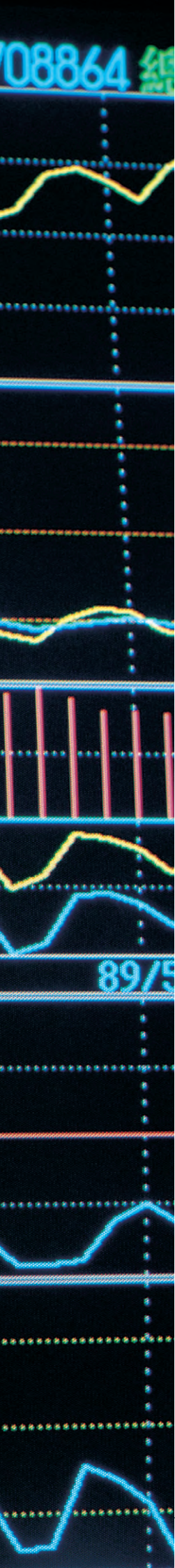


106年報 Annual Report 2017



中華民國期貨業商業同業公會
Chinese National Futures Association

107年四月編製



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壹

序言



轉型與契機

期貨公會成立以來，即努力擴大期貨市場角色定位、保障投資人權益、及維護市場交易秩序，經過這些年的努力，期貨市場在期經全委、期信基金、槓桿交易商到位後，大大的提昇了期貨行業競爭力，而金融市場蓬勃發展，證券市場ETF及期信基金大量引入期貨元素，益使「期貨為資產管理工具」倍受矚目。

開放創新年

2017年是開放創新的一年。在推動期貨行業健全穩定向國際成熟金融大國水準發展的過程，全市場各角色莫不殷切努力，感謝主管機關的支持、期交所多元國際化的新產品新制度及業者不懈的打拼，交易量創新高來到2.65億口，期貨商累計稅前獲益達37.18億，而年底「金融科技發展與創新實驗條例」三讀通過，更為提昇「客戶服務」的效率與品質立下新的里程碑。

敬初心 穩發展

「期貨」最初來自規避實物交易風險，是為服務實體經濟而誕生的工具，每一業種、每一產品都是以避險增益為中心點，從而發展出一層一層的同心圓，建構期貨在金融市場不可或缺的地位。為此，我們更期盼業者每一步能穩紮穩打，同心協力為期貨永續發展而努力。

協助業者達穩健經營之目的，降低不特定風險，我們推動期貨商風險控管作業，並配合期交所盤後交易制度調整相關作業；為利業者參與國際金融市場，獲得公平待遇，全力協助會員落實洗錢防制工作，與可能面臨的國際洗錢防制評鑑事宜；為保護交易人，在資訊安全方面，研訂「金融友善服務準則實務作業問答集」、「分散式阻斷服務防禦與應變作業程序（範本）」，在防範非法期貨方面，我們大力推動「合法期貨」，以正向態度，向大眾宣導「不參與、不經營」非法期貨；為爭取合理稅制，我們建議政府建立固定期交稅調整機制，以提升稅制之公平性，在穩定政府稅收下，同時能兼顧交易人權益；為推動期貨服務實體經濟價值，我們委外辦理「企業以避險及非避險為目的會計帳務之相關規範」、「槓桿交易商如何影響實體經濟的國際經驗研究」、「中小企業原物料避險需求分析」研究案。

打造質量兼具的期貨業

展望未來，期貨公會仍將廣續協助業者創新發展，打造更符合交易人需求的金融科技環境，加強宣導合法期貨、風險控管與洗錢防制等議題，積極配合期交所國際化腳步，提昇期貨業服務實體經濟功能，金融專業與全方位導向，以打造質量兼具的期貨業。

中華民國期貨業商業同業公會

理事長 糜以雍

Transformation and opportunities

The Chinese National Futures Association (CNFA), since its inception, has been working to expand the role and functions of the futures market, protect investors' right and safeguard the market order. Through its efforts over the past decades, the futures market has seen significant advancement in its competitiveness, especially with the discretionary account management service introduced by managed futures enterprises, the launch of futures trust fund, and the joining of leverage transaction merchants over the recent years. The financial market is booming while the futures and its related products have been increasingly applied to the exchange-traded fund and futures trust fund, making futures become a noticeable "vehicle for wealth management."

Commencement of market opening and innovation

The 2017 was a year of market opening and innovation. During the course of pursuing steady and healthy development of the futures industry to have Taiwan among the advanced financial markets in the world, each market player hasn't failed to maximize its functions on the market. Thanks to the regulatory agency's support, the TAIFEX's introduction of new products and new market systems toward the goals of diversification and internationalization, as well as the market players' efforts, the annual futures market transaction hit a record high of 265 million contracts and the futures companies reported an accumulated pre-tax profits of NT\$3.718 billion in 2017. While the bill of the "Act of Financial Technology Development and Innovative Experiment" passed the third reading at the Legislature Yuan by the year end, it marked a milestone of advancing "customer service" of the financial industry in terms of both service quality and efficiency.

Faithful to original mind, stabilizing market growth

The "futures" is originally designed for hedging the risks associated with trading of the physical products, a tool introduced to serve the physical economy—all products and sectors are centered at the goal of reducing risks and increasing benefits like layers of circles developed from the same center point, placing futures at an indispensable position on the financial market. At this moment, we are even more earnest to hope that we futures market players would make each step firmly, going hand in hand toward the common goal of pursuing sustainable development of the futures market.

In order to help futures companies make healthy development at lower risks, we advocate risk-control guidelines for futures companies which have thus made adjustment in their risk-control mechanism to cope with the after-hours trading system newly introduced by the TAIFEX. Meanwhile, in a hope to have local futures players fairly treated on the international market, the CNFA makes full efforts in helping Member Companies practice in compliance with anti-money laundering (AML) regulations and prepare for the international AML evaluation. In regard of investor protection, at information safety aspect, we compiled the "FAQ on Friendly Financial Service Guidelines and Practices" and drafted the "Distributed Denial of Service (DDoS) Prevention and Reaction Procedures (Reference Sample)." In terms of prevention of illegal futures practice, the CNFA advocates "Legal Futures," taking a positive attitude to encourage "Non-participation, non-practice" of illegal futures. While asking for fair taxation, we suggest that the government should establish a regular futures transaction tax adjusting mechanism to secure steady tax income of the government and protection of investors' right at the same time. In order to increase the value of futures as a tool to serve the physical economy, the CNFA commissions several research projects to relevant research institutions such as the projects on "Regulations on Enterprise's Risk-hedging or Non-Risk-Hedging Accounting Affairs," "Study on International Experience of Leveraged Traders' Influence on Physical Economy," "Analysis on Demand of Small- and Mid-size Enterprises' Demand for Risk-hedging for Trading of Raw Materials" and so on.

Creating advanced futures industry in both quantity and quality

Looking forward, the CNFA will continue to help futures companies pursue innovation and growth, creating a trader-friendly fintech environment, strengthen advocating of legal futures, risk-control mechanism, and compliance with AML regulations, while actively cope with the TAIFEX's process for internationalization and promoting the value of futures for serving the physical economy through professional and full-fledged approaches to pursue advancement of the futures industry in both quantity and quality.

Falco Mi
Chairman, CNFA

貳

公會簡介

一、公會沿革

- 本公會前身為創立於民國84年4月17日之「台北市期貨商業同業公會」，為配合期貨產業之開放，因應業種、會員業務類別之增加，以及分布區域之擴大，遂於93年6月21日升格改制為全國性單一公會，並於6月30日獲頒立案證書。
- 本公會目前之組織型態為全國性之自律機構，除辦理會員證照登錄、場地設備勘查、從業人員教育訓練等服務功能外；更擔負了主管機關所賦予之自律職責。為促使國內期貨市場健全發展，本公會將確實完成從業人員資格審查、場地檢查、會員查核、教育訓練等四大自律職能與機制；並積極協調業界團結合作，促進業界與主管機關之溝通與互動，在熱忱、積極、完美的品質政策下，期能為期貨業之發展奠定更穩健之基石。

二、設立宗旨

- 本公會以保障投資大眾、發展國民經濟、協調同業關係、增進共同利益為宗旨。

三、本公會任務

- 配合國家經濟建設，促進期貨市場發揮功能事項。
- 會員業務之調查、統計及研究、發展事項。
- 政府經濟政策與期貨交易法令之協助推行與研究、建議事項。
- 督促會員自律、共謀業務上之改進及聯繫、協調事項。
- 會員糾紛之調處事項。
- 同業員工職能訓練及業務講習之舉辦事項。
- 會員業務之廣告、展覽及證明事項。
- 會員、會員代表及會員員工基本資料之建立及動態之調查、登記事項。
- 會員委託證照之申請變更、換領及其他服務事項。
- 會員或社會公益之舉辦事項。
- 會員合法權益之維護事項。
- 會員違法或違規之議處事項。
- 接受機關、團體之委託服務事項。
- 社會運動之參加事項。
- 維護期貨交易之秩序、公正及保護期貨交易人或委任人事項。
- 防止期貨交易之操縱、對作、虛偽、詐欺、隱匿或其他足生期貨交易人、委任人或第三人誤信等不法之行為事項。
- 依其他法令規定或會員建議應辦理之事項。

CNFA Introduction

A. CNFA History

- The Chinese National Futures Association (hereinafter referred to the Association or CNFA) was transformed from its predecessor, the Taipei Futures Association first founded on April 17, 1995, as a national organization on June 21, 2004 and obtained the certificate issued by the Ministry of the Interior on June 30 of the same year, a move in reflection of the market liberalization, business diversification, as well as expansion in the Association's membership and covered geographical area.
- As a national self-disciplined organization, the Association handled members' license registrations, site equipment inspections, personnel training and other functions. Moreover, it assumes the responsibility as a self-regulatory body. For healthy development of Taiwan's futures market, the Association develops a highly efficient administrative mechanism to fulfill its four major duties, namely reviews of industry personnel qualifications, site equipment inspections, member check-ups, as well as education and training for futures workers. It will actively coordinate the industry's unity and cooperation, promoting communication and interaction between the industry and the competent authorities, and under circumstances of sincere and positive, immaculate policies, lay a more solid foundation for the futures industry.

B. Goals

- The Association was established to protect the investing public, develop the national economy, coordinate intra-industry relations, and promote common benefits of the society.

C. Objectives

- To promote the full functioning of the futures market, working in step with the national economic development
- To make survey, compile statistics, and conduct R&D projects about the operations of member companies
- To make research and suggestions about government's policies and propose revisions to futures-related regulations
- To supervise self-discipline practices of member companies as well as coordinate among members for business promotion and improvement
- To arbitrate disputes among members
- To arrange career training courses and lectures for employees of member companies
- To supervise advertisements, exhibitions, and other promotional activities of member companies
- To handle registrations, conduct surveys, as well as keep records of members as well as representatives and employees of member companies
- To revise or renew licenses of member companies and handle related issues
- To organize or participate social welfare activities
- To protect legitimate rights and interest of member companies
- To take disciplinary actions against wrongful or illegal behaviors of member companies
- To offer the services on request of government agencies or non-profit organizations
- To take part in social activities
- To uphold fair and efficient market order and protect the rights of clients of member companies and other futures traders
- To prevent manipulation, hedging, cheating, fraud, concealment, or other wrongful activities which would mislead futures traders, clients, or other persons associated with futures trading
- To offer other related services on member company's requests in accordance with the government's policies and regulations

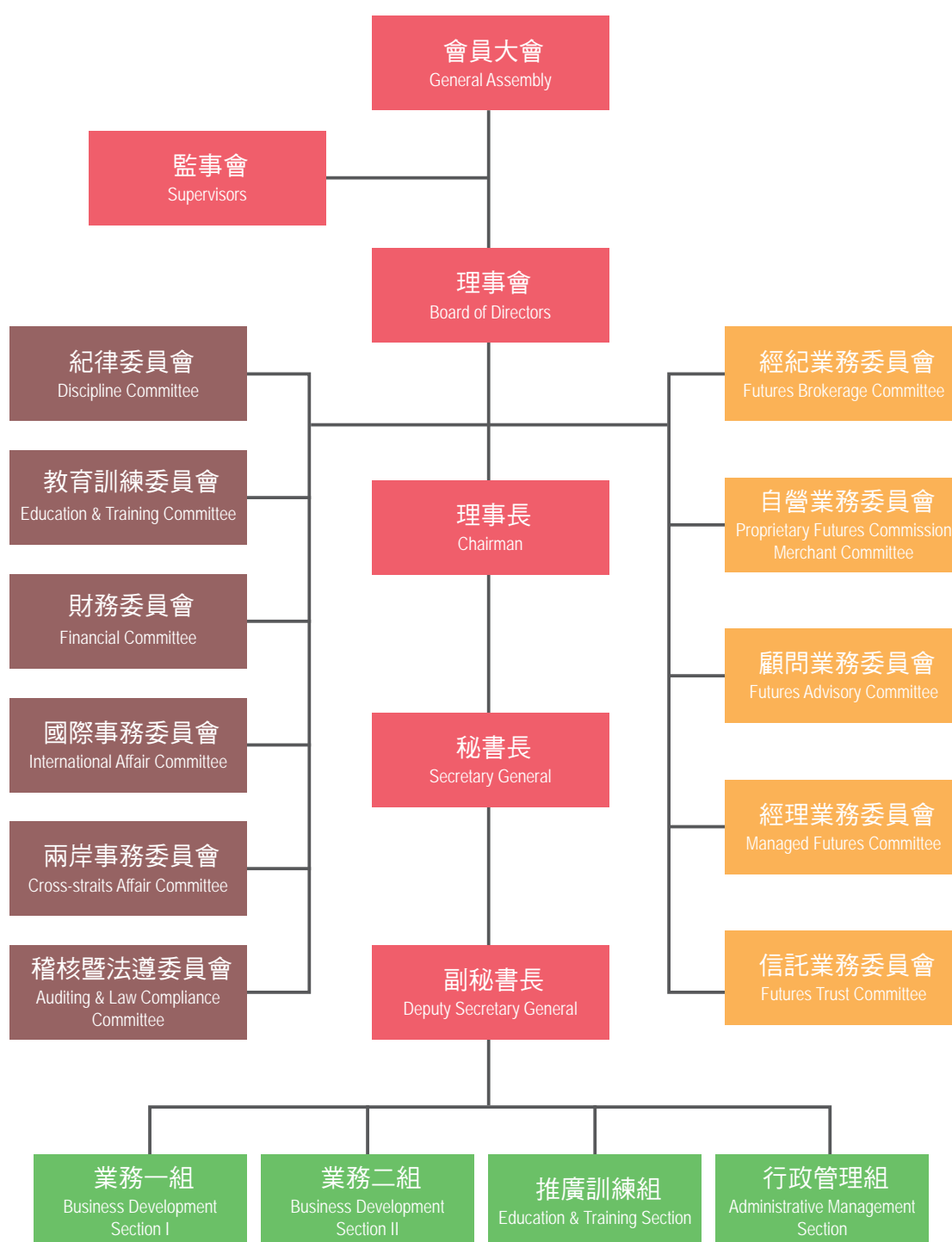
中華民國期貨業商業同業公會
第五屆第二次會員大會





一、組織系統圖

A. Organizational Chart





二、組織與執掌

(一)、會員大會：會員公司可依照經營業務之類別，分別指派會員代表，但每一會員公司最多可指派7位代表，會員代表共計302位。

■成員：由會員代表組成。至106年12月31日止，本公會會員公司計有169家：

1. 專營期貨商14家
2. 兼營期貨商17家
3. 期貨交易輔助人48家
4. 國外複委託商2家
5. 期貨自營業務34家
6. 槓桿交易商3家
7. 期貨經理業務9家
8. 期貨顧問業務32家
9. 期貨信託業務10家

■執掌：為本公會最高決策機關。

■職權：

1. 選舉、罷免理事監事。
2. 章程之訂定與修改。
3. 核定年度工作計畫綱要、經費預算、決算及事業計畫。
4. 審議理事會、監事會及會員（會員代表）提議事項。
5. 會員及會員代表之除名處分。
6. 財產之處分。
7. 清算之決議、清算人之選派及關於清算事項之決議。
8. 議決理事、監事之解職。
9. 議決入會費、常年會費、事業費及會員捐款之金額。
10. 議決其他有關會員權利義務事項。

(二)、理事會

■成員：33位理事，由會員代表互選之。另設置常務理事11人，由理事互選之。

■執掌：理事會為常設機構，亦是本公會決策最高執行單位。並由理事就常務理事中選舉一人為理事長，對外代表本公會。

B. Structure and Responsibilities

1. General Assembly: member companies, based on their business categories, assign up to 7 representatives each to take part in the General Assembly. In 2017, a total of 302 member representatives were registered with the Association.

■ Member companies: the Association was composed of 169 member companies at the end of 2017:

1. Specialized futures brokerage companies: 14
2. Concurrent futures brokerage companies: 17
3. Futures introducing brokers (IB): 48
4. Foreign re-engaging brokers: 2
5. Proprietary futures commission merchants: 34
6. Leverage Transaction Merchant: 3
7. Managed futures enterprises: 9
8. Futures advisory enterprises: 32
9. Futures trust enterprises: 10

■ Authority: the General Assembly is the supreme policy-making body of the Association.

■ Responsibilities:

1. To elect or recall board members or supervisors
2. To make and revise CNFA Charter
3. To approve annual working plans, budget, auditing reports, and R&D projects
4. To review proposals approved at the meetings of board members, supervisors, or General Assembly
5. To take disciplinary actions against misbehaved member companies or member representatives
6. To trade properties of the Association
7. To approve settlement report and appointment of settlement persons as well as handle other settlement-related issues
8. To approve dismissing of any board member or supervisor
9. To approve member registration fee, annual membership fee, business promotion fee, and donations of member companies
10. To approve other issues associated with member's rights or obligations

2. Board of Directors

■ Board members: the 33 board members were elected by member representatives during the General Assembly, and 11 executive board members (or executive directors) were elected from board members.

■ Authority: the Board of Directors is the Association's highest permanent executive body where members elect a chairman to act on behalf of the Association.



■職權：

1. 召開會員大會並執行其決議。
2. 選舉、罷免常務理事及理事長。
3. 議決常務理事、理事及理事長自行辭任。
4. 通過會員入會、退會及註銷會員、會員代表會籍。
5. 編擬年度工作計畫綱要、經費預、決算及事業計畫。
6. 會務工作人員、顧問、研究員等之遴聘、解聘。
7. 議決本公會相關規章之制訂及修正案。
8. 辦理監事會移送執行案件。
9. 遇有緊急重大事項不及召開會員代表大會時，得由理事會先為必要之措施，於會員代表大會時報請追認。
10. 議決處分違反本公會章程第二十條規定之會員。
11. 執行法令及章程所規定事項。
12. 其他依職責應辦之事項。

(三)、監事會

■成員：11位監事，由會員代表互選之。另設置常務監事3人由監事互選之；並由常務監事互推其中一人為監事會召集人。

■執掌：為本公會最高監察單位。

■職權：

1. 選舉及罷免常務監事。
2. 監察理事會執行會員大會之決議案。
3. 監察理事會會務執行情形。
4. 審核理事會各種會計報告書類。
5. 稽核理事會之經費收支狀況。
6. 向會員大會提出年度監察報告。
7. 議決常務監事及監事之自行辭任。
8. 其他依職責應辦之事項。

■ **Responsibilities:**

1. To convene the General Assembly and execute its decisions
2. To elect or recall chairman or executive directors
3. To approve resignation of chairman or board members
4. To approve registration, withdrawal, or nullification of membership or member representative
5. To make annual working plans, budget, auditing report, and R&D projects
6. To approve appointment or dismissing the Association's administrative staff workers, advisors, or research staff workers
7. To approve the Association's new regulations or revisions to the CNFA Charter or regulations
8. To deal with the projects approved at meetings of supervisors
9. To hold a meeting to discuss the proposals originally tendered for discussion at the General Assembly which fails to be held for certain reasons. Decisions made during the meeting will be reported to next General Assembly for its approval.
10. To take disciplinary actions against the members who fail to pay membership fee
11. To handle issues in accordance with laws, CNFA Charter, or related regulations
12. To handle other related issues

3. Supervisors

■ Supervisors: the 11 supervisors were elected by member representatives during the General Assembly, and they accordingly elected 3 executive supervisors among whom one was selected as convener.

■ Authority: it's the highest supervising body of the Association.

■ **Responsibilities:**

1. To elect or recall executive supervisors
2. To supervise the Board of Directors' execution of the projects approved by the General Assembly
3. To supervise the Board of Directors' execution of the Association's working plans
4. To review the accounting reports which have been approved by the Board of Directors
5. To review the financial reports which have been approved by the Board of Directors
6. To make annual supervisory report to the General Assembly
7. To approve resignation tendered by any executive supervisor or supervisor
8. To handle other related issues



(四)、委員會：設有經紀業務、自營業務、顧問業務、經理業務、信託業務、紀律、教育訓練、財務、國際事務、兩岸事務、稽核暨法遵等11個委員會。

■成員：由理事長指定各委員會之召集人，召集人視實際需求遴聘產、官、學界之專業人士籌組而成。

■執掌：各委員會就其設置之性質及功能，配合期貨市場之需求及發展，推動各項工作。

茲就各委員會之職掌分述如下：

- 經紀業務委員會
 - (1) 有關期貨經紀業務之發展、聯繫、協調、改進事項。
 - (2) 有關期貨交易人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨經紀業務之處理事項。
- 自營業務委員會
 - (1) 有關期貨自營業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨自營業務之處理事項。
- 顧問業務委員會
 - (1) 有關期貨顧問業務之發展、聯繫、協調、改進事項。
 - (2) 有關委任人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨顧問業務之處理事項。
- 經理業務委員會
 - (1) 有關期貨經理業務之發展、聯繫、協調、改進事項。
 - (2) 有關委任人服務事項。
 - (3) 有關編擬年度工作計畫事項。
 - (4) 其他有關期貨經理業務之處理事項。
- 信託業務委員會
 - (1) 有關期貨信託業務之發展、聯繫、協調、改進事項。
 - (2) 有關受益人服務事項。

4. Committees: the Association set up 11 committees, namely Futures Brokerage Committee, Proprietary Future Commission Merchant Committee, Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, Discipline Committee, Education & Training Committee, Financial Committee, International Affair Committee, Cross-straits Affair Committee, and Auditing & Law Compliance Committee.

