by written letter, and 1 received the CAFA's warning notices.

2. 督促事項

Discipline matters

期貨顧問事業以外之會員公司因違反本公會自律規範，提報紀律委員會共8案，計8項違規內容，發函要求改善者8項。

Among other member companies which violated the "Self-discipline Agreement for CNFA Members" (except futures advisory enterprises), there were 8 cases reported to the Discipline Committee, 8 cases found to have violated the Agreement, and 8 cases which were required to make improvement through written notification.



四、關於會員業務之廣告及宣傳審查事項

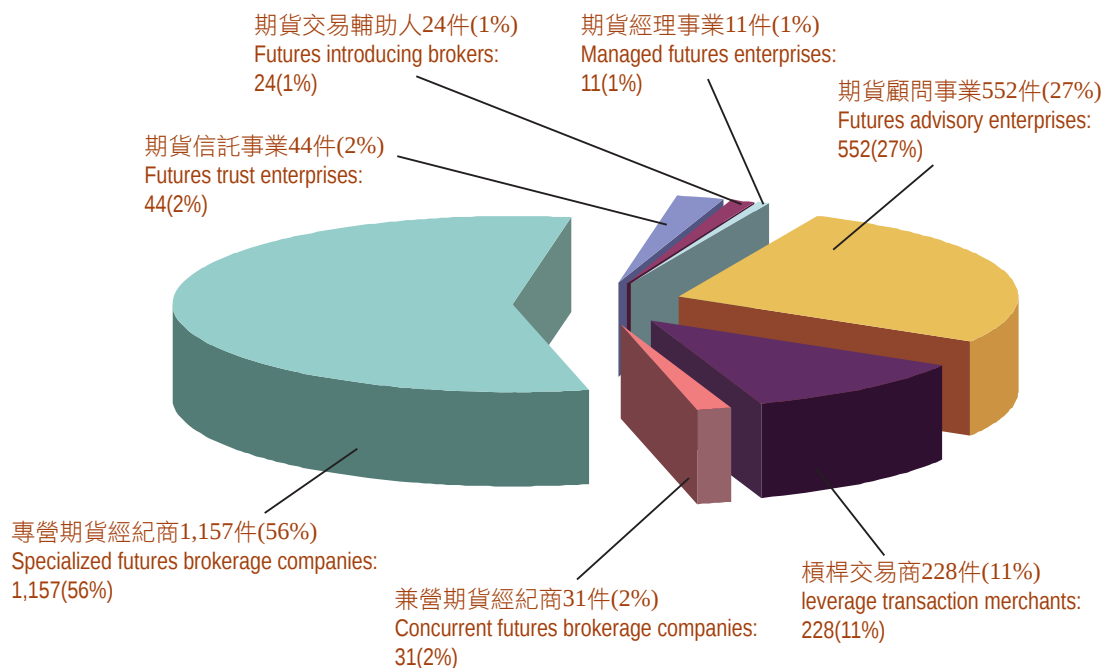
D. Inspection of advertisement and promotional materials of member companies

本公會110年度共計審核2,047件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報1,157件、兼營期貨經紀商申報31件、槓桿交易商申報228件、期貨顧問事業申報552件、期貨經理事業申報11件、期貨信託事業申報44件、期貨交易輔助人申報24件。

In 2021, the Association reviewed 2,047 projects of advertisement and promotional materials published by member companies. Among them, 1,157 projects were filed by the specialized futures brokerage companies, 31 by concurrent futures brokerage companies, 228 by leverage transaction merchants, 552 by futures advisory enterprises, 11 by managed futures enterprises, 44 by futures trust enterprises, and 24 by futures introducing brokers.

110年度宣傳資料及廣告申報案件統計

Advertisement and Business Promotion Projects of CNFA Members in 2021

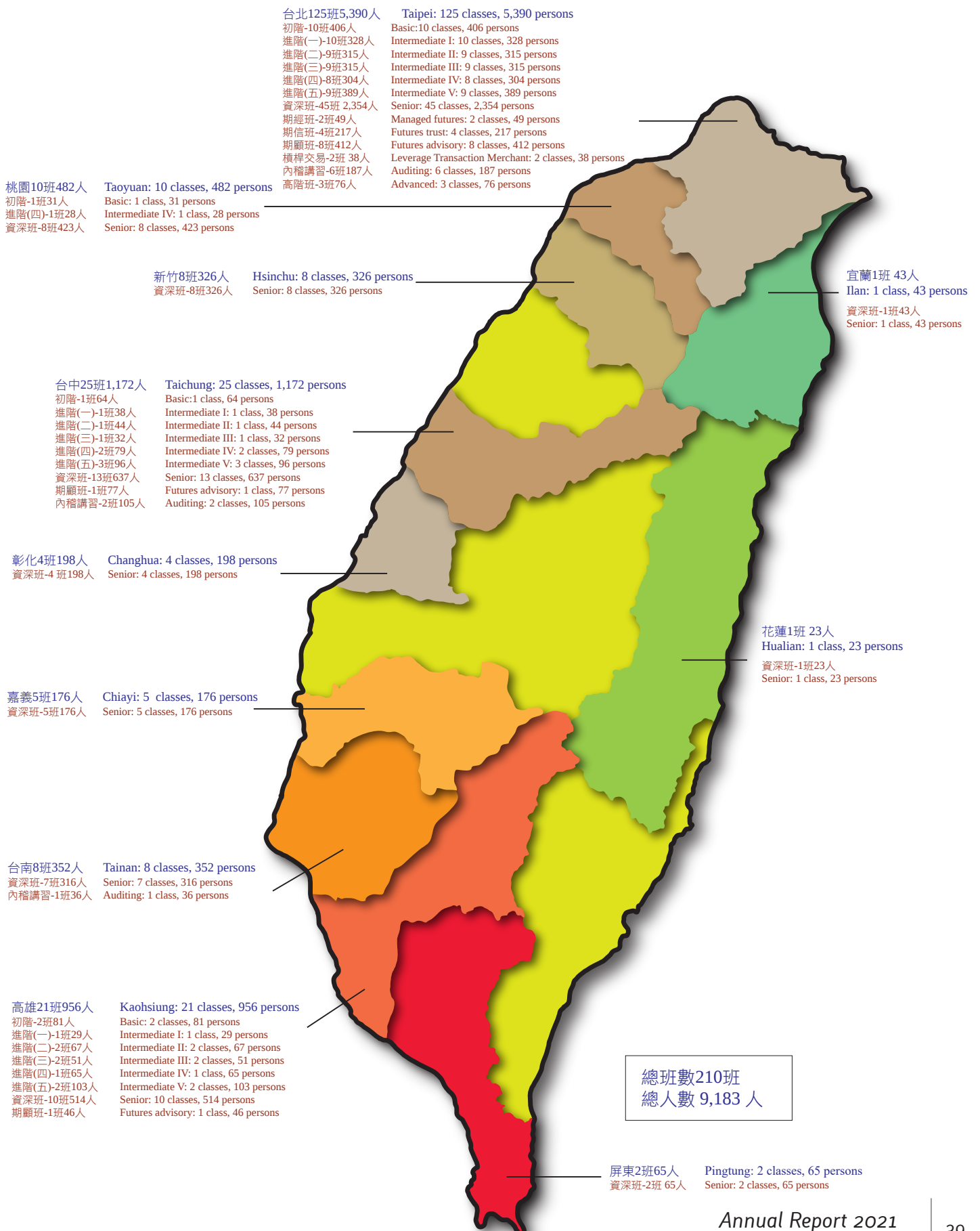


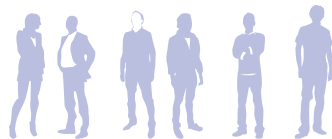
五、在職訓練

E. On-job training

110年度舉辦之地區別、班別及人數詳如下圖：

CNFA-arranged Training Courses in 2021





六、關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項

F. Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives

1. 會員及業務員基本資料之建立及登記事項

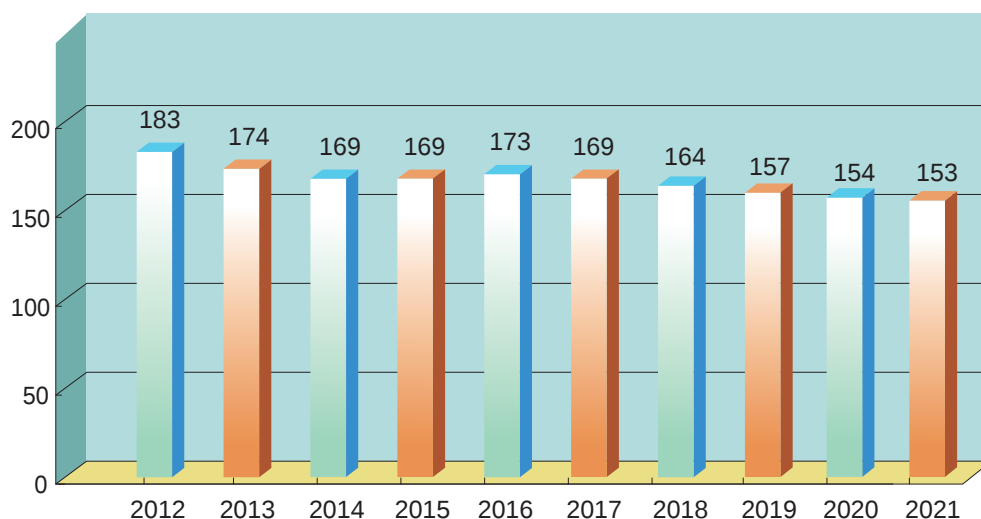
Registration of member companies and their business representatives

- 會員家數：共計153家，其中經營期貨經紀業務為28家；期貨業務輔助人43家；期貨自營業務32家；期貨顧問業務30家；期貨經理業務6家；期貨信託業務9家；槓桿交易商5家。

There were 153 member companies registered with the CNFA at the end of 2021: 28 futures brokerage companies, 43 futures introducing brokers, 32 proprietary futures commission merchants, 30 futures advisory enterprises, 6 managed futures enterprises, and 9 futures trust enterprises, and 5 leverage transaction merchants.