- Committee members: each committee is led by a convener, appointed by CNFA Chairman and the convener then invites industrial experts, officials, scholars as committee members.
- Authority: each committee works to fulfill its working plans and duties to boost the development of the futures market.

Committees and their responsibilities:

- Futures Brokerage Committee
 - (1) To improve and promote futures brokerage business as well as handle interaction and coordination among futures brokers
 - (2) To offer services to futures traders
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Proprietary Futures Commission Merchant Committee
 - (1) To improve and promote the business of proprietary futures commission merchants as well as handle interaction and coordination among them
 - (2) To make annual work plan for the committee
 - (3) To handle other related issues
- Futures Advisory Committee
 - (1) To improve and promote futures advisory business as well as handle interaction and coordination among the member companies engaged on this business
 - (2) To offer services to clients of futures advisory enterprises
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Managed Futures Committee
 - (1) To improve and promote managed futures business as well as handle interaction and coordination among the member companies engaged on this business
 - (2) To offer services to clients of managed futures enterprises
 - (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Futures Trust Committee
 - (1) To improve and promote futures trust business as well as handle interaction and coordination among futures trust enterprises
 - (2) To offer services to beneficiaries of futures trust fund



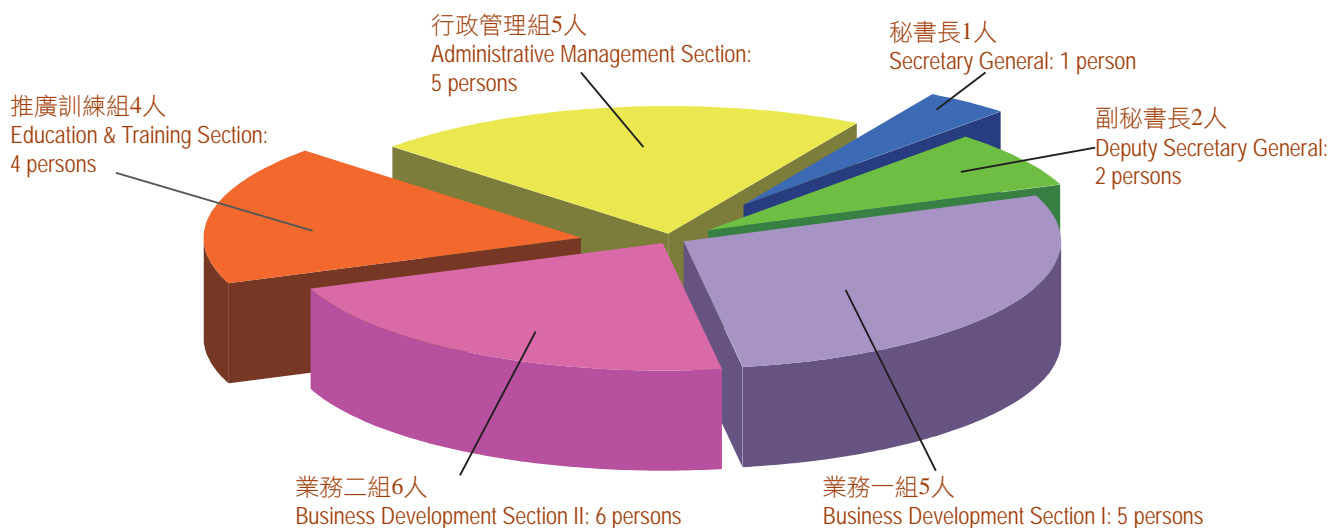
- (3) 有關編擬年度工作計畫事項。
- (4) 其他有關期貨信託業務之處理事項。
- 紀律委員會
 - (1) 有關自律公約之擬訂、執行與督促會員自律事項。
 - (2) 有關會員職業道德之規範事項。
 - (3) 其他有關會員自律之處理事項。
- 教育訓練委員會
 - (1) 辦理期貨從業人員職前訓練及在職訓練事項。
 - (2) 有關參觀、演講、講習、康樂、體育等活動之舉辦事項。
 - (3) 有關出版刊物之編輯事項。
 - (4) 有關期貨投資宣傳事項。
 - (5) 其他有關教育訓練、考試及核發證書之處理事項。
- 財務委員會
 - (1) 有關會費、事業費、經費籌措、管理與運用之研議事項。
 - (2) 有關財務之稽核事項。
 - (3) 有關預算、決算之編擬事項。
 - (4) 其他有關財務事宜之處理事項。
- 國際事務委員會
 - (1) 有關期貨國際業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨國際業務之處理事項。
- 兩岸事務委員會
 - (1) 有關期貨兩岸業務之發展、聯繫、協調、改進事項。
 - (2) 有關編擬年度工作計畫事項。
 - (3) 其他有關期貨兩岸業務之處理事項。
- 稽核暨法遵委員會
 - (1) 有關期貨業內部控制制度之研討與建議事項。
 - (2) 有關期貨業內部稽核業務之研討與建議事項。
 - (3) 有關期貨業法令遵循事項。

- (3) To make annual work plan for the committee
 - (4) To handle other related issues
- Discipline Committee
 - (1) To draft self-discipline rules as well as execute the rules and supervise self-discipline practices of member companies
 - (2) To help member companies comply with working ethics
 - (3) To handle other related issues
- Education & Training Committee
 - (1) To arrange pre-job and on-job training for futures workers
 - (2) To arrange visit, speech, lecture, leisure activity, sports, among others
 - (3) To handle publishing and editing matters
 - (4) To make advertise and promotional matters
 - (5) To handle training, examination, license issuance, and other education-related issues
- Financial Committee
 - (1) To handle issues regarding membership fees, business service fees, fund-raising, and other related financial issues
 - (2) To handle auditing and related issues
 - (3) To make budget plan and deal with settlement issues
 - (4) To handle other related issues
- International Affair Committee
 - (1) To promote and coordinate issues about international affairs of the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues
- Cross-straits Affair Committee
 - (1) To promote and coordinate cross-straits issues about the futures industry
 - (2) To make annual work plan for the committee
 - (3) To arrange other related issues
- Auditing & Law Compliance Committee
 - (1) To make research on internal control system of futures companies and propose suggestions for improvement
 - (2) To make research on internal auditing system of futures companies and propose suggestions for improvement
 - (3) To take charge of law-compliance issues of member companies



- (4) 協商並解決共通性之稽核業務或法令遵循事項。
 - (5) 有關編擬年度工作計畫綱要事項。
 - (6) 其他有關稽核業務或法令遵循事宜之處理事項。
- (五) 會務人員：分為業務一、業務二、推廣訓練、行政管理等四組，由秘書長、副秘書長統籌領導。茲就各組職掌分述如下：

106年會務人員統計表
CNFA Administrative Staff in 2017



- 業務一組
辦理期貨商、期貨（證券）交易輔助人、槓桿交易商及海峽兩岸期貨業務相關之下列工作：
 - (1) 經紀業務、自營業務、兩岸事務及紀律委員會開會事宜。
 - (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
 - (3) 蒐集業界資料，掌握市場動態。
 - (4) 檢討期貨法令並提出改進建議。
 - (5) 提供會員公司期貨業務法規諮詢服務。
 - (6) 自律規定之研議。
 - (7) 新種業務之可行性研究與分析。
 - (8) 期貨相關專題之研究。
 - (9) 聯繫、跟催委外專案研究之進度。

- (4) To coordinate for consistency in internal control and auditing system of futures companies and help them resolve related problems
 - (5) To make annual work plan for the committee
 - (6) To handle other related issues
5. Administrative staff: the Association's administration is divided into four sections of Business Development Section I, Business Development Section II, Education & Training Section, and Administrative Management Section, all under the supervision of secretary general and deputy secretary general. Duties of each section are listed below:
- Business Development Section I:
To handle the business of futures companies, futures (securities) introducing brokers, leveraged trading, and cross-straits issues:
 - (1) To arrange meetings of the Futures Brokerage Committee, Proprietary Futures Commission Merchant Committee, Cross-straits Affair Committee, and Discipline Committee
 - (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
 - (3) To gather information about market trend and industrial development
 - (4) To review futures-related laws and, when necessary, propose suggestion for improvement
 - (5) To provide legal consulting services to member companies
 - (6) To propose or make revisions to self-discipline rules
 - (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
 - (8) To make research on futures-related topics
 - (9) To arrange out-sourcing research projects
 - (10) To gather and analyze the information about cross-straits issues
 - (11) To arrange interactions among futures associations at the two sides of the Taiwan Straits



- (10) 蒐集及分析兩岸期貨業務之相關資訊。
- (11) 兩岸期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。

● 業務二組

辦理期貨服務事業（不含期貨交易輔助人）及國際期貨業務相關之下列工作：

- (1) 顧問業務、經理業務、信託業務及國際事務委員會開會事宜。
- (2) 與主管機關、周邊單位及會員公司之聯繫事宜。
- (3) 蒐集業界資料，掌握市場動態。
- (4) 檢討期貨法令並提出改進建議。
- (5) 提供會員公司期貨業務法規諮詢服務。
- (6) 自律規定之研議。
- (7) 新種業務之可行性研究與分析。
- (8) 期貨相關專題之研究。
- (9) 聯繫、跟催委外專案研究之進度。
- (10) 蒐集及分析國際期貨業務之相關資訊。
- (11) 國際期貨組織之聯繫及交流事宜。
- (12) 會員公司宣傳資料與廣告物之審查。
- (13) 會員公司業務及財務之查察與輔導。
- (14) 會員公司送由公會轉送主管機關文件之初審、建檔與轉報。
- (15) 執行自律規定及主管機關交辦事項之稽查作業。
- (16) 各會員公司營業據點場地、設備查驗。





- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies

● Business Development Section II

To handle issues regarding futures-related business (excluding those of futures introducing brokers), and international affairs:

- (1) To arrange meetings of Futures Advisory Committee, Managed Futures Committee, Futures Trust Committee, and International Affair Committee
- (2) To handle interactions among regulatory agency, peripheral institutions, and member companies
- (3) To gather information about market trend and industrial development
- (4) To review futures-related laws and, when necessary, propose suggestion for improvement
- (5) To provide legal consulting services to member companies
- (6) To propose or make revisions to self-discipline rules
- (7) To make research on the feasibility of developing new futures-related business in Taiwan and analyze its market potential
- (8) To make research on futures-related topics
- (9) To arrange out-sourcing research projects
- (10) To gather and analyze the information about international futures issues
- (11) To arrange the interaction between the Association and international futures associations
- (12) To review the advertisement and promotional materials of member companies
- (13) To inspect the financial condition of member companies and, when necessary, provide assistance to help them make improvement
- (14) To review and forward the documents of member companies to the regulatory agency and keep records of them
- (15) To execute the self-discipline rules for member companies and, in accordance with the regulatory agency's instruction, inspect the auditing practice of member companies
- (16) To make inspection on the business locations and facilities of member companies



- 推廣訓練組
 - (1) 從業人員在職與相關訓練。
 - (2) 期貨業務講座、說明會等教育宣傳活動。
 - (3) 專題研究、公聽會及研討會之發表。
 - (4) 配合時事，研擬專案性之文宣活動。
 - (5) 刊物之編輯與發行事宜。
 - (6) 會員之會籍、負責人與業務員登錄及管理。
 - (7) 業務員工作證換發作業。
 - (8) 教育訓練委員會開會事宜。

- 行政管理組
 - (1) 採購驗收、財產保管。
 - (2) 資訊系統規劃建置及維護。
 - (3) 預算、決算、財務分析、經費收支、會計、出納、帳務、資金調度。
 - (4) 檔案管理、收發文。
 - (5) 各種活動支援。
 - (6) 人事、總務、行政、文書等作業。
 - (7) 會員公司及從業人員之聯誼或康樂活動。
 - (8) 會員大會、理監事會開會事宜。
 - (9) 財務、稽核暨法遵委員會開會事宜。
 - (10) 期貨交易糾紛調處事項。





- Education & Training Section

- (1) To arrange pre-job and on-job training courses for futures workers
- (2) To arrange futures lecturers, seminars, and other promotional activities
- (3) To publish the reports of research projects, public hearing, or seminars
- (4) To arrange activities for advocating special news or research topic
- (5) To compile and publish publications
- (6) To handle the registration of new member companies, member representatives, and business representatives of member companies
- (7) To arrange renewal of job certificates of Association's staff workers
- (8) To arrange meetings of the Education & Training Committee

- Administrative Management Section

- (1) To handle procurement issues and safeguard Association's properties
- (2) To arrange IT equipment procurement, maintenance, and management
- (3) To make budget, settlement, financial analysis, income & payment, accounting, financial document review, as well as handle fund-raising, cashier's work, and other related issues
- (4) To handle mails and keep documents
- (5) To provide financial support to Association-held activities
- (6) To handle the issues about personnel, general issues, administrative management, and keep records of documents
- (7) To arrange social activities for employees of member companies and the Association's working staff
- (8) To arrange the General Assembly as well as meetings of the board of directors and supervisors
- (9) To arrange meetings of the Financial Committee and Auditing & Law-compliance Committee
- (10) To mediate disputes about futures trading



理監事名錄 Board Members and Supervisors

理事名錄

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)	職稱 (Position)
1	理事長 Chairman	糜以雍 Falco Mi	凱基期貨股份有限公司 KGI Futures Co., Ltd.	董事長 Chairman
2	副理事長 Vice Chairman	林添富 Tien-Fu Lin	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	董事長 Chairman
3	副理事長 Vice Chairman	莊輝耀 Huei-Yao Chuang	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	董事長 Chairman
4	常務理事 Executive Director	王中愷 Steve Wang	澳帝華期貨股份有限公司 Optiver Futures Co., Ltd.	董事 Board Member
5	常務理事 Executive Director	孫天山 Tim Sun	群益期貨股份有限公司 Capital Futures Corp.	董事長 Chairman
6	常務理事 Executive Director	張雅斐 Phoebe Chang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	總經理 President
7	常務理事 Executive Director	陳立 Li Chen	元富期貨股份有限公司 MasterLink Futures Corp.	董事長 Chairman
8	常務理事 Executive Director	黃奕銘 Yiming Huang	統一期貨股份有限公司 President Futures Corp.	總經理 President
9	常務理事 Executive Director	黃進明 Chin-ming Huang	日盛證券股份有限公司 JihSun Securities Co., Ltd.	總經理 President
10	常務理事 Executive Director	葉黃杞 Huang-chi Yeh	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	董事長 Chairman
11	常務理事 Executive Director	詹正恩 Cheng-en Chan	光和證券股份有限公司 Kuanzho Securities Corp.	董事長 Chairman
12	理事 Director	王世琦 Shih-Chi Wang	康和期貨股份有限公司 Concord Futures Co., Ltd.	總經理 President
13	理事 Director	朱茂隆 Mao-Lung Chu	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	董事 Board Member
14	理事 Director	吳金榮 Chin-Jung Wu	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	顧問 Advisor
15	理事 Director	宋政憲 Anson Sung	國泰期貨股份有限公司 Cathay Futures Corp.	副總經理 Vice President
16	理事 Director	李文柱 Wen-chu Li	群益期貨股份有限公司 Capital Futures Corp.	總經理 President
17	理事 Director	周筱玲 Hsiao-ling Chou	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	副董事長 Vice Chairman
18	理事 Director	林明杉 Vincent Lin	中國信託綜合證券股份有限公司 Chinatrust Securities Co., Ltd.	總經理 President
19	理事 Director	林獻群 Leo Lin	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	總經理 President
20	理事 Director	洪三雄 Steven Hung	國票期貨股份有限公司 Waterland Futures Co., Ltd.	董事長 Chairman
21	理事 Director	高山燕 Shan-Yin KO	香港商法國興業證券股份有限公司台北分公司 SG Securities (HK) Ltd., Taipei Branch	總經理 President
22	理事 Director	許人祥 Mark Hsu	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	副總經理 Vice President
23	理事 Director	許瑞立 Jurry Hsu	安泰證券股份有限公司 Antay Securities Co., Ltd.	總經理 President
24	理事 Director	郭育宏 Yu-hung Kuo	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	顧問 Advisor

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)	職稱 (Position)
25	理事 Director	陳明智 Min-jyh Chen	啟發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant	董事長 Chairman
26	理事 Director	陳柏榮 Bo-jung Chen	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	副總經理 Vice President
27	理事 Director	陳瑞珪 Jui-chueh Chen	凱基期貨股份有限公司 KGI Futures Co., Ltd.	總經理 President
28	理事 Director	黃正雄 Thomas Huang	元富期貨股份有限公司 MasterLink Futures Corp.	總經理 President
29	理事 Director	黃炳和 Arvin Huang	日盛期貨股份有限公司 Jihsun Futures Co., Ltd.	總經理 President
30	理事 Director	楊俊宏 June-hong Yang	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	董事長 Chairman
31	理事 Director	楊新德 Hsin-te Yang	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	總經理 President
32	理事 Director	賈中道 Alex Chia	群益期貨股份有限公司 Capital Futures Corp.	副董事長 Vice Chairman
33	理事 Director	盧立正 Maurice Lu	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	副董事長 Vice Chairman

註：以上資料以106.12.31日為準，依公會職稱、姓氏筆劃排序。

監事名錄

序號 (No.)	公會職稱 (Title)	姓名 (Name)	會員名稱 (Company)	職稱 (Position)
1	監事會召集人 Supervisor Convener	李姿錡 Tzu-Chi Lee	國票期貨股份有限公司 Waterland Futures Co., Ltd.	總經理 President
2	常務監事 Executive Supervisor	林家進 Chia-ching Lin	國泰期貨股份有限公司 Cathay Futures Corp.	董事 Board Member
3	常務監事 Executive Supervisor	侯青志 Chris Ho	凱基期貨股份有限公司 KGI Futures Co., Ltd.	顧問 Advisor
4	監事 Supervisor	王登立 Tengli Wang	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	執行副總 Executive Vice President
5	監事 Supervisor	王錫娟 Winnei Wang	永豐期貨股份有限公司 SinoPac Futures Co., Ltd..	副總經理 Vice President
6	監事 Supervisor	李全才 Mario Lee	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	總經理 President
7	監事 Supervisor	吳皇旗 Huang-chi Wu	統一期貨股份有限公司 President Futures Corp.	副總經理 Vice President
8	監事 Supervisor	張禮賢 Li-hsien Chang	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	總經理 President
9	監事 Supervisor	曾幼敏 Yu-ming Tseng	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	經理 Manager
10	監事 Supervisor	黃俊仁 Jun-jen Huang	統一綜合證券股份有限公司 President Securities Corp.	副總經理 Vice President
11	監事 Supervisor	鄭質榮 Vincent Cheng	新加坡商大華期貨有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	總經理 President

註：以上資料以106.12.31日為準，依公會職稱、姓氏筆劃排序。

一、關於期貨交易法令協助推行

A. Implementation of futures-related regulations

增修定範本、規則、申報書表

Formulating contract templates, guidelines, and report formats

修定事項 Amendments to futures-related laws and regulations

1. 為強化證券期貨市場對資訊安全的防護措施，研訂「分散式阻斷服務防禦與應變作業程序（範本）草案」，提供期貨商參考。
In order to strengthen information safety protection measures for the securities and futures markets, the CNFA drafted the “Distributed Denial of Service (DDoS) Prevention and Reaction Procedures (Reference Sample)” for reference of futures companies.
2. 為推動無障礙環境提供身心障礙人士更友善之服務，訂定「金融友善服務準則實務作業問答集」，同時並修正「期貨商開戶徵信作業管理自律規則」，以保障身心障礙朋友使用金融服務之權益。
In order to promote barrier-free environment for mentally- and physically-retarded persons, the CNFA compiled the “FAQs on Friendly Financial Service Guidelines and Practices,” and made amendments to the “Self-discipline Rules for Futures Companies during Credibility-checking Procedures for Account Opening” as well so as to protect mentally- and physically-retarded persons’ rights for using financial services.
3. 為簡化期貨商申報閒置資產出租作業之申報程序，訂定「期貨商閒置資產出租注意事項」，並同時修正「會員營業處所場地及設備標準」。
In order to simplify the procedures for futures companies to report leasing of their idle properties, the CNFA formulated the “Guidelines for Futures Companies in Leasing Idle Properties” and made amendments to the “Standards for Business Site and Facilities of CNFA Member Companies” as well.
4. 配合「證券期貨業防制洗錢及打擊資恐注意事項」之修正，修正本公會「期貨商防制洗錢及打擊資助恐怖主義注意事項範本」、「期貨信託事業暨期貨經理事業防制洗錢及打擊資助恐怖主義注意事項範本」（含附件「計畫指引」及附錄「疑似洗錢或資恐交易態樣」）。
In order to cope with the amendments to the “Guidelines for Securities and Futures Industries in Anti-money Laundering and Countering Terrorist-financing Practices,” the CNFA revised its “Guidelines for Futures Companies in Anti-money Laundering and Countering Terrorist-financing Practices (Reference Sample)” and “Guidelines for Futures Trust and Managed Futures Enterprises in Anti-money Laundering and Countering Terrorist-financing Practices (Reference Sample)” (including “Plan Index” and Appendix on types of transactions suspicious made for money laundering or terrorist financing)
5. 為強化洗錢防制及打擊資恐作業，研擬資恐防制法之期貨業實務問答集，辦理「期貨業防制洗錢及打擊資恐遵循成效評估問卷」、「期貨信託事業暨期貨經理事業防制洗錢及打擊資恐遵循成效評估問卷」調查與分析。
In order to strengthen anti-money laundering (AML) and countering terrorist financing (CTF) practices, the CNFA drafted the FAQs on AML and CTF Practices Among Futures Industry, making the “AML and CTF Law Compliance Questionnaire for Futures Companies” and the “AML and CTF Law Compliance Questionnaire for Futures Trust and Managed Futures Enterprises” as well as make analysis on these surveys.
6. 配合臺灣期貨交易所推出盤後交易制度，調整「期貨商交易及風險控管機制專案」部分作業，修正有關「歐臺期及歐臺選商品」本公會訂定之期貨商對交易人預收保證金標準，並研擬「配合臺灣期貨交易所推出盤後交易制度之告知事項參考範本」供期貨商參考。
In order to cope with the after-hours trading system launched by the Taiwan Futures Exchange (TAIFEX), the CNFA revised related parts of the “Project of Futures Trading and Risk Control Mechanism for Futures Companies” and adjusted the CNFA-formulated Criteria for advance VM (variable margin) payment collected from FTX or OTX traders, and proposed the “Reference Sample of Notices about TAIFEX-launched After-hours Trading System.”
7. 配合臺灣期貨交易所開放期貨商因交易人之錯誤申報更正帳號，修訂本公會「期貨商、期貨交易輔助人接受特定客戶授權委託自律規則」。
To cope with the TAIFEX’s new policy allowing futures companies to make correction to the wrong trading account due to trader’s mistake, the CNFA made amendments to the “Self-discipline Rules for Futures Companies and Futures Introducing Brokers (IB) Authorized by Special Clients for Making Trading on Behalf of Them.”
8. 配合臺灣期貨交易所建置期貨市場盤後交易平台，修正本公會「期貨商開戶徵信作業管理自律規則」及其附件1「開戶申請書暨信用調查表（範本）」、「期貨商受託國內當日沖銷交易自律規則」及「期貨商國內當日沖銷交易風險預告同意書（範本）」。
In order to cope with the TAIFEX-launched after-hours trading system, the CNFA revised its “Self-discipline Rules for Futures Companies in Credit-checking Procedures for Opening Account” as well as Appendix 1: “New Trading Account Application Form & Credibility-Checking Form (template),” the “Self-discipline Rules for Futures Companies in Making Day Trading on Behalf of Clients,” and “Futures Company’s Letter of Agreement on Making Risk Warning for Day Trading (Template).”
9. 配合臺灣期貨交易所開放期貨商因交易人之錯誤申報更正帳號及建置盤後交易平台，修訂本公會「全權委託投資買賣期貨開戶暨受託契約（範本）」。
In order to cater to the TAIFEX’s policy to allow futures companies, in case of trader’s mistake, to report correction on trading account and launch the after-hours trading system, the CNFA revised its “Contract of Opening Discretionary Account for Futures Trading and Authorization (Template).”