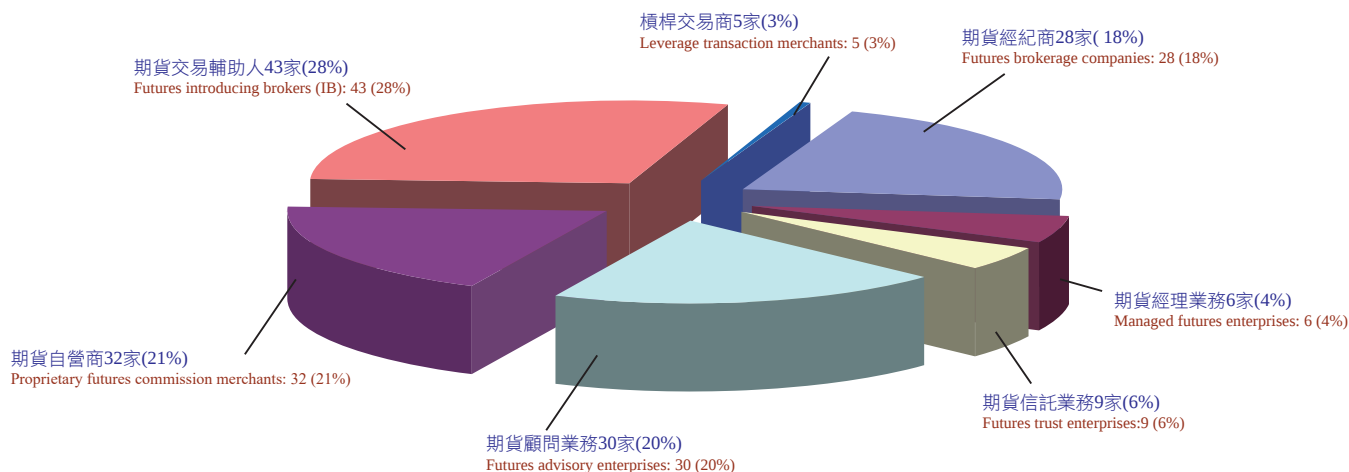
近10年會員家數統計圖

Statistics of CNFA Member Companies over Past 10 Years



110年度會員類別統計圖

Statistics of CNFA Member Companies in 2021, by Business Category



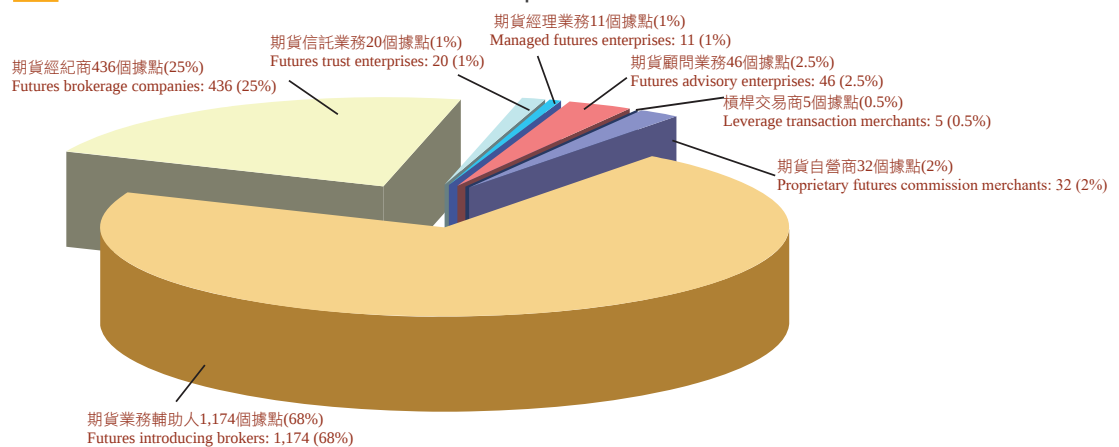
共計153家會員 資料統計截至110/12/31
A total of 153 member companies as of Dec. 31, 2021

- 會員總分公司分支機構數：共計1,724家，其中經營期貨經紀業務為436個營業據點；期貨業務輔助人1,174個營業據點；期貨自營業務32個營業據點；期貨顧問業務46個營業據點；期貨經理業務11個營業據點；期貨信託業務20個營業據點；槓桿交易商5個營業據點。

CNFA Member Companies were operated with a total of 1,724 headquarters offices and branch offices as of the end of 2021: 436 used by futures brokers, 1,174 used by introducing brokers, 32 used by proprietary futures commission merchants, 46 used by futures advisory enterprises, 11 used by managed futures enterprises, and 20 used by futures trust enterprises, 5 used by leverage transaction merchant。

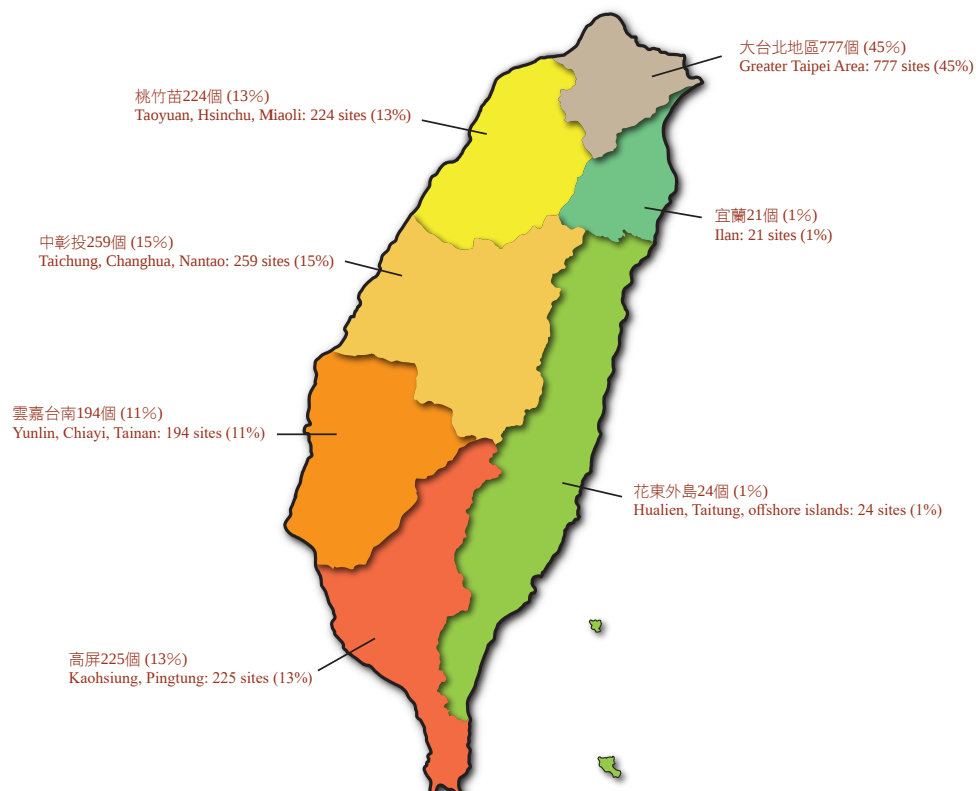
110年度會員營業據點統計圖

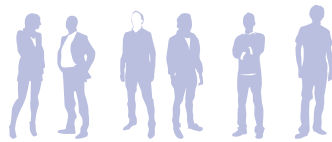
Business Sites of CNFA Member Companies in 2021



110年度會員營業據點區域分布統計圖

Geographical Location of Business Sites of CNFA Member Companies in 2021



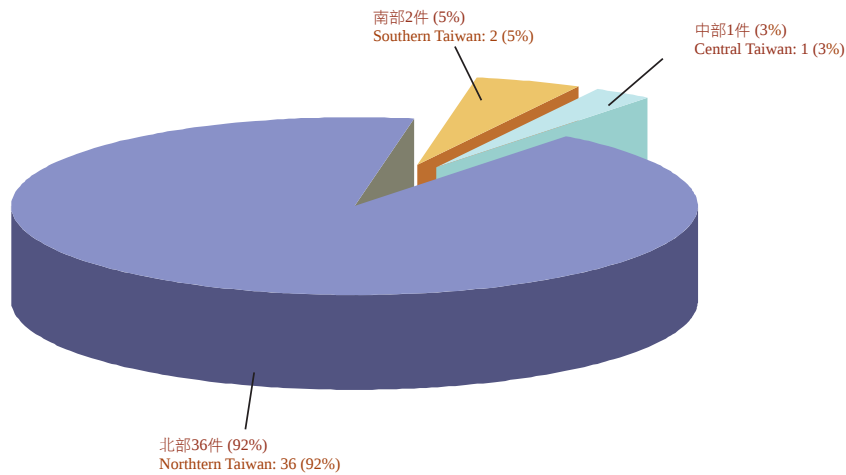


● 會員場地勘察 Business site inspection

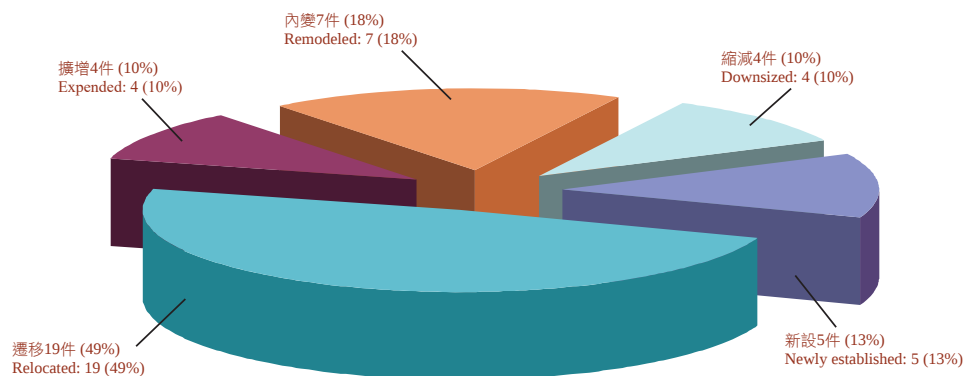
110年度共計39件場勘案(北部地區36件、中部地區1件、南部地區2件)，就其場勘案件分析，新設營業處所5件、遷移營業處所19件、縮減營業處所4件、擴增營業處所4件、營業處所內部配置變動7件。

The Association conducted a total of 39 business site inspection projects in 2021 (36 in northern Taiwan, 1 in central Taiwan, 2 in southern Taiwan). Among these inspected sites, 5 were newly established, 19 were relocated, 4 were downsized, 4 were expanded, and 7 were remodeled.

110年度場地勘察區域分布統計圖
Geographical Areas of Inspected Business Sites in 2021



110年度場地勘察類別統計圖
Statistics of Business Site Inspection Projects in 2021



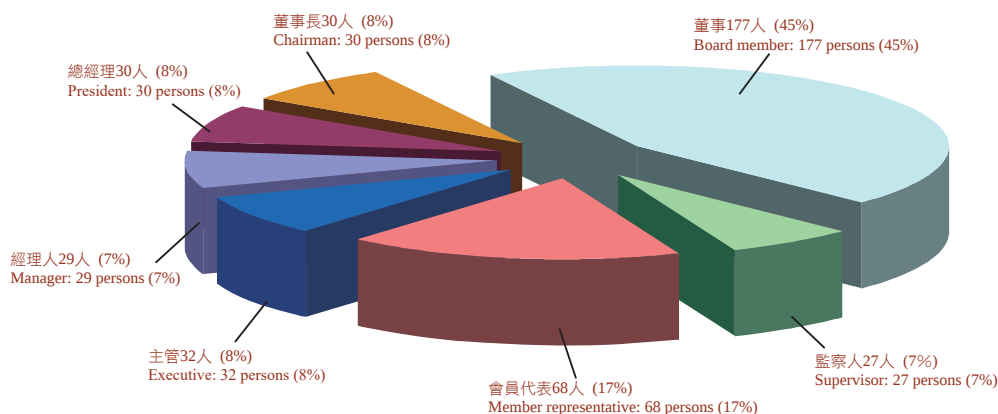
2. 會員委託證照之申請變更、換領及其他服務事項

Certificate renewal, change, and other related services

- 會員代表、會員負責人110年度於本公會異動登錄共計393人次，細分如下：

In 2021, the Association handled a total of 393 certificate renewal and change cases for high-ranking executives, board members, and supervisors of member companies.

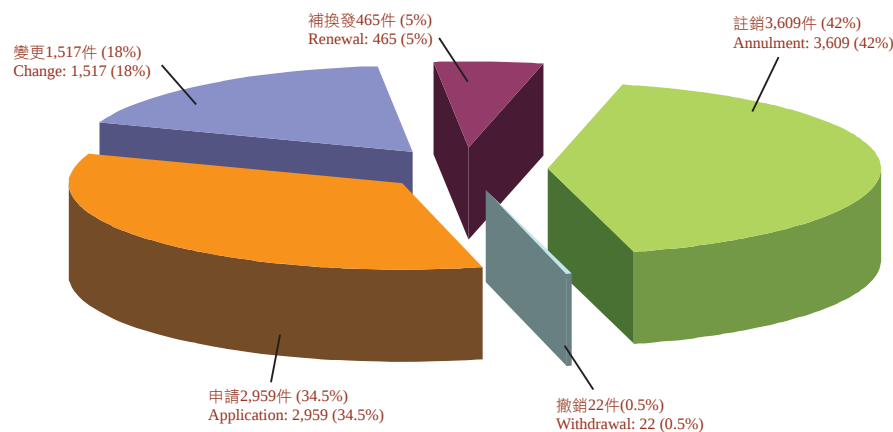
110年度身分類異動統計圖
Position Change Statistics for 2021

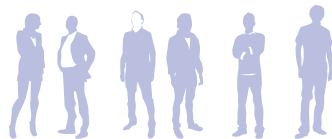


- 業務員、輸單員、銷售人員110年度於本公會異動登錄共計8,572件，每月平均714件

In 2021, the Association handled a total of 8,572 business representative, key-in worker, and salesperson enrollment cases, with an average of 714 cases per month.

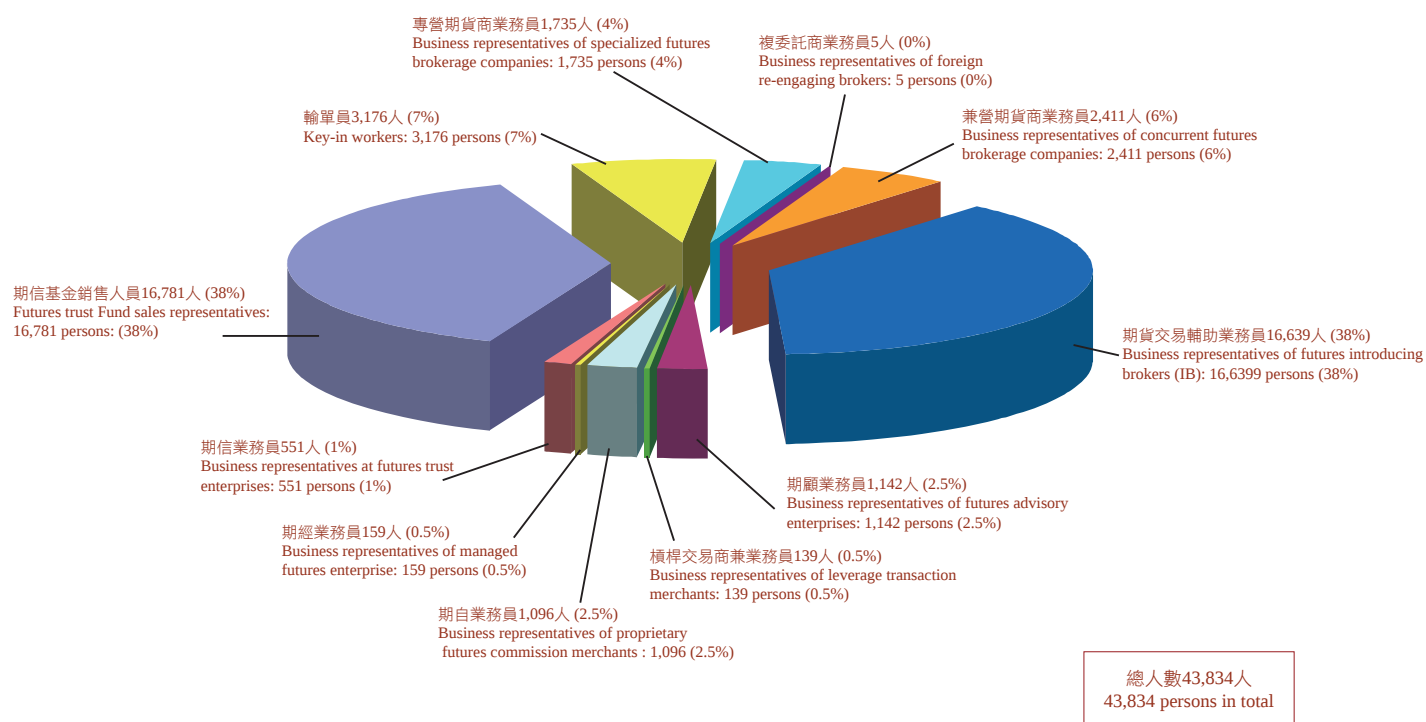
110年度業務異動類別統計圖
Job Change Registrations in 2021





110年度從業人員登記統計圖

Business Category Change of Staff at CNFA Member Companies in 2021



七、本公會出版品 G. Publications

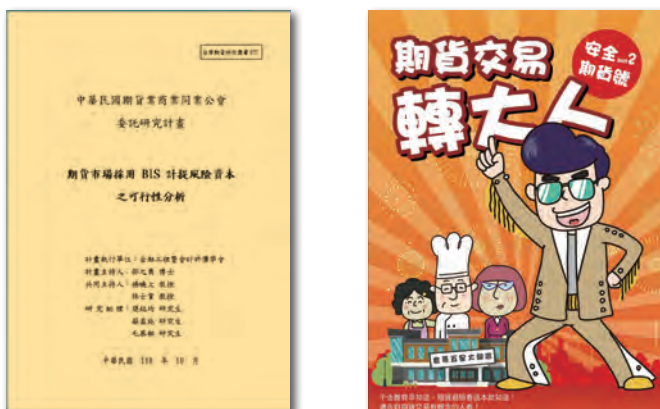
1. 會訊 Newsletter

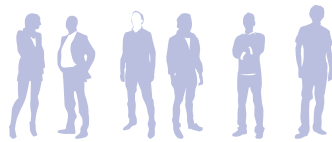


2. 期貨人季刊 Futures Journal



3. 研究叢書及手冊 Research books & brochures





4. 政令宣導 Policy Advocacy





會計師查核報告

(111)財審報字第 21003208 號

中華民國期貨業商業同業公會 公鑒：

查核意見

中華民國期貨業商業同業公會民國 110 年及 109 年 12 月 31 日之資產負債表及財產清冊，暨民國 110 年及 109 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，以及會計報告附註（包括重大會計政策彙總），業經本會計師查核竣事。

依本會計師之意見，上開會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」暨企業會計準則公報及其解釋編製，足以允當表達中華民國期貨業商業同業公會民國 110 年及 109 年 12 月 31 日之財務狀況，暨民國 110 年及 109 年 1 月 1 日至 12 月 31 日之收支情形。

查核意見之基礎

本會計師係依照會計師查核簽證會計報告規則及中華民國一般公認審計準則執行查核工作。本會計師於該等準則下之責任將於會計師查核會計報告之責任段進一步說明。本會計師所隸屬事務所受獨立性規範之人員已依中華民國會計師職業道德規範，與中華民國期貨業商業同業公會保持超然獨立，並履行該規範之其他責任。本會計師相信已取得足夠及適切之查核證據，以作為表示查核意見之基礎。