Association Affairs

10. 為避免期貨顧問事業未經客戶同意任意更動自動下單程式之策略或參數，致衍生期貨顧問事業為客戶全權委託代辦之疑慮，研定「期貨顧問事業販售期貨顧問商品，使客戶得以具自動執行委託下單功能之期貨顧問軟體從事下單，應遵循之原則」。
In order to prevent futures advisory enterprises from adjusting the strategies or factors of the automatic order-placing program without having client's consent first which may cause concerns of their clients of discretionary account management, the CNFA drafted the "Guidelines for Futures Advisory Enterprises in Recommending Futures Advisory Services Enabling Clients to Operate Automatic Order-placing Function of Futures Advisory Software."
11. 為簡化期貨顧問業者行政作業，修正「期貨顧問事業於傳播媒體從事營業活動自行審核與申報作業程序」附表「自行審核執行登記簿」與「審核檢討報告」格式。
In order to simplify the administrative procedures of futures advisory enterprises, the CNFA revised formats of the "Self-Examination Checking Book" and "Evaluation Review Report" as appendixes of the "Self-examining and Reporting Procedures for Futures Advisory Enterprises While Engaged on Mass Media Activities."
12. 為確認期貨經理事業辦理全權委託複委託國內證券商買賣外國有價證券，及簽訂三方契約時得約定由受任人（券商）或保管機構辦理開戶，爰修正本公會「期貨經理事業經營全權委託期貨交易業務操作辦法」、「期貨交易全權委任契約範本」及「保管機構委任契約範本」相關內容。
In order to ensure that managed futures enterprises, while signing the three-party contract to authorize the securities firm or custodian banking institute to handle the matters for opening the discretionary account to re-consign domestic securities firms to trade foreign securities, the CNFA revised its "Guidelines for Managed Futures Enterprises in Handling Discretionary Account Management Business," "Contract of Discretionary Account for Futures Trading (Template)" and the "Contract of Authorization for Custodian Banking Business (Reference Sample)."
13. 為落實節能減碳及推動期貨經理事業無紙化作業，修正本公會「期貨經理事業經營全權委託期貨交易業務操作辦法」有關「委任契約」、「交易決定書」及第37條全權委託交易帳戶「會計制度」之保存方式，增定「其保存方式得以電子媒體形式為之」。
In order to cope with the government's "energy-saving and carbon-reducing" policy and to promote paperless operational procedures for managed futures enterprises, the CNFA revised related parts about "Contract of Authorization" and "Letter of Trading Decision" of the "Guidelines for Managed Futures Enterprises in Handling Discretionary Account Management Business" as well as made an amendment to the Guidelines' Article 37 on "accounting system," adding electronic media as another official means for preserving trading records of the discretionary account.
14. 配合金保法第4條第2項專業投資機構之範圍及一定財力或專業能力之條件，修正本公會「期貨經理事業經營全權委託期貨交易業務操作辦法」。
In accordance with the Financial Protection Act's Article 4, Paragraph 2 on the investment scope of a professional investment institute as well as its financial and professional capability requirements, the CNFA revised its "Guidelines for Managed Futures Enterprises in Handling Discretionary Account Management Business."
15. 配合主管機關修正期貨信託事業管理規則及期貨信託基金管理辦法增訂基金風險預告得以電子方式為之、放寬基金銷售人員之資格條件、簡化基金募集之作業程序、簡化基金追加募集相關申請書件、取消基金委託單一證券商買賣股票金額限制等，及開放證券集中保管事業得經營期信基金款項收付業務、證券經紀商得以自己名義為客戶申購期信基金，修正本公會「期貨信託基金之募集、發行、銷售及申購買回作業程序」、「期貨信託事業內部控制制度標準規範」、「期貨信託契約範本」、「期貨信託基金銷售契約範本」、「期貨信託基金電子交易作業準則」、「期貨信託基金月報檢查表」及「期貨信託基金銷售機構暨人員登記作業要點」等7項自律規範。
In cope with the government's policies to make amendments to the "Regulations Governing Futures Trust Enterprises and Futures Trust Fund," allowing the risk warning letter to be issued in electronic format, relaxing qualification requirements for fund sales persons, simplifying fund-raising procedures, simplifying application documents for increasing fund scale, terminating the restriction that a trust fund can authorize only one securities firm for securities trading, allowing the centralized securities depository company to add the service of collecting the payment for futures trust fund subscription or making payment for fund redemption, and allowing securities brokers to use their own title to subscribe futures trust fund for clients, the CNFA made amendments to seven self-discipline rules, including the "Procedures for Futures Trust Fund Raising, Issuance, Sales, Subscription, and Redemption," the "Standard Guidelines for Internal Control of Futures Trust Enterprises," the "Template of Futures Trust Contract," the "Template of Futures Trust Fund Subscription," the "Guidelines for Electronic Transaction of Futures Trust Fund," the "Monthly Checking List of Futures Trust Fund's Performance," and the "Guidelines for Registration of Futures Trust Fund Sales Persons."
16. 確保交易員之獨立運作及避免基金經理人個人決定下單時點之情事，修正本公會「期貨信託基金交易/投資執行紀錄」簽核程序。
In order to ensure independent operation of traders and prevent the fund manager from deciding the time of transaction by himself or herself, the CNFA revised the approval procedures for "Futures Trust Fund/Investment Execution Records."
17. 依金保法第4條第2項授權發布金管法字第10600555450號令，增訂符合一定財力或專業能力之自然人或法人之專業投資人條件，以排除適用金融消費者保護法之精神，修正本公會「期貨信託事業內部控制制度標準規範」。
In accordance with Financial Protection Act's Article 4, Paragraph 2 which authorizes the regulatory agency to issue Financial Supervision Decree No. 10600555450, adding the professional qualification requirements for financially-qualified individual or institutional investors in accordance with the principles of Financial Consumer Protection Act, the CNFA revised its "Standard Guidelines for Internal Control of Futures Trust Enterprises."
18. 為簡化填寫四大流程報表，比照證券投資信託基金規定，提案修正本公會「期貨信託基金交易投資分析報告、決定書、執行紀錄、檢討報告」。
In order to simply the four major documents for the futures trust fund to make performance as the securities investment trust fund, the CNFA proposed to revise the formats of the "Transaction Analysis Report, Letter of Trading Decision, Execution Report, and Review Report of Futures Trust Fund."



研議、建議事項 Proposals

擴大業務範圍事項 Business expansion issues

1. 為爭取兩岸期貨市場開放與產業商機，於金融白皮書建請開放大陸地區投資人來臺時得開立從事國內外期貨交易之帳戶，並開放陸資來台交易陸股相關之商品（如ETF、ETF期貨與ETF選擇權、人民幣期貨等商品）。

In order to grasp business opportunities derived from the deregulating futures market across the Taiwan Straits, the CNFA made recommendations to be stated in the Financial White Paper that the government should allow the mainlander investors, while travelling in Taiwan, to open futures trading account in Taiwan and allow mainland capital to be used for trading China-related futures or securities on Taiwan market (such as the ETF, ETF Futures, ETF Options, RMB Futures, and so on).

2. 建請主管機關放寬期貨自營商從事國外期貨造市業務：開放從事國外期貨造市交易之交易所及商品、放寬從事國外期貨造市交易採事後報備、及帳戶管理之相關限制。

To suggest regulatory agency's approval for easing the regulations on the Proprietary Futures Commission Merchant acting as a market maker of foreign futures: to allow even more foreign futures exchanges and their products for domestic futures company to act as a market maker, to ease the regulations to allow the market maker for foreign futures to report the actions afterwards, and to ease related restrictions on account management.

3. 建請主管機關放寬經許可之本國期貨商具有國外期貨交易所交易會員資格並取得期貨結算機構之結算會員為其辦理結算交割之證明者，得接受其他期貨商委託從事國外期貨交易。

To suggest regulatory agency's approval for the domestic futures company, which is qualified to become a member of foreign futures exchange and has obtained the certificate as a member of the futures clearance institute to provide futures trading clearance service, to be authorized by other futures companies to make trading of foreign futures.

4. 建請主管機關全面開放期貨商受託買賣執行業務員得轉介槓桿交易外幣保證金及其他槓桿保證金契約（不限於結構型商品及臺股股權相關之商品）。

To suggest regulatory agency's full opening for the futures workers, who are authorized by clients to make futures transactions, to make referrals of foreign currencies or other contracts for trading on margin (not limited to structured products and TAIEX-related products).

5. 為利金融產業均衡發展、期貨市場永續經營人才培育，建請主管機關取消期貨商業務員資格五年內未登記失效之相關規定。

To suggest regulatory agency's cancelling of the requirement that a futures salesperson should make registration within five years after starting doing this business otherwise losing his/her qualifications, a proposed move in favor of balanced development of financial industry and talents training to back sustainable development of the futures market.

6. 建請主管機關放寬期貨商或期貨交易輔助人已完成當面方式開戶之既有客戶，再申請以非當面方式加開同一人之期貨帳戶時，對加開帳戶之盤中未沖銷部位及新增委託合計所需保證金使用額度，得免除新臺幣100萬元額度之限制。

To suggest that the regulatory agency should ease the ceiling limit of NT\$1 million on the total amount of the remaining position during trading hours plus the added commissioned fund at the futures trading account which was not applied in person by an existing client of a futures brokerage company or a futures IB who has first opened a futures trading account in person and later applied for this account through non-face-to-face means.

7. 為擴大外資法人參與，建請主管機關函釋華僑及外國人投資有價證券，得以委任方式委託期貨經理事業，並協助與央行溝通配合開放期經得受託有價證券投資乙案。

The CNFA, in order to induce even more foreign capital to Taiwan, will suggest that the regulatory agency should issue a written letter explaining that the overseas Chinese or foreign investors could make securities investments through an authorize managed futures enterprise and at the same time will help discussing with the Central Bank for allowing managed futures enterprises to be authorized by them for making investments on marketable securities in Taiwan.

8. 為擴展期經業務，提升市場整體運作效率，建請主管機關放寬期貨經理事業之分公司得辦理與總公司相同之期貨經理業務乙案。

The CNFA, in order to boost the managed futures business and strengthen the overall market efficiency, will suggest that the regulatory agency should allow the branch offices of the managed futures enterprises to operate the same managed futures business as their parent companies.

9. 由於目前國人多有外幣存款，惟全委予期經業者操作，涉及以美元或人民幣等外幣計價之商品時，卻須將臺幣再匯兌外幣，造成委任人無謂之匯差損失，爰建請主管機關開放期貨經理事業運用全權委託資產從事國外交易或投資，委託人所交付之資金，不以新臺幣為限乙案。

The CNFA, regarding that many local citizens have owned foreign currency deposits at bank but they would suffer losses as a result of foreign exchange rate fluctuations as they hold the assets denominated by the US dollars, RMB, or other foreign currencies, would suggest that the fund deposited at a discretionary management account opened at the managed futures enterprise should not be confined to be denominated by the NT Dollars only.

10. 為持續活絡期經業務發展及提升運作效率，降低委任人成本，提高委託意願，爰建請主管機關全權委託期貨交易業務之委任人為信託業或其他經主管機關核准之事業，得由委任人自行保管委託資產乙案。

The CNFA, in order to continue boosting managed futures business, raise market efficiency, and lower the appointer's cost as a means to raise his/her willingness to be an appointer, will request the regulatory agency to allow the trust enterprise or any other government-approved enterprise as the appointer of the discretionary futures trading account to act as the custodian of its entrusted assets.

11. 考量降低投資人交易成本及擴大國內金融業者利基，建請主管機關期貨經理事業運用期貨全權委託資金複委託證券商買賣國外有價證券時，排除投資買賣帳戶應以客戶名義為之規定。

The CNFA, in order to help traders reduce trading cost and raise the profitability of domestic financial institutions, will request the regulatory agency to remove the regulation which bans the managed futures enterprises, while authorizing sub-brokers to use the fund at discretionary futures trading account to trade foreign securities, from using the title of clients for the trading made by the authorized sub brokers.

12. 建請主管機關放寬期貨信託基金持有單一期貨或選擇權契約未平倉部位所需原始保證金加計所支付與收取權利金淨額，不得超過本期貨信託基金淨資產價值之比例。

To request the regulatory agency to ease the restriction that the ratio of the net worth of the primary deposit plus the net value of premiums to the remaining position of one single futures or options trading account held by the futures trust fund should not exceed its percentage in the net assets value of the futures trust fund.

13. 建請主管機關比照證投信事業，自107年起期貨信託事業之上年度稽核計畫執行情形併同內部稽核所見異常事項改善情形，於每年度結束後五個月內申報。

To request the regulatory agency to refer to the practice of securities investment trust enterprises and consider allowing the futures trust enterprise to make the annual report of its auditing plan, its execution status, and the improvement made based on internal auditor's comments by the end of May of the following year, a move proposed to begin from 2018.

14. 建議臺灣期貨交易所開放開前置作業得由同一期貨商或同一期貨交易輔助人之總、分公司開戶經辦人員辦理。

To request the TAIFEX to allow the working staff of either headquarters office or branch office of the same futures brokerage company or futures IB to work together in handling the preparatory work for the same case of account opening.

15. 建議臺灣期貨交易所同意放寬期貨商（含期貨交易輔助人）於營業場所外辦理開戶前置作業，適用對象新增法人。

To request the TAIFEX to make the policy, that allows the futures brokerage company or futures IB to handle the preparatory work for account opening at a place outside their business sites, applicable to new institutional investors as well.

16. 建議臺灣期貨交易所修正「期貨經紀商受理期貨交易人存入保證金、權利金應行注意事項」，明確定義適用對象

To request the TAIFEX to make amendments to the "Guidelines for Futures Brokerage Companies in Collecting Futures Trader's Deposit and Premium," to give clear definition of those traders which are subject to the Guidelines.

17. 建議臺灣期貨交易所放寬華僑及外國人其國內代理人與期貨商均具網路認證機制者，得以電子化方式傳輸開戶文件辦理開戶。

To advise the TAIFEX to allow the overseas Chinese or foreigners, who trade futures through the Taiwan-based agents or futures companies which operate with an online certification mechanism, would be able to apply for new trading account with electronic versions of documents.

18. 為簡化本公會會員申報經營各項業務之場地及設備作業程序及配合實務作業，全面檢視並研議修正本公會「會員營業處所場地及設備標準」。

In order to simplify the procedures for CNFA Member Companies to make reports of their business sites and facilities as well as related practices, the CNFA will make a comprehensive examination and, if needed, propose amendments to its "Standards on Business Sites and Facilities of CNFA Member Companies."



二、業務發展事項

B. Association activities

政令宣導 Policy Advocating

配合期交所盤後交易制度期貨商風險控管原則說明會 (3/30、4/13、4/18、4/20)

為利從業人員瞭解臺灣期貨交易所盤後交易制度及期貨商對交易人之風險控管作業，本公會辦理北中南4場期貨商風險控管原則說明會，共445位稽核、法遵及交易、結算等相關人員出席。

Presentation on risk control guidelines for futures companies in cope with TAIFEX's after-hours trading system (March 30, April 13, 18, and 20)

In order to help futures companies understand the TAIFEX's newly launched after-hours trading system as well as related risk-control operations, the CNFA held the seminars (four rounds in total for northern, central and southern Taiwan) to discuss risk-control guidelines, participated by 445 persons including auditors as well as law compliance, trading, and settlement workers.

「證券期貨業暨投信投顧業防制洗錢及打擊資恐相關規定說明會」(3/23)

為利證券期貨業者配合執行防制洗錢及打擊資恐相關規定，本公會、證券公會及投信投顧公會共同舉辦本說明會，邀請主管機關長官就規定事項加以說明，並以座談方式與業者代表互動，當天超過200位業者代表與會。

Presentation on “AML & CTF Regulations for Securities, Futures, and Securities Investment Trust and Advisory Industries” (March 23)

In order to help securities and futures companies comply with the AML (anti-money-laundering) & CTF (countering terrorist financing) regulations, the CNFA, the Chinese Securities Association (CSA), and the Securities Investment Trust and Consulting Association (SITCA) jointly held this presentation, inviting government officials to explain specific regulations and discuss with participants. It's participated by over 200 industry representatives.

「新版洗錢防制法及相關法令說明會」(8/16)

為利會員瞭解國際洗錢防制相互評鑑SOP流程，及相關規範之落實方向與作為，本公會特與投信投顧公會、保險犯罪防制中心共同邀請法、官、學界專家假辦理說明會，計250位從業人員參加。

Seminar on New AML Regulations (Aug.16)

In order to help Member Companies understand the SOP procedures of international mutual evaluation on anti-money laundering practices and to secure law compliance, the CNFA, the SITCA, and the Insurance Anti-fraud Institute jointly held the seminar, inviting judicial officials, government officials, and academic scholars to attend. This seminar was attended by approximately 250 persons.





洗錢防制宣導說明會 (10/18、19、20)

為利會員遵循防制洗錢相關規範，本公會邀請調查局洗錢防制中心專家從案例分析講解，說明重要法律條文、透析洗錢手法及疑似洗錢應注意事項，以達強化從業人員對洗錢行為之警覺性，進而防杜重大犯罪情事。

AML Seminar (Oct. 18, 19, and 20)

In order to help Member Companies behave in compliance with anti-money laundering regulations, the CNFA invited experts from the Money Laundry Control Center of the Investigation Bureau to use some cases to explain the importance provisions and analyze possible money laundry means as well as prevention guidelines, so as to strengthen futures workers' awareness and prevent major financial crimes.



「防制金融犯罪研討會」(11/6)

為使金融監理官、檢察官與司法警察更深入理解期貨各項業務，以利金融犯罪防制，本公會與保險犯罪防制中心共同辦理防制金融犯罪研討會，就期貨業發展、交易人保護與犯罪防制議題進行研討。

Seminar on Financial Crime Control (Nov. 6)

In order to help financial supervisory officials, prosecutors, judicial officials, and policemen have deeper understanding of various kinds of futures businesses as to strengthen their control over financial crimes, the CNFA and the Insurance Anti-fraud Institute jointly held this seminar, discussing the development of futures market, trader protection, crime control, and related matters.

市場推廣活動 Market promotion activities

「2017年期貨業校園徵才」巡迴講座 (3/01-5/31)

本講座於各大學院校辦理21場、約1,450位同學參與，舉辦目的係藉由巡迴講座協助同學正確認識期貨就業市場，並了解實務工作內容與所需具備之職能，並做好職涯規劃與就業的準備，為職場生涯構築藍圖。

“2017 Campus Talents-hunting for Futures Industry” —Lecture Tour (March 1-May 31)

In 2017, the CNFA arranged 21 lectures on campus of universities, attended by around 1,450 students. The lectures were arranged to help students understand the futures market as well as jobs of futures workers and related job requirements, and that would prompt them to take earlier steps to plan for their careers in the future.





期貨業務人才養成班聯合徵才活動 (9/13~9/30)

本公會於106年度舉辦第30期聯合徵才活動，「期貨業務人才養成班」係由臺灣期貨交易所贊助、證券暨期貨市場發展基金會執行培訓課程，再由本公會籌辦甄選作業。開辦迄今已為業界媒合365位生力軍。

Joint talents recruitment activity for futures salesperson training course (Sept.13-30)

The CNFA held the 30th joint talents recruitment activity for 2017, in conjunction with the "Futures Salesperson Training Course" sponsored by the TAIEX and organized by the Securities & Futures Institute (SFI). The CNFA was responsible for screening the trainees for this course which, since inception, has seen 365 trainees find competent jobs after completing the training course.



「金融講堂」系列講座課程 (Q2、Q4)

為響應主管機關推動「金融知識普及計畫」及金融教育推動小組「深化校園根基」策略，本公會今年度共參與10場「金融講堂」系列講座，講授期貨業實務課程，灌輸學生正確之期貨知識，實踐向下紮根，培育金融人才之理念。

"Financial Podium" lecture series (Q2, Q4)

In reaction to the government's "Financial Knowledge Spreading Out" plan and the Financial Education Committee's "Campus Rooting" strategy, the CNFA supported 10 rounds of the "Financial Podium" to give futures lectures on campus of universities around Taiwan, cultivating university students with correct futures knowledge, deepening financial education on campus under the goal of cultivation of financial talents.



「106年金融建言白皮書會議」 (10/18)

本公會參與金管會主辦之金融建言白皮書會議，建議「將選擇權稅制比照期貨契約，依類別予以分別訂定稅率課稅，並酌予調降各類選擇權核定稅率」及「調降股票期貨及選擇權造市者避險專戶證券交易稅」。

Meeting on "Financial White Paper 2017" (Oct. 18)

The CNFA participated the FSC-held meeting on "Financial White Paper 2017" where it suggested classifying options into different categories for different tax rates as taxation measures for futures, and at the same time considering cut the tax rates on options" and "reducing tax rates for the transactions made by stock futures or stock option market makers for risk-hedging."



理財講座-設攤推廣期貨活動 (3/17、7/28、9/01)

為使業者與投資人能夠直接面對面的接觸，今年再度於臺灣期貨交易所主辦之期貨理財講座會場設置期貨商攤位，以簡單的遊戲傳達正確之期貨交易、開戶、風險控管等作業流程與相關規定，業者與來賓互動熱絡，活動盛況空前。

Wealth Management Lecture and Futures Promotional Booth (March 17, July 28, Sept. 1)

In order to create opportunities for futures workers to talk with high-ranking executives of enterprises in person, the CNFA set a futures promotion booth in conjunction with the TAIEX-held Wealth Management Lectures for 2017, where booth runners played simple games with visitor to help them understand the rules on futures trading, procedures for account opening, risk control system, and so on. It attracted many visitors and had active interaction with them.





社會關懷 Social care

「2017年金融服務愛心公益嘉年華」(11/11、12/16)

為推動普及金融知識，喚起民眾對金融知識的重視及對社會弱勢族群的關懷，本公會除積極參加11/11及12/16金融總會統籌辦理之臺中及高雄兩場關懷社會園遊會，從事教育宣導工作，並捐贈款項予弱勢團體，幫助需要關懷人士。

Financial Service and Charity Carnival 2017 (Nov.11, Dec.16)

In order to spread out financial knowledge to arouse the public's notice to the financial knowledge and social care for the disadvantaged groups, the CNFA enthusiastically joined the two rounds of the TFSR-organized "Financial Service and Charity Carnival 2017" in Taichung and Kaohsiung, respectively. Besides promoting public education, the CNFA made donations to the disadvantaged groups to help those in need.

「金融服務業教育獎助學金計畫」(106學年)

本計畫是由金管會指導，金融總會統籌辦理，各金融周邊、同業公會、控股公司及外商銀行，共同捐助約新臺幣1億2千萬元，其中1億元供發放教育獎助學金，另2千萬元供做辦理金融教育課程。

Educational Scholarship Program for Financial Service Industry (for school year 2017)

This program is executed by the TFSR in accordance with FSC's instruction, with an initial fund of NT\$120 million, donated by financial satellite institutions, associations, holding companies, foreign banks, and so on. Of the NT\$120 million, NT\$100 million will be used as scholarship for collage students while the rest as the fund for holding educational courses and activities. A in addition to NT\$20 million as budget for arranging financial education courses.

「第14屆證券暨期貨金彛獎」頒獎典禮(12/13)

為表彰證券期貨市場之傑出人才，樹立後進學習楷模，以健全證券期貨市場的發展，由金管會指導，證基會承辦，證券期貨周邊單位協辦，自85年辦理迄今，總計選出195位證券、期貨及投信投顧等傑出人才、30家傑出團體，係為證券期貨界的最高榮譽。

Awarding Ceremony of 14th Securities & Futures Award (Dec.13)

In order to honor outstanding securities and futures talents as the models for followers as a means to boost healthy development of the securities and futures markets, the annual Securities & Futures Award campaign, in accordance with the FSC's instructions, is organized by the Securities and Futures Institute, sponsored by satellite institutions. Since its inception in 1096, it has honored 195 outstanding securities, futures, securities investment trust, and securities investment advisory workers in addition to 30 outstanding institutions. It's the highest honor of the securities and futures industry.





國際兩岸交流 International exchange

第42屆美國期貨業協會（FIA）年會（3/14~3/17）

2017年美國CFTC國際監理官會議及第42屆美國FIA年會於3月14日假美國佛州Boca Raton舉行，探討區塊鏈對金融市場的影響、金融科技如何應用於衍生性商品市場、金融法規改革方向等最新議題。

Boca 2017, annual meeting of Futures Industry Association, FIA (March 14-17)

In conjunction with the meeting of international supervisors of the Commodity Futures Trading Commission (CFTC), the 42nd annual meeting of the Futures Industry Association (FIA) was held in Boca Raton, Florida, the U.S.A. on March 14-17, 2017, discussing the impact of blockchain on financial market, application of fintech on derivatives market, adjustments in financial regulations, as well as other topics in cope with the latest financial market trend.



LME亞洲年會（5/9~5/11）

2017LME亞洲年會於5月上旬在香港會議展覽中心舉行，深入探討港交所未來在商品、制度、戰略等方面的發展布局。為期3天的LME Week，共吸引全球30多國近1,800位金屬和商品市場專業人士參加。

LME annual meeting in Asia (May 9-11)

The London Metal Exchange (LME) held its Asian annual meeting for 2017 in earlier May in Hongkong, making deep discussion on how to develop the commodities, system, and strategies for the future. The three-day conference was joined by nearly 1,800 professional metal and commodity traders from 30 countries around the world.



IOSCO年會（5/14~5/18）

國際證券管理機構組織（IOSCO）第42屆年會於2017年14日至18日假牙買加蒙特哥貝舉行，研討會聚焦於「金融科技帶來資本市場的轉變」及「監理者該如何因應日益興盛的金融科技創新」兩大議題。

IOSCO annual meeting (May 14-18)

The International Organization of Securities Commission (IOSCO) held its 42nd annual meeting in on May 14-18, 2017, focused on the two topics of “Transformation brought about by Fintech” and “How Will Financial Supervisors React to Prevailing Financial Technology.”



「海峽金融論壇綠色金融交流座談會」(5/23)

本公會受邀參加由金融總會及廈門市金融辦主辦之「海峽金融論壇綠色金融交流座談會」，就兩岸綠色產業與綠色金融發展現況進行交流，並為6月18日在廈門以「兩岸合作創新發展綠色金融」為主題的海峽金融論壇活動暖身。

Cross-straits Financial Forum—Green Financial Exchange Seminar (May 23)

The CNFA was invited to attend the “Cross-straits Financial Forum—Green Financial Exchange Seminar,” co-organized by the TFSR and Financial Bureau of Xiamen City, where participants discussed and exchanged viewpoints on development of green industries as well as financing services to back green industries across the Taiwan Straits as the warm-up activity for the seminar on “Development of Cross-straits Innovative Green Financing Services” scheduled for June 18 in Xiamen.

「第14屆上海衍生品論壇」(5/25-26)

本公會受邀參加由上海期貨交易所和中國金融期貨交易所共同主辦之第十四屆上海衍生品市場論壇，本屆論壇主題為「穩中求進 發展開放」，就持續推進供給側結構性改革、完善期貨市場在資源配置中的引導作用等議題進行討論。

The 14th Shanghai Derivatives Market Forum (May 25-26)

The CNFA was invited to attend the 14th Shanghai Derivatives Market Forum co-organized by Shanghai Futures Exchange and the China Financial Futures Exchange under the theme of “Pursue Growth Amid Stability; Make Development Through Opening,” discussing how to continuously promote structural evolution of futures market, how to enhance the role of futures market as an initiation for improving resources allocation, as well as other related topics.

韓國交易所來訪 (8/31)

為促進臺灣衍生品市場交流，韓國交易所衍生品市場部常務董事Taejoo CHOE及副部長Hyungun Ji來訪，就雙方發展經驗交換心得，期有更進一步的發展空間。

Visit of Korea Exchange (Aug. 31)

In order to promote interaction between Taiwan’s and Korea’s derivatives markets, Korea Exchange’ Managing Director Taejoo CHOE and Vice President Hyungun Ji visited Taiwan and exchanged viewpoints with Taiwanese counterparts, in a hope of creating opportunities for further interaction.





理監事參訪團 (8/31~9/11、9/14~9/25及10/26~11/05)

為考察先進國家之衍生性商品市場發展經驗，並向國外同業及友人介紹我國期貨市場之現況，本公會每年均安排理監事出國參訪及交流。106年特別安排理監事分別參訪加拿大卑斯省、西雅圖及奧地利等商會，爭取當地人士參與我國期貨市場。

Overseas business trip of board members and supervisors (Aug.31-Sept.11, Sept.14-25, Oct.26-Nov.5)

In order to refer to the experiences of advanced countries in development of financial derivatives market as well as to introduce Taiwan futures market to foreign counterparts, the CNFA arranged annual overseas business trips for its board members and supervisors. In 2017, three such trips were arranged for them to visit British Columbia of Canada, Seattle of U.S., and Austria, respectively, visiting local industry associations there for exchanging viewpoints with local persons and inviting them to join Taiwan futures market.



TFX東京金融交易所來訪 (9/29)

藉由來台申請Nikkei 225、日圓兌美元等13項一天期期貨契約成為我國交易人可交易之標的，日本東京金融交易所伊藤渡副社長等5人順道來訪，就業務招攬、交易糾紛之解決管道等議題，廣泛交換意見與分享經驗。

Visit of Tokyo Financial Exchange (TFX) (Step. 29)

In order to file applications for 13 kinds of one-day futures contracts such as Nikkei 225 and JPY/USD FX to be traded on Taiwan's futures market, Tokyo Financial Exchange (TFX) organized a 5-member delegation, led by Senior Managing Director Wataru Ito, to visit Taiwan. During their stay in Taiwan, they shared their experiences and viewpoints in a broad range of topics such business-inducing, resolution to trading disputes, etc.

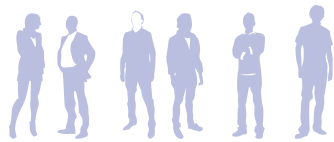


「2017台北國際期貨論壇」(10/24)

為協助企業徹底瞭解匯率市場、避險工具、相關成本與控制風險，以訂定匯兌避險政策，今(106)年論壇之主題擬訂為「外匯現貨及衍生品市場之現況與展望」，期讓企業更瞭解匯率市場避險之重要性、以控制匯率風險，減少損失。

Taipei International Futures Forum 2017 (Oct. 24)

In order to help enterprises completely understand the forex market, risk-hedging vehicles, as well as related risk-control cost so as to make policies to avoid the risks associated with exchange rate fluctuations, this Forum was held under the theme "Development of Spot Forex and Derivatives Markets," in a hope to make enterprises even more aware of the importance of risk-hedging and take proper actions to avoid losses.



日本TOCOM東京工業品交易所來訪 (10/25)

日本東京工業品交易所濱田總經理等3人來訪，希望透過公會的協助，介紹該所的原油、黃金與白銀等商品讓國人認識；同時，該所也向我方請教選擇權市場的發展現況，做為日後發展相關產品之重要參考經驗。

Visit of Tokyo Commodity Exchange (Oct. 25)

Takamichi Hamada, President & CEO of Tokyo Commodity Exchange (TOCOM), and two of his colleagues took a trip to Taiwan in a hope that the CNFA would introduce the TOCOM's commodity futures such as crude oil, gold, silver, etc. to Taiwanese traders. Meanwhile, they learned of the development and status of Taiwan's options market as reference of Japan for developing options-related products.



日本日產證券株式會社來訪 (11/6)

日本日產證券二家英彰社長等3人來訪，就兩國期貨市場之發展現況、制度與商品等層面進行交流，並期盼在現有的良好基礎上，擴展更緊密的業務合作。

Visit of Nissan Securities (Nov. 6)

Tomoaki Hirao, Board Director of Nissan Securities Co., and two of his colleagues visited Taiwan to exchange viewpoints with Taiwan's counterparts on status of the futures markets, market systems, as well as products in Taiwan and Japan, in a hope of building strong foundation for closer cooperation between the two markets in the future.



CBOE 風險管理亞洲會議 (12/6)

芝加哥選擇權交易所 (CBOE) 連續3年在香港舉辦風險管理會議(Risk Management Conference, RMC)。會中除剖析影響行情波動的因素外，尚介紹VIX相關指數、選擇權市場與交易策略、以及近期相當熱門的比特幣期貨，供與會人士交換心得與分享新知。

CBOE Risk Control Meeting in Asia (Dec.6)

The CBOE has held the Risk Management Conference (RMC) in Hongkong for three years in a row. In addition to analyzing the factors behind market fluctuations, the meeting of 2017 introduced VIX-related index, options market, trading strategies, as well as the latest hot topic of bitcoin futures, allowing participants to share experience and new knowledge during the meeting.



聯誼活動 Friendship activities

1. 從業人員聯誼活動 (11/18)

為提倡健康自然之休閒活動並提供從業人員聯誼管道，辦理從業人員登山健行聯誼活動，並同時「捐發票、送愛心」關懷弱勢，本次活動超過1,000名從業人員及眷屬參與。

Friendship activities for futures workers (Nov.18)

In order to provide healthy and natural leisure activities to futures workers, the CNFA arranged the annual hiking activity in conjunction with an "Invoice Donation for Charity" activity, participated by over 1,000 futures workers and their family members in 2017.



2. 委員會聯誼活動 (4/22-23、5/6-7)

106年度委員會聯誼活動規劃嘉義兩日行程，共2梯次(4/22-23及5/6-7)，期透過此聯誼活動，讓平日業務繁忙的業界同仁，得以互相交流並聯絡感情。

Friendship activity for committee members (April 22-23, May 6-7)

The friendship activity for committee members for 2017 was the 2-day journey to Chiayi (in two groups), offering a good opportunity for futures workers to entirely relax themselves from busy work and make closer interaction with each other during this trip.





3. 理監事聯誼活動 (3/23~3/27及4/6~4/10)

為促進理監事之情誼，本公會於3、4月間辦理二梯次日本聯誼活動，順道拜訪日本交易所集團（JPX）及東京商品交易所（TOCOM），共有23位理監事參加。

Friendship activity for CNFA directors and supervisors (March 23-27, April 6-10)

In order to promote friendship among board members and supervisors, the CNFA arranged two Japanese trips for them (divided into two groups for two separate trips, respectively) in March and April. During the trips, they visited Japan Exchange Group (JPX) and Tokyo Commodity Exchange (TOCOM) by the way. The two trips were participated by 23 board members and supervisors in total.

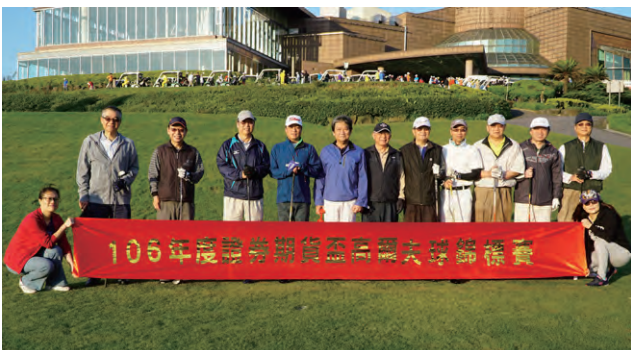


4. 106年度證券期貨盃高爾夫球賽 (4/29)

由證交所、期交所、集保、櫃買、證券公會、期貨公會及投信投顧公會等7大證券暨期貨周邊單位共同舉辦證券期貨盃高爾夫球賽，提倡正當休閒運動，並加強證券、期貨業之交流聯誼。

Securities & Futures Golf Championship for 2017 (April 29)

The Securities & Futures Golf Championship is co-organized by seven associations and institutes, the TSE, TAIFEX, TDCC, TAPx, TSA, CNFA, and SITCA, to promote healthy leisure activity for securities and futures workers and strengthen interactions among them.



5. 歲末聯誼活動 (1/10)

本公會辦理歲末感恩聯歡晚會，感謝所有理監事及各委員會委員的辛勞付出，並邀請週邊單位首長共同出席，多方交流與互動。

2016 year-end gala dinner (Jan.10)

The CNFA held the Year-end Gala Dinner to express gratitude for the efforts of all board members, supervisors, and committee members, inviting the high-ranking executives from related institutions to join for closer interaction among them.



三、督促會員自律、共謀業務上改進事項

C. Self-discipline and operation improvement of member companies

1. 期貨顧問事業查察輔導事項

Inspection of futures advisory enterprises

本公會106年度針對期貨顧問事業之業務及內部稽核作業實施例行查察輔導及專案查核工作，其中違反本公會「會員自律公約」，提報紀律委員會共5案，發函要求改善者4件、核處警告者1件。

The CNFA made annual inspection on futures advisory enterprises and their practices of internal auditing. In 2017, among those found breaking the “Self-discipline Agreement for CNFA Members,” 5 cases were reported to the Discipline Committee, 4 were notified to make improvement by written letter, and 1 received the CAFA’s warning notices.

2. 督促事項

Discipline matters

期貨顧問事業以外之會員公司因違反本公會自律規範，提紀律委員會共10案，發函要求注意改善者10件。

Among other member companies which violated the “Self-discipline Rules for CNFA Member,” except futures advisory enterprises, 10 cases were reported to the Discipline Committee and another 10 were notified to make improvement.





四、關於會員業務之廣告及宣傳審查事項

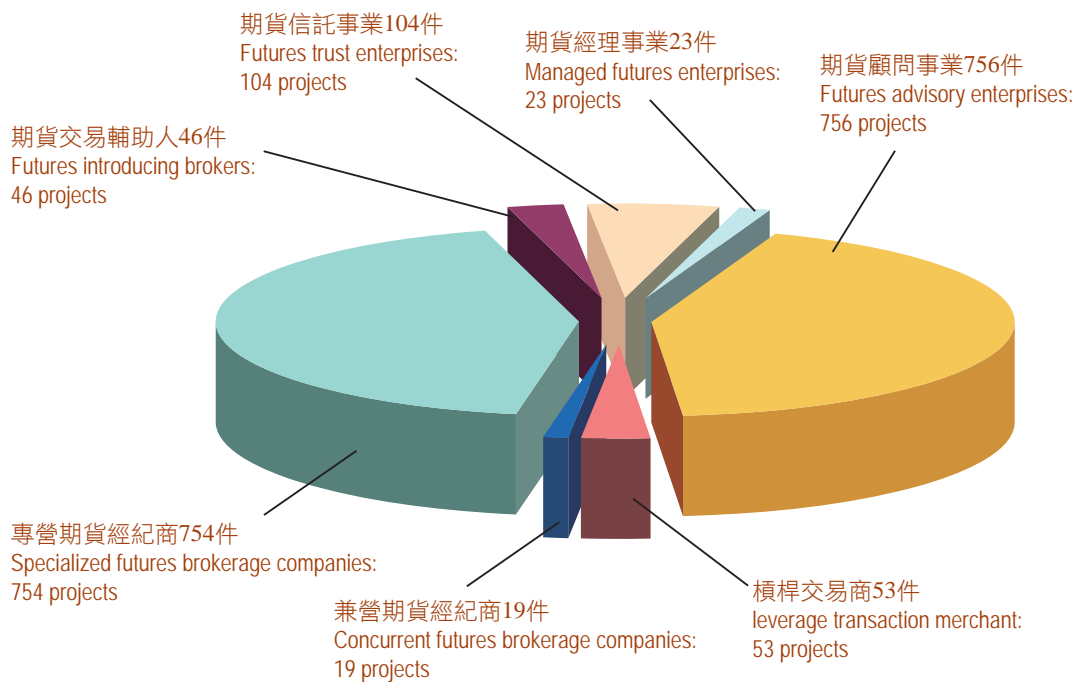
D. Inspection of advertisement and promotional materials of member companies

本公會106年度共計審核1,755件會員公司宣傳資料及廣告案件，就案件分析，專營期貨經紀商申報754件、兼營期貨經紀商申報19件、槓桿交易商申報53件、期貨顧問事業申報756件、期貨經理事業申報23件、期貨信託事業申報104件、期貨交易輔助人申報46件。

In 2017, the Association reviewed 1,755 projects of advertisement and promotional materials published by member companies. Among them, 754 projects were filed by the specialized futures brokerage companies, 19 by concurrent futures brokerage companies, 53 by leverage transaction merchant, 756 by futures advisory enterprises, 23 by managed futures enterprises, 104 by futures trust enterprises, and 46 by futures introducing brokers.