管理階層對會計報告之責任

管理階層之責任係依照內政部頒布之「工商團體財務處理辦法」暨企業會計準則公報及其解釋編製允當表達之會計報告，且維持與會計報告編製有關之必要內部控制，以確保會計報告未存有導因於舞弊或錯誤之重大不實表達。

於編製會計報告時，管理階層之責任亦包括評估中華民國期貨業商業同業公會繼續經營之能力、相關事項之揭露，以及繼續經營會計基礎之採用，除非管理階層意圖清算中華民國期貨業商業同業公會或停止營業，或除清算或停業外別無實際可行之其他方案。

會計師查核會計報告之責任

本會計師查核會計報告之目的，係對會計報告整體是否存有導因於舞弊或錯誤之重大不實表達取得合理確信，並出具查核報告。合理確信係高度確信，惟依照中華民國一

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資誠聯合會計師事務所 PricewaterhouseCoopers, Taiwan
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27F, No. 333, Sec. 1, Keelung Rd., Xinyi Dist., Taipei 110208, Taiwan
T: +886 (2) 2729 6666, F: +886 (2) 2729 6686, www.pwc.tw

般公認審計準則執行之查核工作無法保證必能偵出會計報告存有之重大不實表達。不實表達可能導因於舞弊或錯誤。如不實表達之個別金額或彙總數可合理預期將影響會計報告使用者所作之經濟決策，則被認為具有重大性。

本會計師依照中華民國一般公認審計準則查核時，運用專業判斷並保持專業上之懷疑。本會計師亦執行下列工作：

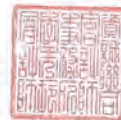
1. 辨認並評估會計報告導因於舞弊或錯誤之重大不實表達風險；對所評估之風險設計及執行適當之因應對策；並取得足夠及適切之查核證據以作為查核意見之基礎。因舞弊可能涉及共謀、偽造、故意遺漏、不實聲明或踰越內部控制，故未偵出導因於舞弊之重大不實表達之風險高於導因於錯誤者。
2. 對與查核攸關之內部控制取得必要之瞭解，以設計當時情況下適當之查核程序，惟其目的非對中華民國期貨業商業同業公會內部控制之有效性表示意見。
3. 評估管理階層所採用會計政策之適當性，及其所作會計估計與相關揭露之合理性。
4. 依據所取得之查核證據，對管理階層採用繼續經營會計基礎之適當性，以及使中華民國期貨業商業同業公會繼續經營之能力可能產生重大疑慮之事件或情況是否存在重大不確定性，作出結論。本會計師若認為該等事件或情況存在重大不確定性，則須於查核報告中提醒會計報告使用者注意會計報告之相關揭露，或於該等揭露係屬不適當時修正查核意見。本會計師之結論係以截至查核報告日所取得之查核證據為基礎。惟未來事件或情況可能導致中華民國期貨業商業同業公會不再具有繼續經營之能力。
5. 評估會計報告（包括相關附註）之整體表達、結構及內容，以及會計報告是否允當表達相關交易及事件。

本會計師與治理單位溝通之事項，包括所規劃之查核範圍及時間，以及重大查核發現（包括於查核過程中所辨認之內部控制顯著缺失）。

資誠聯合會計師事務所

會計師

李香吟



中華民國 111 年 2 月 25 日

REPORT OF INDEPENDENT ACCOUNTANTS TRANSLATED FROM CHINESE

To the Board of Directors of CHINESE NATIONAL FUTURES ASSOCIATION

Opinion

We have audited the accompanying balance sheets and property lists of CHINESE NATIONAL FUTURES ASSOCIATION (the “Association”) as at December 31, 2021 and 2020, and the related statements of activities, income and disbursements of cash and fund for the years then ended, and notes to the accounting reports, including a summary of significant accounting policies.

In our opinion, the accompanying accounting reports present fairly, in all material respects, the financial position of the Association as at December 31, 2021 and 2020, and the results of its operations and disbursements of cash and fund for the years then ended in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations.

Basis for opinion

We conducted our audits in accordance with the “Regulations Governing Auditing and Attestation of Financial Statements by Certified Public Accountants” and generally accepted auditing standards in the Republic of China (ROC GAAS). Our responsibilities under those standards are further described in the *Auditor’s Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the Association in accordance with the Code of Professional Ethics for Certified Public Accountants in the Republic of China (the “Code”), and we have fulfilled our other ethical responsibilities in accordance with the Code. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Responsibilities of management for the accounting reports

Management is responsible for the preparation and fair presentation of the accounting reports in accordance with “Regulations of Financial Treatment of Industrial and Commercial Groups” promulgated by Ministry of the Interior and Enterprise Accounting Standards and its interpretations, and for such internal control as management determines is necessary to enable the preparation of accounting reports that are free from material misstatement, whether due to fraud or error.

In preparing the accounting reports, management is responsible for assessing the Association's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Association or to cease operations, or has no realistic alternative but to do so.

Auditor's responsibilities for the audit of the accounting reports

Our objectives are to obtain reasonable assurance about whether the accounting reports as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ROC GAAS will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these accounting reports.

As part of an audit in accordance with ROC GAAS, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

1. Identify and assess the risks of material misstatement of the accounting reports, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
2. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Association's internal control.
3. Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
4. Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Association's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the accounting reports or, if such disclosures are

inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Association to cease to continue as a going concern.

5. Evaluate the overall presentation, structure and content of the accounting reports, including the disclosures, and whether the accounting reports represent the underlying transactions and events in a manner that achieves fair presentation.

The issues discussed by the governing body and the certified accountant covered all the items to be checked within the designated time frame as well as the major findings (including the significant internal auditing defects addressed during the checking procedure).

Lee, Hsiu-Ling

For and on Behalf of PricewaterhouseCoopers, Taiwan

February 25, 2022

The accompanying accounting reports are not intended to present the financial position and results of operations and disbursement of cash and fund of the Association in accordance with Regulations of Financial Treatment of Industrial and Commercial Groups in countries and jurisdictions other than the Republic of China. The standards, procedures and practices in the Republic of China governing the audit of such accounting reports may differ from those generally accepted in countries and jurisdictions other than the Republic of China. Accordingly, the accompanying accounting reports and report of independent accountants are not intended for use by those who are not informed about Regulations of Financial Treatment of Industrial and Commercial Groups or auditing standards generally accepted in the Republic of China, and their applications in practice.

As the accounting reports are the responsibility of the management, PricewaterhouseCoopers cannot accept any liability for the use of, or reliance on, the English translation or for any errors or misunderstandings that may derive from the translation.

中華民國國貨商業同業公會

中華民國110年12月31日

	110 年 12 月 31 日	109 年 12 月 31 日	負債、基金及餘絀	110 年 12 月 31 日	109 年 12 月 31 日
資產	金額	%	金額	%	金額
流動資產					
現金及銀行存款(附註五(一))	\$ 6,214,767	1	\$ 41,151,960	6	\$ 12,049,413
有價證券(附註五(二))	165,796,681	25	121,496,881	19	155,362
應收帳款(附註五(三))	821,993	-	4,043,850	1	154,571
預付款項	341,034	-	320,765	-	-
流動資產合計	173,174,475	26	167,013,256	26	12,359,346
基金					
會務發展準備基金-專戶存款(附註五(四))	142,596,652	21	135,426,822	21	51,120,000
退撫準備基金-專戶存款(附註五(五))	38,037,195	6	35,095,277	5	51,120,000
基金合計	180,633,847	27	170,522,099	26	63,479,346
固定資產(附註五(六))					
土地	133,989,345	20	133,989,345	20	-
房屋及建築	107,770,320	16	107,770,320	17	-
事務設備	16,984,174	2	15,488,984	2	142,026,822
雜項設備	3,821,768	1	3,773,762	1	37,813,216
辦公設備	1,996,030	-	2,095,030	-	263,117,441
固定資產合計	264,561,637	39	263,117,441	40	442,957,479
其他資產					
有價證券(附註五(七))	5,301,550	1	219,950	-	127,248,794
存出保證金	57,300	-	57,300	-	19,984,427
自辦基金-專戶存款	50,400,000	7	52,740,000	8	147,233,221
其他資產合計	55,758,850	8	53,017,250	8	590,190,700
資產總計	\$ 674,128,809	100	\$ 653,670,046	100	\$ 653,670,046
負債					
累計餘絀	-	-	-	-	19
本期餘絀	57,300	-	57,300	-	3
餘絀合計	57,300	-	57,300	-	22
基金及餘絀合計	55,758,850	8	53,017,250	8	90
負債、基金及餘絀總計	\$ 674,128,809	100	\$ 653,670,046	100	\$ 653,670,046

後附會計報告附註為本會計報告之一部分，請併同參閱。

以康

理事長：

秘書長：

會計主管：

製表：

以康

桂茂

以康

CHINESE NATIONAL FUTURES ASSOCIATION
BALANCE SHEETS
YEARS ENDED DECEMBER 31

(Expressed in New Taiwan Dollars)						
ASSETS		2021		2020		Notes
		Amount	%	Amount	%	
Current Assets						
Cash and bank deposit	5(1)	\$ 6,214,767	1	\$ 41,151,960	6	5(8)
Securities	5(2)	165,796,681	25	121,496,681	19	
Accounts receivable	5(3)	821,993	-	4,043,850	1	
Prepayments		341,034	-	320,765	-	
Other current assets		-	-	-	-	
Total current assets		173,174,475	26	167,013,256	26	
Funds						
Development fund at special bank account	5(4)	142,596,652	21	135,426,822	21	
Pension fund at special bank account	5(5)	38,037,195	6	35,095,277	5	
Total funds		180,633,847	27	170,522,099	26	
Property, Plant and Equipment						
Land	5(6)	133,989,345	20	133,989,345	20	
Buildings		107,770,320	16	107,770,320	17	
Machinery and equipment		16,984,174	2	15,488,984	2	
Miscellaneous property, plant, and equipment		3,821,768	1	3,773,762	1	
Office equipment		1,996,030	-	2,095,030	-	
Total property, plant and equipment		264,561,637	39	263,117,441	40	
Other Assets						
Securities	5(7)	5,301,550	1	219,950	-	
Refundable deposits		57,300	-	57,300	-	
Self-discipline fund at special bank account		50,400,000	7	52,740,000	8	
Total other assets		55,758,850	8	53,017,250	8	
TOTAL ASSETS						
		\$ 674,128,809	100	\$ 653,670,046	100	
LIABILITIES, FUNDS AND SURPLUS						
Current Liabilities						
Accrued expenses		\$ 12,332,546	2	\$ 12,049,413	2	
Receipts under custody		182,770	-	155,362	-	
Temporary receipts		268,020	-	154,571	-	
Total current liabilities		12,783,336	2	12,359,346	2	
Non-current Liabilities						
Refundable deposits received		50,580,000	7	51,120,000	7	
Total Non-current Liabilities		50,580,000	7	51,120,000	7	
TOTAL LIABILITIES		63,363,336	9	63,479,346	9	
Funds And Accumulated Surplus						
Funds		149,476,652	22	142,026,822	22	
Development fund		37,663,808	6	37,813,216	6	
Pension fund		264,561,637	39	263,117,441	40	
Fixed assets fund		451,702,097	67	442,957,479	68	
Total funds						
Surplus						
Accumulated surplus		147,233,221	22	127,248,794	19	
Surplus for the year		11,830,155	2	19,984,427	3	
Total surplus		159,063,376	24	147,233,221	22	
TOTAL FUNDS AND SURPLUS		610,765,473	91	590,190,700	90	
TOTAL LIABILITIES, FUNDS AND SURPLUS						
		\$ 674,128,809	100	\$ 653,670,046	100	

The accompanying notes are an integral part of these financial statements.

中華民國國貨業商業同業公會

民國110年1月1日至12月31日

單位：新台幣元

科 目	決算數	預算數	決算與預算比較數		說明
			增 加	減 少	
經費收入					
入會費收入	\$ 2,400	\$ 2,400	\$ -	\$ -	
常年會費收入	1,538,000	1,535,200	2,800	-	
業務費收入(附註五(十))	108,306,409	156,292,900	-	(47,986,491)	註1
利息收入	1,022,485	870,000	152,485	-	
會員服務收入	10,888,331	13,299,500	-	(2,411,169)	註2
其他收入	224,762	-	224,762	-	
收入合計	121,982,387	172,000,000	380,047	(50,397,660)	
經費支出					
人事費	39,505,220	39,504,000	1,220	-	
辦公費	7,471,244	8,770,000	-	(1,298,756)	註3
業務費	39,230,087	99,752,000	-	(60,521,913)	註4
購置費	3,011,035	1,000,000	2,011,035	-	註5
團體會費	3,044,000	3,014,000	30,000	-	
什項支出	6,905,675	5,540,000	1,365,675	-	註6
預備金	-	3,440,000	-	(3,440,000)	註7
基金提存(附註五(十一))	10,984,971	10,980,000	4,971	-	
支出合計	110,152,232	172,000,000	3,412,901	(65,260,669)	
本期餘絀(稅前)	11,830,155	-	11,830,155	-	
所得稅費用(附註五(九))	-	-	-	-	
本期餘絀(稅後)	\$ 11,830,155	\$ -	\$ 11,830,155	\$ -	

後附會計報告附註為本會計報告之一部分，請併同參閱。

註1：業務費收入減少，主係經理監事聯席會議，自本期7月起免收事業業務費。

註2：會員服務收入減少，主係本年度在職訓練課程因疫情影響班次較預期減少。

註3：辦公費減少，主係因節節辦公費用支出所致。

註4：業務費減少，主係因預估之業務推廣活動未全數執行所致。

註5：購置費增加，主係本期購置之資訊設備所致。

註6：什項支出增加，主係本期社會公益贊助增加所致。

註7：預備金減少，主係本期無特殊狀況動支。

理事長：



秘書長：



會計主管：



製表：



CHINESE NATIONAL FUTURES ASSOCIATION
FINAL STATEMENTS OF INCOME AND EXPENDITURE
FOR THE YEARS ENDED DECEMBER 31

(Expressed in New Taiwan Dollars)

	Notes	Actual Amount	Estimated Amount	Difference between actual and estimated amounts		Remarks
				Increase	Decrease	
Income						
Entry fees		\$ 2,400	\$ 2,400	\$ -	\$ -	
Annual membership fees		1,538,000	1,535,200	2,800	\$ -	
Business promotion fees	5(10)	108,306,409	156,292,900	-	(47,986,491)	Note1
Interest income		1,022,485	870,000	152,485	-	
Membership service fees		10,888,331	13,299,500	-	(2,411,169)	Note2
Miscellaneous income		<u>224,762</u>	<u>-</u>	<u>224,762</u>	<u>-</u>	
Total income		<u>121,982,387</u>	<u>172,000,000</u>	<u>380,047</u>	<u>(50,397,660)</u>	
Expenditure						
Personnel expense		39,505,220	39,504,000	1,220	-	
Administration expense		7,471,244	8,770,000	-	(1,298,756)	Note3
Business expense		39,230,087	99,752,000	-	(60,521,913)	Note4
Procurement expense		3,011,035	1,000,000	2,011,035	-	Note5
Association activity fee		3,044,000	3,014,000	30,000	-	
Miscellaneous items		6,905,675	5,540,000	1,365,675	-	Note6
Reserve cash		-	3,440,000	-	(3,440,000)	Note7
Fund withdrawing	5(11)	<u>10,984,971</u>	<u>10,980,000</u>	<u>4,971</u>	<u>-</u>	
Total expenditure		<u>110,152,232</u>	<u>172,000,000</u>	<u>3,412,901</u>	<u>(65,260,669)</u>	
Surplus before income tax		11,830,155	-	11,830,155	-	
Income tax	5(9)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Surplus for the year		<u>\$ 11,830,155</u>	<u>\$ -</u>	<u>\$ 11,830,155</u>	<u>\$ -</u>	

The accompanying notes are an integral part of these financial statements.

- 1 : Due to the minutes of Broad meetings, the income of Business promotion fees was exempted from July 2021.
- 2 : Due to covid-19, the courses for on-the-job training are less than expected causing the income of Membership service fees decreased.
- 3 : Administration fee decreased mainly due to budget cut.
- 4 : Business activity fees decreased because the planned activities for business promotion had not been completely implemented in 2021.
- 5 : Procurement expense increased because the purchase of information appliance increased this year.
- 6 : Miscellaneous expense increased primarily because the donation to social community increased.
- 7 : Reserve cash decreased because there were no conditions for special purpose.

日期 Date	項目 Event
1/22	第6屆經紀業務委員會第9次會議 The 9 th meeting of the 6 th Futures Brokerage Committee
1/27	「期貨業未來發展與就業機會」校園巡迴講座-講師座談會 “Futures Market Outlook and Job Opportunities” campus lecture tour—workshop of lecturers
1/27	第6屆紀律委員會第6次會議 The 6 th meeting of the 6 th Discipline Committee
2/4	第6屆財務委員會第7次會議 The 7 th meeting of the 6 th Financial Committee
2/18	「期貨市場採用BIS計提風險資本之可行性分析研究案」試算說明會議 Presentation of “Feasibility of applying BIS capital ratio to futures industry” research project as well as trial calculation demonstration
2/26	第6屆理監事第10次聯席會議 The 10 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors
3/5	「期貨業未來發展與就業機會」校園巡迴講座-虎尾科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Formosa University
3/10	「期貨業未來發展與就業機會」校園巡迴講座-嘉義大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chiayi University
3/11	「期貨業未來發展與就業機會」校園巡迴講座-中山大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Sun Yat-sen University
3/11	「期貨業未來發展與就業機會」校園巡迴講座-靜宜大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Providence University
3/16	「期貨業未來發展與就業機會」校園巡迴講座-臺北城市科大 “Futures Market Outlook and Job Opportunities” campus lecture tour—Taipei City University of Science and Technology
3/19	期貨商ANC專案小組第1次會議 The 1 st meeting of ANC Project Group
3/29	第6屆顧問業務委員會第4次會議 The 4 th meeting of the 6 th Futures Advisory Committee
3/30	「期貨業未來發展與就業機會」校園巡迴講座-屏東大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Pingtung University
3/31	新思維的金融資安技術解析及案例說明會-台北場 “New thinking on fintech security and case illustration” seminar—Taipei

Chronicle

日期 Date	項目 Event
4/7	第6屆業務電子化委員會第1次會議 The 1 st meeting of the 6 th E-commerce Business Committee
4/7	新思維的金融資安技術解析及案例說明會-台中場 “New thinking on fintech security and case illustration” seminar —Taichung
4/9	第6屆財務委員會第8次會議 The 8 th meeting of the 6 th Financial Committee
4/14	新思維的金融資安技術解析及案例說明會-高雄場 “New thinking on fintech security and case illustration” seminar —Kaohsiung
4/15	「期貨業未來發展與就業機會」校園巡迴講座-義守大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—I-Shou University
4/16	第6屆理監事第11次聯席會議 The 11 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors
4/21	「期貨業未來發展與就業機會」校園巡迴講座-暨南大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Chi Nan University
4/28	「期貨業未來發展與就業機會」校園巡迴講座-南華大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Nanhua University
4/29	「期貨業未來發展與就業機會」校園巡迴講座-真理大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Aletheia University
5/1	110年度證券期貨盃高爾夫球錦標賽聯誼活動 Securities & Futures Golf Championship for 2021
5/4	「期貨業未來發展與就業機會」校園巡迴講座-台北商業大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Business
5/5	「期貨業未來發展與就業機會」校園巡迴講座-輔仁大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Fu Jen Catholic University
5/6	「2021槓桿交易業務發展趨勢與展望」研討會 “Leveraged Trading Development Trend and Prospect Conference 2021”
5/7	「期貨業未來發展與就業機會」校園巡迴講座-宜蘭大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Ilan University
5/7	「期貨業未來發展與就業機會」校園巡迴講座-南臺科技大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Southern Taiwan University of Science & Technology