106年度宣傳資料及廣告申報案件統計

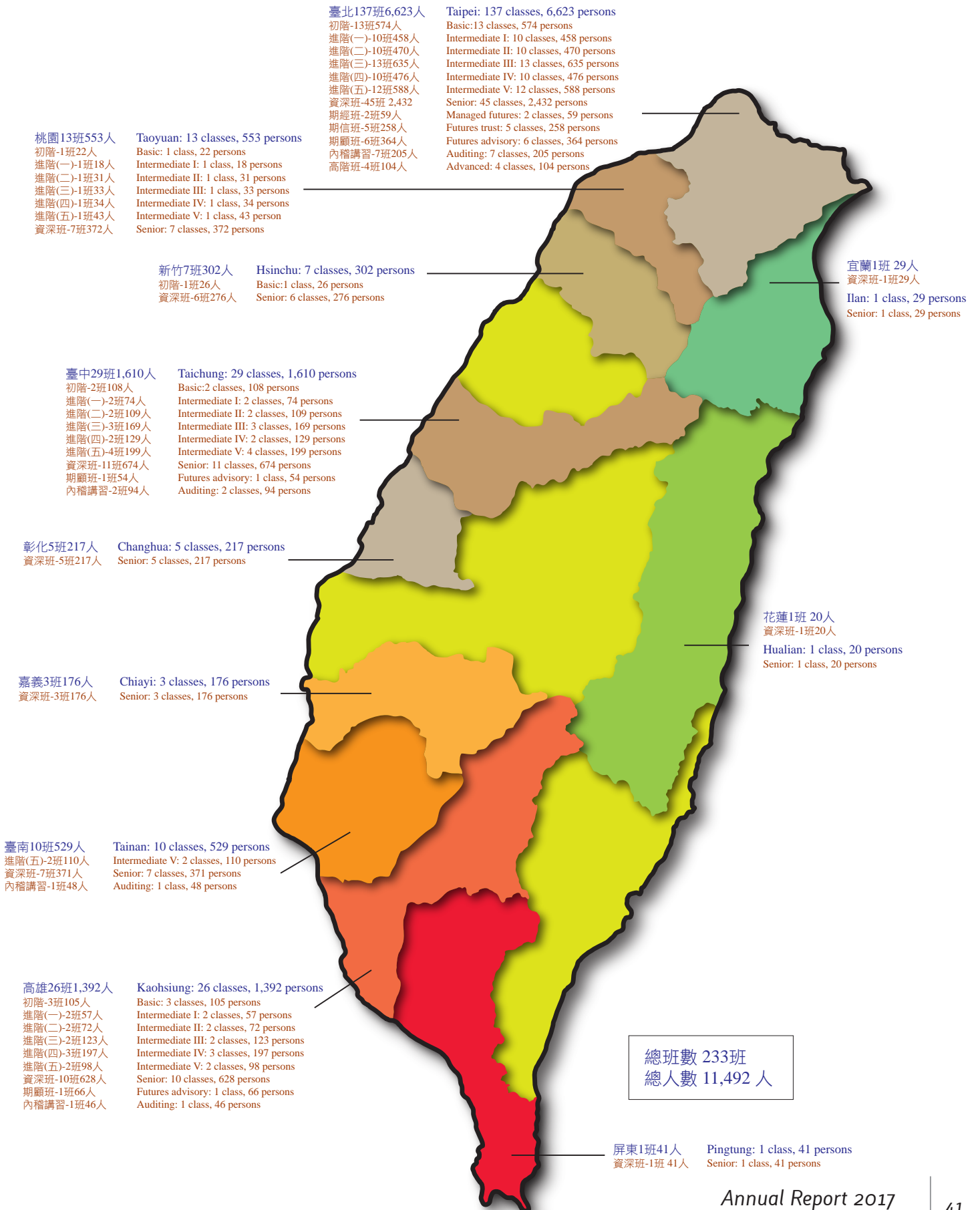
Advertisement and Business Promotion Projects of CNFA Members in 2017



五、在職訓練

E. On-job training

106年度舉辦之地區別、班別及人數詳如下圖：
CNFA-arranged Training Courses in 2017



總班數 233班
總人數 11,492 人



六、關於會員、會員代表、會員負責人及業務員基本資料之建立、登記事項

F. Registration and records of member companies as well as their representatives, persons-in-charge, and business representatives

1. 會員及業務員基本資料之建立及登記事項

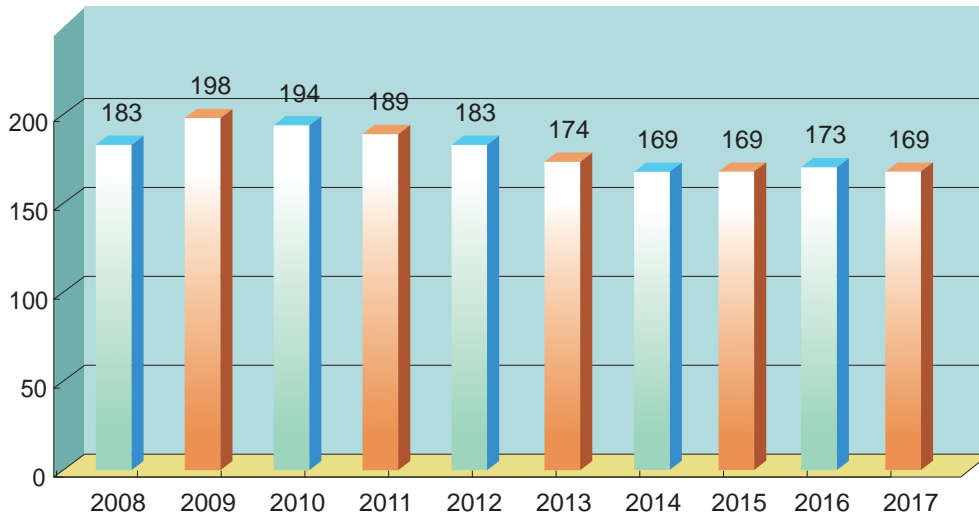
Registration of member companies and their business representatives

- 會員家數：共計169家，其中經營期貨經紀業務為33家(20%)；期貨業務輔助人48家(28%)；期貨自營業務34家(20%)；期貨經理業務9家(5%)；期貨顧問業務32家(19%)；期貨信託業務10家(6%)；槓桿交易商3家(2%)。

There were 169 member companies registered with the CNFA at the end of 2017: 33 (20%) futures brokerage companies, 48 (28%) futures introducing brokers, 34 (20%) proprietary futures commission merchants, 9 (5%) managed futures enterprises, 32 (19%) futures advisory enterprises, 10 (6%) futures trust enterprises, 3(2%) leverage transaction merchant.

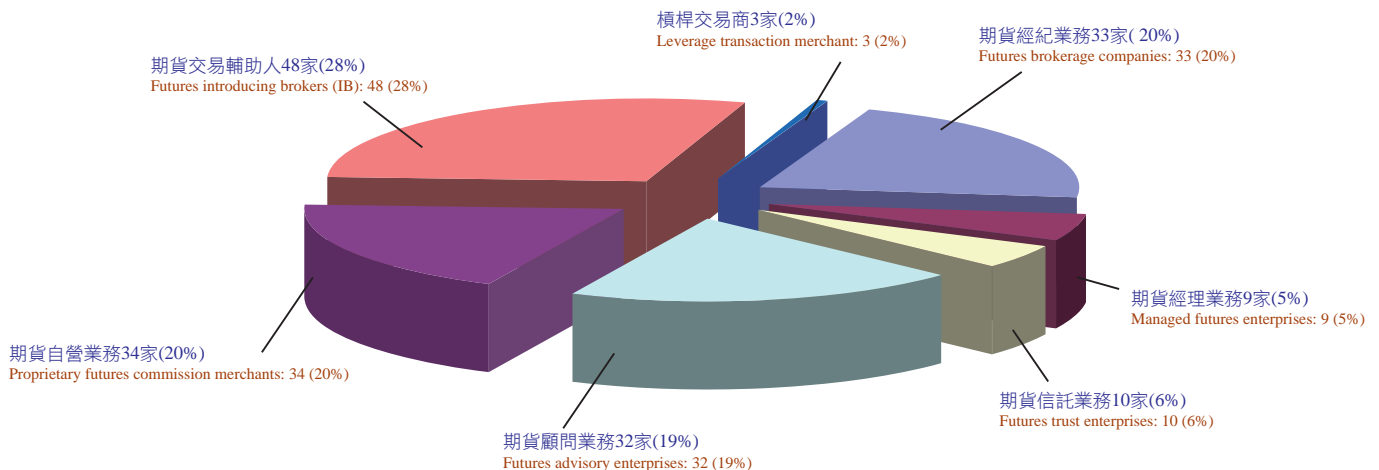
近10年會員家數統計圖

Statistics of CNFA Member Companies over Past 10 Years



106年度會員類別統計圖

Statistics of CNFA Member Companies in 2017, by Business Category



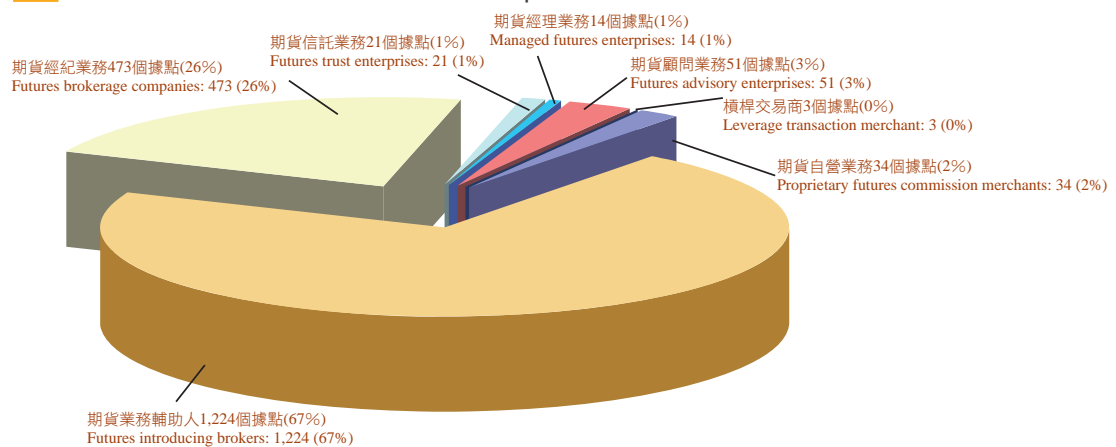
共計169家會員 資料統計截至106/12/31
A total of 169 member companies as of Dec. 31, 2017

- 會員總分公司分支機構數：共計1,820家，其中經營期貨經紀業務為473個營業據點(26%)；期貨業務輔助人1,224個營業據點(67%)；期貨自營業務34個營業據點(2%)；期貨經理業務14個營業據點(1%)；期貨顧問業務51個營業據點(3%)；期貨信託業務21個營業據點(1%)；槓桿交易商3個營業據點(0%)。

CNFA Member Companies were operated with a total of 1,820 headquarters offices and branch offices as of the end of 2017: 473 used by futures brokers (26%), 1,224 used by introducing brokers (67%), 34 used by proprietary futures commission merchants (2%), 14 used by managed futures enterprises (1%), 51 used by futures advisory enterprises (3%), and 21 used by futures trust enterprises (1%), 3 used by leverage transaction merchant (0%)。

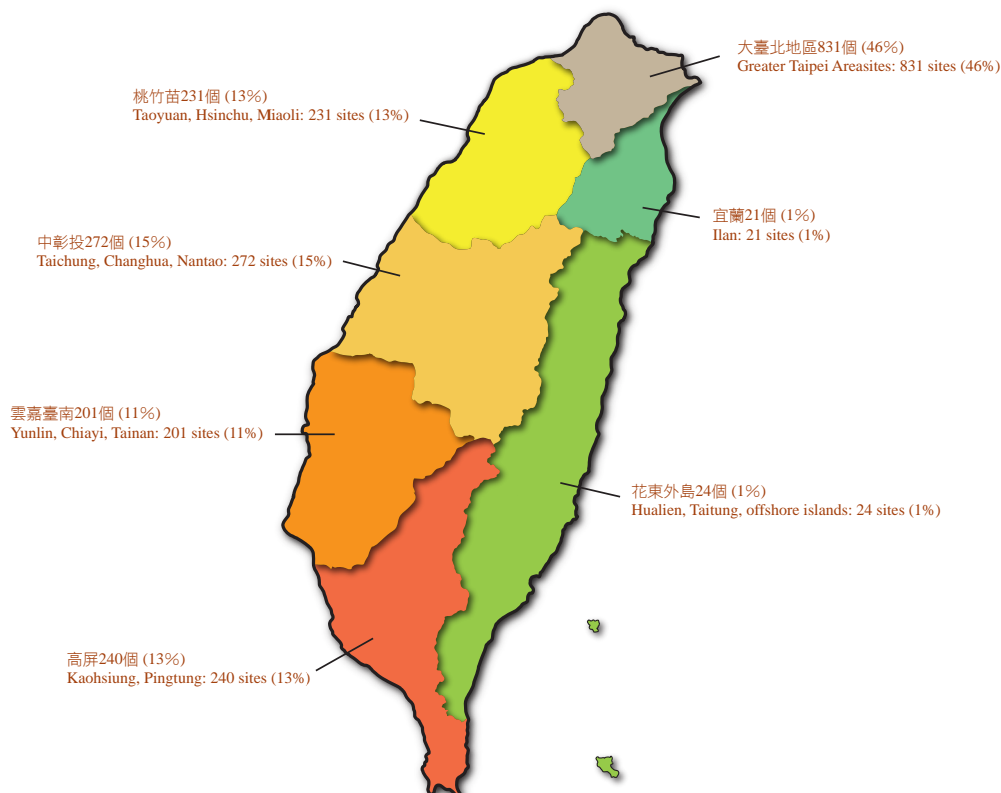
會員營業據點統計圖

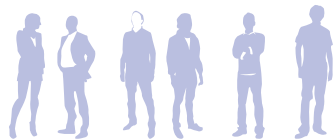
Business Sites of CNFA Member Companies in 2017



106年度會員營業據點區域分布統計圖

Geographical Location of Business Sites of CNFA Member Companies in 2017



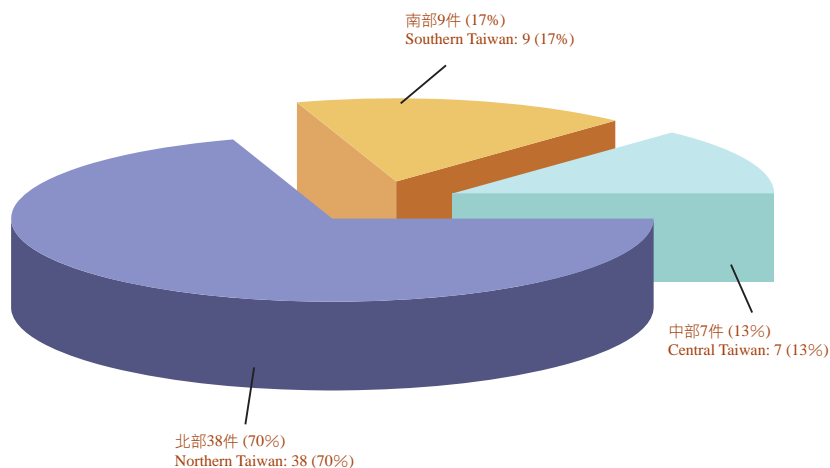


● 會員場地勘察 Business site inspection

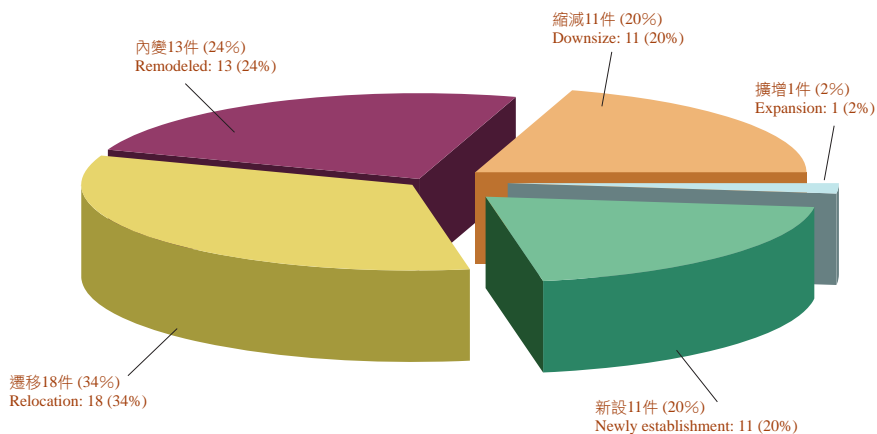
106年度共計54件場勘案(北部地區38件、中部地區7件、南部地區9件)，就其場勘案件分析，新設營業處所11件(20%)、遷移營業處所18件(34%)、縮減營業處所11件(20%)、擴增營業處所1件(2%)、營業處所內部配置變動13件(24%)。

The Association conducted a total of 54 business site inspection projects in 2017 (38 in northern Taiwan, 7 in central Taiwan, 9 in southern Taiwan). Among these inspected sites, 11 (20%) were newly established, 18 (34%) were relocated, 11 (20%) were downsized, 1 (2%) were expanded, and 13 (24%) were remodeled.

106年度場地勘察區域分布統計圖
Geographical Areas of Inspected Business Sites in 2017



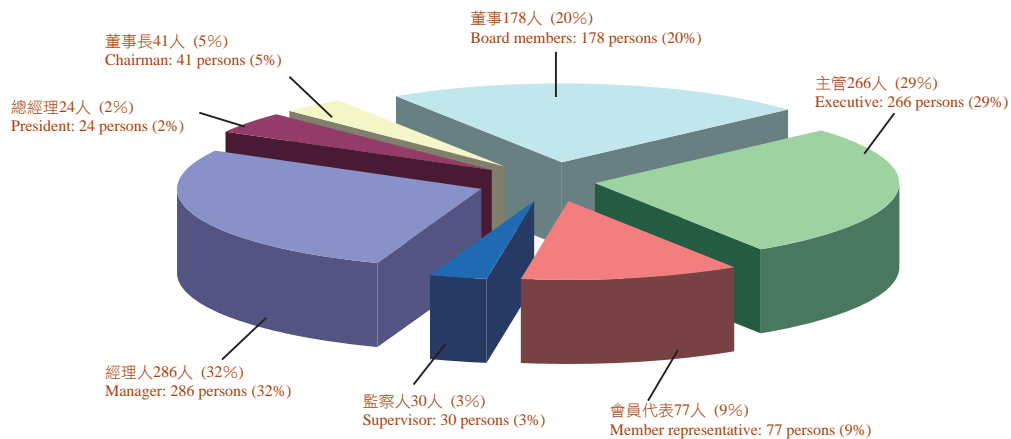
106年度場地勘察類別統計圖
Statistics of Business Site Inspection Projects in 2017



2. 會員委託證照之申請變更、換領及其他服務事項
Certificate renewal, change, and other related services

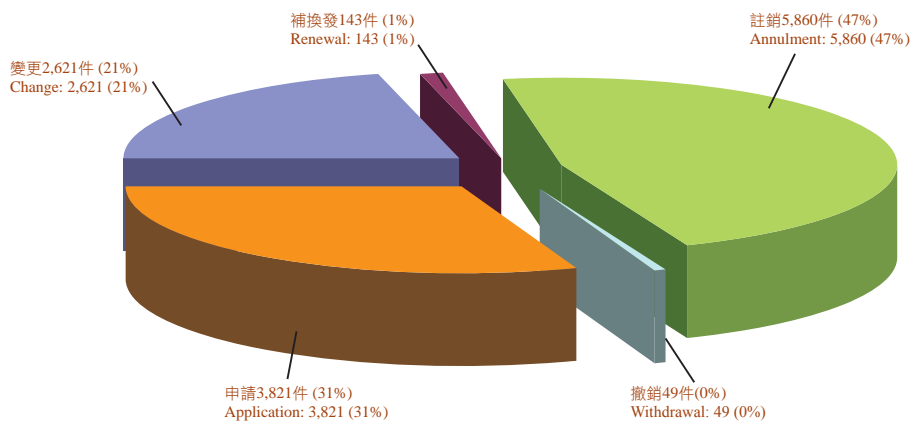
- 會員代表、會員負責人106年度於本公會異動登錄共計902人次，細分如下：
In 2017, the Association handled a total of 902 certificate renewal and change cases for high-ranking executives, board members, and supervisors of member companies.