日期 Date	項目 Event
5/10	「期貨業未來發展與就業機會」校園巡迴講座-台北科技大學(一) “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Technology (1)
5/11	「期貨業未來發展與就業機會」校園巡迴講座-高雄科技大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Kaohsiung University of Science and Technology
5/11	「期貨業未來發展與就業機會」校園巡迴講座-淡江大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Tamkang University
5/12	「期貨業未來發展與就業機會」校園巡迴講座-世新大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—Shih Hsin University
5/14	「期貨業未來發展與就業機會」校園巡迴講座-台北教育大學 “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Education
5/19	「期貨業未來發展與就業機會」校園巡迴講座-台北科技大學(二) “Futures Market Outlook and Job Opportunities” campus lecture tour—National Taipei University of Technology (2)
5/21	第6屆經紀業務委員會第10次會議 The 10 th meeting of the 6 th Futures Brokerage Committee
6/4	第6屆理監事第12次聯席會議 The 12 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors
7/9	「期貨市場採用BIS計提風險資本之可行性分析研究案」期末報告 Final report on “Feasibility of Applying BIS capital ratio to futures Industry” research project
7/21	第6屆經紀業務委員會第11次會議 The 11 th meeting of the 6 th Futures Brokerage Committee
8/3	「交易人知識評估及交易額度核給作業」線上會議 Online conference on “Assessment of trader’s knowledge & trading quota granting procedures”
8/12	第6屆經紀業務委員會第12次會議 The 12 th meeting of the 6 th Futures Brokerage Committee
8/20	第6屆理監事第13次聯席會議 The 13 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors
8/20	第6屆監事會第2次會議 The 2 nd Meeting of the 6 th Board of Supervisors
8/23	「期信基金追加募集公平配售原則」線上會議 Online meeting on “Fair principles for sales and distribution of increased futures trust funds”

日期 Date	項目 Event
8/27	期貨商ANC專案小組第2次會議 The 2 nd meeting of ANC Project Group
8/30	第6屆槓桿交易業務委員會第4次會議 The 4 th meeting of the 6 th Leverage Transaction Committee
9/1	洗錢防制/打擊資恐 法令解析及案例說明會-法令解析與案例分享 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —regulation analysis and experience sharing
9/3	第6屆防制洗錢暨法遵委員會第6次會議 The 6 th meeting of the 6 th AML & Law Compliance Committee
9/7	洗錢防制/打擊資恐 法令解析及案例說明會-國際發展趨勢 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —International Trend
9/9	第6屆第3次會員大會 The 3 rd General Assembly of the 6 th Session CNFA Members
9/12-9/14	理監事聯誼活動-第1梯 Social activity for executive directors and supervisors—the 1 st group
9/14	洗錢防制/打擊資恐 法令解析及案例說明會-法令解析與案例分享 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —regulation analysis and experience sharing
9/14	洗錢防制/打擊資恐 法令解析及案例說明會-國際發展趨勢 Seminar on “AML/CTF Regulation Analysis and Case Illustration” —International Trend
9/22	第6屆兩岸事務委員會第3次會議 The 3 rd meeting of the 6 th Cross-straits Affairs Committee
10/5	第6屆國際事務委員會第3次會議 The 3 rd meeting of the 6 th International Affairs Committee
10/8	LME線上實務研討會 Online seminar on LME practices
10/12	第6屆財務委員會第9次會議 The 9 th meeting of the 6 th Financial Committee
10/13	「金融消費者保護法令解析與最新趨勢~以公平待客原則為中心」說明會 Seminar on “Financial consumer protection regulation and latest development trend—focused on fair dealing policy”
10/15	第6屆理監事第14次聯席會議 The 14 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors



日期 Date	項目 Event
10/19	「金融消費者保護法令解析與最新趨勢~以公平待客原則為中心」說明會 Seminar on “Financial consumer protection regulation and latest development trend—focused on fair dealing policy”
10/20-10/23	理監事聯誼活動-第2梯 Social activity for executive directors and supervisors—the 2 nd group
10/26-10/28	理監事聯誼活動-第3梯 Social activity for executive directors and supervisors—the 3 rd group
10/29	2021台灣資本市場論壇 Taipei International Futures Forum 2021
11/5	校園金融講堂及期貨校園徵才--高科大場 Campus activities of “Financial Podium” & futures talent recruitment—National Kaohsiung University of Science and Technology
11/5	110年度裁罰案例研習課程 Course of Penalty Case Studies for 2021
11/12	第6屆自營業務委員會第4次會議 The 4 th meeting of the 6 th Proprietary Futures Merchant Commission
11/12	110年度裁罰案例研習課程 Course of Penalty Case Studies for 2021
11/19	第6屆紀律委員會第7次會議 The 7 th meeting of the 6 th Discipline Commission
11/21-11/24	理監事自強活動-第1梯 Physical-training activity for executive directors and supervisors—the 1 st group
11/23	個股期貨專案小組會議 Meeting of “Single Stock Futures” project group
11/24	第6屆信託業務委員第6次會議 The 6 th meeting of the 6 th Futures Trust Committee
11/24	開放證券推動委員會-期貨小組會議 Meeting of futures group under Securities Market Liberalization Commission
11/25	第6屆兩岸事務委員會第4次會議 The 4 th meeting of the 6 th Cross-straits Affairs Committee
11/25	第6屆顧問業務委員會第5次會議 The 5 th meeting of the 6 th Futures Advisory Committee
11/26	2021台北國際期貨線上論壇 Online Taipei International Futures Forum 2021

日期 Date	項目 Event
11/29	第6屆防制洗錢暨法遵委員會第7次會議 The 7 th meeting of the 6 th AML & Law Compliance Committee
11/30	第6屆業務電子化委員會第2次會議 The 2 nd of the 6 th E-Commerce Business Committee
12/1	第6屆稽核委員會第4次會議 The 4 th meeting of the 6 th Auditing Committee
12/2	第6屆經紀業務委員會第13次會議 The 13 th meeting of the 6 th Futures Brokerage Committee
12/3	第6屆教育訓練委員會第3次會議 The 3 rd meeting of the 6 th Education & Training Committee
12/6	第6屆財務委員會第10次會議 The 10 th meeting of the 6 th Auditing Committee
12/7	第6屆常務理事第2次會議 The 2 nd Meeting of the 6 th Board of Executive Directors
12/7	第6屆槓桿交易業務委員會第5次會議 The 5 th meeting of the 6 th Leverage Transaction Committee
12/9	校園金融講堂及期貨校園徵才--台北大學場 Campus activities of “Financial Podium” & futures talent recruitment—National Taipei University
12/10	「地下期貨交易於司法實務之研究」期中報告 Mid-term report on “Underground futures trading and judicial practices”
12/17	第6屆理監事第15次聯席會議 The 15 th Joint Meeting of the 6 th Board of Executive Directors and Supervisors
12/25	現今金融犯罪型態、防制與訴追研討會 Seminar on modern financial crime types, control, and impeachment
12/27- 12/28	金融科技與犯罪之防制研討座談會 Seminar on financial technology and crime prevention
12/31- 111/1/2	理監事自強活動-第2梯 Physical-training activity for executive directors and supervisors—the 2 nd group

中華民國期貨業商業同業公會會員名錄 Chinese National Futures Association Directory of members

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw/
F002	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
F004	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.kgifutures.com.tw/
F005	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105台北市南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	https://www.ibff.com.tw/
F007	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
F008	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1, 8, Dong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
F014	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw/
F018	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
F020	群益期貨股份有限公司 Capital Futures Corp.	106台北市大安區敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-27002888	02-27551532	http://www.capitalfutures.com.tw/
F021	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 5F, 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yuantafutures.com.tw/
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市中正區襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
F029	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110 台北市忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw/
F039	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓 9F., 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	http://www.dcnf.com.tw/
R201	美商愛德盟期貨經紀股份有限公司 台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105台北市南京東路4段161號3樓 3F., 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	http://www.admis.com
S126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw/
S144	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Limited	106 台北市敦化南路2段207號9樓 9F., 207 Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23763766	02-23763740	(建置中)
S147	台灣摩根士丹利證券股份有限公司 Morgan stanley taiwan limited	106台北市敦化南路2段207號22樓 22F., 207, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27302888	02-27301774	http://www.morganstanley.com
S152	瑞士商瑞士信貸銀行股份有限公司 台北證券分公司 Credit Suisse, Taipei Securities Branch	105台北市民生東路3段109號5樓 5F., 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27156388	02-27156373	http://www.credit-suisse.com
S159	花旗環球證券股份有限公司 Citigroup Global Markets Taiwan Securities Company Limited	110台北市松智路1號14樓 14F., 1, Songzhi Rd., Taipei 110, Taiwan	02-87269000	02-87254755	http://www.citigroup.com
S218	亞東證券股份有限公司 Oriental Securities Corp.	220新北市板橋區新站路16號14樓 14F., 16-13, Xinzhan Rd., Banqiao Dist., New Taipei City 220, Taiwan	02-23618600	02-23811061	http://www.osc.com.tw
S526	美好證券股份有限公司 Good Finance Securities Co., Ltd.	104台北市民生東路2段176號4樓 4F., 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	http://www.tcstock.com.tw/
S538	第一金證券股份有限公司 First Securities Inc.	104台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	http://www.ftsi.com.tw
S616	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.	115台北市南港區經貿二路168號3樓 3F., 168, Jingmao 2nd Rd., Nangang Dist., Taipei, Taiwan	02-66392000	02-66392124	http://www.ctbsec.com/

Directory of Members

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
S815	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-55708999	http://www.tssco.com.tw/
S844	摩根大通證券股份有限公司 J.P. Morgan Securities Co., Ltd.	110台北市信義路5段106號3樓 3F, 106, Sec. 5, Hsin Yi Rd., Taipei 110, Taiwan	02-87862288	02-27252275	http://www.jpmorgan.com
S856	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100台北市重慶南路1段66之1號5樓 5F, 66-1, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23714293	http://www.skis.com.tw/
S884	玉山綜合證券股份有限公司 E. Sun Securities Co., Ltd.	105台北市松山區民生東路3段156號2樓 2F., 156, Sec. 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27186613	http://www.esunsec.com.tw
B091	群益金鼎證券股份有限公司 Capital Securities Corp.	110 台北市松山區民生東路3段156號14樓之3 14F.-3, 156, Sec. 3, Minsheng E. Rd., Songshan Dist., Taipei City 105, Taiwan	02-87898888	02-87892942	http://www.capital.com.tw
B092	凱基證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路698號3樓 3F., 698, Mingshui Rd., Taipei 104 Taiwan	02-21818888	02-85011852	https://www.kgiworld.com.tw/index/
B093	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F., 54, Sec. 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25459231	http://www.entrust.com.tw
B096	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市大安區仁愛路四段258號10樓 10F., 258, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei City	02-87716888	02-87716795	http://www.fubon.com/
B098	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104台北市中山區南京東路2段77號8樓 8F., 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuanta.com.tw
B09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路1段2號7樓 7F., 2, Sec. 1, Chung Ching S.Rd. Taipei 100 Taiwan	02-23114345	02-23112220	https://www.sinotrade.com.tw/
B102	合作金庫證券股份有限公司 Taiwan Cooperative Bank Co., Ltd.	106台北市大安區忠孝東路4段325號2樓 2F., 325, Sec. 4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27528000	02-27526060	http://www.tcfhc-sec.com.tw
B103	臺灣土地銀行股份有限公司 Land Bank Co., Ltd.	100台北市延平南路81號 81, Yen Ping S.Rd., Taipei 100, Taiwan	02-23483978	02-23891864	http://www.landbank.com.tw
B104	臺銀綜合證券股份有限公司 BankTaiwan Securities Co., Ltd.	100台北市重慶南路1段58號4樓 4F., 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23882188	02-23822045	http://www.twfhcsec.com.tw
B111	台灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103台北市塔城街30號4樓 4F., 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	https://www.tbb.com.tw/
B116	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F., 111, Sec. 2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25071047	http://www.jihsun.com.tw/
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	104台北市中山北路二段57號8樓 8F., 57, Sec. 2, Zhongshan N. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-23619654	02-23113726	http://www.chb.com.tw
B505	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103台北市承德路1段17號17樓 17F., 17, Sec. 1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	http://www.tachan.com.tw/
B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320桃園市中壢區中和路96號 96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	http://www.easytrade.com.tw
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406台中市北屯區北興街43號1樓 1F., 43, Beising St., Taichung 406, Taiwan	04-22309377	04-22371684	https://www.boom.com/zh/why_boom/
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100台北市中正區南海路20號2樓 2F., 20, Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	http://www.yss.com.tw
B566	日進證券股份有限公司 Fortune Securities Co., Ltd.	360苗栗市中正路426號4樓 4F., 426, Chung Cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	http://www.fscl.com.tw/
B585	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號1樓 1F., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	http://www.pscnet.com.tw
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd.	800高雄市七賢二路38號 38, Chihshien 2 Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682619	(建置中)
B592	元富證券股份有限公司 Master Link Securities Corp.	106台北市敦化南路二段97號22樓 22F, 97, Sec. 2, Tunhwa S. Rd., Taipei 106, Taiwan	02-23255818	02-23259407	http://www.masterlink.com.tw
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F., 1020, Tsao-His Rd., Tsao-tun Nantou 542, Taiwan	049-2353266	049-2357216	http://www.gmstock.com.tw
B601	犇亞證券股份有限公司 Primasia Securities Co., Ltd.	105台北市松山區復興北路99號3樓 3F., 99, Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27180202	https://www.estocking.com/

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
B611	台中銀證券股份有限公司 Taichung Commercial Bank Securities Co., Ltd.	100台北市忠孝東路一段85號9樓 9F., 85, Sec. 1, Zhongxiao E. Rd., Zhongzheng Dist., Taipei City 100, Taiwan	02-23969955	02-23210502	https://www.tcbs.com.tw/
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中華三路11號B1 B1, 11, Chungghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	http://www.nhks.com.tw/
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521彰化縣北斗鎮光復路166號3樓 3F., 166, Kwangfu Rd., Pie-Tou Cheng, Changhwa 521, Taiwan	04-8886500	04-8886626	https://www.kuanzho638.com.tw/index_tw.php
B645	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330桃園市縣府路82號1樓 1F., 82, Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	http://www.yucn.com.tw/
B646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220新北市板橋區東門街30之2號9樓 9F., 30-2, Dongmen St., Banqiao Dist., New Taipei City 220, Taiwan	02-29689685	02-29676069	http://www.dcn.com.tw
B648	福邦證券股份有限公司 Grand Fortune Securities Co., Ltd.	104台北市忠孝西路1段6號6樓 6F., 6, Sec. 1, Chung Hsiao W. Rd., Taipei 104, Taiwan	02-23836888	02-23836800	http://www.gfortune.com.tw/
B662	口袋證券股份有限公司 POCKET SECURITIES Co. Ltd.	330桃園市三民路3段317號3樓 3F., 317, Sec.3, San Ming Rd., Taoyuan 330, Taiwan	03-3353359	03-3345286	建置中
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路1段50號3樓 3F., 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	http://www.rsc.com.tw
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251新北市淡水區中正路63號5樓 5F., 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	http://www.fushan-ewin.com.tw
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106台北市忠孝東路2段95號3樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	http://www.emega.com.tw/
B703	致和證券股份有限公司 Concord International Securities Co., Ltd.	704台南市西門路3段10號1樓 1F., 10, Shi-Men Rd., Sec.3, Tainan 704, Taiwan	06-2219777	06-2286324	http://www.wintan.com.tw/
B707	豐農證券股份有限公司 Feng Long Securities Co., Ltd.	420台中市豐原區水源路669號 669, Shuiyuan Rd., Fengyuan, Taichung 420, Taiwan	04-25281000	04-25253100	https://sptrade.fenglong.com.tw/fenglong/index.html
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234新北市永和區永和路2段116號3樓 3F., 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29208664	http://www.peicheng.com.tw
B779	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.	104台北市樂群三路128號3樓 3F., 128, Lequn 3rd Rd., Zhongshan Dist., Taipei City, Taiwan	02-25288988	02-27647834	https://www.ibfs.com.tw/
B838	安泰證券股份有限公司 Antay Securities Co., Ltd.	830高雄市鳳山區五福二路36號 36, Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	https://www.antay.com.tw/antay/index.html
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110台北市基隆路一段176號地下1樓 B1, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	http://www.concords.com.tw/
B849	京城證券股份有限公司 King's Town Securities Corporation	600嘉義市新榮路193號2樓 2F., 193, Hsin-Jung Rd., Chiayi 600, Taiwan	05-2289911	05-2273459	https://www.ktds.com.tw/ktds/index.html
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435台中市梧棲區四維中路157巷1號2樓 2F., 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan	04-26572801	04-26564499	https://sptrade.chungnour.com.tw/chungnour/index.html
B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104台北市南京東路2段137號2樓 2F., 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	http://www.ubot.com.tw
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105台北市民生東路5段165號B2 B2, 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	http://www.sunnysec.com.tw
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路二段333號20樓 20F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-23269888	02-23777688	http://www.cathaysec.com.tw
P001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
P002	兆豐證券股份有限公司 Mega Securities Co., Ltd.	100台北市忠孝東路2段95號3樓 3F., 95, Sec. 2, Chung Hsiao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-33227073	02-33933052	http://www.megasec.com.tw/
P003	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1, 8, Dong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
P004	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 5F., 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27176000	02-25461625	https://www.yuanta-futures.com.tw/
P005	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F., 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
P006	群益期貨股份有限公司 Capital Futures Corp.	106台北市大安區敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
P008	元富證券股份有限公司 Master Link Securities Corp.	106台北市敦化南路二段97號22樓 22F, 97, Sec. 2, TunHwa S.Rd., Taipei 106, Taiwan	02-23255818	02-27000208	http://www.masterlink.com.tw
P015	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
P020	凱基證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路700號9樓 9F., 700, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	https://www.kgiworld.com.tw/index/
P022	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市大安區仁愛路4段169號2樓 2F., 169, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei 106, Taiwan	02-27767819	02-27210783	http://www.fubon.com/
P024	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號2樓 2F., 8, Dong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	http://www.pscnet.com.tw
P026	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F., 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25454122	https://www.entrust.com.tw/
P028	元大證券股份有限公司 Yuantai Futures Co., Ltd.	104台北市中山區南京東路2段77號8樓 8F., 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuantai.com.tw
P030	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F., 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25048400	http://www.jihsun.com.tw/
P032	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路一段2號13樓 13F., 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-25169009	02-25082351	https://www.kgifutures.com.tw/
P033	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路二段333號20樓 20F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-23269888	02-27003350	http://www.cathaysec.com.tw
P037	亞東證券股份有限公司 Oriental Securities Corp.	220新北市板橋區新站路16號14樓 14F., 16, Xinzhan Rd., Banqiao Dist., New Taipei City 220, Taiwan	02-23618600	02-23811061	http://www.osc.com.tw
P038	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.	100台北市杭州南路1段19號12樓 12F., 19, Sec.1, Hangzhou S. Rd., Taipei 100, Taiwan	02-23570777	02-23578392	(建置中)
P039	第一金證券股份有限公司 First Securities Inc.	104台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang-an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	http://www.ftsi.com.tw
P042	中國信託商業銀行股份有限公司 Chinatrust Commercial Bank	115台北市南港區經貿二路168號5樓 5F., 168, Jingmao 2nd Rd., Nangang Dist., Taipei 115, Taiwan	02-27222002	02-87808867	http://hrbank.chinatrust.com.tw
P045	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.	103台北市樂群三路128號3樓 3F., 128, Lequn 3rd Rd., Zhongshan Dist., Taipei City, Taiwan	02-25288988	02-27647834	https://www.ibfs.com.tw/
P046	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw/
P047	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105台北市松山區南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-27287576	https://www.ibff.com.tw/
P050	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw/
P052	中國信託綜合證券股份有限公司 CTBC Securities Co., Ltd.	115台北市南港區經貿二路188號14樓 14F., 188, Jingmao 2nd Rd., Nangang Dist., Taipei 115, Taiwan	02-66392000	02-66392500	http://www.ctbsec.com/
P053	玉山綜合證券股份有限公司 E. Sun Securities Co. Ltd.	105台北市松山區民生東路3段158號6樓 6F., 158, Sec. 3, Ming Sheng E. Rd., Taipei Taiwan	02-55561313	02-27188913	http://www.esunsec.com.tw
P054	法銀巴黎證券股份有限公司 BNP PARIBAS INVESTMENT PARTNERS	110台北市信義路五段7號72樓 72F., 7, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-87297000	02-81012168	(建置中)
P055	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路二段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw/
P056	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市中正區襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
P057	合作金庫證券股份有限公司 Taiwan Cooperative Bank Co., Ltd.	106台北市忠孝東路4段325號6樓 6F., 325, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27319987	02-27754202	http://www.tcb-bank.com.tw/
P058	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	http://www.tssco.com.tw/
P09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路一段2號19樓 19F., 2, Sec.1, Chung Ching S.Rd. Taipei 100 Taiwan	02-23114345	02-23112220	https://www.sinotrade.com.tw/
A001	永豐期貨股份有限公司 SinoPac Futures Co.Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw