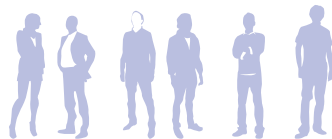
106年度身分類異動統計圖
Position Change Statistics for 2017



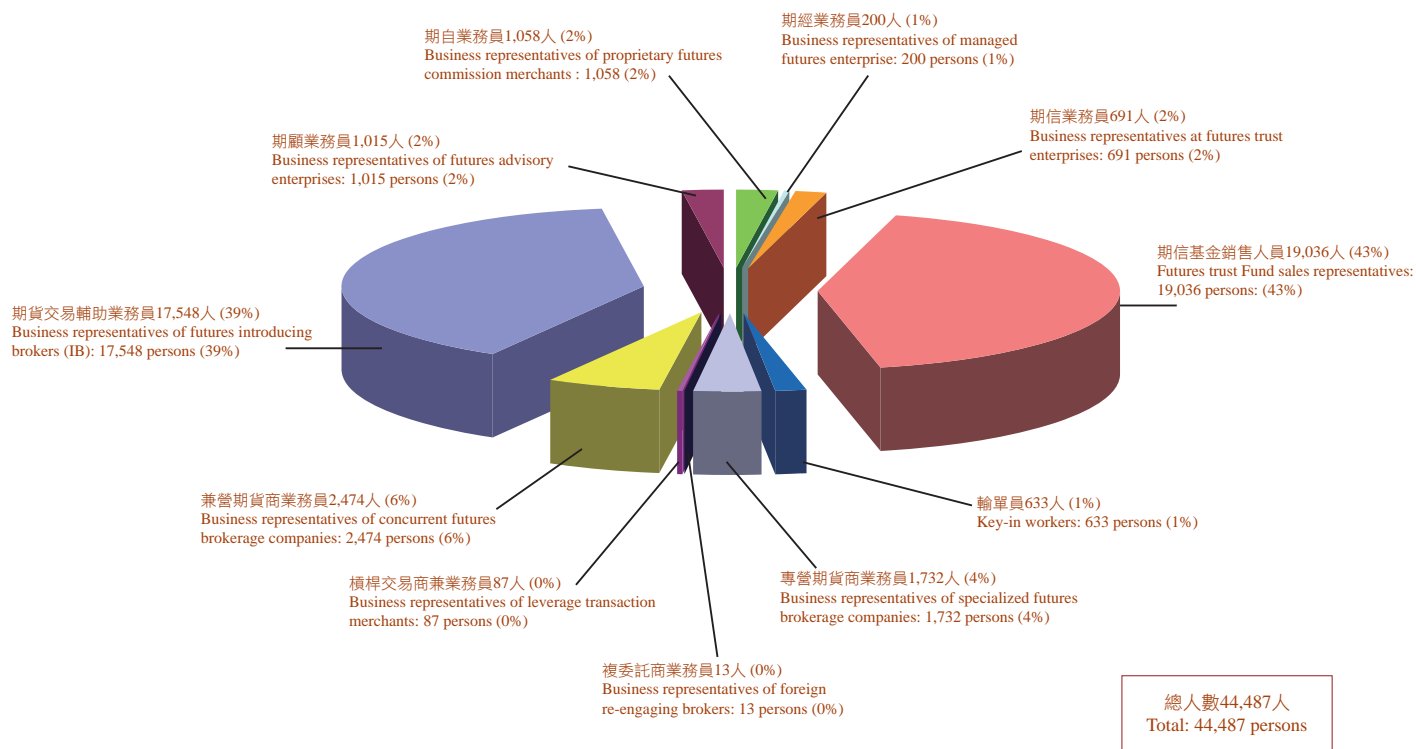
- 業務員、輸單員、銷售人員106年度於本公會異動登錄共計12,494件，每月平均1,041件
In 2017, the Association handled a total of 12,494 business representative, key-in worker, and salesperson enrollment cases, with an average of 1,041 cases per month.

106年度業務異動類別統計圖
Job Change Registrations in 2017





106年度從業人員登記統計圖
Business Category Change of Staff at CNFA Member Companies in 2017



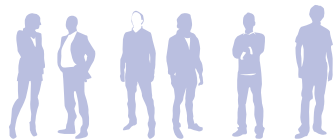
七、本公會出版品 G. Publications

1. 會訊 Newsletter



2. 期貨人季刊 Futures Journal





3. 政令宣導 Policy Advocacy

製作海報、擦拭布、保溫杯、掛勾、運動毛巾、原子筆及環保袋、日誌本等實用物品，以宣導「合法期貨」。





會計師查核報告

(107)財審報字第 17003156 號

中華民國期貨業商業同業公會 公鑒：

中華民國期貨業商業同業公會民國 106 年及 105 年 12 月 31 日之資產負債表及財產清冊，暨民國 106 年及 105 年 1 月 1 日至 12 月 31 日之收支決算表、現金出納表及基金收支表，業經本會計師查核竣事。上開會計報告之編製係管理階層之責任，本會計師之責任則為根據查核結果對上開會計報告表示意見。

本會計師係依照「會計師查核簽證財務報表規則」及中華民國一般公認審計準則規劃並執行查核工作，以合理確信會計報告有無重大不實表達。此項查核工作包括以抽查方式獲取會計報告所列金額及所揭露事項之查核證據、評估管理階層編製會計報告所採用之會計原則及所作之重大會計估計，暨評估會計報告整體之表達。本會計師相信此項查核工作可對所表示之意見提供合理之依據。

依本會計師之意見，第一段所述會計報告在所有重大方面係依照內政部頒布之「工商團體財務處理辦法」暨企業會計準則公報及其解釋編製，足以允當表達中華民國期貨業商業同業公會民國 106 年及 105 年 12 月 31 日之財務狀況，暨民國 106 年及 105 年 1 月 1 日至 12 月 31 日之收支情形。

資 誠 聯 合 會 計 師 事 務 所

會計師

李香玲



中 華 民 國 1 0 7 年 2 月 2 3 日

Independent Auditor's Report

To: Chinese National Futures Association

Feb. 23, 2018

We have audited the balance sheet and assets list of the Chinese National Futures Association (CNFA) as of the end of 2017 as well as related statement of income, cash flow, and fund management for the year then ended. The CNFA is responsible for making all these financial statements about which we are responsible for expressing our opinions based on our audit.

We conduct our audits in accordance with generally accepted auditing standards in the Republic of China, which requires that we plan and perform the audit to obtain reasonable assurance about whether these financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statement. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material aspects, the financial position of the CNFA as of December 31, 2017 and the results of its operations and cash flows for the year then ended in conformity with the “Rules Governing Financial Management of Industrial and Commercial Associations” and generally accepted accounting principles in the Republic of China.

PricewaterhouseCoopers

Taipei, Taiwan, ROC

中華民國
中華期貨交易所
會計師事務所
民國106年12月31日

資 產	106 年 12 月 31 日		105 年 12 月 31 日		106 年 12 月 31 日		105 年 12 月 31 日	
	金 額	%	金 額	%	金 額	%	金 額	%
流動資產								
現金及銀行存款(附註五(一))	\$ 40,347,551	7	\$ 28,632,082	5	\$ 15,292,188	2	\$ 13,878,620	2
有價證券(附註五(二))	80,396,681	14	79,298,037	13	209,031	-	270,759	-
應收帳款(附註五(三))	3,096,655	-	2,425,854	1	130,709	-	3,272	-
預付款項	403,708	-	690,567	-	-	-	-	-
其他流動資產	248,257	-	411,004	-	15,561,908	2	14,152,651	2
流動資產合計	124,492,852	21	105,457,544	19				
基 金								
會務發展準備基金-專戶存款(附註五(四))	113,430,255	19	106,678,891	19	56,700,000	10	58,680,000	11
退撫準備基金-專戶存款(附註五(五))	27,771,541	5	25,269,920	5	72,261,908	12	72,832,651	13
基金合計	141,201,796	24	131,948,811	24				
固定資產(附註五(六))								
土地	133,989,345	23	133,989,345	24				
房屋及建築	107,770,320	18	107,770,320	19				
事務設備	17,959,873	3	16,759,873	3	119,710,255	20	112,678,891	20
雜項設備	3,539,262	1	3,331,262	1	29,972,228	5	27,547,874	5
辦公設備	1,989,390	-	1,539,390	-	265,248,190	45	263,390,190	47
固定資產合計	265,248,190	45	263,390,190	47	414,930,673	71	403,616,955	72
其他資產								
有價證券(附註五(七))	219,950	-	219,950	-	84,072,906	14	76,179,115	14
存出保證金	57,300	-	57,300	-	16,654,601	3	7,125,074	1
自律基金-專戶存款	56,700,000	10	58,680,000	10	100,727,507	17	83,304,189	15
其他資產合計	56,977,250	10	58,957,250	10	515,658,180	88	486,921,144	87
資 產 總 計	\$ 587,920,088	100	\$ 559,753,795	100	\$ 587,920,088	100	\$ 559,753,795	100
負債、基金及餘絀總計								
負債								
應付帳項(附註五(八))								
代收款								
暫收款								
流動負債合計								
其他負債								
存入保證金-自律基金								
負債總額								
基金及餘絀								
基金								
會務發展準備基金								
退撫準備基金								
資產基金								
基金合計								
餘絀								
累計餘絀								
本期餘絀								
餘絀合計								
基金及餘絀合計								

後附會計報告附註為本會計報告之一部分，請併同參閱。



理事長：



秘書長：



會計主管：



製表：



Chinese National Futures Association
Balance Sheet

Dec. 31, 2017 & Dec. 31, 2016

Unit: NTS

	Dec. 31, 2017		Dec. 31, 2016		Dec. 31, 2017		Dec. 31, 2016	
	Amount	%	Amount	%	Amount	%	Amount	%
Assets								
Current assets								
Cash & bank deposit (Note 5-1)	\$ 40,347,551	7	\$ 28,632,082	5	\$ 15,222,188	2	\$ 13,878,620	2
Securities (Note 5-2)	80,386,681	14	73,298,037	13	209,031	-	270,759	-
Accounts receivable (Note 5-3)	3,086,655	-	2,425,854	1	130,709	-	3,272	-
Pre-payments	403,708	-	690,567	-	15,561,908	2	14,152,651	2
Other current liability	248,257	-	411,004	-	-	-	-	-
Sub-total of current assets	124,492,852	21	105,457,544	19	56,700,000	10	58,680,000	11
Funds								
Development fund at special bank account (Note 5-4)	113,430,255	19	106,678,891	19	72,261,908	12	72,832,651	13
Pension fund at special bank account (Note 5-5)	27,771,541	5	25,269,920	5	-	-	-	-
Sub-total of funds	141,201,796	24	131,948,811	24	-	-	-	-
Fixed assets (Note 5-6)								
Land	133,989,345	23	133,989,345	24	-	-	-	-
Buildings	107,770,320	18	107,770,320	19	-	-	-	-
OA equipment	17,959,873	3	16,759,873	3	119,710,255	20	112,678,891	20
Transportation equipment	3,539,282	1	3,331,262	1	29,972,228	5	27,547,874	5
Other facilities	1,989,390	-	1,539,390	-	265,248,190	45	263,390,190	47
Sub-total of fixed assets	265,248,190	45	263,390,190	47	414,930,673	71	403,616,955	72
Other assets								
Securities (Note 5-7)	219,950	-	219,950	-	84,072,906	14	76,179,115	14
Refundable deposits	57,300	-	57,300	-	16,654,601	3	7,125,074	1
Self-discipline fund at special bank account	56,700,000	10	58,680,000	10	100,727,507	17	83,304,189	15
Sub-total of other assets	56,977,250	10	58,957,250	10	515,658,180	88	486,921,144	87
Total assets	\$ 587,920,088	100	\$ 559,753,795	100	\$ 587,920,088	100	\$ 559,753,795	100
Liabilities, funds, and surplus								
Current liabilities								
Payables (Note 5-8)	-	-	-	-	-	-	-	-
Receipts under custody	-	-	-	-	-	-	-	-
Temporary receipts	-	-	-	-	-	-	-	-
Sub-total of current liabilities	-	-	-	-	-	-	-	-
Funds and surplus								
Funds	141,201,796	24	131,948,811	24	-	-	-	-
Surplus	446,718,292	76	427,804,984	76	587,920,088	100	559,753,795	100
Sub-total of funds and surplus	587,920,088	100	559,753,795	100	587,920,088	100	559,753,795	100

The accompanying notes are an integral part of these financial statements

中華民國期貨業同業公會

民國106年12月31日

單位:新台幣元

科 目	決算數	預算數	決算與預算比較數		說明
			增 加	減 少	
經費收入					
入會費收入	\$ 7,200	\$ -	\$ 7,200	\$ -	
常年會費收入	1,759,600	1,750,000	9,600	-	
業務費收入(附註五(十))	96,960,117	138,544,000	-	(41,583,883)	註1
利息收入	1,261,895	1,860,000	-	(598,105)	
委託收入	13,930,423	14,846,000	-	(915,577)	註2
其他收入	21,552	-	21,552	-	
收入合計	<u>113,940,787</u>	<u>157,000,000</u>	<u>38,352</u>	<u>(43,097,565)</u>	
經費支出					
人事費	35,490,940	36,776,000	-	(1,285,060)	註3
辦公費	6,880,015	8,310,000	-	(1,429,985)	註4
業務費	38,124,881	87,997,000	-	(49,872,119)	註5
購置費	2,031,212	3,727,000	-	(1,695,788)	註6
團體會費	1,570,000	1,570,000	-	-	
什項支出	3,275,362	5,200,000	-	(1,924,638)	註7
預備金	-	3,140,000	-	(3,140,000)	註8
基金提存(附註五(十一))	9,913,776	10,280,000	-	(366,224)	
支出合計	<u>97,286,186</u>	<u>157,000,000</u>	<u>-</u>	<u>(59,713,814)</u>	
本期餘絀(稅前)	16,654,601	-	16,654,601	-	
所得稅費用(附註五(九))	-	-	-	-	
本期餘絀(稅後)	<u>\$ 16,654,601</u>	<u>\$ -</u>	<u>\$ 16,654,601</u>	<u>\$ -</u>	

後附會計報告附註為本會計報告之一部分，請併同參閱。

註1：業務費收入減少，主係經理監事聯席會決議，自本期9月起視結餘情況調整折扣數所致。

註2：委託收入減少，主係因會員之在職訓練人數較預估數減少所致。

註3：人事費減少，主係本期有員工辦理育嬰留停所致。

註4：辦公費減少，主係因樽節辦公費用支出所致。

註5：業務費減少，主係因預估之業務推廣活動未全數執行所致。

註6：購置費減少，主係本期預計購置之官網並未購置所致。

註7：什項支出減少，主係因本期預估之社會服務費未全數執行所致。

註8：預備金減少，主係本期無特殊狀況動支。

理事長：



秘書長：



會計主管：



製表：



**Chinese National Futures Association
Income Statement**

Jan. 1-Dec.31,2017

Unit: NTS

Item	Actual Amount	Estimated Amount	Difference between actual and estimated amounts		Remarks
			Increase	Decrease	
Revenue					
Entry fees	\$ 7,200	\$ -	\$ 7,200	\$ -	
Annual membership fees	1,759,600	1,750,000	9,600	-	
Business promotion fees(Note5-10)	96,960,117	138,544,000	-	(41,583,883)	Note1
Interest income	1,261,895	1,860,000	-	(598,105)	
Income from entursted projects	13,930,423	14,846,000	-	(915,577)	Note2
Miscellaneous income	21,552	-	21,552	-	
Total revenue	113,940,787	157,000,000	38,352	(43,097,565)	
Expenses					
Personnel expense	35,490,940	36,776,000	-	(1,285,060)	Note3
Administration expense	6,880,015	8,310,000	-	(1,429,985)	Note4
Business expense	38,124,881	87,997,000	-	(49,872,119)	Note5
Procurement expense	2,031,212	3,727,000	-	(1,695,788)	Note6
Association activity fee	1,570,000	1,570,000	-	-	
Miscellaneous items	3,275,362	5,200,000	-	(1,924,638)	Note7
Advance cash	-	3,140,000	-	(3,140,000)	Note8
Fund deposit(Note5-11)	9,913,776	10,280,000	-	(366,224)	
Total expense	97,286,186	157,000,000	-	(59,713,814)	
Pre-tax balance	16,654,601	-	16,654,601	-	
Income tax(Note 5-9)	-	-	-	-	
After-tax balance	\$ 16,654,601	\$ -	\$ 16,654,601	\$ -	

The accompanying notes are an integral part of these financial statements

- 1 : Income of business fees decreased due to the new policy approved at the meeting of board members and supervisors, under which discount would be adjusted along with the balance, starting from September 2017.
- 2 : Income from commissioned projects increased mainly for the increase of trainees of training courses.
- 3 : The personnel expense decreased primarily due to the maternal leaves of employees.
- 4 : Administration fee decreased mainly due to the cut in budget.
- 5 : Business activity fees decreased because the proposed business promotion activities haven't been completely implemented in 2016
- 6 : Procurement expenditure decreased because the website have not been completed.
- 7 : Miscellaneous expenses decreased primarily because the social service budget has not been fully used.
- 8 : Provisions decreased because there were no special conditions for extra expenditure.

大事紀要 Chronicle

日期 Date	項目 Event
1/10	2016歲末聯歡晚會 2016 year-end gala dinner
1/24	第5屆常務理監事第3次聯席會議 The 3 rd joint meeting of the 5 th Board of Executive Directors and Supervisors
2/3	第5屆經紀業務委員會第4次會議 The 4 th meeting of the 5 th Brokerage Business Committee
2/9	第5屆財務委員會第3次會議 The 3 rd meeting of 5 th Financial Committee
2/17	第5屆理監事第4次聯席會議 The 4 th joint meeting of the 5 th Board of Directors and Supervisors
3/7	贊助第20屆傑出基金『金鑽獎』頒獎典禮 Sponsoring the 20 th TFF-Bloomberg Best Fund Award activity
3/9	校園巡迴講座-中興大學 “Campus Lecture Tour” —National Chung Hsing University
3/14-17	第42屆美國期貨業協會（FIA）年會 The 42 nd annual meeting of Futures Industry Association (FIA)
3/14	期貨業防制洗錢及打擊資恐遵循成效評估問卷說明會 Presentation of “Survey on AML & CTF Practices and Law Compliance by Futures Companies”
3/15	校園巡迴講座-嘉義大學 “Campus Lecture Tour” —National Chiayi University
3/16	校園巡迴講座-東海大學 “Campus Lecture Tour” —Tunghai University
3/17	理財講座-設攤推廣期貨活動（新竹場） Financial Lecture—with futures promotional booth (Hsinchu)
3/20	校園巡迴講座-真理大學 “Campus Lecture Tour” —Aletheia University
3/21	第5屆自營業務委員會第3次會議 The 3 rd meeting of the 5 th Proprietary Business Committee
3/22	校園巡迴講座-南華大學 “Campus Lecture Tour” —Nanhua University
3/23-27	理監事聯誼-第一梯次（日本） Friendship activity for directors and supervisors—Group 1 (Japan)
3/23	證券期貨業暨投信投顧業防制洗錢及打擊資恐相關規定說明會 Presentation on “AML & CTF Regulations for Securities, Futures, and Securities Investment Trust and Advisory Industries”
3/24	第5屆經理業務委員會第2次會議 The 2 nd meeting of the 5 th Managed Futures Committee
3/28	第5屆兩岸事務委員會第2次會議 The 2 nd meeting of the 5 th Cross-straits Affairs Committee
3/29	第5屆經紀業務委員會第5次會議 The 5 th meeting of the 5 th Brokerage Business Committee
3/29	校園巡迴講座-中國科大 “Campus Lecture Tour” —China University of Technology
3/30	配合期交所盤後交易制度期貨商風險控管原則說明會（台北場一） Presentation on risk control guidelines for futures companies in cope with TAIEX's after-hour trading system (1st round, Taipei)
4/6-4/10	理監事聯誼-第二梯次（日本） Friendship activity for directors and supervisors—Group 2 (Japan)
4/6	「金融講堂」系列講座課程-環球科大 “Financial Podium” lecture series—TransWorld University



日期 Date	項目 Event
4/7	「金融講堂」系列講座課程-元智科大 “Financial Podium” lecture series—Yuan Ze University
4/11	「金融講堂」系列講座課程-台北城市科大 “Financial Podium” lecture series—Taipei City University of Science and Technology
4/11	「金融講堂」系列講座課程-臺灣師範大學 “Financial Podium” lecture series—National Taiwan Normal University
4/13	配合期交所盤後交易制度期貨商風險控管原則說明會（台中場） Presentation on risk control guidelines for futures companies in cope with TAIFEX’s after-hour trading system (Taichung)
4/13	第5屆財務委員會第4次會議 The 4 th meeting of the 5 th Financial Committee
4/14	「金融講堂」系列講座課程-開南科大 “Financial Podium” lecture series—Nan Kai University of Technology
4/14	元大標普金日傘型期貨信託基金風控審查會議 Risk control meeting for Yuanta S&P Japanese yen umbrella-type futures trust fund
4/17	校園巡迴講座-高師大 “Campus Lecture Tour” —National Kaohsiung Normal University
4/18	配合期交所盤後交易制度期貨商風險控管原則說明會（高雄場） Presentation on risk control guidelines for futures companies in cope with TAIFEX’s after-hour trading system (Kaohsiung)
4/19	校園巡迴講座-崑山科大 “Campus Lecture Tour” —Kun Shan University
4/19	校園巡迴講座-中華大學 “Campus Lecture Tour” —Chung Hua University
4/20	第5屆顧問業務委員會第2次會議 The 2 nd meeting of the 5 th Advisory Business Committee
4/20	配合期交所盤後交易制度期貨商風險控管原則說明會（台北場二） Presentation on risk control guidelines for futures companies in cope with TAIFEX’s after-hour trading system (2nd round, Taipei)
4/21	第5屆理監事第5次聯席會議 The 5 th joint meeting of the 5 th Board of Directors and Supervisors
4/24	校園巡迴講座-宜蘭大學 “Campus Lecture Tour” —Ilan University
4/24	校園巡迴講座-靜宜大學 “Campus Lecture Tour” —Providence University
4/26	校園巡迴講座-暨南大學 “Campus Lecture Tour” —National Chi Nan University
4/29	106年度證券期貨盃高爾夫球賽聯誼活動 Securities & Futures Golf Championship 2017
5/3	校園巡迴講座-萬能科大 “Campus Lecture Tour” —Vanung University
5/4	校園巡迴講座-景文科大 “Campus Lecture Tour” —Jinwen University of Science and Technology
5/9-5/11	LME年會 LME Annual Meeting
5/11	校園巡迴講座-義守大學 “Campus Lecture Tour” —I-Shou University
5/11	校園巡迴講座-彰化師範大學 “Campus Lecture Tour” —National Changhwa Normal University
5/12-5/21	IOSCO年會 IOSCO Annual Meeting