會員名錄

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
A002	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1, 8, Dong sing Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
A003	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 2F, 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei City 104, Taiwan	02-27176000	02-25461625	https://www.yuanta-futures.com.tw/
A004	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
A005	群益期貨股份有限公司 Capital Futures Corp.	106台北市大安區敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
A006	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路二段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw/
A008	康和期貨股份有限公司 Concord Futures Corp.	105台北市復興北路143號6樓 6F., 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
A009	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市中正區襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
A011	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw/
A013	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
A019	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105台北市松山區南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-25287576	https://www.ibff.com.tw/
A020	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110台北市中正區忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110 Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw/
A021	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路一段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23619889	02-23713146	https://www.kgifutures.com.tw/
A025	永豐金證券股份有限公司 SinoPac Securities Corp.	100台北市重慶南路一段2號19樓 19F., 2, Sec.1, Chung Ching S.Rd. Taipei 100 Taiwan	02-23114345	02-23112200	https://www.sinotrade.com.tw/
A026	運達證券投資顧問股份有限公司 Yun Ta Investment Consulting Co., Ltd.	104台北市中山區八德路2段260號10樓 10F., 260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27810909	02-27312166	http://win-dollar.com/
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104台北市松江路87號4樓 4F., 87, Songjiang. Rd., Taipei 104, Taiwan	02-66083998	02-66088833	http://www.marbo.com.tw/
A028	啟發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant	100台北市忠孝西路1段100號9樓 9F., 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	http://www.chifar.com.tw/
A029	大華國際證券投資顧問股份有限公司 Da Hwa International Securities Investment Consulting Co., Ltd.	100台北市羅斯福路2段102號23樓 23F., 102, Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-83695566	02-77258855	http://da-hwa-international.com.tw/
A032	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant	105台北市松山區南京東路4段126號14樓 14F., 126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-23913000	02-25793500	http://www.good-group.com.tw/
A033	華信證券投資顧問股份有限公司 Hwashin Investment Consulting Co., Ltd.	100台北市中正區青島東路7號5樓之4 5F-4., 7, Qingdao E.Rd., Taipei 100, Taiwan	02-23923988	02-77253896	http://www.hwashin.com.tw/
A034	承通證券投資顧問股份有限公司 Cheng-Tung Securities Investment Consulting Co., Ltd.	104台北市八德路2段260號6樓 6F., 260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27813900	02-27722813	http://27813900.com/
A036	永誠國際證券投資顧問股份有限公司 Yong Cheng Securities Investment Consultant	104台北市中山北路1段145號6樓 6F., 145, Sec.1, Jhongshan N. Rd., Taipei 104, Taiwan	02-25428999	02-25428113	https://88598.com.tw/
A042	玉山證券投資顧問股份有限公司 E.SUN Investment Consulting Co., Ltd.	105台北市松山區民生東路3段156號6樓之1 6F-1., 156, Sec.3, Minsheng E. Rd., Songshan Dist., Taipei 105, Taiwan	02-55569913	02-25453313	http://www.esunconsulting.com.tw/
A043	亞洲證券投資顧問股份有限公司 Asia securities investment advisory-inc.	105台北市松山區南京東路4段126號11樓A2 Rm. A2, 11F., 126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-25783777	02-25705916	http://www.asia-rich.com.tw/
A044	倫元證券投資顧問股份有限公司 E-invest Security Investment Consultant Co., Ltd.	100台北市中正區青島東路7號6樓 6F., 7, Qingdao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-77251273	02-23927062	https://www.e-invest.com.tw/
A045	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220新北市板橋區東門街30之2號9樓之2 9F-2., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601066	02-29605258	https://www.dcnf.com.tw/
A047	第一金證券股份有限公司 First Securities Inc.	104台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-25636262	02-25635713	http://www.ftsi.com.tw/

代號	公 司 名 稱 (Company)	公 司 地 址 (Address)	電 話 (Tel)	傳 真 (Fax)	公司網址 (Website)
A049	高欣證券投資顧問股份有限公司 Harvest Securities Investment Consulting Co., Ltd.	104台北市中山區復興北路150號7樓之2 7F-2, 150, Fuxing N. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27171000	02-27150077	(建置中)
A050	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw/
A051	君安證券投資顧問股份有限公司 Richers securities investment consulting Co., Ltd.	104台北市中山區松江路318號8樓 8, 318, Songjiang Rd., Zhongshan Dist., Taipei 104, Taiwan	02-77018898	02-77020599	http://www.richers.com.tw/
L001	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路二段77號5樓 5F, 77, Sec. 2, Nanjing E. Rd., Zhongshan Dist., Taipei City 104, Taiwan	02-27176000	02-25461625	https://www.yuantafutures.com.tw/
L002	群益期貨股份有限公司 Capital Futures Corp.	106台北市大安區敦化南路2段97號地下1樓 B1, 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-27002888	02-27051532	http://www.capitalfutures.com.tw/
L003	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F, 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23619889	02-23612867	https://www.kgifutures.com.tw/
L004	國泰期貨股份有限公司 Cathay Futures Corp.	106台北市敦化南路2段333號19樓 19F, 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27332198	https://www.cathayfut.com.tw/
L005	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市中正區襄陽路9號3樓 3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23701110	http://www.fubon.com/
M018	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路1段2號6樓 6F, 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23619889	02-23713146	https://www.kgifutures.com.tw/
M020	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F, 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894226	http://www.spf.com.tw
M022	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100台北市中正區襄陽路9號3樓 3F, 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
M023	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F, 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw/
M025	群益期貨股份有限公司 Capital Futures Corp.	106台北市大安區敦化南路2段97號地下1樓 B1, 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei City 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
M028	元富期貨股份有限公司 MasterLink Futures Corp.	110台北市信義路5段8號3樓 3F, 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
T002	復華證券投資信託股份有限公司 Fuh Hwa Securities Investment Trust Co., Ltd.	104台北市中山區八德路二段308號7樓 7F, 308, Sec.2, Bade Rd., Taipei, Taiwan	02-81616800	02-87728000	www.fhtrust.com.tw/
T003	國泰證券投資信託股份有限公司 Cathay Securities Investment Trust Co., Ltd.	106台北市大安區敦化南路2段39號6樓 6F, 39, Sec. 2, Dunhua S. Rd., Daan Dist., Taipei City 106, Taiwan	02-27008399	02-23269633	http://www.cathaysite.com.tw/
T004	元大證券投資信託股份有限公司 Yuanta Securities Investment Trust Co., Ltd.	104台北市中山區南京東路3段219號11樓 11F, 219, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei City 104, Taiwan	02-27175555	02-27190232	http://www.yuantafunds.com/
T008	新光證券投資信託股份有限公司 Shin Kong Investment Trust Co., Ltd.	104台北市南京東路2段123號12樓 12F, 123, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25071123	02-25077600	http://www.skit.com.tw/
T010	統一證券投資信託股份有限公司 Uni-President Assets Management Corp.	105台北市東興路8號8樓 8F, 8 Dongsing Rd., Taipei 105, Taiwan	02-27478388	02-27478129	http://www.ezmoney.com.tw/
T011	永豐證券投資信託股份有限公司 Copyright by SinoPac Securities Investment Trust Co., Ltd.	100台北市博愛路17號13樓 13F, 17, Bo'ai Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23618110	02-23126199	https://sitc.sinopac.com/newweb/index.html
T015	富邦證券投資信託股份有限公司 Fubon Asset Management Co., Ltd.	105台北市敦化南路1段108號8樓 8F, 108, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei 105, Taiwan	02-87716688	02-87716788	https://www.fubon.com/asset-management/
T016	街口證券投資信託股份有限公司 JKO Asset Management Co., Ltd	106台北市大安區市民大道3段206號9樓 9F, 206, Sec. 3, Civic Blvd., Da'an Dist., Taipei City 106, Taiwan	02-27505555	02-27721255	http://www.jkoam.com/
T018	中國信託證券投資信託股份有限公司 CTBC Investments CO., Ltd.	115台北市南港區經貿二路188號12樓 12F, 188, Jingmao 2nd Rd., Nangang Dist., Taipei City, Taiwan	02-26526688	02-27891339	https://www.ctbcinvestments.com.tw/

註：以上資料截止日為110.12.31



110年報

Annual Report 2021

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