日期 Date	項目 Event
5/17	校園巡迴講座-聯合大學 “Campus Lecture Tour” —National United University
5/17	校園巡迴講座-高雄第一科大 “Campus Lecture Tour” —National Kaohsiung First University of Science and Technology
5/18	校園巡迴講座-明新科大 “Campus Lecture Tour” —Minghsin University of Science and Technology
5/18	校園巡迴講座-銘傳大學 “Campus Lecture Tour” —Ming Chuan University
5/23	校園巡迴講座-輔仁大學 “Campus Lecture Tour” —Fu Jen Catholic University
5/23	海峽金融論壇綠色金融交流座談會 Cross-straits Financial Forum—Green Financial Exchange Seminar
5/25-5/26	第14屆上海衍生品論壇 The 14 th Shanghai Derivatives Market Forum
6/1	第5屆經紀業務委員會第6次會議 The 6 th meeting of the 5 th Brokerage Business Committee
6/9	第5屆理監事第6次聯席會議 The 6 th joint meeting of the 5 th Board Members and Supervisors
6/21	第5屆紀律委員會第3次會議 The 3 rd meeting of the 5 th Discipline Committee
6/28	第5屆第2次會員大會 The 2 nd General Assembly of CNFA Members of the 5 th Session
7/13	第5屆財務委員會第5次會議 The 5 th meeting of the 5 th Financial Committee
7/20	第5屆經理業務委員會第3次會議 The 3 rd meeting of the 5 th Managed Futures Committee
7/25	第5屆常務理監事第4次聯席會議 The 4 th joint meeting of the 5 th Board of Executive Directors and Supervisors
7/28	理財講座-設攤推廣期貨活動(台中場) Wealth Management Lecture—with futures promotional booth (Taichung)
8/9	第5屆經紀業務委員會第7次會議 The 7 th meeting of the 5 th Brokerage Business Committee
8/11	《企業以避險及非避險為目的會計帳務之相關規範》討論會議 Meeting held to discuss “Regulations on Enterprise’s Risk-hedging or Non-Risk-Hedging Accounting Affairs”
8/16	新版洗錢防制說明會 Seminar on New AML Regulations
8/17	研議「一定範圍市價委託宣導方式及風險控管措施」專案會議 Project meeting on “Promotional Ways and Risk-control Measures for Authorized Transactions within Certain Range of Prices”
8/18	第5屆理監事第7次聯席會議 The 7 th joint meeting of the 5 th Board of Directors and Supervisors
8/25	「受金管會裁罰案件所涉缺失相關人員參加專業訓練課程」(從業人員班) “Specialized Training Course for Persons Involved in FSC-penalized Cases” (for staff workers)
8/30	第5屆常務理監事第5次聯席會議 The 5 th joint meeting of the 5 th Board of Executive Directors and Supervisors
8/31	韓國交易所來訪 Visit of KRX (KOREA EXCHANGE)
8/31	「受金管會裁罰案件所涉缺失相關人員參加專業訓練課程」(高階班) “Specialized Training Course for Persons Involved in SFC-penalized Cases” (for high-ranking Executives)



日期 Date	項目 Event
8/31-9/11	理監事參訪-第一梯次（加拿大） Business trip of board members and supervisors—Group 1 (Canada)
9/1	理財講座-設攤推廣期貨活動（台北場） Wealth Management Lecture—with futures promotional booth (Taipei)
9/13~9/30	第30期「期貨業務人才養成班聯合徵才活動」 The 30 th “Joint Talents Recruitment Activity for Futures Salesperson Training Course”
9/14-9/25	理監事參訪-第二梯次（西雅圖） Business trip of board members and supervisors—Group 2 (Seattle, USA)
9/27	第5屆國際事務委員會第2次會議 The 2 nd meeting of the 5 th International Affairs Committee
9/27	第5屆紀律委員會第4次會議 The 4 th meeting of the 5 th Discipline Committee
9/29	TFX東京金融交易所伊藤副社長來訪 Visit of TFX Senior Managing Director Wataru Ito
9/30	第30期「期貨業務人才養成班聯合徵才活動」公布錄取名單 Announcement of admission list for the 30 th “Joint Talents Recruitment Activity for Futures Salesperson Training Course”
10/3	第5屆經紀業務委員會第8次會議 The 8 th meeting of the 5 th Brokerage Business Committee
10/5	國外期貨交易TAS（TRADING AT SETTLEMENT）委託之風控機制專案會議 Project meeting on risk control mechanism for trading at settlement (TAS) on international markets
10/12	第5屆財務委員會第6次會議 The 6 th meeting of the 5 th Financial Committee
10/18	洗錢防制說明會（台北場） AML Seminar (Taipei)
10/18	106年金融建言白皮書會議 Meeting on “Financial White Paper 2017”
10/19	洗錢防制說明會（台中場） AML Seminar (Taichung)
10/20	第5屆理監事第8次聯席會議 The 8 th joint meeting of the 5 th Board of Executive Directors and Supervisors
10/20	洗錢防制說明會（高雄場） AML Seminar (Kaohsiung)
10/23	《企業以避險及非避險為目的會計帳務之相關規範》期中報告 Mid-term report of research project on “Regulations Governing Enterprise’s Risk-hedging and Non-Risk-Hedging Accounting Affairs”
10/24	2017台北國際期貨論壇 Taipei International Futures Forum 2017
10/24	「金融講堂」系列講座課程-中原大學 “Financial Podium” lecture series—Chung Yuan Christian University
10/25	日本TOCOM東京工業品交易所濱田總經理來訪 Visit of TOCOM President Takamichi Hamada
10/26-11/5	理監事參訪-第三梯次（奧地利） Overseas business trip of board members and supervisors—Group 3 (Austria)
11/6	日本日產證券株式會社二家英彰社長來訪 Visit of Nissan Securities Board Director Tomoaki Hirao
11/6	防制金融犯罪研討會 Seminar on Financial Crime Control

日期 Date	項目 Event
11/6	「金融講堂」系列講座課程-東華大學 “Financial Podium” lecture series—National Dong Hwa University
11/8	「金融講堂」系列講座課程-淡江大學 “Financial Podium” lecture series—Tamkang University
11/10	「金融講堂」系列講座課程-東海大學 “Financial Podium” lecture series—Tunghai University
11/11	2017年金融服務愛心公益嘉年華（台中場） Financial Service and Charity Carnival 2017 (Taichung)
11/15	「推動期經業務發展，增加期經競爭力」專案會議 Project meeting on “How to Promote Managed Futures Business and Strengthen Competitiveness of Taiwan’s Managed Futures Enterprises”
11/15	第5屆兩岸事務委員會第3次會議 The 3 rd meeting of the 5 th Cross-straits Affairs Committee
11/16	槓桿交易商如何影響實體經濟的國際經驗研究」暨「中小企業原物料避險需求分析」委外研究期中報告 Mid-term report of outsourcing research projects: “Study on International Experience of Leveraged Traders’ Influence on Physical Economy” and “Analysis on Demand of Small- and Mid-size Enterprises’ Demand for Risk-hedging for Trading of Raw Materials.”
11/18	從業人員登山健行聯誼暨「捐發票、送愛心」活動 Friendship hiking and “Invoice Donation for Charity” activities for futures workers
11/21	第5屆自營業務委員會第4次會議 The 4 th meeting of the 5 th Proprietary Business Committee
11/22	第5屆信託業務委員會第4次會議 The 4 th meeting of the 5 th Trust Business Committee
11/22	第5屆稽核暨法遵委員會第3次會議 The 3 rd meeting of the 5 th Auditing & Law Compliance Committee
11/23	第5屆紀律委員會第5次會議 The 5 th meeting of the 5 th Discipline Committee
11/24	第5屆經理業務委員會第4次會議 The 4 th meeting of the 5 th Managed Futures Committee
11/28	第5屆顧問業務委員會第3次會議 The 3 rd meeting of the 5 th Advisory Business Committee
12/4	第5屆經紀業務委員會第9次會議 The 9 th meeting of the 5 th Brokerage Business Committee
12/5-12/6	CBOE 風險管理亞洲會議 CBOE Risk Control Meeting in Asia
12/8	第5屆財務委員會第7次會議 The 7 th meeting of the 5 th Financial Committee
12/13	第14屆證券暨期貨金犇獎 頒獎典禮 Award Ceremony of the 14 th Securities & Futures Award
12/15	第5屆理監事第9次聯席會議 The 9 th joint meeting of the 5 th Board of Executive Directors and Supervisors
12/16	2017年金融服務愛心公益嘉年華（高雄場） Financial Service and Charity Carnival 2017 (Kaohsiung)
12/20	金融服務業教育獎助學金頒獎典禮 Educational Scholarship and Assistantship Awarding Ceremony
12/27	「金融講堂」系列講座課程-東吳大學 “Financial Podium” lecture series—Soochow University

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會員名錄

中華民國期貨業商業同業公會會員名錄 Chinese National Futures Association Directory of members

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
F001	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23269899	02-27087967	http://www.onrich.com.tw
F002	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23811799	02-23894228	http://www.spf.com.tw
F004	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.kgifutures.com.tw/
F005	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105 台北市南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Taipei 105, Taiwan	02-27683998	02-25287576	http://www.wlf.com.tw
F007	日盛期貨股份有限公司 Jihsun Futures Co., Ltd.	104 台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
F008	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
F014	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105 台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw/
F018	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
F020	群益期貨股份有限公司 Capital Futures Corp.	106 台北市敦化南路2段97號32樓 32F., 97, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27002888	02-27551532	http://www.capitalfutures.com.tw/
F021	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路3段225號12樓 12F., 225, Sec. 3, Nanjing E. Rd., Taipei 104, Taiwan	02-27176000	02-27008363	http://www.pmf.com.tw/
F026	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
F029	康和期貨股份有限公司 Concord Futures Corp.	105 台北市復興北路143號5樓 5F., 143, Fusing N.Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
F030	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110 台北市忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110, Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw/
F039	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街30之2號9樓 9F., 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	http://www.dcnf.com.tw/
P058	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104 台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	http://www.tssco.com.tw/
R201	美商愛德盟期貨經紀股份有限公司台灣分公司 ADM Investor Services, Inc. Taiwan Branch	105 台北市南京東路4段161號3樓 3F., 161, Sec.4, Nanking E. Rd., Taipei 105, Taiwan	02-27137590	02-27137648	http://www.admis.com
R208	新加坡商大華期貨經紀有限公司台灣分公司 UOB Bullion & Futures Ltd., Taiwan Branch	110 台北市忠孝東路五段68號30樓 30F., 68, Sec. 5, Chung Hsiao E. Rd., Xinyi Dist., Taipei Taiwan	02-23451266	02-23451639	http://www.uob.com.sg
S126	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106 台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27006088	http://www.honsec.com.tw/
S144	美林證券股份有限公司 Merrill Lynch Securities (Taiwan) Limited	106 台北市敦化南路2段207號9樓 9F., 207 Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-23763766	02-23763740	http://www.ml.com
S147	台灣摩根士丹利證券股份有限公司 Morgan stanley taiwan limited	106 台北市敦化南路2段207號22樓 22F., 207, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27302888	02-27301774	http://www.morganstanley.com

Directory of Members

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
S152	瑞士商瑞士信貸銀行股份有限公司台北證券分公司 Credit Suisse, Taipei Securities Branch	105 台北市民生東路3段109號5樓 5F., 109, Sec.3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27156307	02-27156373	http://www.credit-suisse.com
S153	香港商德意志證券亞洲有限公司台北分公司 Deutsche Securities Asia Limites, Taipei Branch	106 台北市仁愛路4段296號13樓 13F., 296, Sec.4, Ren-ai Rd., Taipei 106, Taiwan	02-21922888	02-37078473	建置中
S159	花旗環球證券股份有限公司 Citigroup Global Markets Taiwan Securities Company Limited	110 台北市松智路1號14樓 14F., 1, Songzhi Rd., Taipei 110, Taiwan	02-87269000	02-87254755	http://www.citigroup.com
S165	新加坡商瑞銀證券股份有限公司台北分公司 UBS Securities Pte.Ltd., Taipei Branch	110 台北市松仁路7號5樓 5F., 7, Sung Jen Rd., Taipei 110, Taiwan	02-87227200	02-87227230	http://www.ubs.com
S218	亞東證券股份有限公司 Oriental Securities Corp.	100 台北市重慶南路1段86號2樓 2F., 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	http://www.osc.com.tw
S505	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103 台北市承德路1段17號17樓 17F., 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	http://www.tachan.com.tw/
S526	大慶證券股份有限公司 Ta Ching Securities Co., Ltd.	104 台北市民生東路2段176號4樓 4F., 176, Sec.2, Ming Sheng E. Rd., Taipei 104, Taiwan	02-25084888	02-25066545	http://www.tcstock.com.tw/
S538	第一金證券股份有限公司 First Securities Inc.	104 台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Taipei 104, Taiwan	02-25379722	02-25635713	http://www.ftsi.com.tw
S616	中國信託綜合證券股份有限公司 Chinatrust Securities Co., Ltd.	115 台北市南港區經貿二路168號3樓 3F., 168, Jingmao 2nd Rd., Nangang Dist., Taipei, Taiwan	02-66392000	02-55813881	http://www.ctbsec.com/
S645	永全證券股份有限公司 Yung Chuan Securities Co., Ltd.	330 桃園市縣府路82號1樓 1F., 82, Hsien Fu Rd., Taoyuan 330, Taiwan	03-3352155	03-3350711	http://www.yucn.com.tw/
S815	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104 台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	http://www.tssco.com.tw/
S844	摩根大通證券股份有限公司 J.P. Morgan Securities Co., Ltd.	110 台北市信義路5段106號3樓部分 3F, 106, Sec.5, Hsin Yi Rd., Taipei 110, Taiwan	02-87862288	02-27252275	http://www.jpmorgan.com
S856	新光證券股份有限公司 Shin Kwang Securities Co., Ltd.	100 台北市重慶南路1段66之1號5樓 5F, 66-1, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23118181	02-23714293	http://www.skis.com.tw/
S884	玉山綜合證券股份有限公司 E. Sun Securities Co., Ltd.	105 台北市松山區民生東路3段156號2樓 2F., 156 Sec 3, Ming Sheng E. Rd., Taipei 105, Taiwan	02-55561313	02-27186613	http://www.esunsec.com.tw
B091	群益金鼎證券股份有限公司 Capital Securities Corp.	110 台北市松仁路101號4樓 4F., 101 Sung Jen Rd., Taipei 110, Taiwan	02-87898888	02-87892942	http://www.capital.com.tw
B092	凱基證券股份有限公司 KGI Securities Co., Ltd.	104 台北市明水路698號3樓 3F, 698 Mingshui Rd., Taipei 104 Taiwan	02-21818888	02-85011852	http://www.kgi.com.tw/
B093	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105 台北市民生東路4段54號5樓 5F., 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25459231	http://www.entrust.com.tw
B096	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106 台北市大安區仁愛路4段169號2樓 2F., 169, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei 106, Taiwan	02-87716888	02-87716795	http://www.fubon.com/
B098	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路3段225號13樓 13F., 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuanta.com.tw
B09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路1段2號7樓 7F., 2 Sec.1, Chung Ching S.Rd. Taipei 100 Taiwan	02-23823866	02-23823529	http://www.sinopacsecurities.com

會員名錄

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
B102	合作金庫證券股份有限公司 Taiwan Cooperative Bank Co., Ltd.	106台北市大安區忠孝東路4段325號2樓 2F., 325 Sec.4, Chung Hsiao E.Rd., Taipei 106, Taiwan	02-27319987	02-27754202	http://www.tcb-bank.com.tw/
B103	臺灣土地銀行股份有限公司 Land Bank Co., Ltd.	100台北市延平南路81號 81, Yen Ping S.Rd., Taipei 100, Taiwan	02-23483978	02-23891864	http://www.landbank.com.tw
B104	臺銀綜合證券股份有限公司 Bank Taiwan Securities Co., Ltd.	100台北市重慶南路1段58號4樓 4F., 58, Sec. 1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23882188	02-23822045	http://www.twfhsec.com.tw/
B111	台灣中小企業銀行股份有限公司 Taiwan Business Bank Co., Ltd.	103台北市塔城街30號4樓 4F., 30, Tacheng St., Taipei 103, Taiwan	02-25597171	02-25509987	http://www.tbb.com.tw
B116	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F., 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25071047	http://www.jihsun.com.tw/
B123	彰化商業銀行股份有限公司 Chang Hwa Bank Co., Ltd.	100台北市衡陽路68號3樓 3F., 68, Hengyang Rd., Taipei 100, Taiwan	02-23619654	02-23113726	http://www.chb.com.tw
B511	富隆證券股份有限公司 Fullong Securities Co., Ltd.	105台北市南京東路3段287號3樓 3F., 287, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27182788	02-27183445	http://www.fullong.com.tw
B532	高橋證券股份有限公司 Goldengate Securities Co., Ltd.	320桃園市中壢區中和路96號 96, Chung-ho Rd., Chungli, Taoyuan 320, Taiwan	03-4224243	03-4225738	http://www.easytrade.com.tw
B546	寶盛證券股份有限公司 Pao Shin Securities Co., Ltd.	406台中市北屯區北興街43號1樓 1F., 43, Beising St., Taichung 406, Taiwan	04-22309377	04-22371684	建置中
B560	永興證券股份有限公司 Yung Shin Securities Co., Ltd.	100台北市中正區南海路20號2樓 2F., 20, Nanhai Rd., Taipei 100, Taiwan	02-23218200	02-23516239	http://www.yss.com.tw
B566	日進證券股份有限公司 Fortune Securities Co., Ltd.	360苗栗市中正路426號4樓 4F., 426, Chung Cheng Rd., Miaoli 360, Taiwan	037-332266	037-335228	http://www.fscl.com.tw/
B585	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號1樓 1F., 8, Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	http://www.pscnet.com.tw
B586	盈溢證券股份有限公司 Ying Yi Securities Co., Ltd.	800高雄市七賢二路38號 38, Chihhsien 2 Rd., Kaohsiung 800, Taiwan	07-2888516	07-9682619	http://www.e-order.com.tw/e-order/layout.jsp?err=logout
B587	光隆證券股份有限公司 Kuang Long Securities Co., Ltd.	970花蓮市公園路26號4樓 4F., 26, Kungyuan Rd., Hualian 970, Taiwan	03-8352181	03-8353447	https://etrade.kunglon.com.tw/kunglon/about/layout.jsp
B592	元富證券股份有限公司 MasterLink Securities Corp.	106台北市大安區復興南路1段209號1樓 1F., 209, Sec.1, Fuhsing S.Rd., Taipei 106, Taiwan	02-23255818	02-23259407	http://www.masterlink.com.tw
B596	日茂證券股份有限公司 Jee Mach Securities Co., Ltd.	542南投縣草屯鎮草溪路1020號2樓 2F., 1020 Tsao-His Rd., Tsao-tun Nantou 542, Taiwa	049-2353266	049-2357216	http://www.gmstock.com.tw
B601	犇亞證券股份有限公司 Primasia Securities Co., Ltd.	105 台北市松山區復興北路99號3樓 3F., 99 Fushin N. Rd., Taipei 105, Taiwan	02-27180101	02-27180202	http://www.primasia.com
B611	台中銀證券股份有限公司 Taichung Commercial Bank Securities Co., Ltd.	400 台中市中國區民族路45號1樓 1F., 45, Minzu Rd., Central Dist., Taichung 400, Taiwan	02-23969955	02-23210502	http://www.tcbbank.com.tw/
B621	新百王證券股份有限公司 New Hundred King Securities Co., Ltd.	801高雄市中華三路11號B1 B1, 11, Chungghwa 3 Rd., Kaohsiung 801, Taiwan	07-2118888	07-2512518	http://www.nhks.com.tw/
B638	光和證券股份有限公司 Kuanzho Securities Corp.	521彰化縣北斗鎮光復路166號3樓 3F., 166, Kwangfu Rd., Pie-Tou Cheng, Changghwa 521, Taiwan	04-8886500	04-8886626	http://www.kuanzho.com.tw/
B646	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220 新北市板橋區東門街30之2號2樓 2F., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29689685	02-29676069	http://www.dcn.com.tw
B648	福邦證券股份有限公司 Grand Fortune Securities Co., Ltd.	104台北市忠孝西路1段6號6樓 6F., 6, Sec. 1, Chung Hsiao W. Rd., Taipei 104, Taiwan	02-23836888	02-23836800	http://www.gfortune.com.tw/

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
B662	全泰證券股份有限公司 Chuan Tai Securities Co., Ltd.	330桃園市三民路3段317號3樓,4樓 3F., 317, Sec.3, San Ming Rd., Taoyuan 330, Taiwan	03-3353359	03-3345286	建置中
B691	德信綜合證券股份有限公司 Reliance Securities Co., Ltd.	100台北市新生南路1段50號3樓 3F., 50, Sec.1, Shin Sheng S. Rd., Taipei 100, Taiwan	02-23939988	02-23567722	http://www.rsc.com.tw
B695	福勝證券股份有限公司 Fushan Securities Co., Ltd.	251新北市淡水區中正路63號5樓 5F., 63, Chung Chen Rd., Tanshui, Taipei 251, Taiwan	02-26251818	02-26251705	http://www.fushan-ewin.com.tw
B700	兆豐證券股份有限公司 Mega Securities Co., Ltd.	106台北市忠孝東路2段95號3樓 3F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-23278988	02-33932995	http://www.emega.com.tw/
B702	信富證券股份有限公司 Shin Fan Securities Co., Ltd.	613嘉義縣朴子市山通路37號3樓 3F., 37, Shantong Rd., Puzi City, Chiayi County 613, Taiwan	05-3700988	05-3700909	https://www.shinfan.com.tw/shinfan/main.jsp
B703	致和證券股份有限公司 Concord International Securities Co., Ltd.	704台南市西門路3段10號1樓 1F., 10, Shi-Men Rd., Sec.3, Tainan 704, Taiwan	06-2219777	06-2286324	http://www.wintan.com.tw/
B707	豐農證券股份有限公司 Feng Long Securities Co., Ltd.	420台中市豐原區水源路669號 669, Shuiyuan Rd., Fungyuan, Taichung 420, Taiwan	04-25281000	04-25253100	https://sptrade.fenglong.com.tw/fenglong/index/layout.jsp
B767	金港證券股份有限公司 Goldport Stock Corp.	802高雄市苓雅區三多二路93號2樓 2F., 93, Sando 2 Rd., Kaohsiung 802, Taiwan	07-7129399	07-7133579	https://sptrade.chinkang.com.tw/chinkang/index/layout.jsp
B775	北城證券股份有限公司 Pei Cheng Securities Co., Ltd.	234新北市永和區永和路2段116號3樓 3F., 116, Sec.2, Yung-ho Rd., Yung-ho, Taipei 234, Taiwan	02-29283456	02-29208664	http://www.peicheng.com.tw
B779	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.	103台北市重慶北路3段199號地下1樓 B1, 199, Sec.3, Chung Ching N. Rd., Taipei 103, Taiwan	02-25288988	02-27647834	http://www.wls.com.tw/
B838	安泰證券股份有限公司 Antay Securities Co., Ltd.	830高雄市鳳山區五福二路36號 36, Wu Fu 2 Rd., Fong Shan, Kaohsiung 830, Taiwan	07- 8122789	07-8315419	http://www.antay.com.tw/
B845	康和綜合證券股份有限公司 Concord Securities Corp.	110台北市基隆路一段176號地下1樓 B1, 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-87871888	02-27697562	http://www.concords.com.tw/
B849	萬泰證券股份有限公司 Wan Tai Securities Co., Ltd.	600嘉義市新榮路193號2樓 2F., 193, Hsin-Jung Rd., Chiayi 600, Taiwan	05-2289911	05-2273459	建置中
B852	中農證券股份有限公司 Chung Nour Securities Co., Ltd.	435台中市梧棲區四維中路157巷1號2樓 2F., 1, Lane 157, Sweijung Rd., Wuchi Jen, Taichung, 435, Taiwan	04-26572801	04-26564499	https://sptrade.chungnour.com.tw/
B858	聯邦商業銀行股份有限公司 Union Bank of Taiwan Co., Ltd.	104台北市南京東路2段137號2樓 2F., 137, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25040066	02-25171241	http://www.ubot.com.tw
B866	萬通證券股份有限公司 Vantone Securities Co., Ltd.	104台北市松江路301號2樓 2F., 301, Songjiang. Rd., Taipei 104, Taiwan	02-25156655	02-25026363	建置中
B871	陽信證券股份有限公司 Sunny Securities Co., Ltd.	105台北市民生東路5段165號B2 B2, 165, Sec.5, Ming Sheng E. Rd., Taipei 105, Taiwan	02-28288585	02-28213444	http://www.sunnysec.com.tw
B877	大鼎證券股份有限公司 Da-Din Securities Co., Ltd.	110 台北市信義路4段458號8樓 8F., 458, Sec. 4, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-87862666	02-87862663	http://www.ycsc.com.tw
B885	鑫豐證券股份有限公司 Shing Fong Securities Co., Ltd.	104 台北市大同區民權西路104號5樓 5F., 104, Minquan W. Rd., Datong Dist., Taipei 103, Taiwan	02-25538000	02-25548064	http://www.promoney.com.tw/
B888	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269888	02-23777688	http://www.cathaysec.com.tw
P001	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23811799	02-23894228	http://www.spf.com.tw
P002	兆豐證券股份有限公司 Mega Securities Co., Ltd.	100台北市忠孝東路2段95號3樓 3F., 95, Sec. 2, Chung Hsiao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-33227073	02-33933052	http://www.megasec.com.tw/

會員名錄

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
P003	統一期貨股份有限公司 President Futures Corp.	105台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
P004	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路3段225號12樓 12F., 225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27176000	02-27008363	http://www.pmf.com.tw/
P005	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
P006	群益期貨股份有限公司 Capital Futures Corp.	106 台北市大安區敦化南路2段97號地下1樓 B1., 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
P008	元富證券股份有限公司 MasterLink Securities Corp.	106台北市大安區復興南路1段209號1樓 1F., 209, Sec.1, Fuhshing S.Rd., Taipei 106, Taiwan	02-23255818	02-27000208	http://www.masterlink.com.tw
P014	大展證券股份有限公司 Ta Chan Securities Co., Ltd.	103台北市承德路1段17號17樓 17F., 17, Sec.1, Cheng Teh Rd., Taipei 103, Taiwan	02-25551234	02-25554200	http://www.tachan.com.tw/
P015	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
P020	凱基證券股份有限公司 KGI Securities Co., Ltd.	104台北市明水路700號9樓 9F., 700, Mingshui Rd., Taipei 104, Taiwan	02-21818888	02-85011852	http://etrade.kgi.com.tw/
P022	富邦綜合證券股份有限公司 Fubon Securities Co., Ltd.	106台北市大安區仁愛路4段169號2樓 2F., 169, Sec. 4, Ren'ai Rd., Da'an Dist., Taipei 106, Taiwan	02-27767819	02-27210783	http://www.fubon.com/
P024	統一綜合證券股份有限公司 President Securities Corp.	105台北市東興路8號2樓 2F., 8 Tong Shin Rd., Taipei 105, Taiwan	02-27478266	02-27463737	http://www.pscnet.com.tw
P026	華南永昌綜合證券股份有限公司 HuaNan Securities Co., Ltd.	105台北市民生東路4段54號5樓 5F., 54, Sec.4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-25456888	02-25454122	http://www.entrust.com.tw
P028	元大證券股份有限公司 Yuanta Futures Co., Ltd.	104台北市南京東路3段225號13樓 13F., 225, Sec.3, Nanking E. Rd., Taipei 104, Taiwan	02-27181234	02-27180412	http://www.yuanta.com.tw
P030	日盛證券股份有限公司 Jih Sun Securities Co., Ltd.	104台北市南京東路2段111號3樓 3F., 111, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25048888	02-25048400	http://www.jihsun.com.tw/
P032	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路一段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-25169009	02-25082351	http://www.kgifutures.com.tw/
P033	國泰綜合證券股份有限公司 Cathay Securities Co., Ltd.	106台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269888	02-27003350	http://www.cathaysec.com.tw
P034	香港商法國興業證券股份有限公司台北分公司 SG Securities (HK) Ltd., Taipei Branch	110台北市信義區忠孝東路5段68號38樓 38F., No.68, Sec. 5, Chung Hsiao E. Rd., Xinyi Dist., Taipei 110, Taiwan	02-21750800	02-25467376	http://www.socgen.com
P037	亞東證券股份有限公司 Oriental Securities Corp.	100台北市重慶南路1段86號2樓 2F., 86, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23618600	02-23811061	http://www.osc.com.tw
P038	澳帝華期貨股份有限公司 Optiver Taiwan Co., Ltd.	100台北市杭州南路1段19號12樓 12F., 19, Sec.1, Hangzhou S. Rd., Taipei 100, Taiwan	02-23570777	02-23578392	http://www.optiver.com
P039	第一金證券股份有限公司 First Securities Inc.	104 台北市長安東路1段22號4樓 4F., 22, Sec. 1, Chang-an E. Rd., Taipei 104, Taiwan	02-25636262	02-25635713	http://www.fsi.com.tw
P042	中國信託商業銀行股份有限公司 Chinatrust Commercial Bank	115台北市南港區經貿二路168號5樓 5F., 168, Jingmao 2nd Rd., Nangang Dist., Taipei 115, Taiwan	02-27222002	02-87808867	http://hrbank.chinatrust.com.tw
P045	國票綜合證券股份有限公司 Waterland Securities Co., Ltd.	103台北市重慶北路3段199號地下1樓 B1, 199, Sec.3, Chung Ching N. Rd., Taipei 103, Taiwan	02-25288988	02-27647834	http://web.wls.com.tw/
P046	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F., 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw/

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
P047	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105 台北市松山區南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-27287576	http://www.wlf.com.tw
P050	康和期貨股份有限公司 Concord Futures Co., Ltd.	105 台北市復興北路143號5樓 5F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw/
P052	中國信託綜合證券股份有限公司 Chinatrust Securities Co., Ltd.	115 台北市南港區經貿二路188號14樓 14F., 188, Jingmao 2nd Rd., Nangang Dist., Taipei 115, Taiwan	02-66392000	02-66392500	http://www.chinatrustsec.com.tw/
P053	玉山綜合證券股份有限公司 E. Sun Securities Co. Ltd.	105 台北市松山區民生東路3段158號6樓 6F., 158, Sec 3, Ming Sheng E. Rd., Taipei Taiwan	02-55561313	02-27188913	http://www.esunsec.com.tw
P054	法銀巴黎證券股份有限公司 BNP PARIBAS INVESTMENT PARTNERS	110 台北市信義路五段7號72樓 72F., 7, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-87297000	02-81012168	http://www.bnpparibas-ip.com
P055	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路2段333號 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269899	02-27087967	http://www.onrich.com.tw
P056	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
P057	合作金庫證券股份有限公司 Taiwan Cooperative Bank Co., Ltd.	106 台北市忠孝東路4段325號6樓 6F., 325, Sec.4, Chung Hsiao E. Rd., Taipei 106, Taiwan	02-27319987	02-27754202	http://www.tcb-bank.com.tw/
P058	台新綜合證券股份有限公司 Taishin Securities Co., Ltd.	104 台北市中山北路2段44號2樓 2F., 44, Sec. 2, Zhongshan N. Rd., Taipei 104, Taiwan	02-21815888	02-25232016	http://www.tssco.com.tw/
P09A	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路1段2號7樓 7F., 2, Sec.1, Chung Ching S. Rd. Taipei 100 Taiwan	02-23823866	02-23823529	http://www.sinopacsecurities.com
A001	永豐期貨股份有限公司 SinoPac Futures Co.Ltd.	100 台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	http://www.spf.com.tw
A002	統一期貨股份有限公司 President Futures Corp.	105 台北市東興路8號B1 B1, 8, Tong Shin Rd., Taipei 105, Taiwan	02-27488338	02-27488335	http://www.pfcf.com.tw
A003	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路3段225號12樓 12F., 225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27176000	02-27008363	http://www.pmf.com.tw/
A004	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104 台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw
A005	群益期貨股份有限公司 Capital Futures Corp.	106 台北市大安區敦化南路2段97號地下1樓 B1, 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
A006	國泰期貨股份有限公司 Cathay Futures Corp.	106 台北市敦化南路2段333號19樓 19F., 333, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-23269899	02-27087967	http://www.onrich.com.tw
A008	康和期貨股份有限公司 Concord Futures Co., Ltd.	105 台北市復興北路143號5樓 5F., 143, Fusing N. Rd., Taipei 105, Taiwan	02-27171339	02-27171319	http://www.concordfutures.com.tw
A009	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
A011	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105 台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw/
A013	元富期貨股份有限公司 MasterLink Futures Corp.	110 台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Xinyi Dist., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
A019	國票期貨股份有限公司 Waterland Futures Co., Ltd.	105 台北市松山區南京東路5段188號8樓 8F., 188, Sec. 5, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-27683998	02-25287576	http://www.wlf.com.tw
A020	兆豐期貨股份有限公司 Mega Futures Co., Ltd.	110 台北市中正區忠孝東路2段95號2樓 2F., 95, Sec.2, Chung Hsiao E. Rd., Taipei 110 Taiwan	02-23278895	02-23278879	http://www.megafutures.com.tw/

會員名錄

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
A021	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100台北市重慶南路一段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.kgifutures.com.tw/
A025	永豐金證券股份有限公司 SinoPac Securities Corp.	100 台北市重慶南路一段2號7樓 7F., 2, Sec.1, Chung Ching S.Rd. Taipei 100 Taiwan	02-23123866	02-23823529	http://www.sinopacsecurities.com
A026	運達證券投資顧問股份有限公司 Yun Ta Investment Consulting Co., Ltd.	104 台北市中山區八德路2段260號10樓 10F., 260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei 104, Taiwan	02-55899966	02-27312166	http://win-dollar.com/
A027	萬寶證券投資顧問股份有限公司 Marbo Securities Consultant Co., Ltd.	104台北市松江路87號4樓 4F., 87, Songjiang. Rd., Taipei 104, Taiwan	02-66083998	02-66088833	http://www.marbo.com.tw/
A028	啟發證券投資顧問股份有限公司 Chi Far Securities Investment Consultant	100台北市忠孝西路1段100號9樓 9F., 100, Sec.1, Chung Hsiao W. Rd., Taipei 100, Taiwan	02-23820566	02-23313628	http://www.chifar.com.tw/
A029	大華國際證券投資顧問股份有限公司 Da Hwa International Securities Investment Consulting Co., Ltd.	100 台北市羅斯福路2段102號23樓 23F., 102, Sec.2, Roosevelt Rd., Taipei 100, Taiwan	02-83695566	02-77258855	http://www.toptrade.com.tw/
A032	顧德證券投資顧問股份有限公司 Good Securities Investment Consultant	105 台北市松山區南京東路4段126號14樓 14F., 126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-23913000	02-23413451	http://www.good-group.com.tw/
A033	華信證券投資顧問股份有限公司 Hwashin Investment Consulting Co., Ltd.	100台北市青島東路7號5樓 5F., 7, Qingdao E.Rd., Taipei 100, Taiwan	02-23923988	02-77253896	http://www.hwashin.com.tw/
A034	承通證券投資顧問股份有限公司 Cheng-Tung Securities Investment Consulting Co., Ltd.	104 台北市八德路2段260號6樓 6F., 260, Sec. 2, Bade Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27813900	02-27722813	http://27813900.com/
A036	永誠國際證券投資顧問股份有限公司 Yong Cheng Securities Investment Consultant	104台北市中山北路1段145號6樓 6F., 145, Sec.1, Jhongsan N. Rd., Taipei 104, Taiwan	02-25611115	02-25611084	http://www.88598.com.tw/
A040	康和期貨經理事業股份有限公司 Concord Managed Futures Co., Ltd.	110 台北市基隆路1段176號14樓 14F., 176 Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	http://www.concordfutures.com.tw/FM/
A041	大昌證券股份有限公司 Dah Chang Securities Co., Ltd.	220 新北市板橋區東門街30之2號2樓 2F., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29689685	02-29658473	http://www.dcn.com.tw
A042	玉山證券投資顧問股份有限公司 E.SUN Investment Consulting, Co., Ltd.	105 台北市松山區民生東路3段156號6樓 6F., 156, Sec.3, Minsheng E. Rd., Songshan Dist., Taipei 105, Taiwan	02-55569913	02-25453313	http://www.esunsec.com.tw
A043	亞洲證券投資顧問股份有限公司 Asia securities investment advisory-inc.	105 台北市松山區南京東路4段126號11樓A2 Rm. A2, 11F., 126, Sec. 4, Nanjing E. Rd., Songshan Dist., Taipei 105, Taiwan	02-25783777	02-25779495	http://www.asia-rich.com.tw/
A044	倫元證券投資顧問股份有限公司 E-invest Security Investment Consultant Co., Ltd.	100 台北市中正區青島東路7號6樓 6F., 7, Qingdao E. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23219933	02-23927062	http://www.e-invest.com.tw/main/
A045	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街30之2號9樓 9F., 30-2, Dongmen St., Banqiao Dist., New Taipei 220, Taiwan	02-29601066	02-29657707	http://www.dcn.com.tw
A046	聚揚證券投資顧問股份有限公司 Ever Young Led.	104 台北市中山區松江路315號2樓 2F., 315, Songjiang Rd., Zhongshan Dist., Taipei 104, Taiwan	02-25066808	02-25065018	http://everyoung88.com.tw/
A047	第一金證券股份有限公司 First Securities Inc.	104 台北市長安東路一段22號4樓 4F., 22, Sec. 1, Chang'an E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-25636262	02-25635713	http://www.ftsi.com.tw/
A049	高欣證券投資顧問股份有限公司 Securities Investment Consulting Co., Ltd.	104 台北市中山區復興北路150號7樓 7F., 150, Fuxing N. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27171000	02-27150077	http://www.ks5567.com.tw/public/video_list.php
A050	宏遠證券股份有限公司 Horizon Securities Co., Ltd.	106台北市信義路4段236號3樓 3F, 236, Sec.4 Hsin Yi Rd., Taipei 106, Taiwan	02-27008899	02-27008897	http://www.honsec.com.tw/
L001	元大期貨股份有限公司 Yuanta Futures Co., Ltd.	104 台北市南京東路3段225號12樓 12F., 225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27176000	02-27008363	http://www.pmf.com.tw/

代號	公司名稱 (Company)	公司地址 (Address)	電話 (Tel)	傳真 (Fax)	公司網址 (Website)
L002	群益期貨股份有限公司 Capital Futures Corp.	106 台北市大安區敦化南路2段97號地下1樓 B1, 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
L003	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chung Ching S.Rd., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.kgifutures.com.tw/
M008	康和期貨經理事業股份有限公司 Concord Managed Futures Co., Ltd.	110 台北市基隆路1段176號14樓 14F., 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	http://www.concordfutures.com.tw/FM/
M018	凱基期貨股份有限公司 KGI Futures Co., Ltd.	100 台北市重慶南路1段2號6樓 6F., 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23619889	02-23713146	http://www.kgifutures.com.tw/
M020	永豐期貨股份有限公司 SinoPac Futures Co., Ltd.	100 台北市重慶南路1段2號8樓 8F., 2, Sec.1, Chung Ching S. Rd., Taipei 100, Taiwan	02-23811799	02-23894228	http://www.spf.com.tw
M022	富邦期貨股份有限公司 Fubon Futures Co., Ltd.	100 台北市襄陽路9號3樓 3F., 9, Hsiang Yang Rd., Taipei 100, Taiwan	02-23882626	02-23718064	http://www.fubon.com/
M023	日盛期貨股份有限公司 Jih Sun Futures Co., Ltd.	104 台北市南京東路2段111號4樓 4F., 111, Sec2, Nanking E. Rd., Taipei 104, Taiwan	02-25042088	02-25078288	http://www.jihsunfutures.com.tw/
M024	華南期貨股份有限公司 HuaNan Futures Co., Ltd.	105 台北市民生東路4段54號3樓 3F., 54, Sec 4, Ming Sheng E. Rd., Taipei 105, Taiwan	02-27180000	02-25463700	http://ft.entrust.com.tw/
M025	群益期貨股份有限公司 Capital Futures Corp.	106 台北市大安區敦化南路2段97號地下1樓 B1, 97, Sec. 2, Dunhua S. Rd., Da'an Dist., Taipei 106, Taiwan	02-87800099	02-87893782	http://www.capitalfutures.com.tw/
M027	大昌期貨股份有限公司 Dah Chang Futures Co., Ltd.	220 新北市板橋區東門街30之2號9樓 9F., 30-2, Tung-men St., Panchiao, Taipei 220, Taiwan	02-29601066	02-29657707	http://www.dcnf.com.tw/
M028	元富期貨股份有限公司 Master Link Futures Corp.	110 台北市信義路5段8號3樓 3F., 8, Sec. 5, Xinyi Rd., Taipei 110, Taiwan	02-27290818	02-27298867	http://futures.masterlink.com.tw/
T002	復華證券投資信託股份有限公司 Fuh Hwa Securities Investment Trust Co., Ltd.	104 台北市八德路2段308號8樓 8F., 308, Sec.2, Bade Rd., Taipei, Taiwan	02-81616800	02-87728000	www.fhtrust.com.tw/
T003	國泰證券投資信託股份有限公司 Cathay Securities Investment Trust Co., Ltd.	106 台北市大安區仁愛路4段296號18樓 18F., 296, Jen-ai Rd., Sec.4, Taipei 106, Taiwan	02-27008399	02-23269633	http://www.cathaysite.com.tw/
T004	元大證券投資信託股份有限公司 Yuanta Securities Investment Trust Co., Ltd.	104 台北市中山區南京東路3段225號6樓 6F., 225, Sec. 3, Nanjing E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-27175555	02-27190951	http://www.yuantafunds.com/
T008	新光證券投資信託股份有限公司 Shin Kong Investment Trust Co., Ltd.	104 台北市南京東路2段123號12樓 12F., 123, Sec.2, Nanking E. Rd., Taipei 104, Taiwan	02-25071123	02-25077600	http://www.skit.com.tw/
T010	統一證券投資信託股份有限公司 Uni-President Assets Management Corp.	105 台北市東興路8號8樓 8F., 8 Dongsing Rd., Taipei 105, Taiwan	02-27478388	02-27478129	http://www.ezmoney.com.tw/
T011	永豐證券投資信託股份有限公司 Copyright by SinoPac Securities Investment Trust Co., Ltd.	100 台北市博愛路17號13樓 13F., 17, Bo'ai Rd., Zhongzheng Dist., Taipei 100, Taiwan	02-23618110	02-23617069	http://sitc.sinopac.com
T014	康和期貨經理事業股份有限公司 Concord Managed Futures Co., Ltd.	110 台北市基隆路1段176號14樓 14F., 176, Sec.1, Keelung Rd., Taipei 110, Taiwan	02-37653688	02-37651038	http://fm.concordfutures.com.tw
T015	富邦證券投資信託股份有限公司 Fubon Asset Management Co., Ltd.	105 台北市敦化南路1段108號8樓 8F., 108, Sec. 1, Dunhua S. Rd., Songshan Dist., Taipei 105, Taiwan	02-87716688	02-87716788	https://www.fubon.com
T016	華頓證券投資信託股份有限公司 Paradigm Asset Management Co., Ltd.	104 台北市民權東路3段6號5樓 5F., 6, Sec. 3, Minquan E. Rd., Zhongshan Dist., Taipei 104, Taiwan	02-21756969	02-25019868	http://www.paradigm-fund.com/
T017	群益證券投資信託股份有限公司 Capital Investment Trust Corporation	106 台北市大安區敦化南路二段69號15樓 15F., 69, Sec. 2, Dunhua S. Rd., Taipei 106, Taiwan	02-27067688	02-27543804	https://www.capitalfund.com.tw/

註：以上資料截止日為106.12.31



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Annual Report 2017

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